

# Pseudo-Anosovs with small dilatation and the Dehn fillings of the magic manifold

E. Kin\* • M. Takasawa

Tokyo Institute of Technology



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## Pseudo-Anosov (1)

$\Sigma = \Sigma_{g,n}$ ; orientable surface of genus  $g$  with  $n$  punctures

$\text{Mod}(\Sigma)$ ; the mapping class group of  $\Sigma$ .

We focus on pseudo-Anosov elements of  $\text{Mod}(\Sigma)$ .



## Pseudo-Anosov (2)

- A homeomorphism  $\Phi : \Sigma \rightarrow \Sigma$  is *pseudo-Anosov* if

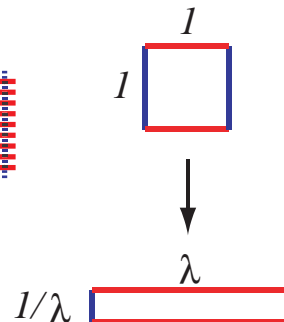
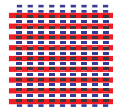
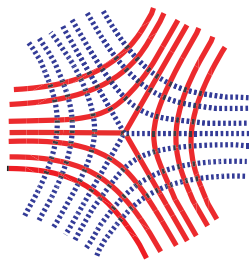
$\exists \lambda = \lambda(\Phi) > 1$  called the *dilatation* of  $\Phi$ , and

$\exists \mathcal{F}^s, \mathcal{F}^u$ ; a pair of transverse measured foliations such that

$$\Phi(\mathcal{F}^s) = \frac{1}{\lambda} \mathcal{F}^s \quad \text{and} \quad \Phi(\mathcal{F}^u) = \lambda \mathcal{F}^u.$$

$\mathcal{F}^s$  and  $\mathcal{F}^u$  are called the *stable* and *unstable foliation*.

— stable foliation  
— unstable foliation





## Dilatation, Entropy

The mapping class  $\phi = [\Phi] \in \text{Mod}(\Sigma)$  containing a pseudo-Anosov homeo  $\Phi$  is called pseudo-Anosov.

- $\lambda(\phi) := \lambda(\Phi) > 1$ ; dilatation of  $\phi$
- $\log \lambda(\phi)$ ; entropy of  $\phi$
- $|\chi(\Sigma)|\lambda(\phi)$ ; normalized dilatation
- $|\chi(\Sigma)|\log(\lambda(\phi))$ ; normalized entropy



## Minimal dilatation (1)

Fix a surface  $\Sigma_{g,n}$ .

$$\text{Spec}(\Sigma_{g,n}) := \{\lambda(\phi) \mid \text{pseudo-Anosov } \phi \in \text{Mod}(\Sigma_{g,n})\}.$$

★ There exists a minimum of  $\text{Spec}(\Sigma_{g,n})$  (Ivanov)

$$\delta_{g,n} := \min \text{Spec}(\Sigma_{g,n})$$

$$\delta_g := \delta_{g,0}.$$



## Minimal dilatation (2)

**Question 1.** *What is the value  $\delta_g$  for  $g \geq 3$ ?*

★  $\log \delta_g \asymp 1/g$  (Penner, 1991)

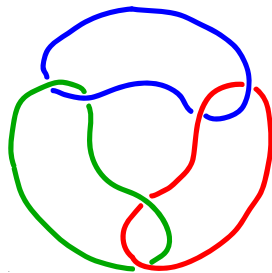
**Question 2** (McMullen, 2000).

- *Does  $\lim_{g \rightarrow \infty} g \log \delta_g$  exist?*  
 $\iff$  Does  $\lim_{g \rightarrow \infty} \underbrace{|\chi(\Sigma_{g,0})| \log \delta_g}_{\text{minimal normalized entropy of genus } g}$  exist?
- *What is its value?*



## Magic manifold $N$

- $N := S^3 \setminus (\text{3 chain link})$
- hyperbolic, fibered,  $\text{vol}(N) = 5.33348\dots$ , the smallest known volume among orientable hyperbolic 3-manifolds with 3 cusps.



We study pseudo-Anosovs which occur as the monodromies on fibers for Dehn fillings of  $N$ .



## Small dilatation pseudo-Anosovs (1)

For  $P > 1$ , define

$$\Psi_P := \{\text{pseudo-Anosov } \Phi : \Sigma \rightarrow \Sigma ; |\chi(\Sigma)| \log \lambda(\Phi) \leq \log P\}.$$

Elements of  $\Psi_P$  are called the **small dilatation pseudo-Anosovs**.

For  $P$  sufficiently large, (e.g,  $P \geq 2 + \sqrt{3}$ ),

$$\Psi_P \supset \{\Phi_g : \Sigma_{g,0} \rightarrow \Sigma_{g,0}\}_{g \geq 2}$$

( $\Psi_P$  is **an infinite set**)

**Theorem 1** (Farb-Leininger-Margalit). *For any  $P > 1$ , there exist finite many hyperbolic, fibered 3-mfds  $M_1, M_2, \dots, M_r$  such that any  $\Phi \in \Psi_P$  occurs as the monodromy of a Dehn filling of one of the  $M_k$ .*





## Small dilatation pseudo-Anosovs (2)

★ (K-Takasawa, 2009) For each  $n = 3, \dots, 8$  (resp.  $n \geq 9$ ), the pseudo-Anosov homeo. on an  $n$ -punctured disk with the smallest dilatation (resp. smallest known dilatation) occurs as the monodromy on a fiber for a Dehn filling of  $N$ .

(Independently, this is also established by Venzke.)



## Results

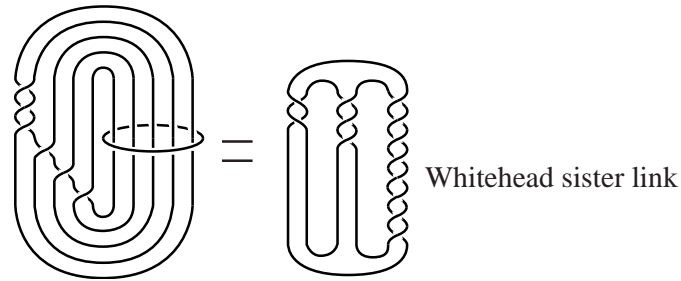
For  $r \in \mathbb{Q}$ , let  $N(r)$  be the Dehn filling of  $N$  along the slope  $r$ .

**Main Theorem. (K-Takasawa)** Let  $r \in \{-\frac{3}{2}, -\frac{1}{2}, 2\}$ . Consider the Dehn filling  $N(r)$ . For any  $g \geq 3$ , there exists a monodromy  $\Phi_g(r) : \Sigma_g \rightarrow \Sigma_g$  on a closed fiber of genus  $g$  for a Dehn filling of  $N(r)$  such that

$$\lim_{g \rightarrow \infty} g \log \lambda(\Phi_g(r)) = \log\left(\frac{3+\sqrt{5}}{2}\right) = \log(1 + \text{golden ratio}).$$

In particular

$$\limsup_{g \rightarrow \infty} g \log \delta_g \leq \log\left(\frac{3+\sqrt{5}}{2}\right).$$



- Case  $r = \frac{-1}{2}$ ; established by Hironaka
- Case  $r = \frac{-3}{2}$ ; established by Aaber-Dunfield

$N(\frac{-3}{2}) \simeq (-2, 3, 8)$ -pretzel link (= Whitehead sister link) exterior



An upper bound of  $\delta_g$  given by K-Takasawa and Hironaka

(K-Takasawa)

(Hironaka)

·

$$\delta_3 \leq 1.401268, N\left(\frac{-1}{2}, *, *\right)$$

·

$$\delta_4 \leq 1.261230, N\left(\frac{-1}{2}, *, *\right)$$

·

$$\delta_5 \leq 1.148794, N\left(\frac{-3}{2}, *, *\right)$$

·

$$\delta_6 \leq 1.128760, N\left(\frac{-3}{2}, *, *\right)$$

·

$$\delta_7 \leq 1.115481, N\left(\frac{-3}{2}, *, *\right)$$

·

$$\delta_8 \leq 1.104039, N\left(\frac{-4}{3}, \frac{-25}{17}, \frac{-5}{1}\right)$$

·

$$\delta_9 \leq 1.092824, N\left(\frac{-3}{2}, *, *\right)$$

·

$$\delta_{10} \leq 1.083766, N\left(\frac{-3}{2}, *, *\right)$$

·

$$\delta_{11} \leq 1.077045, N\left(\frac{-3}{2}, *, *\right)$$

·

$$\delta_{12} \leq 1.072664, N\left(\frac{-3}{2}, *, *\right)$$

·

$$\delta_{13} \leq 1.071692, N\left(\frac{-29}{27}, \frac{-5}{3}, \frac{-6}{1}\right)$$

·

$$\delta_{14} \leq 1.062987, N\left(\frac{-3}{2}, *, *\right)$$

·

$$\delta_{15} \leq 1.058335, N\left(\frac{-3}{2}, *, *\right)$$

·

$$\delta_{16} \leq 1.054998, N\left(\frac{-3}{2}, *, *\right)$$

·

$$\delta_{17} \leq 1.052214, N\left(\frac{-3}{2}, *, *\right)$$

·

$$\delta_{18} \leq 1.052540, N\left(\frac{-1}{2}, *, *\right)$$

·

$$\delta_{19} \leq 1.047084, N\left(\frac{-3}{2}, *, *\right)$$



## Pseudo-Anosovs with orientable foliations

$\delta_g^+ := \min\{\lambda(\phi) \mid \phi \in \text{Mod}(\Sigma_{g,0}) \text{ with orientable (un)stable foliation}\}.$

Clearly  $\delta_g \leq \delta_g^+.$

★  $\delta_2 = \delta_2^+ \approx 1.722083$  (Zhirov, Cho-Ham)



## Minimal dilatation $\delta_g^+$

**Theorem 2** (K-Takasawa, Aaber-Dunfield).

$$\delta_7^+ = \lambda_{(9,2)} \approx 1.11548,$$

where  $\lambda_{(k,\ell)}$  is a unique real root greater 1 of the polynomial

$$f_{(k,\ell)}(t) = t^{2k} - t^{k+\ell} - t^k - t^{k-\ell} + 1 \quad \text{for } k > 0, \quad -k < \ell < k$$

- $\delta_2^+ = \lambda_{(2,1)} \approx 1.72208$  (Zhirov, Cho-Ham)
- $\delta_3^+ = \lambda_{(3,1)} = \lambda_{(4,3)} \approx 1.40127$  (Lanneau-Thiffeault)
- $\delta_4^+ = \lambda_{(4,1)} \approx 1.28064$  (Lanneau-Thiffeault)
- $\delta_5^+ = \lambda_{(6,1)} = \lambda_{(7,4)} \approx 1.17628$  (Lanneau-Thiffeault)
- $\delta_8^+ = \lambda_{(8,1)} \approx 1.12876$  (Hironaka)

**Proposition 1** (K-Takasawa, Aaber-Dunfield ).

$$\delta_5 < \delta_5^+.$$



Question on minimal dilatation  $\delta_g^+$

**Question 3** (Lanneau-Thiffeault). *For  $g$  even, is  $\delta_g^+$  equal to  $\lambda_{(g,1)}$ ?*

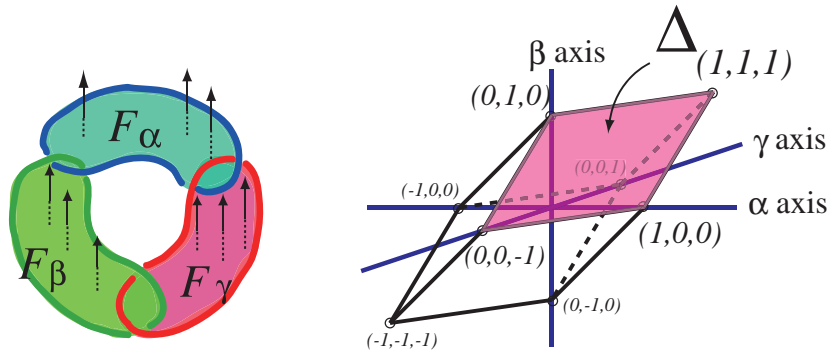
★  $\delta_2^+ = \lambda_{(2,1)}$  (Zhirov).

★  $\delta_4^+ = \lambda_{(4,1)}$  and  $\delta_6^+ \geq \lambda_{(6,1)}$  (Lanneau-Thiffeault).

**Proposition 2** (K-Takasawa). *If Question 3 is true, then  $\delta_g^+ < \delta_{g+1}^+$  for  $g \equiv 1, 5, 7, 9 \pmod{10}$  and  $g \geq 7$ . In particular  $\delta_7^+ < \delta_8^+$ .*

## Background, Idea of Main Theorem

- Thurston norm  $X_T : H_2(N, \partial N; \mathbb{R}) \rightarrow \mathbb{R}$ .



- McMullen's Teichmüller polynomial  $P_\Delta$  on a fiber face  $\Delta$ .
- Fact:  $\exists$  a unique ray on  $\text{int}(C_\Delta)$  which realizes

$$\min\{X_T(a) \cdot \log \lambda(a) \mid a \in \text{int}(C_\Delta)\}$$





What we need to show:

- find that minimal ray.
- find a nice sequence of fibers  $\{F_n\}$  so that the ray of homology classes  $\{[F_n]\}$  goes to the minimal ray as  $n$  goes to  $\infty$ .



## The magic “magic manifold”

★ There are pseudo-Anosov monodromies for Dehn fillings of  $N$  realizing

$$\begin{aligned} & \delta_2, \\ & \delta_n^+ \text{ for } n = 2, 3, 4, 5, 7, 8, \\ & \delta(D_n) \text{ for } n = 3, 4, \dots, 8. \end{aligned}$$

**Question 4.** *Let  $g \geq 3$  and  $n \geq 9$ .*

- (1) *Is there a pseudo-Anosov monodromy on a closed fiber  $\Sigma_g$  realizing  $\delta_g$  (resp.  $\delta_g^+$ ) for some Dehn filling of  $N$ ?*
- (2) *Is there a pseudo-Anosov monodromy on a fiber  $D_n$  realizing  $\delta(D_n)$  for some Dehn filling of  $N$ ?*