# Localization in the Ground State of Mean Field Models with Random Potentials 

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## Random Schrödinger operator

On the set of states $\ell^{2}(\{0,1, \ldots, L, L+1\})$, the discrete Schrödinger operator is defined as:

$$
H=-\Delta+V
$$

where $-\Delta$ is the discrete Laplacian defined by

$$
(-\Delta \phi)(x)=2 \phi(x)-\phi(x-1)-\phi(x+1)
$$

and $V$ is a multiplication operator $(V \phi)(x)=V(x) \phi(x)$. The operator becomes random when the set of potentials $V_{\omega}$ is given a probability measure.
The Ground State Energy, $E_{0}$ is the minimizer of the associated energy functional

$$
E_{0}=\min _{\{\|\phi\|=1\}} \sum_{x}\left|\phi^{\prime}(x)\right|^{2}+V(x)|\phi(x)|^{2}
$$

## Typical States in Bernoulli Disorder

In this case, an independent, identical Bernoulli distribution at each $x \in\{0,1, \ldots, L, L+1\}$.

$$
\begin{aligned}
& P[V(x)=0]=p \\
& P[V(x)=b]=q=1-p
\end{aligned}
$$



Figure: Densities of the lowest eigenstates of one-dimensional system with Bernoulli potential. These states localize to large intervals of zero potential. J. Stasińska, P. Massignan, Institut de Ciéncies Fotóniques (ICFO)

## Cold Atom Experiments

Bose Einstein condensates were experimentally realized by Cornell and Wieman at Colorado in 1995.


Figure: The velocity profile of rubidium atoms. ${ }^{1}$

[^0]
## Interacting Systems

A system of $N+1$ particles with bosonic symmetry is described by a state in

$$
\otimes_{s y m m}^{N+1} \ell^{2}(\{0, \ldots, L+1\})
$$

with Dirichlet boundary conditions. The Schrödinger operator with interactions is

$$
\sum_{i}-\Delta_{i}+V_{i}+\sum_{j \leq i} U\left(x_{i}, x_{j}\right)
$$

with $-\Delta_{i}+V_{i}$ acting on the $i$-th coordinate and $U\left(x_{i}, x_{j}\right)$ describing the interaction between the $i$-th and $j$-th particle, which will be 'soft core' interactions of the form

$$
U\left(x_{i}, x_{j}\right)=g \delta_{x_{i}, x_{j}}
$$

## Random Potentials and Interactions

If $g>0$, the interactions are repulsive.


Figure: Effects of Interaction. ${ }^{2}$

A possible method for distinguishing cases is through the size of the spatial support of the state.

[^1]
## Mean-field Approximation

Because the tensor space is large, it is common to approximate a state by Gross-Pitaevskii mean-field where each particle is in the same single particle state.

$$
\begin{equation*}
\Phi\left(x_{0}, \ldots, x_{N}\right)=\prod_{i} \phi\left(x_{i}\right) \tag{1}
\end{equation*}
$$

The operator restricted to mean-field states becomes the nonlinear Schrödinger operator

$$
\begin{equation*}
(N+1)\left(-\Delta_{i}+V_{i}\right)+\frac{g N(N+1)}{2}|\phi(x)|^{2} \tag{2}
\end{equation*}
$$

## Mean-field Ground State in Bernoulli Potential

The mean-field ground state energy in Bernoulli potential is the energy (per particle) minimizer

$$
\begin{equation*}
E_{0}=\min _{\{\|\phi\|=1\}} \sum_{x}\left|\phi^{\prime}(x)\right|^{2}+V(x)|\phi(x)|^{2}+\frac{g N}{2}|\phi(x)|^{4} \tag{3}
\end{equation*}
$$

with the ground state $\phi_{0}$ being the corresponding function.

How does the interaction term change the spatial support of the ground state?

## Interaction Energy

Given a multiparticle state where each particle is in the single particle state $\phi$ with energy per particle $E^{\prime}=E(\phi)$, for $\epsilon \in(0,1)$, define the set

$$
\begin{equation*}
X_{>\epsilon}(\phi)=\left\{x:|\phi(x)|>\frac{\epsilon}{L^{1 / 2}}\right\} \tag{4}
\end{equation*}
$$

The interaction energy is bounded below using

$$
\begin{equation*}
\sum_{x}|\phi|^{4} \geq \frac{\left(\left\|\left.\phi\right|_{X_{>\epsilon}(\phi)}\right\|^{2}\right)^{2}}{\#\left(X_{>\epsilon}(\phi)\right)} \tag{5}
\end{equation*}
$$

This lower bound can be achieved by a constant function. For the interaction energy to be less than $E^{\prime}$, it is necessary that:

## Interaction Energy

Since the interaction energy to be less than $E^{\prime}$,

$$
\begin{equation*}
\frac{g N \|\left.\phi\right|_{X_{>\epsilon} \|^{2}} ^{2}}{2 \mu\left(X_{>\epsilon}(\phi)\right)} \leq E^{\prime} \tag{7}
\end{equation*}
$$

Rearranged:

$$
\begin{equation*}
\mu\left(X_{>\epsilon}(\phi)\right) \geq \frac{g N\left(1-\epsilon^{2}\right)^{2}}{2 E^{\prime}} \tag{8}
\end{equation*}
$$

## Localization

For localization to hold in large system limits, $g N / E^{\prime}$ needs to be the same order as the localization length.

Physicists are interested in cases where the particle density is approximately constant, $N \approx \rho L^{d}$, and $g$ is a controlled variable. In this case, $\mu\left(X_{>\epsilon}(\phi)\right) \approx O\left(\frac{g \rho L^{d}}{E^{\prime}}\right)$.

## Ground State Bernoulli Case

What does the ground state look like in a Bernoulli potential on a one dimensional lattice in the large system limit for small $g$ ?

The energy functional is

$$
\begin{equation*}
E(\phi)=\sum_{x}\left|\phi^{\prime}(x)\right|^{2}+V(x)|\phi(x)|^{2}+\frac{g \rho L}{2}|\phi(x)|^{4} \tag{9}
\end{equation*}
$$

where $P[V=0]=p$ and $P[V=b]=q=1-p, L$ is the lattice length, and $\phi^{\prime}$ is the discrete derivative. The finite volume ground state $\phi_{0}$ minimizes this functional and $E_{0}$ is the associated energy.

## Ground State Bernoulli Case

What is the nature of the ground state for small $g \rho$ ?

Three factors:

1) Potential barriers should be sharp.
2) Kinetic energy favors long intervals of zero potential.
3) Interaction energy favors occupation of many sites.

## Ground State Bernoulli Case

The ground state is approximated by sine waves on intervals of zero longer than $\tilde{L}=\log _{p}(g \rho)+\log _{p}\left(\log _{p}(g \rho)\right)$. Its energy is bounded above by

$$
\frac{\pi^{2}}{\left(\log _{p}(g \rho)+\log _{p}\left(\log _{p}(g \rho)\right)\right)^{2}}+\frac{3 g \rho L}{4 \mu\left(X_{>0}\right)}
$$

## Ground State Bernoulli Case

The intervals of zero potential are distributed geometrically:

$$
P\left[L_{i}=x\right]=q p^{x-1}
$$

The number of sites on intervals longer than $\tilde{L}$ is approximately

$$
(p q L) * g \rho \log _{p}(g \rho) *\left(\log _{p}(g \rho)+\log _{p}\left(\log _{p}(g \rho)\right)\right)
$$

The upper bound on the ground state energy is

$$
E_{0} \leq \frac{\pi^{2}}{\left(\log _{p}(g \rho)\right)^{2}}+\frac{3}{4 p q\left(\log _{p}(g \rho)\right)^{2}}
$$

## Ground State Bernoulli Case

Applying the inequality

$$
\begin{equation*}
\mu\left(X_{>\epsilon}\left(\phi_{0}\right)\right) \geq \frac{g N\left(1-\epsilon^{2}\right)^{2}}{2 E^{\prime}} \tag{10}
\end{equation*}
$$

Using this upper bound, the ground state must occupy

$$
\begin{equation*}
\mu\left(X_{>\epsilon}\left(\phi_{0}\right)\right) \geq O\left(g \rho L\left(\log _{p}(g \rho)^{2}\right)\right) \tag{11}
\end{equation*}
$$

## Ground State Bernoulli Case

The steps for showing lower bound for the ground state energy ${ }^{3}$ :

- The ground state $\phi_{0}$ exists but is unknown. It has the same complex phase at each site:

$$
\begin{equation*}
E(\phi)=\sum_{x}\left|\phi^{\prime}(x)\right|^{2}+V(x)|\phi(x)|^{2}+\frac{g \rho L}{2}|\phi(x)|^{4} \tag{12}
\end{equation*}
$$

- The space is split up into four sets: $M_{b}$, sites of high potential; $M_{\text {long }}$, sites on intervals longer than $\log _{p}(g \rho)$; as well as $M_{\text {light }}$ and $M_{\text {heavy }}$.

[^2]
## Ground State Bernoulli Case

- $M_{\text {light }}$ is the set of intervals where $\phi_{0}$ is small relative to its boundary conditions. $M_{\text {heavy }}$ is the set of intervals where $\phi_{0}$ is large relative to its boundary conditions, $m_{i} \delta^{L}$ and $m_{i} \delta^{R}$. They are determined by a parameter $\gamma \in(0,1)$.

An interval is heavy if

$$
\max \left(\delta^{L}, \delta^{R}\right) \leq \frac{\gamma}{\sqrt{L_{i}}}
$$

- The norm of $\phi_{0}$ restricted to $M_{\text {heavy }}$ goes to one as $g \rho \rightarrow 0$.
- The kinetic energy and interaction energy for a function in a heavy interval on an interval length $L_{i}$ with norm $m_{i}$ is bounded below by

$$
\begin{equation*}
(1-\gamma)^{2} \frac{m_{i}^{2} \pi^{2}}{L_{i}^{2}}+\frac{g \rho L m_{i}^{4}}{2 L_{i}} \tag{13}
\end{equation*}
$$

## Ground State Bernoulli Case

Optimizing this quantity over $\sum m_{i}^{2}=o(1)$, the kinetic energy must be less than the Lagrange parameter $\lambda$ :

$$
m_{i}^{2}=\frac{L_{i}}{g \rho L}\left(\lambda-(1-\gamma)^{2} \frac{\pi^{2}}{L_{i}^{2}}\right)
$$

or

$$
m_{i}^{2}=0
$$

which means that the interval is not in $M_{\text {heavy }}$. With the normalization condition, this $\lambda$ determines a minimal interval length for intervals in $M_{\text {heavy }}$. This minimal interval length is approximately $\tilde{L}$.

## Ground State Bernoulli Case

The ground state energy is then bounded below by the minimal interaction energy of the ground state restricted to $M_{\text {heavy }}$. At most, there are approximately

$$
(p q L) * g \rho \log _{p}(g \rho) *\left(\log _{p}(g \rho)+\log _{p}\left(\log _{p}(g \rho)\right)\right)
$$

sites in $M_{\text {heavy }}$, which gives a lower bound of

$$
\begin{equation*}
E_{0} \geq O\left(\frac{1}{\left(\log _{p}(g \rho)\right)^{2}}\right) \tag{14}
\end{equation*}
$$

## Ground State Bernoulli Case

With probability one,

$$
\begin{align*}
& \limsup _{g \rho \rightarrow 0} \lim _{L \rightarrow \infty} \frac{E_{0}}{\frac{C_{+}}{\log _{\rho}^{2}\left(g_{\rho}\right)}}=1  \tag{15}\\
& \liminf _{g \rho \rightarrow 0} \lim _{L \rightarrow \infty} \frac{E_{0}}{\frac{C_{-}}{\log _{\rho}^{2}(g \rho)}}=1
\end{align*}
$$

## Conclusions

- Interaction changes the nature of the mean-field ground state and should not be treated as a perturbation in the large system limit.
- Localization is determined by

$$
\begin{equation*}
\mu\left(X_{>\epsilon}(\phi)\right) \geq \frac{g N\left(1-\epsilon^{2}\right)^{2}}{2 E^{\prime}} \tag{17}
\end{equation*}
$$

- As seen in the Bernoulli case, random potentials are not a perturbation either. They fragment the space and in turn, the ground state.


## Future Work

- Where are the phase transitions in terms of potential height $b$ and interaction strength $g$ ? (Thomas-Fermi approximation)
- Is there a similar 'delocalization' criteria for the full tensor space?
- Is the mean field an appropriate approximation? What information does it provide on the true ground state?


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[^0]:    ${ }^{1}$ http://www.bec.nist.gov/gallery.html

[^1]:    ${ }^{2}$ B. Deissler, E. Lucioni, M. Modugno, G. Roati, L. Tanzi, M. Zaccanti, M. Inguscio, G. Modugno. Correlation function of weakly interacting bosons in a disordered lattice. New Journal of Physics, 13:023020, 2011.

[^2]:    ${ }^{3} \mathrm{MB}$, J. Wehr. Ground state energy of the one-dimensional discrete random Schrödinger operator in Bernoulli potential. Journal of Statistical Physics, 147:529-541, 2012

