Localization in the Ground State of Mean Field Models with Random Potentials

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Random Schrödinger operator

On the set of states $\ell^2(\{0, 1, ..., L, L+1\})$, the **discrete Schrödinger** operator is defined as:

$$H = -\Delta + V$$

where $-\Delta$ is the discrete Laplacian defined by

$$(-\Delta\phi)(x) = 2\phi(x) - \phi(x-1) - \phi(x+1)$$

and *V* is a multiplication operator $(V\phi)(x) = V(x)\phi(x)$. The operator becomes random when the set of potentials V_{ω} is given a probability measure.

The **Ground State Energy**, E_0 is the minimizer of the associated energy functional

$$E_0 = \min_{\{\|\phi\|=1\}} \sum_{x} |\phi'(x)|^2 + V(x) |\phi(x)|^2$$

Typical States in Bernoulli Disorder

In this case, an independent, identical Bernoulli distribution at each $x \in \{0, 1, \dots, L, L+1\}$.

$$P[V(x) = 0] = p$$

 $P[V(x) = b] = q = 1 - p$



Figure: Densities of the lowest eigenstates of one-dimensional system with Bernoulli potential. These states localize to large intervals of zero potential. -J. Stasińska, P. Massignan, Institut de Ciéncies Fotóniques (ICFO)

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Cold Atom Experiments

Bose Einstein condensates were experimentally realized by Cornell and Wieman at Colorado in 1995.



Figure: The velocity profile of rubidium atoms.¹

http://www.bec.nist.gov/gallery.html

Interacting Systems

A system of N + 1 particles with bosonic symmetry is described by a state in

$$\otimes_{symm}^{N+1}\ell^2(\{0,\ldots,L+1\})$$

with Dirichlet boundary conditions. The Schrödinger operator with interactions is

$$\sum_{i} -\Delta_{i} + V_{i} + \sum_{j \leq i} U(x_{i}, x_{j})$$

with $-\Delta_i + V_i$ acting on the *i*-th coordinate and $U(x_i, x_j)$ describing the interaction between the *i*-th and *j*-th particle, which will be 'soft core' interactions of the form

$$U(x_i, x_j) = g \delta_{x_i, x_j}$$

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Random Potentials and Interactions

If g > 0, the interactions are repulsive.



Figure: Effects of Interaction.²

A possible method for distinguishing cases is through the size of the spatial support of the state.

Mean-field Approximation

Because the tensor space is large, it is common to approximate a state by Gross-Pitaevskii mean-field where each particle is in the same single particle state.

$$\Phi(\mathbf{x}_0,\ldots,\mathbf{x}_N) = \prod_i \phi(\mathbf{x}_i) \tag{1}$$

The operator restricted to mean-field states becomes the nonlinear Schrödinger operator

$$(N+1)(-\Delta_i + V_i) + \frac{gN(N+1)}{2}|\phi(x)|^2$$
 (2)

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Mean-field Ground State in Bernoulli Potential

The mean-field ground state energy in Bernoulli potential is the energy (per particle) minimizer

$$E_{0} = \min_{\{\|\phi\|=1\}} \sum_{x} |\phi'(x)|^{2} + V(x)|\phi(x)|^{2} + \frac{gN}{2}|\phi(x)|^{4}$$
(3)

with the ground state ϕ_0 being the corresponding function.

How does the interaction term change the spatial support of the ground state?

Interaction Energy

Given a multiparticle state where each particle is in the single particle state ϕ with energy per particle $E' = E(\phi)$, for $\epsilon \in (0, 1)$, define the set

$$X_{>\epsilon}(\phi) = \{x : |\phi(x)| > \frac{\epsilon}{L^{1/2}}\}$$
(4)

The interaction energy is bounded below using

$$\sum_{\mathbf{x}} |\phi|^4 \ge \frac{\left(\|\phi\|_{X_{>\epsilon}(\phi)}\|^2 \right)^2}{\#(X_{>\epsilon}(\phi))} \tag{5}$$

This lower bound can be achieved by a constant function. For the interaction energy to be less than E', it is necessary that:

$$\frac{gN\|\phi|_{X_{>\epsilon}}\|^4}{2\#(X_{>\epsilon}(\phi))} \le E'$$
(6)

Interaction Energy

Since the interaction energy to be less than E',

$$\frac{gN\|\phi|_{X_{>\epsilon}}\|^2}{2\mu(X_{>\epsilon}(\phi))} \le E' \tag{7}$$

Rearranged:

$$\mu(X_{>\epsilon}(\phi)) \geq rac{gN(1-\epsilon^2)^2}{2E'}$$

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(8)

Localization

For localization to hold in large system limits, gN/E' needs to be the same order as the localization length.

Physicists are interested in cases where the particle density is approximately constant, $N \approx \rho L^d$, and g is a controlled variable. In this case, $\mu(X_{>\epsilon}(\phi)) \approx O(\frac{g\rho L^d}{E'})$.

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What does the ground state look like in a Bernoulli potential on a one dimensional lattice in the large system limit for small *g*?

The energy functional is

$$E(\phi) = \sum_{x} |\phi'(x)|^2 + V(x)|\phi(x)|^2 + \frac{g\rho L}{2} |\phi(x)|^4$$
(9)

where P[V = 0] = p and P[V = b] = q = 1 - p, *L* is the lattice length, and ϕ' is the discrete derivative. The finite volume ground state ϕ_0 minimizes this functional and E_0 is the associated energy.

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What is the nature of the ground state for small $g\rho$?

Three factors:

- 1) Potential barriers should be sharp.
- 2) Kinetic energy favors long intervals of zero potential.
- 3) Interaction energy favors occupation of many sites.

M. Bishop	(UCDavis)
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The ground state is approximated by sine waves on intervals of zero longer than $\tilde{L} = \log_p(g\rho) + \log_p(\log_p(g\rho))$. Its energy is bounded above by

$$\frac{\pi^2}{\left(\log_{\rho}(g_{\rho}) + \log_{\rho}\left(\log_{\rho}(g_{\rho})\right)\right)^2} + \frac{3g_{\rho}L}{4\mu(X_{>0})}$$

The intervals of zero potential are distributed geometrically:

$$P[L_i = x] = qp^{x-1}$$

The number of sites on intervals longer than \tilde{L} is approximately

$$(pqL) * g\rho \log_{\rho}(g\rho) * \left(\log_{\rho}(g\rho) + \log_{\rho}\left(\log_{\rho}(g\rho)\right)\right)$$

The upper bound on the ground state energy is

$${ extsf{E}_0} \le rac{{{\pi ^2}}}{{{\left({{\log _
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Applying the inequality

$$\mu(X_{>\epsilon}(\phi_0)) \ge \frac{gN(1-\epsilon^2)^2}{2E'} \tag{10}$$

Using this upper bound, the ground state must occupy

$$\mu(X_{>\epsilon}(\phi_0)) \ge O\left(g\rho L\left(\log_{\rho}(g\rho)^2\right)\right)$$
(11)

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The steps for showing lower bound for the ground state energy ³:

 The ground state φ₀ exists but is unknown. It has the same complex phase at each site:

$$E(\phi) = \sum_{x} |\phi'(x)|^2 + V(x)|\phi(x)|^2 + \frac{g\rho L}{2}|\phi(x)|^4$$
(12)

• The space is split up into four sets: M_b , sites of high potential; M_{long} , sites on intervals longer than $\log_{\rho}(g\rho)$; as well as M_{light} and M_{heavy} .

³MB, J. Wehr. Ground state energy of the one-dimensional discrete random Schrödinger operator in Bernoulli potential. *Journal of Statistical Physics*, 147:529-541, 2012

*M*_{light} is the set of intervals where φ₀ is small relative to its boundary conditions. *M*_{heavy} is the set of intervals where φ₀ is large relative to its boundary conditions, *m*_iδ^L and *m*_iδ^R. They are determined by a parameter γ ∈ (0, 1).

An interval is heavy if

$$\max(\delta^L, \delta^R) \leq \frac{\gamma}{\sqrt{L_i}}$$

- The norm of ϕ_0 restricted to M_{heavy} goes to one as g
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 ightarrow 0.
- The kinetic energy and interaction energy for a function in a heavy interval on an interval length L_i with norm m_i is bounded below by

$$(1-\gamma)^2 \frac{m_i^2 \pi^2}{L_i^2} + \frac{g\rho L m_i^4}{2L_i}$$
(13)

Optimizing this quantity over $\sum m_i^2 = o(1)$, the kinetic energy must be less than the Lagrange parameter λ :

$$m_i^2 = rac{L_i}{g
ho L} \left(\lambda - (1-\gamma)^2 \, rac{\pi^2}{L_i^2}
ight)$$

or

$$m_i^2 = 0$$

which means that the interval is not in M_{heavy} . With the normalization condition, this λ determines a minimal interval length for intervals in M_{heavy} . This minimal interval length is approximately \tilde{L} .

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The ground state energy is then bounded below by the minimal interaction energy of the ground state restricted to M_{heavy} . At most, there are approximately

$$(pqL) * g_{
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sites in M_{heavy} , which gives a lower bound of

$$E_0 \ge O\left(\frac{1}{(\log_p(g\rho))^2}\right) \tag{14}$$

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With probability one,

$$\limsup_{g_{\rho\to 0}} \lim_{L\to\infty} \frac{E_0}{\frac{C_+}{\log_{\rho}^2(g_{\rho})}} = 1$$
(15)
$$\liminf_{g_{\rho\to 0}} \lim_{L\to\infty} \frac{E_0}{\frac{C_-}{\log_{\rho}^2(g_{\rho})}} = 1$$
(16)

Image: A matrix

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Conclusions

- Interaction changes the nature of the mean-field ground state and should not be treated as a perturbation in the large system limit.
- Localization is determined by

$$\mu(X_{>\epsilon}(\phi)) \ge \frac{gN(1-\epsilon^2)^2}{2E'} \tag{17}$$

 As seen in the Bernoulli case, random potentials are not a perturbation either. They fragment the space and in turn, the ground state.

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Future Work

• Where are the phase transitions in terms of potential height *b* and interaction strength *g*? (Thomas-Fermi approximation)

- Is there a similar 'delocalization' criteria for the full tensor space?
- Is the mean field an appropriate approximation? What information does it provide on the true ground state?

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