

Models of Quantum Machines

Robert Alicki

Instytut Fizyki Teoretycznej i Astrofizyki
Uniwersytet Gdański, Poland
e-mail: fizra@univ.gda.pl

Why quantum thermodynamic?

- Applicability of the laws of thermodynamics and related bounds (e.g. Carnot) in the quantum domain.
- New designs of microscopic engines and refrigerators.
- Thermodynamical bounds on (quantum) information processing.
- Technological and biological applications.

A general scheme of QUANTUM MACHINE

- S - a microscopic "small" system - "working fluid"
- $\{B_j; j = 1, \dots, M\}$ - quantum heat bath at temperatures T_j (e.g. bosonic free field, ideal Fermi/Bose gas)
- $\{f_\alpha(t); \alpha = 1, \dots, K\}$ - external control (classical, deterministic) parameters
- Total Hamiltonian

$$H_{tot} = \left(H_S + \sum_{\alpha} f_{\alpha}(t) h_{\alpha} \right) + \sum_j H_{B_j} + \sum_j H_{SB_j}$$

Slowly varying external control

Spohn, Lebowitz (1978), Davies, Spohn (1978), RA (1979) (weak coupling + adiabatic limits)

Reduced dynamics of S is approximated by the Markovian Master equation:

$$\frac{d}{dt}\rho(t) = -i[H(t), \rho(t)] + \sum_j \mathcal{L}_j(t)\rho(t), \quad (1)$$

where $\mathcal{L}_j(t)$ is a Lindblad-Gorini-Kossakowski-Sudarshan generator

Zero-th Law of Thermodynamics

$$\mathcal{L}_j(t)\rho_j^{eq}(t) = 0, \quad \rho_j^{eq}(t) = \frac{e^{-\beta_j H(t)}}{\text{Tr}e^{-\beta_j H(t)}}. \quad (2)$$

$H(t)$ - total, physical Hamiltonian of S , $\beta_j = 1/kT_j$

First Law of Thermodynamics

W -work performed on S , Q - heat absorbed by S , E - internal energy of S

$$E(t) = \text{Tr}(\rho(t)H(t)) \quad (3)$$

$$\frac{d}{dt}W(t) = \text{Tr}\left(\rho(t)\frac{dH(t)}{dt}\right), \quad (4)$$

$$\frac{d}{dt}Q(t) = \text{Tr}\left(\frac{d\rho(t)}{dt}H(t)\right) = \sum_j \text{Tr}(H(t)\mathcal{L}_j(t)\rho(t)) \equiv \sum_j \frac{d}{dt}Q_j(t). \quad (5)$$

Q_j - heat absorbed by S from B_j .

Second Law of Thermodynamics

Entropy - $S(t) = -k\text{Tr}(\rho(t) \ln \rho(t))$

$$\frac{d}{dt}S(t) - \sum_j \frac{1}{T_j} \frac{d}{dt}Q_j(t) = \sum_j \sigma_j(t) \geq 0 \quad (6)$$

$\sigma_j(t)$ - entropy production caused by B_j

$$\sigma_j(t) = k\text{Tr}(\mathcal{L}_j(t)\rho(t)[\ln \rho(t) - \ln \rho_j^{eq}(t)]) \geq 0 \quad (7)$$

Exercise

Show (7) using Lindblad H-theorem for $S(\rho|\rho') = \text{Tr}(\rho \ln \rho - \rho \ln \rho')$ and CP-dynamical map Λ . Use $\Lambda = \exp\{s\mathcal{L}_j(t)\}$, $\rho' = \rho_j^{eq}(t)$

$$S(\Lambda\rho|\Lambda\rho') \leq S(\rho|\rho') \quad (8)$$

Periodic control

- Weak coupling limit can be combined with Floquet theory to produce Markovian master equations with periodic in time generators (RA, Lidar, Zanardi, (2006))
- With a proper definition of heat currents, II-law is satisfied.
- At the steady state I-law allows to compute stationary power and prove the Carnot bound even for a general non-equilibrium stationary environment.
- New types of microscopic engines and refrigerators can be designed.

See recent and future papers by RA, Gelbwasser, Kolar, Kosloff, Kurizki, Szczygielski.

A model of quantum machine

A qubit with periodic modulation

$$H(t) = \frac{1}{2}\omega(t)\sigma^3, \quad \omega(t + \tau) = \omega(t), \quad \frac{1}{\tau} \int_0^\tau \omega(s)ds = \omega_0 \geq 0 \quad (9)$$

weakly coupled to hot and cold baths at T^h, T^c

$$H_{int} = \sigma^1 \otimes (B^h + B^c). \quad (10)$$

The Markovian master equation (notice time-independence of $\mathcal{L}^{c(h)}$)

$$\frac{d\rho(t)}{dt} = -i\frac{1}{2}\omega(t)[\sigma^3, \rho(t)] + \mathcal{L}^c\rho(t) + \mathcal{L}^h\rho(t). \quad (11)$$

Sketch of derivation

Unitary propagator (interaction picture) for the total system (μ -small constant)

$$U_\mu(t, 0) = \mathcal{T} \exp \left\{ \frac{-i\mu}{\hbar} \int_0^t \sigma^1(s) \otimes R(s) ds \right\} \quad (12)$$

$$\sigma^1(t) = \sum_{q \in \mathbf{Z}} (\xi(q) e^{-i(\omega_0 + q\Omega)t} \sigma^- + h.c.) \quad (13)$$

$$\xi(q) = \frac{1}{\tau} \int_0^\tau e^{i \int_0^t (\omega(s) - \omega_0) ds} e^{-iq\Omega t} dt, \quad (14)$$

$$R(t) = B^h(t) + B^c(t), \quad B^a(t) = e^{iH_{B_a}t} B^a e^{-iH_{B_a}t} \quad (15)$$

$\{\omega_0 + q\Omega; q \in \mathbf{Z}\}$ - "Bohr's quasi-frequencies"

Reduced dynamics (interaction picture)

$$\rho(t) = \Lambda(t, 0)\rho \equiv \text{Tr}_R(U_\mu(t, 0)\rho \otimes \rho_R U_\mu(t, 0)^\dagger) \quad (16)$$

Cumulant expansion

$$\Lambda(t, 0) = \exp \sum_{n=1}^{\infty} [\mu^n K^{(n)}(t)], \quad (17)$$

Born approximation, WCL, Gaussian approximation:

$$\Lambda(t, 0) = \exp[\mu^2 K(t) + O(\lambda^3)]. \quad (18)$$

$$K(t)\rho = \frac{1}{2} \int_0^t ds \int_0^t du \text{Tr}(\rho_R R(s)R) \sigma^1(s)\rho\sigma^1(u) + \dots \quad (19)$$

Markov approximation (in the interaction picture) – $K(t) \simeq t\mathcal{L}$

Generator's structure

For $a = c$, or $a = h$, $\Omega = 2\pi/\tau$ we have

$$\mathcal{L}^a \rho = \sum_{q \in \mathbf{Z}} \mathcal{L}_q^a \rho \quad (20)$$

$$\begin{aligned} \mathcal{L}_q^a \rho &= \frac{P(q)}{2} \left(G^a(\omega_0 + q\Omega) ([\sigma^- \rho, \sigma^+] + [\sigma^-, \rho \sigma^+]) \right. \\ &\quad \left. + G^a(-\omega_0 - q\Omega) ([\sigma^+ \rho, \sigma^-] + [\sigma^+, \rho \sigma^-]) \right) \end{aligned} \quad (21)$$

$$G^a(\omega) = \int_{-\infty}^{+\infty} e^{i\omega t} \langle B^a(t) B^a \rangle_{T_a} dt = e^{\omega/k_B T_a} G^a(-\omega), \quad (22)$$

$$P(q) = |\xi(q)|^2, \quad \xi(q) = \frac{1}{\tau} \int_0^\tau e^{i \int_0^t (\omega(s) - \omega_0) ds} e^{-iq\Omega t} dt. \quad (23)$$

"Local", cold and hot heat currents

$$\mathcal{J}^a_q(t) = \frac{1}{2}(\omega_0 + q\Omega)\text{Tr}(\sigma^3 \mathcal{L}_q^a \rho(t)) , \quad \mathcal{J}^a(t) = \sum_{q \in \mathbf{Z}} \mathcal{J}^a_q(t). \quad (24)$$

The second law of thermodynamics

$$\frac{d}{dt}S(t) \geq \frac{\mathcal{J}^c(t)}{T_c} + \frac{\mathcal{J}^h(t)}{T_h} , \quad S(t) = -k_B \text{Tr}(\rho(t) \ln \rho(t)). \quad (25)$$

Heat currents at the stationary state $\tilde{\rho}$

$$\tilde{\mathcal{J}}^a = \frac{1}{2} \sum_{q \in \mathbf{Z}} (\omega_0 + q\Omega) \text{Tr}(\sigma^3 \mathcal{L}_q^a \tilde{\rho}) \quad (26)$$

The II-law

$$\frac{\tilde{\mathcal{J}}^c}{T_c} + \frac{\tilde{\mathcal{J}}^h}{T_h} \leq 0. \quad (27)$$

The I-law and the stationary power

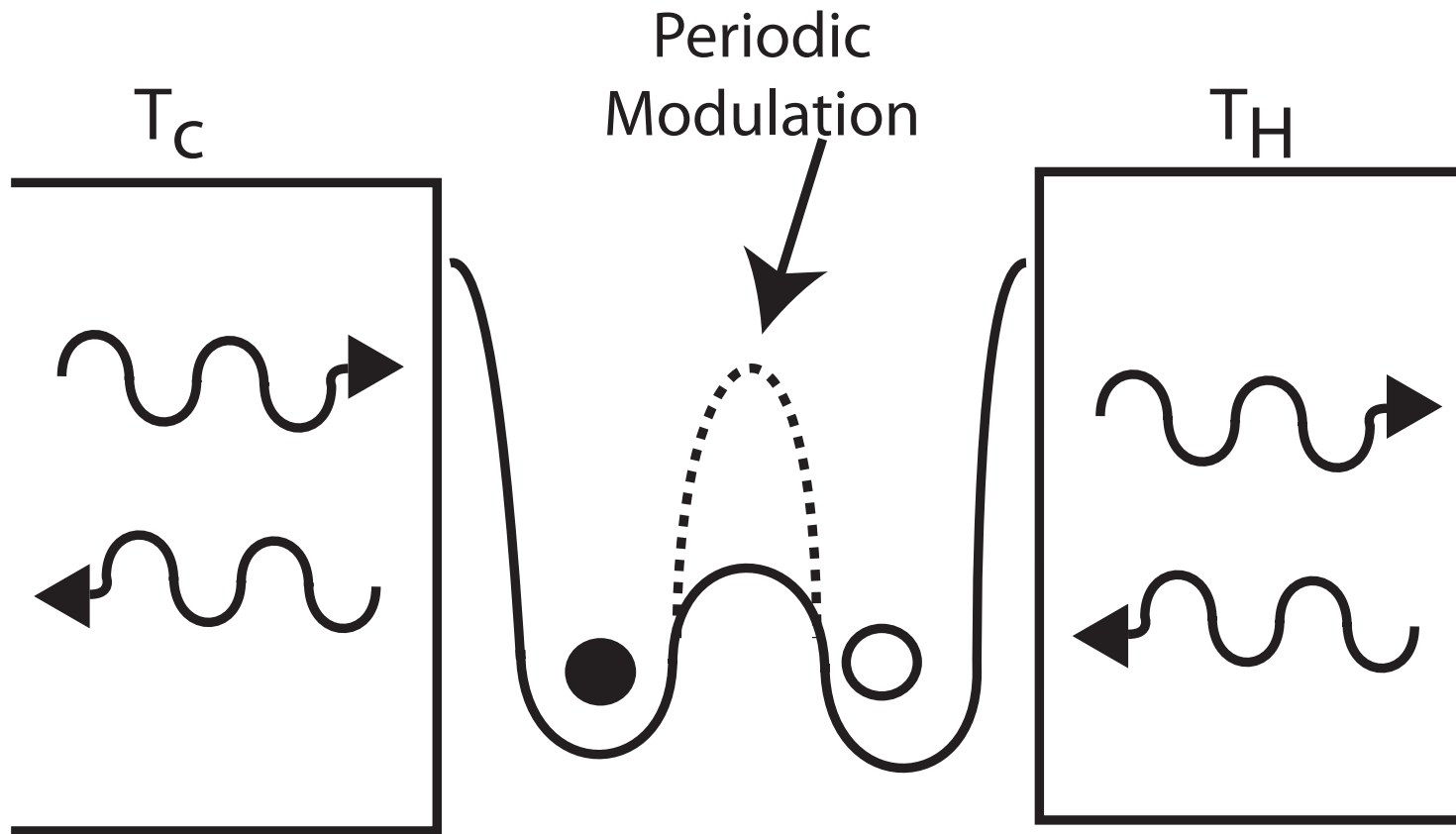
$$\tilde{\mathcal{P}} = -\tilde{\mathcal{J}}^c - \tilde{\mathcal{J}}^h. \quad (28)$$

Carnot bounds on the engine efficiency η and the coefficient of performance (COP) for the refrigerator

$$\eta = \frac{-\tilde{\mathcal{P}}}{\tilde{\mathcal{J}}^h} \leq 1 - \frac{T_c}{T_h}, \quad COP = \frac{\tilde{\mathcal{J}}^c}{\tilde{\mathcal{P}}} \leq \frac{T_c}{T_h - T_c}. \quad (29)$$

An example of implementation

Charged particle in double-well potential with modulated barrier



Universal machine

Can work as engine or refrigerator and reaches Carnot bounds

Time-dependence of the external field

$$\omega(t) = \omega_0 + \lambda \sin(\Omega t) \quad (30)$$

$$0 \leq \lambda \ll \Omega \leq \omega_0. \quad (31)$$

$\lambda/\Omega \ll 1$ implies

$$P(0) \simeq 1 - \frac{1}{2} \left(\frac{\lambda}{\Omega}\right)^2, \quad P(\pm 1) \simeq \left(\frac{\lambda}{2\Omega}\right)^2 \quad (32)$$

are relevant.

Spectral separation condition

$$G^c(\omega) \simeq 0 \text{ for } \omega \geq \omega_0 \text{ and } G^h(\omega) \simeq 0 \text{ for } \omega \leq \omega_0, \quad (33)$$

The formulas for heat currents and power

$$\begin{aligned}
 \tilde{\mathcal{J}}^h &= N(\omega_0 + \Omega) \left(e^{-\left(\frac{\omega_0 + \Omega}{k_B T_h}\right)} - e^{-\left(\frac{\omega_0 - \Omega}{k_B T_c}\right)} \right) \\
 \tilde{\mathcal{J}}^c &= -N(\omega_0 - \Omega) \left(e^{-\left(\frac{\omega_0 + \Omega}{k_B T_h}\right)} - e^{-\left(\frac{\omega_0 - \Omega}{k_B T_c}\right)} \right) \\
 \tilde{\mathcal{P}} &= -2N\Omega \left(e^{-\left(\frac{\omega_0 + \Omega}{k_B T_h}\right)} - e^{-\left(\frac{\omega_0 - \Omega}{k_B T_c}\right)} \right)
 \end{aligned} \tag{34}$$

where

$$0 \leq N = \left(\frac{\lambda}{2\Omega} \right)^2 \frac{G^c(\omega_0 - \Omega) G^h(\omega_0 + \Omega)}{G^c(\omega_0 - \Omega) \left[1 + e^{-\left(\frac{\omega_0 - \Omega}{k_B T_c}\right)} \right] + G^h(\omega_0 + \Omega) \left[1 + e^{-\left(\frac{\omega_0 + \Omega}{k_B T_h}\right)} \right]} \tag{35}$$

A critical value of the modulation frequency

$$\Omega_{cr} = \omega_0 \frac{T_h - T_c}{T_h + T_c} \tag{36}$$

For $\Omega < \Omega_{cr}$ the machine works as an engine with

$$\eta = \frac{2\Omega}{\omega_0 + \Omega} \quad (37)$$

and for $\Omega > \Omega_{cr}$ as a refrigerator with

$$COP = \frac{\omega_0 + \Omega}{2\Omega} \quad (38)$$

At Ω_{cr} the engine/refrigerator reaches its maximal Carnot efficiency/COP, by the vanishing value of power/cold current.

Conclusions:

- The class of periodically driven and weakly coupled to environment quantum systems possess a complete and mathematically sound description in terms of Markovian master equations.
- For this class basic thermodynamical notions are consistently defined and the laws of thermodynamics are derived from first principles.
- **No quantum miracles happen.** The standard Carnot bounds hold.
- Various promising designs of microscopic quantum machines are obtained as byproducts.