

Minimum norm points on polytope boundaries

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Joint work with Yan Cui, Zhan Gao

Outline

- 1 Notation
- 2 Maximum margin classifiers
- 3 Enumerative Algorithms
- 4 Cutting Plane Approaches

Support functionals

Linear Programs with varying objectives

$$\langle x, y \rangle = x^T y = y^T x$$

For $K \subset \mathbb{R}^d$, $w \in \mathbb{R}^d$,

$$\sup(K; w) := \sup_{k \in K} \langle w, k \rangle \quad (\text{upper})$$

$$\begin{aligned} \inf(K; w) &:= \inf_{k \in K} \langle w, k \rangle \quad (\text{lower}) \\ &= -\sup(K; -w) \end{aligned}$$

Let **polyhedron** $K = \{Ax \leq b\}$

$$\begin{aligned} \sup(K; c) &= \max c^T x \\ &\quad Ax \leq b \end{aligned}$$

Support functionals

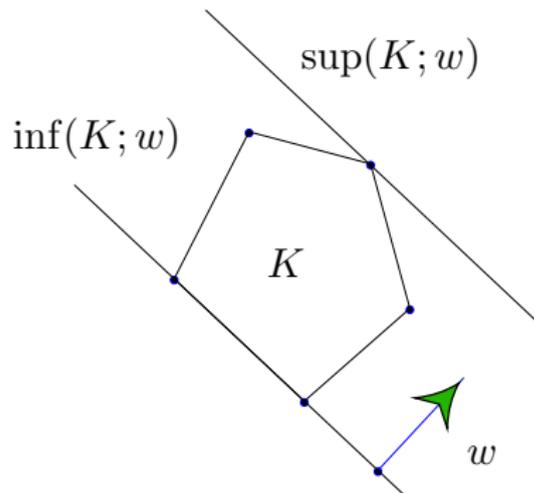
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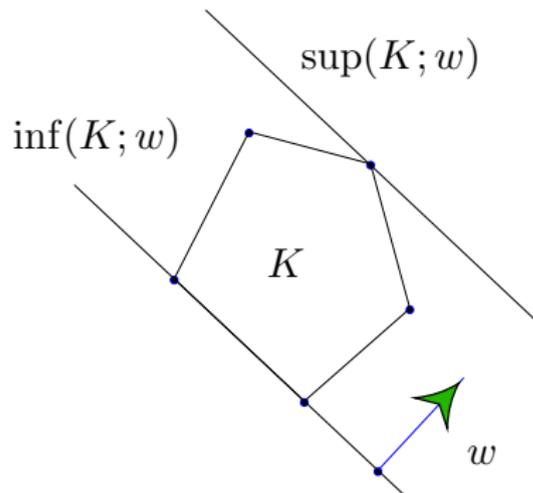
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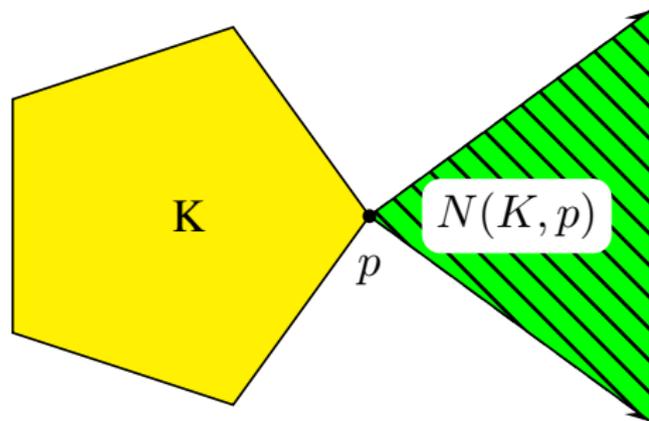
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Outer Normal Cone

Geometric LP Duality

For $K \subset \mathbb{R}^d$, $p \in K$, the *outer normal cone*

$$N(K; p) := \{y \mid \langle y, p \rangle = \sup(K; y)\}$$



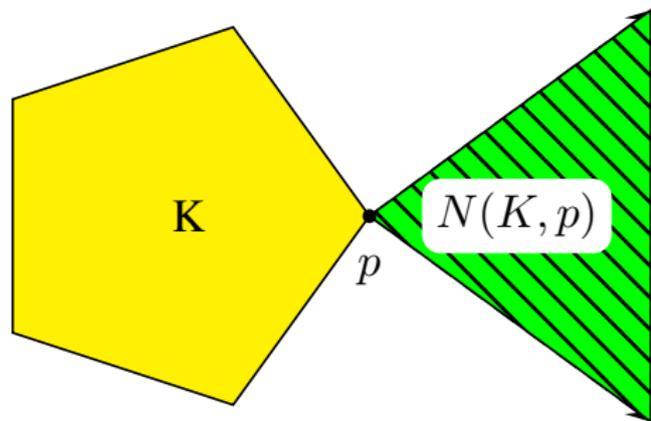
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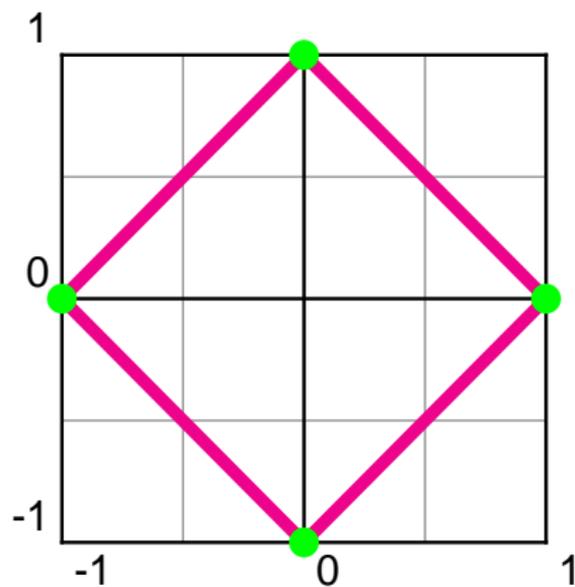
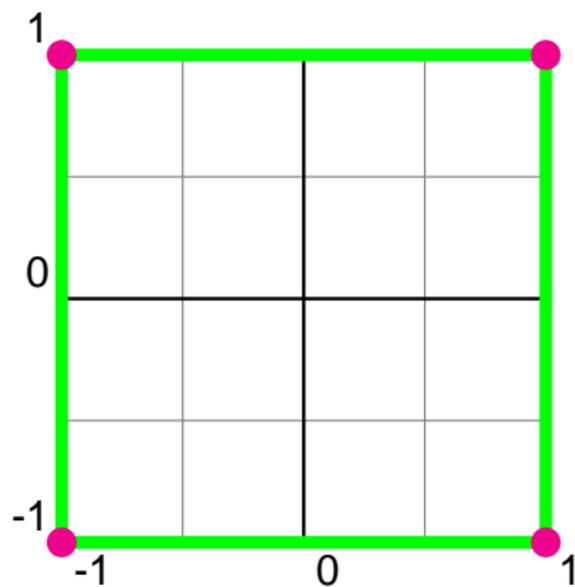
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Polarity



$$P^* = \text{conv} \{ y \mid \forall x \in P, \langle y, x \rangle \leq 1 \}$$

Minkowski Norms

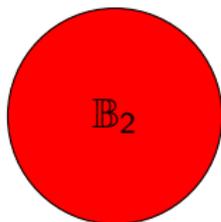
$$\|x\|_{\mathbb{B}} = \inf \{ \gamma \geq 0 \mid x \in \gamma \mathbb{B} \} \quad (1)$$

$$= \sup(\mathbb{B}^*; x) \quad (2)$$

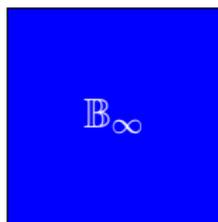
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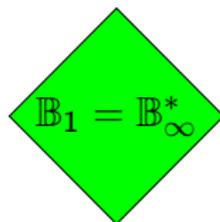
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$$\| \cdot \|_2 = \sqrt{x_1^2 + x_2^2}$$



$$\| \cdot \|_{\infty} = \max(|x_1|, |x_2|)$$

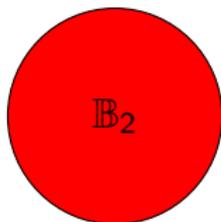


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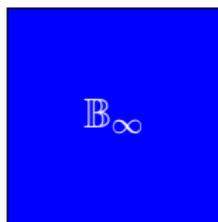
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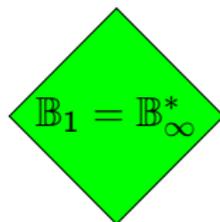
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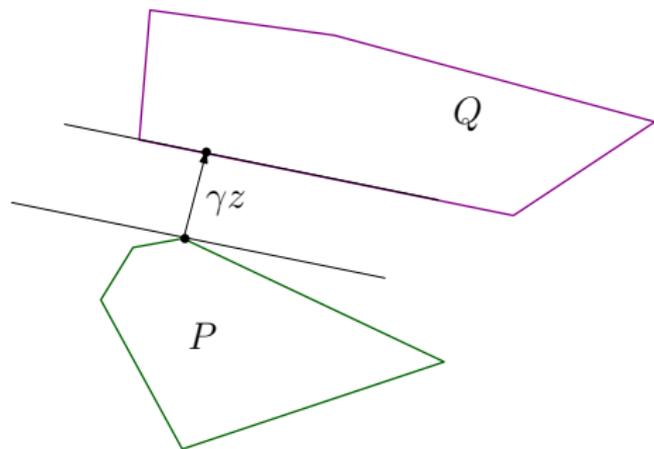


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Margin

Separable

$P, Q \subset \mathbb{R}^d$ are *separable* if $\exists z \neq \vec{0}$ such that $\sup(Q; z) \leq \inf(P; z)$.



Margin

$$\max \gamma \quad \text{such that}$$

$$\exists z \in \mathbb{S}^*$$

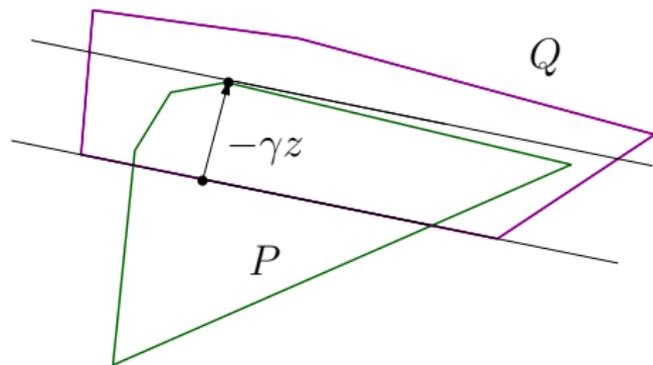
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- Both that constraint and $z \neq \vec{0}$ are non-convex

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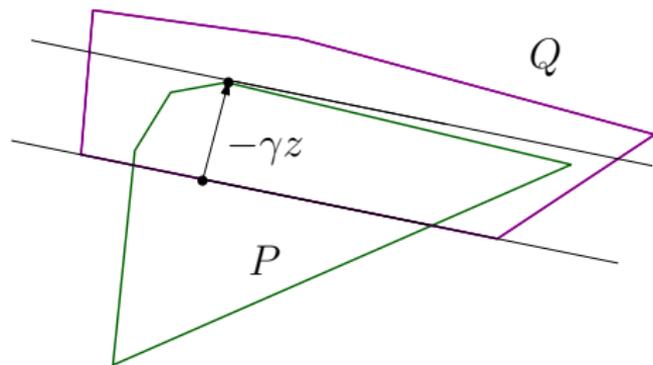
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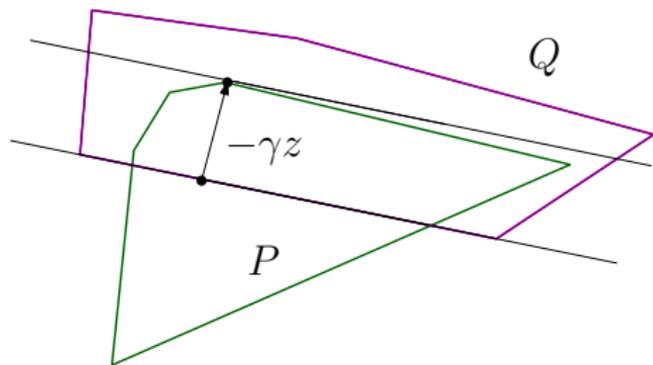
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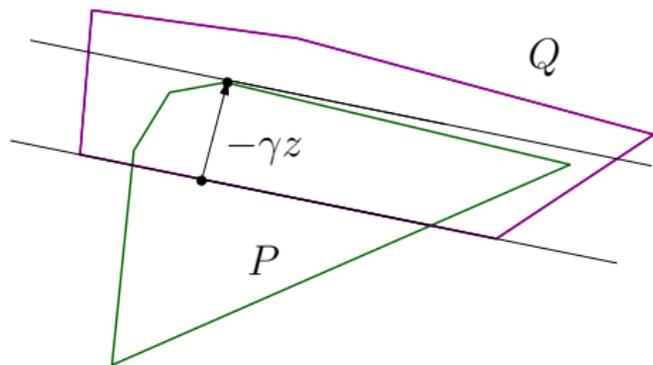
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A simplified convex approximation

$$\begin{aligned} \min \quad & \|w\|^2 + C\gamma \\ \text{s.t.} \quad & \langle w, p - q \rangle \geq 1 - \gamma \quad p \in P, q \in Q \\ & \gamma \geq 0 \end{aligned}$$

- Need C suff. large to force $\gamma < 1$ at opt.
- Given optimal $(w, \gamma < 1)$, $\rho > 1$, define

$$w' = \rho w$$

$$\gamma' = 1 - \rho(1 - \gamma)$$

- If $\gamma < 1$

$$\gamma - \gamma' = (\rho - 1)(1 - \gamma) > 0$$

- Therefore

$$\|w'\| - C\gamma' \leq \|w\| + C\gamma$$

- For large enough C , we can scale w up without making the objective worse.

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Translate until separable

Minimize Translation

Let P and Q convex bodies.

$$\inf \|t\|$$

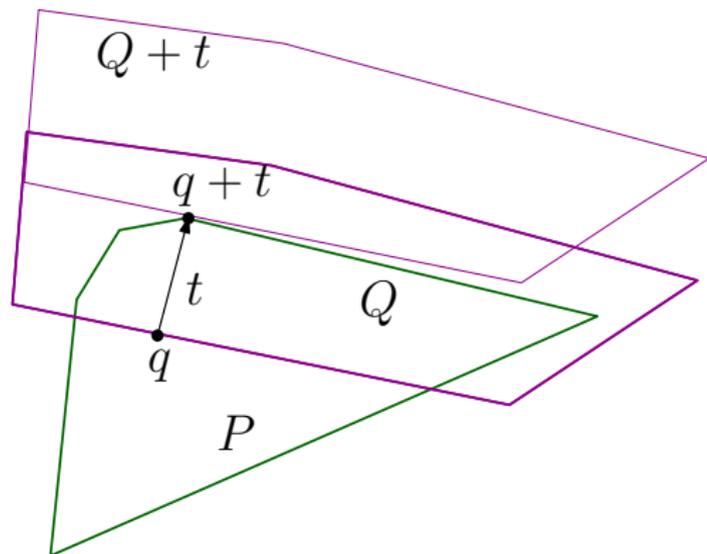
$$\sup(P; z) \leq \inf(Q + t; z)$$

$$z \neq \vec{0}, \quad q \in Q, \quad q + t \in P$$

$$\inf \|r\|$$

$$z \in N(P - Q; r)$$

$$z \neq \vec{0}, \quad r \in P - Q$$



Equivalent Formulation

$$\text{shift}(P, Q) := \min \{ \|t\| : t \in \text{bd}(P - Q) \}$$

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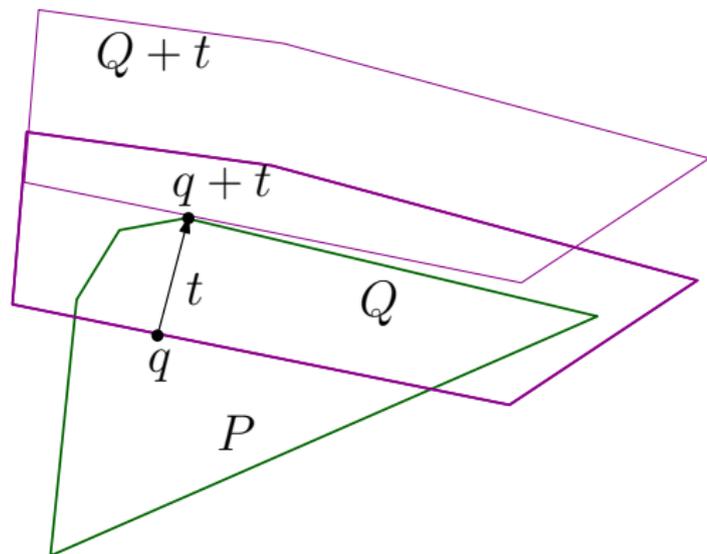
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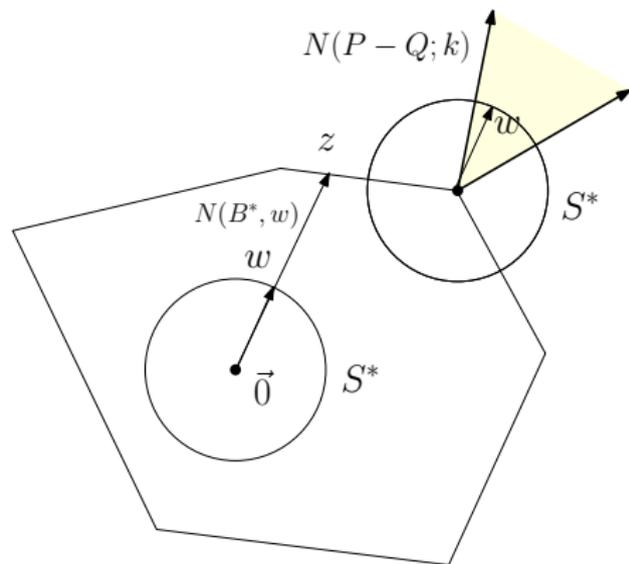
Proposition

If $\vec{0} \in P - Q$,

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Choose $w \in S^*$,
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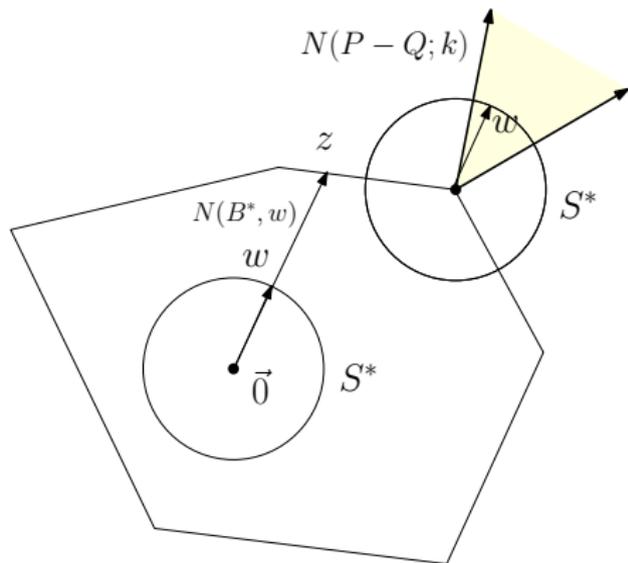
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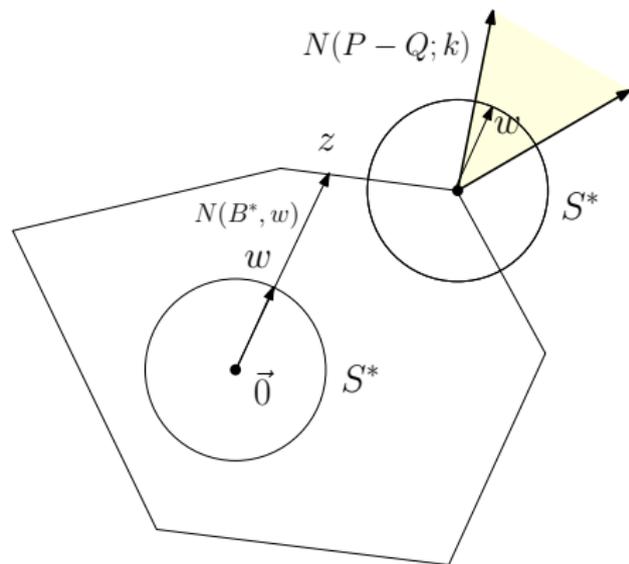
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Convex Maximization

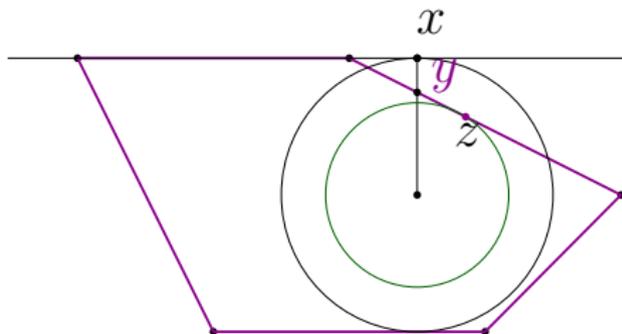
For convex polyhedron K

$$\begin{aligned} \inf_{r \in \text{bd } K} \|r\| &= \min_{F \text{ facet of } K} \min_{r \in F} \|r\| \\ &= \min_{F \text{ facet of } K} \min_{r \in \text{aff } F} \|r\| \end{aligned}$$

$$\min \{ \|x\| \mid a^T x = 1 \} = \frac{1}{\|a\|}$$

Assuming $\vec{0} \in \text{int } K$

$$\begin{aligned} \inf_{r \in \text{bd } K} \|r\| &= \max_{v \text{ vertex of } K^*} \|v\| \\ &= \max_{x \in K^*} \|x\| \end{aligned}$$



Theorem (Brieden 2002)

For $2 \leq p < \infty$ $\max \|x\|_p^p \mid Ax \leq b$ cannot be computed in polynomial time within a factor of 1.090, unless $P=NP$.

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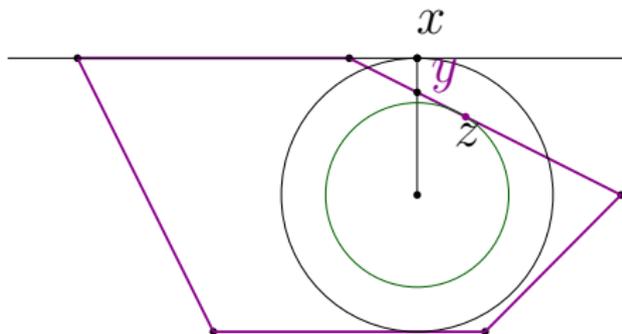
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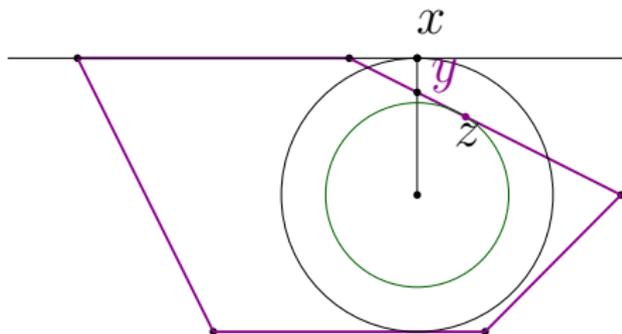
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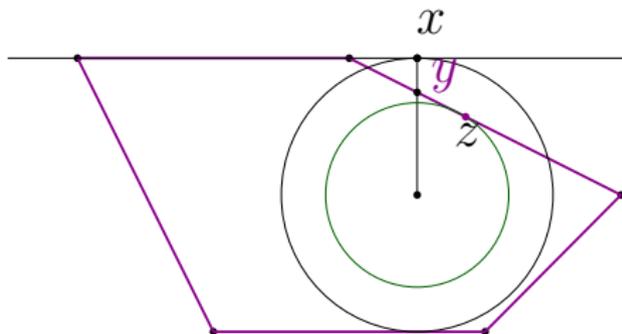
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Hardness

$$\text{breadth}_w(X) := \sup_{x,y \in X} \langle w, x - y \rangle = \sup(X - X; w)$$

$$\text{width}(X) := \inf_{w \in \mathbb{S}^*} \text{breadth}_w(X)$$

Proposition

$$\text{width}(X) = -\text{margin}(X, X)$$

Proposition (Gritzmann–Klee 1992)

Computing the width is NP-hard.

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Brute Force Solution

function

MINNORMFACET($P, Q \subset \mathbb{R}^d$)

$\lambda = +\infty$

$R = \text{ExtremePoints}(P - Q)$

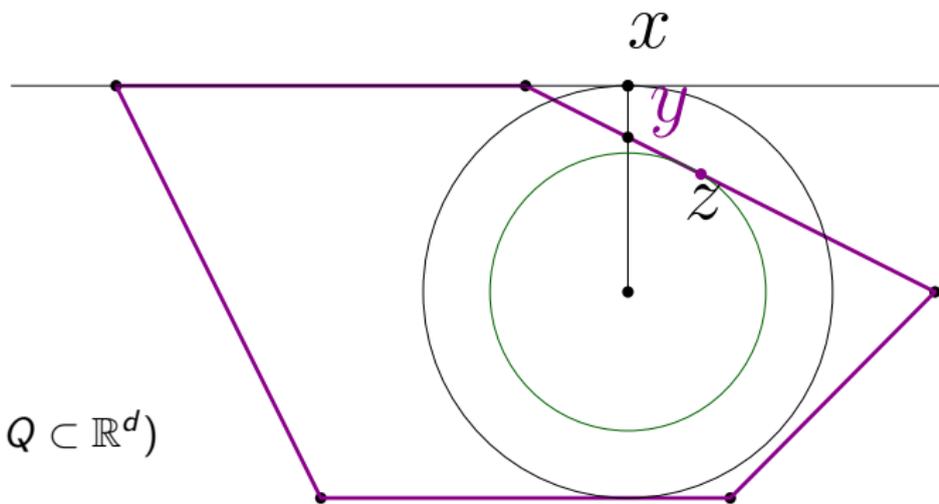
for all facets F of $\text{conv } R$ **do**

$\lambda = \min(\text{MinNormAff}(F), \lambda)$

end for

end function

Brute Force Solution



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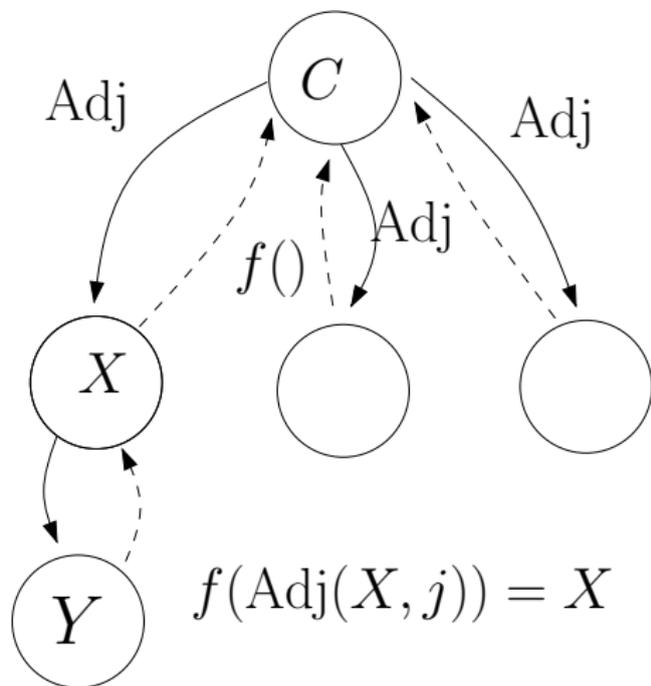
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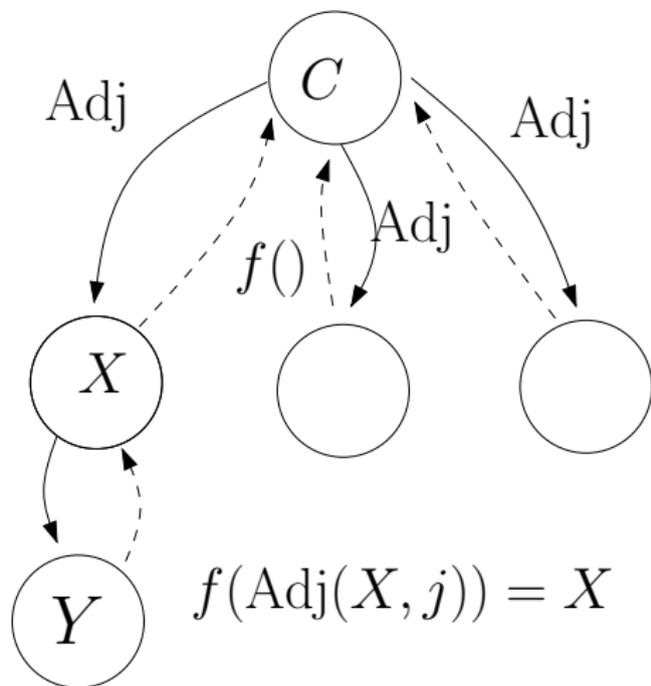
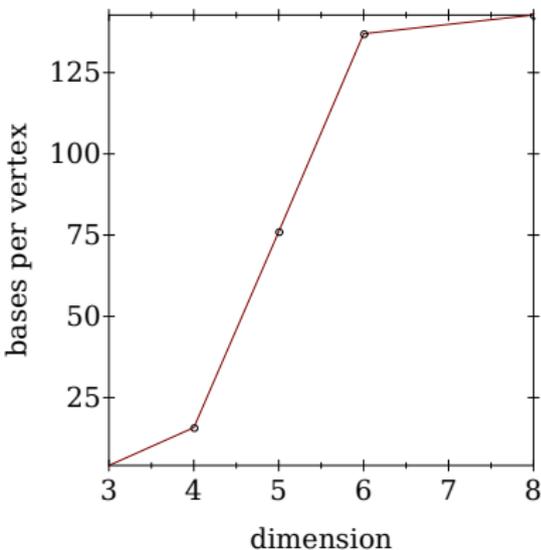
Enumerating Facets with Reverse Search

- Facets of $P - Q$ are computed without extra memory
- the number of facets and bases grow quickly.

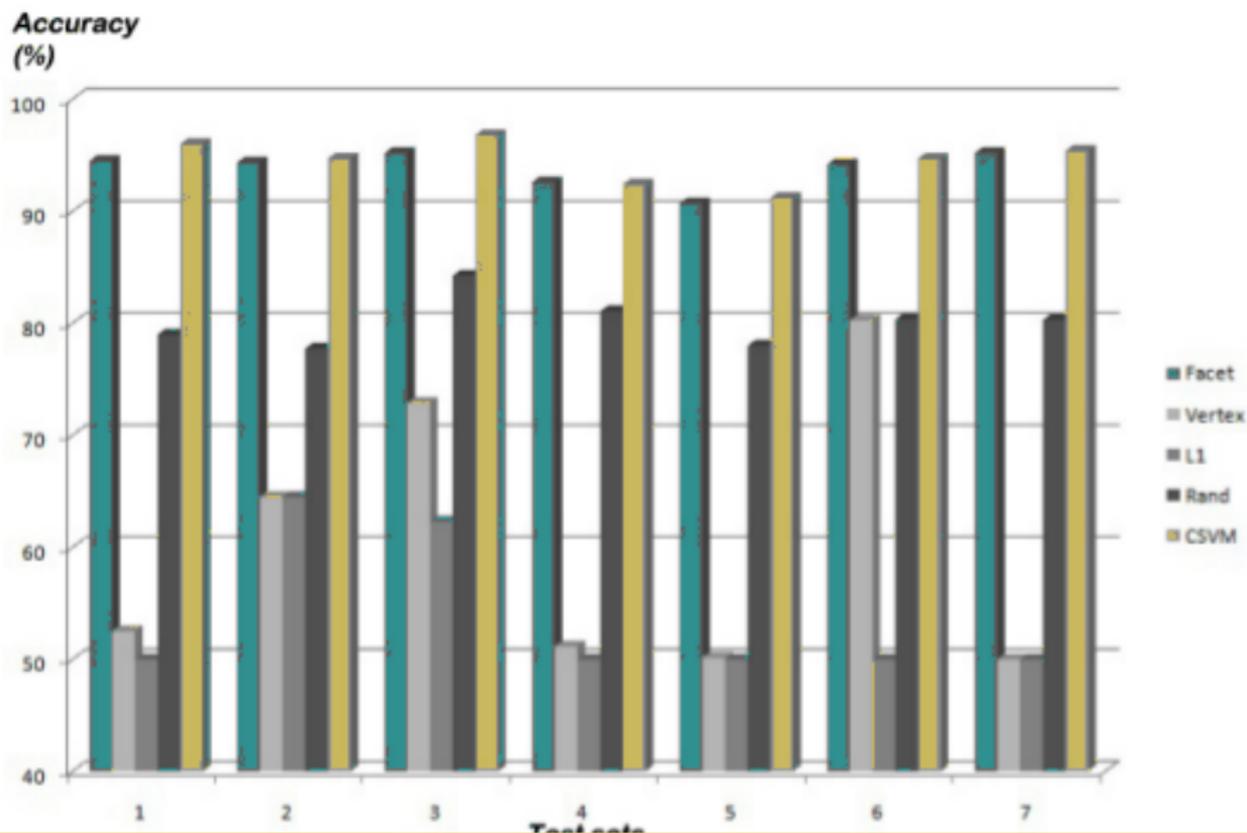


Enumerating Facets with Reverse Search

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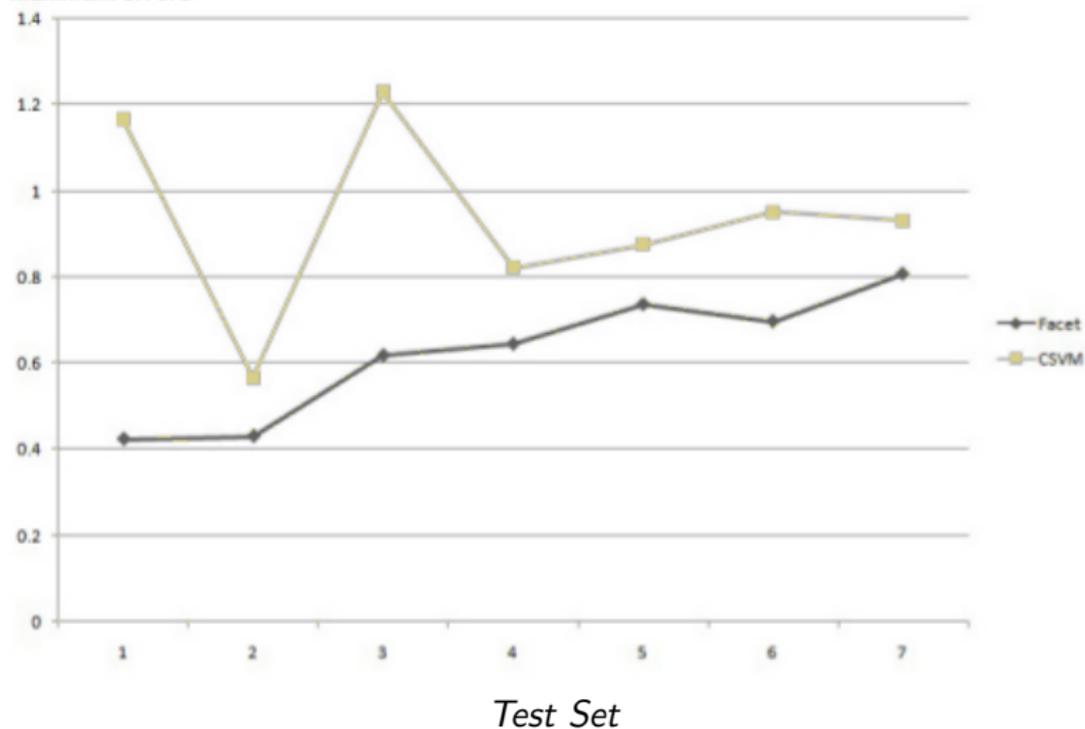


Classification Accuracy

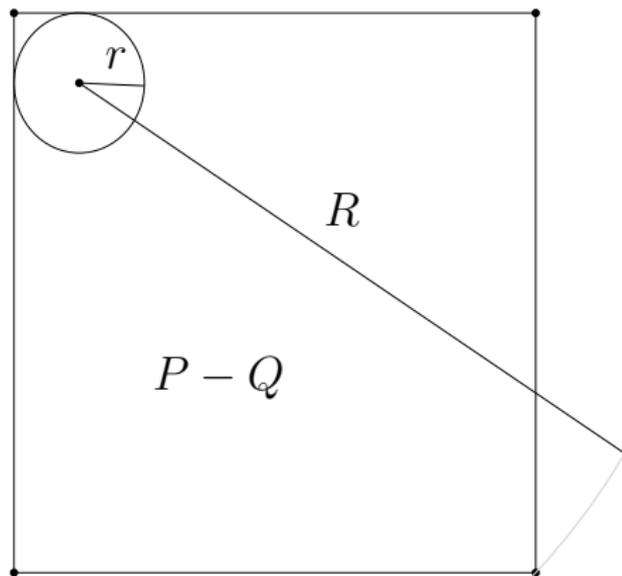
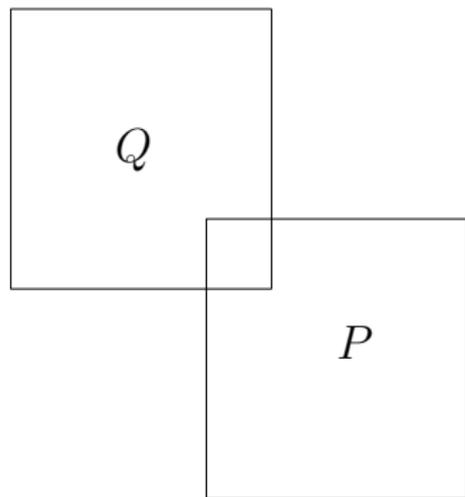


Maximum Error

Maximum errors

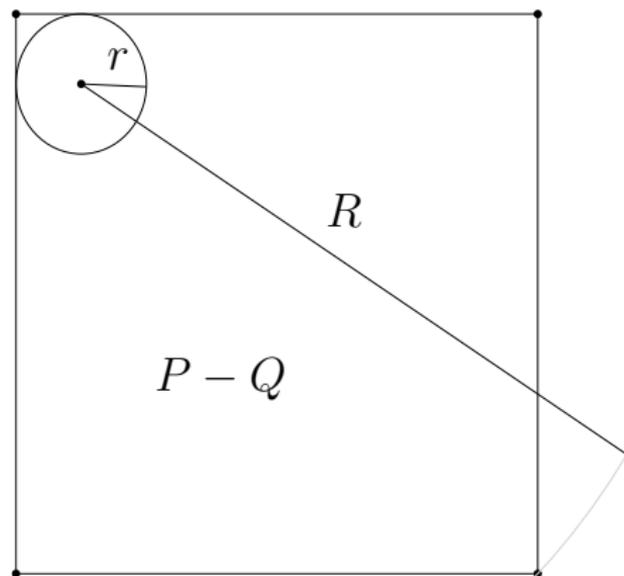
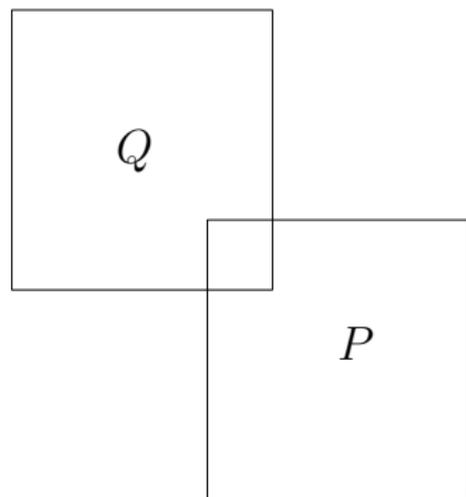


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- Most of the volume is far away from the interesting part
- Hopefully most of the combinatorial complexity is also far away.

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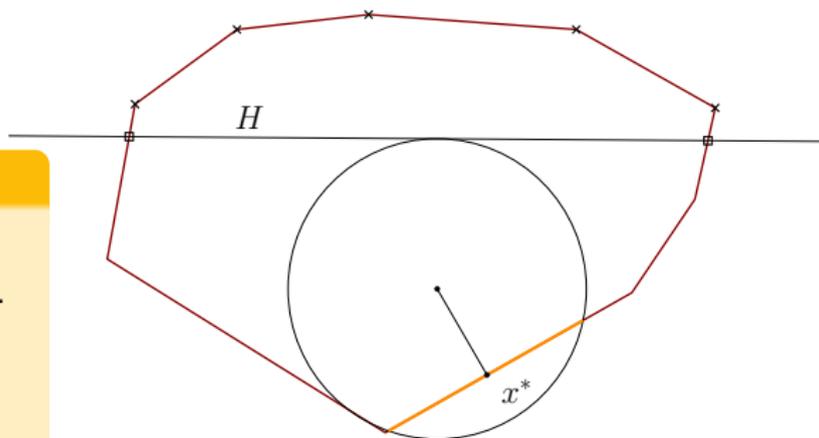
Cutting Planes

Cut

Let

$$x^* = \operatorname{argmin}_{x \in \operatorname{bd} P - Q} \|x\|.$$

For any $\lambda \geq \|x^*\|$, H
tangent to $\lambda \mathbb{B}$ preserves
 $\operatorname{bd}(P - Q) \cap \lambda \mathbb{B} \ni x^*$,



Double description style update (Motzkin)

Let E_H be the edges of $\operatorname{conv} V$ that properly intersect H . The vertex set of $\operatorname{conv}(V) \cap H^-$ is $V \cap H^- \cup \bigcup_{e \in E_H} (e \cap H)$

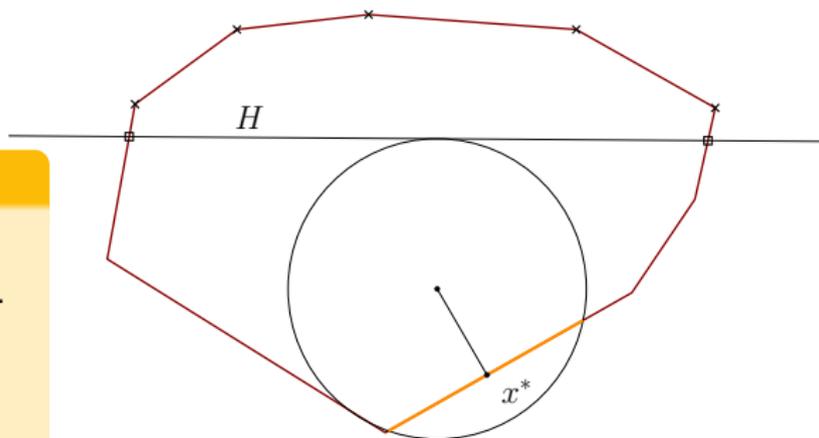
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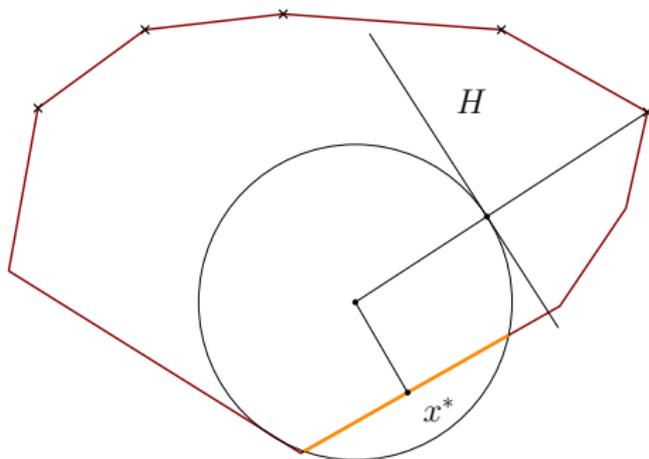


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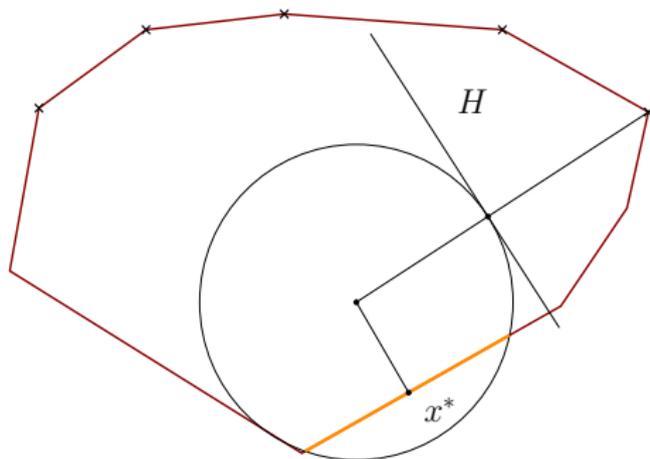
Heuristics

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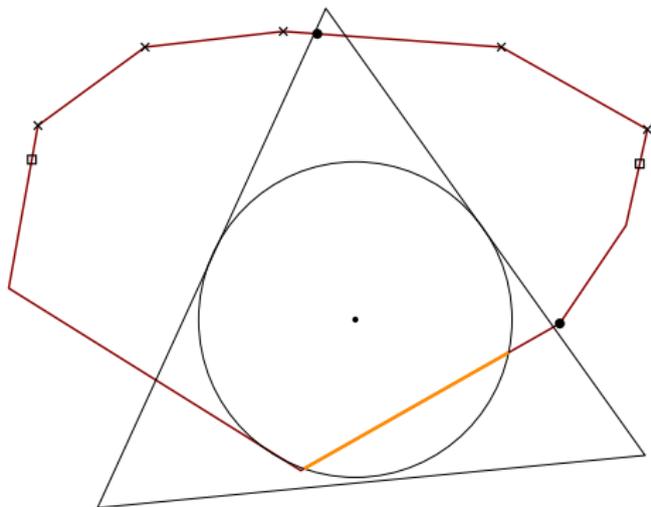
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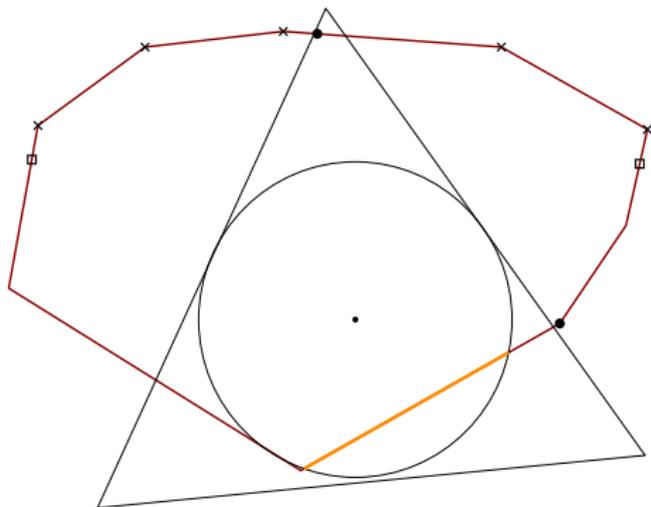
Cutting Polytopes

- In some sense what we want is polytopal approximation of the sphere.
- Find polytope with small H and V complexity containing sphere.
- Using (approximately) regular simplex
- round carefully
- doesn't seem to iterate that well.



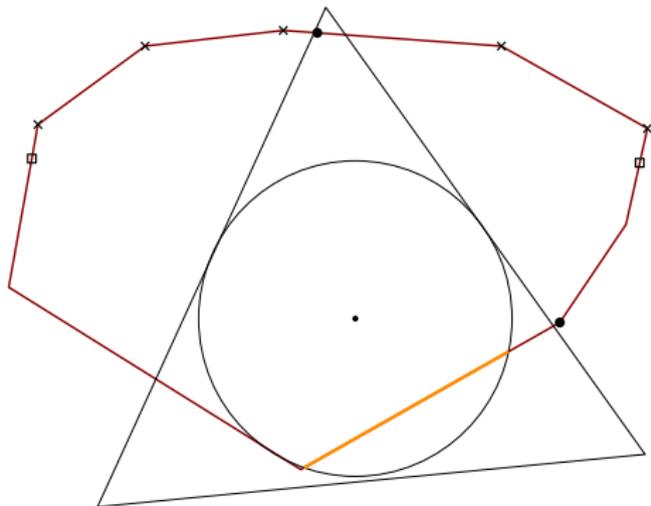
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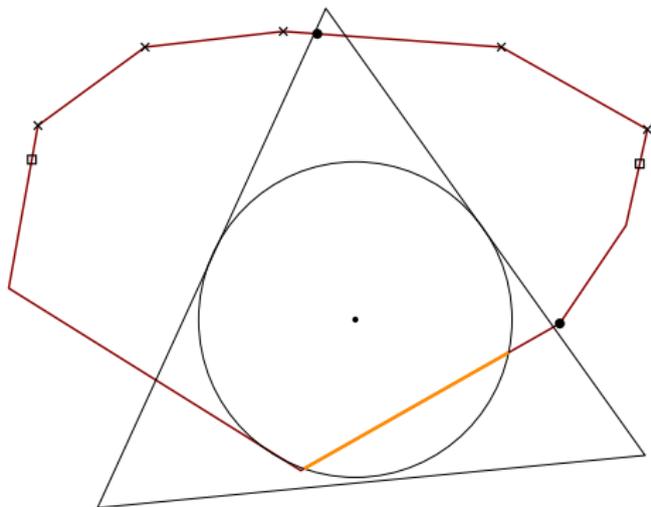
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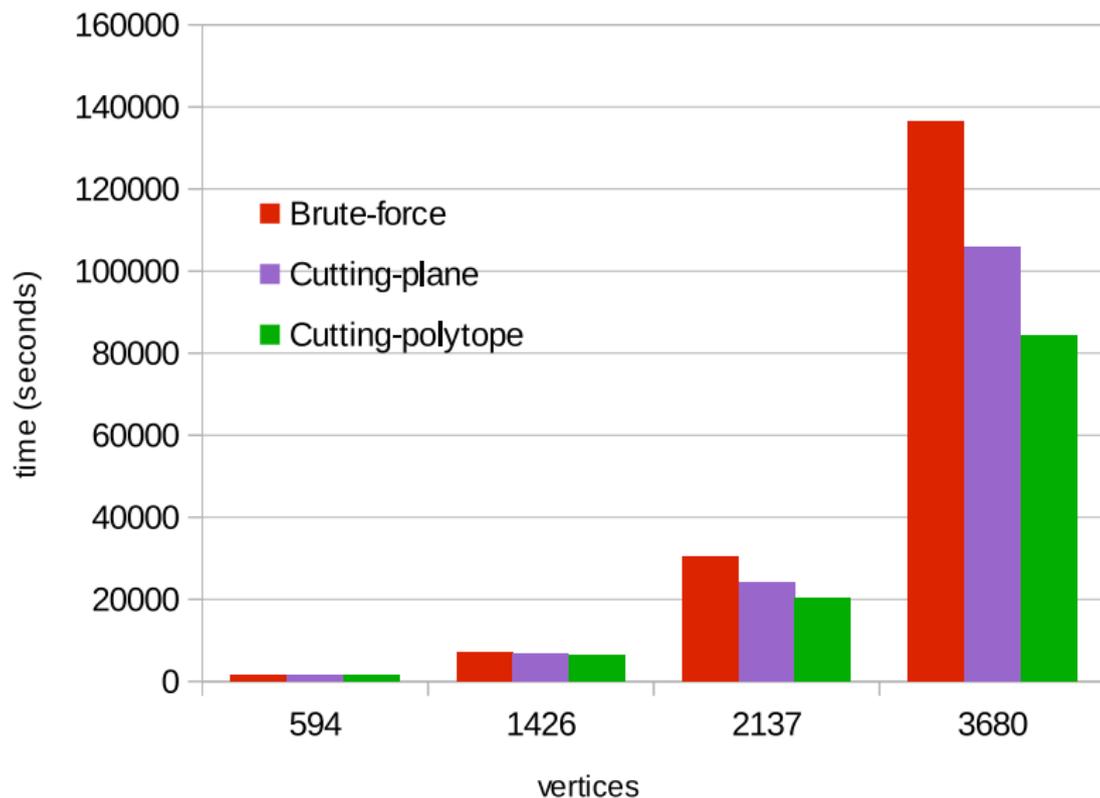
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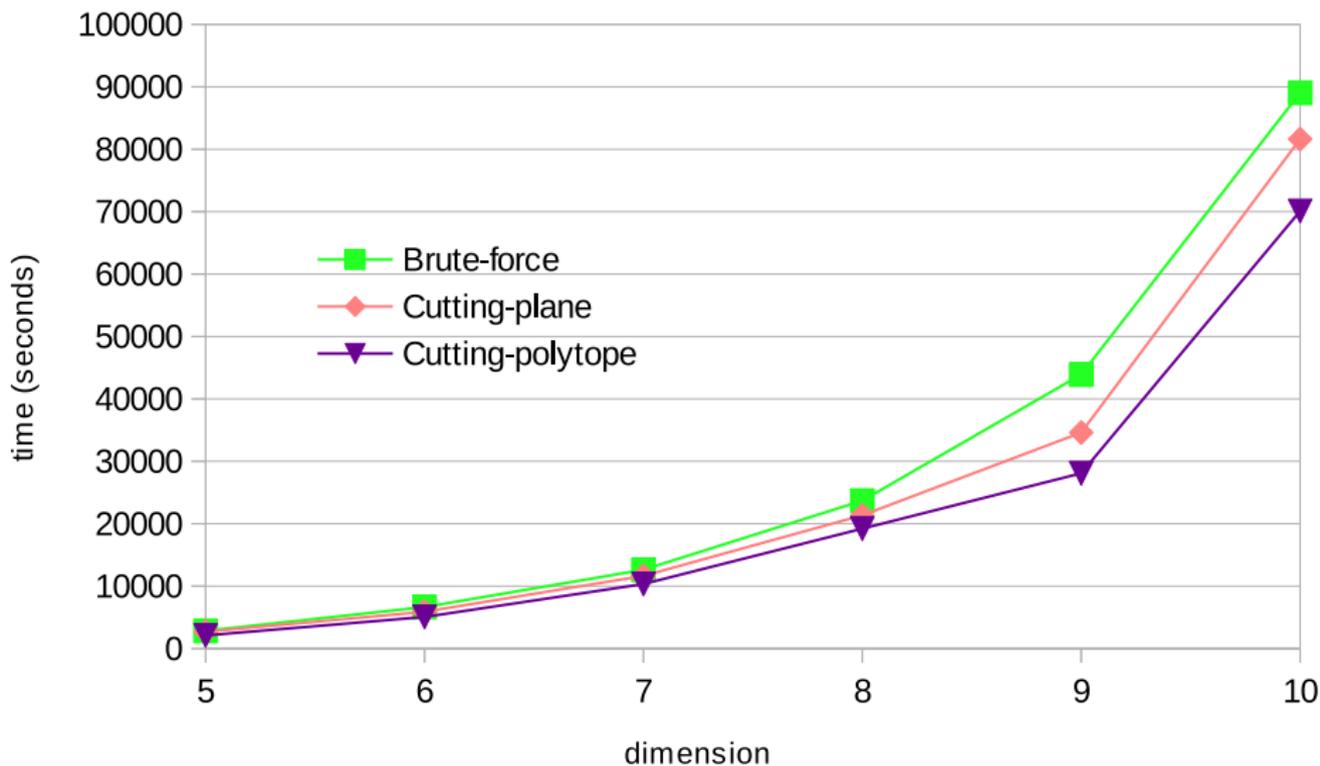
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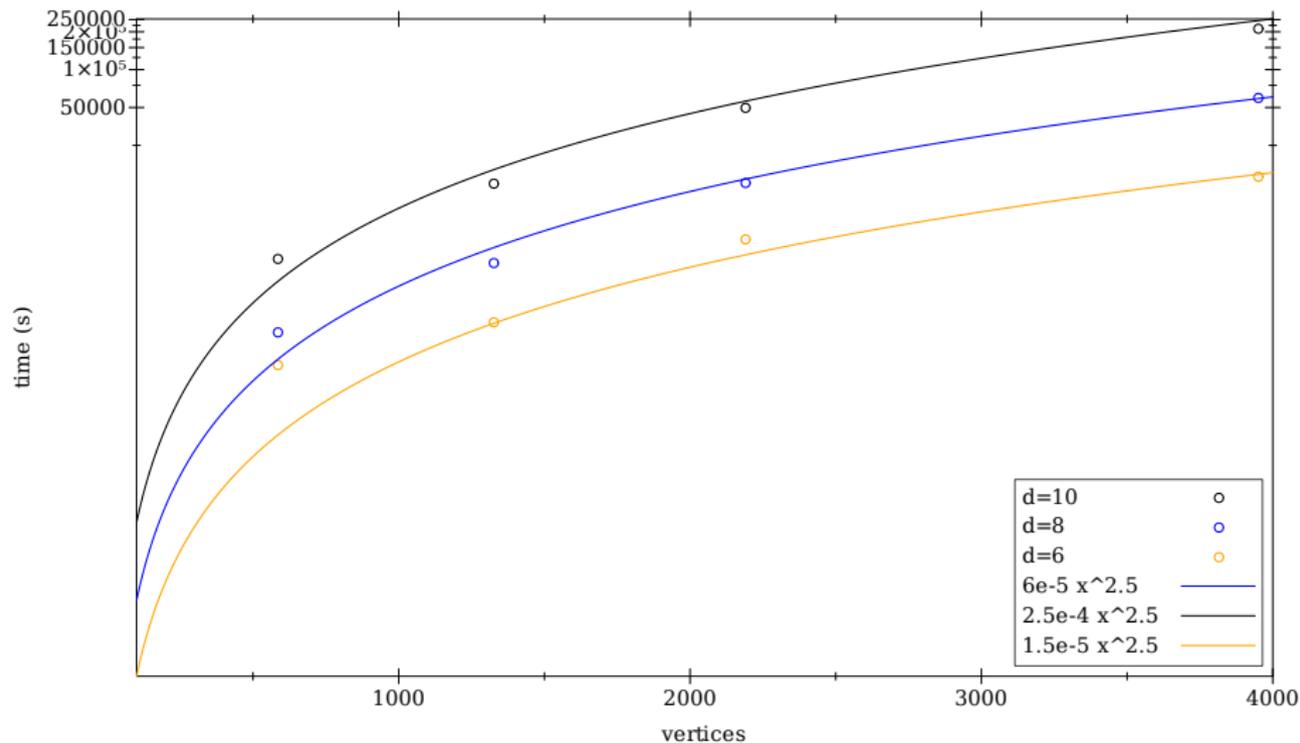


Performance in \mathbb{R}^9 

Average runtime versus dimension



Cutting polytope method

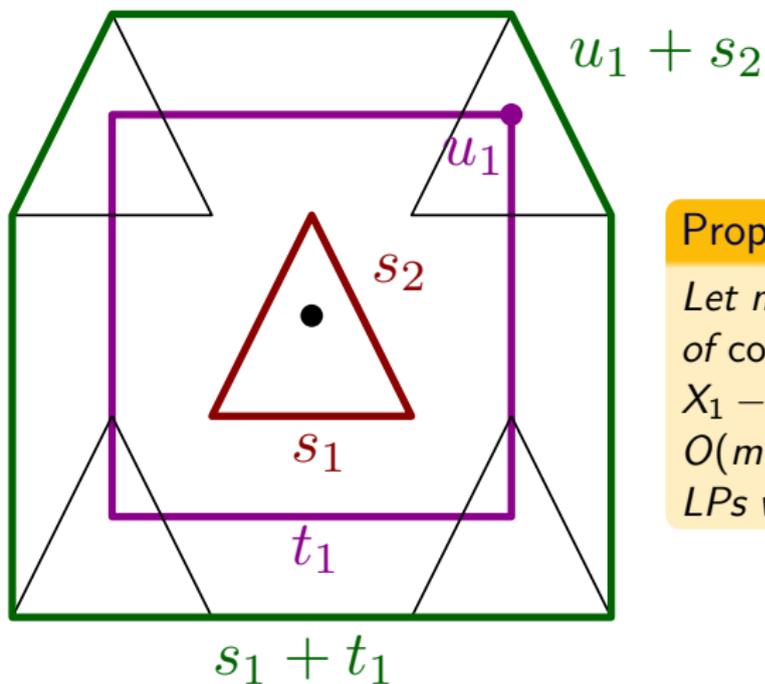


Conclusions

- Minimum norm boundary point is dual to maximum margin classifying hyperplane
- NP-Hard
- Solvable via (partial) enumerative approaches.
- Cutting planes/polytopes yield some speedup, but plenty of room for improvement.

Acknowledgements

- Geometric background: with Peter Gritzmann
- Software: Irslib (Avis), cddlib (Fukuda), gmp, Minkowski sum (Weibel)

Filtering redundant points of $P - Q$ 

Proposition (Fukuda)

Let m be the max degree of a vertex of $\text{conv}(X_i)$. The extreme points of $X_1 - X_2$ can be computed with $O(m \cdot f_0(X_1 - X_2) + f_0^2(X_1) + f_0^2(X_2))$ LPs with m constraints.

Vertices of the unit ball

```

function MINNORMCUT( $P, Q, \mathbb{B} \subset \mathbb{R}^d$ )
   $\lambda = +\infty$ 
  for all vertices  $v$  of  $\mathbb{B}$  do
     $F \leftarrow \text{SepFacet}(P - Q, \lambda v)$ 
    if  $F \neq \emptyset$  then
       $\lambda = \min(\text{MinNormAff}(F, \lambda))$ 
    end if
  end for
end function

```

