Approximation 000000000 Three quasi-polynomials

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Weighted Ehrhart polynomials and intermediate sums on polyhedra

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with Velleda BALDONI, Matthias KÖPPE, Jesus DE LOERA, Michèle VERGNE

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Weighted sums on lattice points. Ehrhart quasi-polynomials. Weighted sums on lattice points Intermediate sums, Barvinok's combination Our results. (B.B.DeL.K.V. 2008-2013)

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Definition. Examples. Brion's theorem. Cones at vertices

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Weighted sums on lattice points of a polytope $\mathfrak{p} \subset \mathbb{R}^d$



 $\mathfrak{p} \subset \mathbb{R}^d$ convex semi-rational polytope. ($Ax \leq b$, A rational matrix, $b \in \mathbb{R}^N$). Weight h(x) := polynomial function on \mathbb{R}^d . Sum of values h(x) over set of lattice points in \mathfrak{p} .

$$S(\mathfrak{p},h) = \sum_{x \in \mathfrak{p} \cap \mathbb{Z}^d} h(x).$$

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Number of lattice points

If the dimension is part of input, computing number of points is $\sharp P$ -hard (even for a simplex).

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Number of lattice points

If the dimension is part of input, computing number of points is $\sharp P$ -hard (even for a simplex).

Volume is an approximation, when \mathfrak{p} is dilated. Card $(t\mathfrak{p} \cap \mathbb{Z}^d) \sim \operatorname{vol}(\mathfrak{p})t^d$ when $t \to +\infty$. Computing volume of a simplex is fast (determinant).

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Ehrhart quasi-polynomial (1962) $Card(n\mathfrak{p} \cap \mathbb{Z}^d) =$

$$\operatorname{vol}(\mathfrak{p})n^d + E_{d-1}(n)n^{d-1} + \cdots + E_{d-k}(n)n^{d-k} + \cdots + E_0(n)$$

Coefficients are periodic functions of n (constant if p has integral vertices).

Example
$$\mathfrak{p} = [0, \frac{1}{2}]$$
. Number of lattice points is $\frac{n}{2} + 1$ for *n* even, $\frac{n}{2} + \frac{1}{2}$ for *n* odd.

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Computation of the number of points

Theorem (Barvinok 1994)

If \mathfrak{p} is an rational polytope of fixed dimension d, then its number of lattice points can be computed in polynomial time.

First implementation: LattE, (De Loera, Hemmecke, Tauzer, and Yoshida, 2003)

Theorem (Barvinok 2005)

If \mathfrak{p} is a rational simplex, (dim \mathfrak{p} is part of the input), if k is fixed, then the k highest degree coefficients of the Ehrhart quasi-polynomial can be computed in polynomial time.

 $\operatorname{Card}(n\mathfrak{p}\cap\mathbb{Z}^d) = \operatorname{vol}(\mathfrak{p})n^d + E_{d-1}(n)n^{d-1} + \cdots + E_{d-k}(n)n^{d-k} + \cdots$

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$\operatorname{Card}(n\mathfrak{p}\cap\mathbb{Z}^d) = \operatorname{vol}(\mathfrak{p})n^d + E_{d-1}(n)n^{d-1} + \cdots + E_{d-k}(n)n^{d-k} + \cdots$

Main idea: highest degree terms can be described and computed by means of remarkable linear combinations of intermediate sums.

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Lattice points



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Integral over polytope



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Intermediate sums

 $L \subseteq \mathbb{R}^d$ fixed rational subspace.

Slice p by parallels to *L* through lattice points and add the integrals over the slices.



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Intermediate sums

$$\mathcal{S}^L(\mathfrak{p}) := \sum_y \operatorname{vol}(\mathfrak{p} \cap (y+L))$$

Weight h(x),

$$S^{L}(\mathfrak{p},h) := \sum_{y} \int_{\mathfrak{p} \cap (y+L)} h(x) dx$$

summation index y runs over projected lattice in \mathbb{R}^d/L .

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Intermediate sums

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 $L = \mathbb{R}^d \rightarrow$ integral of the weight over \mathfrak{p} . $L = \{0\} \rightarrow$ weighted sum over lattice points of \mathfrak{p} .

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Polytime computation of intermediate sums on a simplex

 $S^{L}(\mathfrak{p},h) :=$



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Polytime computation of intermediate sums on a simplex

 $S^{L}(\mathfrak{p},h) :=$



Theorem Computing integral of *h* on a simplex NP-hard if deg *h* not fixed. ("How to integrate a polynomial on a simplex" B.B.DeL.K.V. 2008).

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Theorem Computing integral of *h* on a simplex NP-hard if deg *h* not fixed. ("How to integrate a polynomial on a simplex" B.B.DeL.K.V. 2008).

Theorem. \mathfrak{p} a simplex. Assume deg h fixed, or $h(x) = \ell(x)^m$ (more generally $P(\ell_1(x), \ldots, \ell_N(x))$), N fixed, $\ell_j(x)$ linear).

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Polytime computation of intermediate sums on a simplex

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Theorem. \mathfrak{p} a simplex. Assume deg h fixed, or $h(x) = \ell(x)^m$ (more generally $P(\ell_1(x), \ldots, \ell_N(x))$), N fixed, $\ell_j(x)$ linear).

• Then, $\int_{\mathfrak{p}} h(x) dx$ can be computed in polynomial time. (B.B.DeL.K.V. 2008)

• If , moreover, codim $L \leq k$ with k fixed,

S^L(p, *h*) can be computed in polynomial time. (unweighted: Barvinok, 2005, weighted: B.B.DeL.K.V. 2010)

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Proof: discrete sums in dimension $\leq k$, integrals in dimension d - k.

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Ehrhart quasi-polynomials for intermediate sums

Weight h(x),

$$\mathcal{S}(t\mathfrak{p},h) := \sum_{x \in t\mathfrak{p} \cap \mathbb{Z}^d} h(x), \quad \mathcal{S}^L(t\mathfrak{p},h) := \sum_y \int_{t\mathfrak{p} \cap (y+L)} h(x) dx$$

summation index *y* runs over projected lattice in \mathbb{R}^d/L .

For a dilated polytope t_{\$}, intermediate sum is a quasi-polynomial function of the parameter t,

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Ehrhart quasi-polynomials for intermediate sums

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summation index *y* runs over projected lattice in \mathbb{R}^d/L .

For a dilated polytope t \mathfrak{p} , intermediate sum is a quasi-polynomial function of the parameter t, the highest degree coefficient is the integral of the weight. unweighted, $t \in \mathbb{N}$: Barvinok 2005, weighted, t real: BBDeLKV 2010

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Barvinok's linear combination

 \mathcal{L} finite family of subspaces L. $\rho(L) \in \mathbb{Z}$.

 $\sum_{L\in\mathcal{L}}\rho(L)S^{L}(\mathfrak{p})$

Fix $k \leq \dim \mathfrak{p}$.

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Barvinok's linear combination

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$$\sum_{L\in\mathcal{L}}\rho(L)S^{L}(\mathfrak{p})$$

Fix $k \leq \dim \mathfrak{p}$. Assume

> family *L* contains the linear spaces parallel to all faces of codimension ≤ *k* of p,

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Fix $k \leq \dim \mathfrak{p}$. Assume

- family *L* contains the linear spaces parallel to all faces of codimension ≤ k of p,
- family \mathcal{L} is closed under sum,

drawings on blackboard

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Barvinok's linear combination

 \mathcal{L} finite family of subspaces L.

 $\rho(L) \in \mathbb{Z}.$

 $\sum_{L\in\mathcal{L}}\rho(L)S^{L}(\mathfrak{p})$

Fix $k \leq \dim \mathfrak{p}$. Assume

- family *L* contains the linear spaces parallel to all faces of codimension ≤ k of p,
- family *L* is closed under sum, drawings on blackboard
- coefficients satisfy Moebius property

$$[\bigcup_{L\in\mathcal{L}}L^{\perp}]=\sum_{L\in\mathcal{L}}\rho(L)[L^{\perp}].$$

 L^{\perp} orthogonal of L in dual space of \mathbb{R}^d

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Top degree coefficients of Ehrhart quasi-polynomial

Theorem. Barvinok 2005

 $\mathfrak{p} \subset \mathbb{R}^d$ be a rational polytope. $k \leq \dim \mathfrak{p}$.



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Top degree coefficients of Ehrhart quasi-polynomial

Theorem. Barvinok 2005

 $\mathfrak{p} \subset \mathbb{R}^d$ be a rational polytope. $k \leq \dim \mathfrak{p}$. \mathcal{L} family of subspaces, containing the linear spaces parallel to all faces of codimension $\leq k$ of \mathfrak{p} and closed under sum, coefficients $\rho(L)$ satisfying Moebius property.

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Top degree coefficients of Ehrhart quasi-polynomial

Theorem. Barvinok 2005

 $\mathfrak{p} \subset \mathbb{R}^d$ be a rational polytope. $k \leq \dim \mathfrak{p}$. \mathcal{L} family of subspaces, containing the linear spaces parallel to all faces of codimension $\leq k$ of \mathfrak{p} and closed under sum, coefficients $\rho(L)$ satisfying Moebius property. Then the quasi-polynomials

$$S(n\mathfrak{p})$$
 and $\sum_{L\in\mathcal{L}}\rho(L)S^{L}(n\mathfrak{p})$

have the same coefficients of degree $\geq d - k$

$$\operatorname{vol}(\mathfrak{p})n^d + \cdots + E_{d-k}(n)n^{d-k}$$

Furthermore, if \mathfrak{p} is a simplex, dim $\mathfrak{p} \in$ input, then $\sum_{L \in \mathcal{L}} \rho(L) S^{L}(n\mathfrak{p})$ can be computed in polynomial time

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Faces poset and its Moebius coefficients

Dimension 2. Triangle with vertices (1,2,3). Faces of codimension ≤ 1 3 edges of codimension $1 \rightarrow \rho(L_{(i,j)}) = 1$ Whole space $L_{(1,2,3)} = \mathbb{R}^2 \rightarrow \rho(L_{(1,2,3)}) = -2$

Drawings

Dimension 3.

Tetrahedron with vertices (1, 2, 3, 4). Faces of codimension ≤ 2 and their sums. 4 edges of codimension $2 \rightarrow \rho(L_{(i,j)}) = 1$ 4 facets of codimension $1 \rightarrow \rho(L_{(i,j,k)}) = -2$ 3 pairs of opposite edges $\rightarrow \rho(L_{(i,j),(k,l)}) = -1$ Whole space $L_{(1,2,3,4)} = \mathbb{R}^3 \rightarrow \rho(L_{(1,2,3,4)}) = 6$

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Main ideas for number of points in fixed dimension

• Generating functions for polyhedra.

$$\mathcal{S}(\mathfrak{p})(\xi) := \sum_{x \in \mathfrak{p} \cap \mathbb{Z}^d} \mathrm{e}^{\langle \xi, x
angle}, ext{ meromorphic function of } \xi.$$

Example $[s, +\infty[, a := \lceil s \rceil, \sum_{n \ge a} e^{n\xi} = \frac{e^{a\xi}}{1-e^{\xi}}.$

• If p is compact, number of lattice points = S(p)(0).

Main ideas for number of points in fixed dimension

• Generating functions for polyhedra.

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angle}, ext{ meromorphic function of } \xi.$$

Example $[s, +\infty[, a := \lceil s \rceil, \sum_{n \ge a} e^{n\xi} = \frac{e^{a\xi}}{1 - e^{\xi}}.$

- If \mathfrak{p} is compact, number of lattice points = $S(\mathfrak{p})(0)$.
- Brion's theorem. p polytope. For each vertex s, C_s := cone of feasible directions at vertex s,

$$S(\mathfrak{p})(\xi) = \sum_{s} S(s+C_s)(\xi)$$
. Poles cancel out in sum over vertices.

Example: Drawing. For *a* and *b* integers, $\sum_{n=a}^{\infty} e^{n\xi} + \sum_{n=-\infty}^{b} e^{n\xi} =$

$$\frac{e^{a\xi}}{1-e^{\xi}} + \frac{e^{b\xi}}{1-e^{-\xi}} = \frac{e^{a\xi} - e^{(b+1)\xi}}{1-e^{\xi}} = \sum_{n=a}^{b} e^{n\xi}$$

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Short formulas for generating functions of unimodular cones (generated by lattice vectors v₁,..., v_d with det(v_j) = 1).

Example
$$[s, +\infty[, a := \lceil s \rceil, \sum_{n \ge a} e^{n\xi} = \frac{e^{a\xi}}{1-e^{\xi}}.$$

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Short formulas for generating functions of unimodular cones (generated by lattice vectors v₁,..., v_d with det(v_j) = 1).

Example $[s, +\infty[, a := \lceil s \rceil, \sum_{n \ge a} e^{n\xi} = \frac{e^{a\xi}}{1 - e^{\xi}}.$

• Barvinok's decomposition. Any convex polyhedral cone can be decomposed into a sum of unimodular cones, in polynomial time when dimension is fixed. (Short vector in a lattice, Minkowski, LLL.)
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Our results. (B.,B.,De L.,K.,V., 2008-2013)

 Ehrhart theory for weighted case and real parameter t
 S^L(tp, h) = (∫_p h) t^{d+deg h}+···+E_{d+deg h-k}(t)t^{d+deg h-k}+···
 Requires to describe coefficients as step-polynomials of t,
 instead of pointwise computation of E_k(n) for n ∈ N

Three quasi-polynomials

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- Ehrhart theory for weighted case and real parameter t
 S^L(tp, h) = (∫_p h) t^{d+deg h}+···+E_{d+deg h-k}(t)t^{d+deg h-k}+···
 Requires to describe coefficients as *step-polynomials* of t,
 instead of pointwise computation of E_k(n) for n ∈ N
- Given polytope p, weight h(x) and codimension k, we canonically define two quasi-polynomials
 Barvinok_{p,h,k}(t) (similar to Barvinok's approximation of the number of points)
 ConeByCone_{p,h,k}(t) (new and simpler)

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• We prove that $S(t\mathfrak{p}, h)$, Barvinok_{\mathfrak{p},h,k}(t), and ConeByCone_{\mathfrak{p},h,k}(t) have the same terms of degree $\geq d + \deg(h) - k$. Intermediate generating functions

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- We prove that $S(t\mathfrak{p}, h)$, Barvinok_{\mathfrak{p},h,k}(t), and ConeByCone_{\mathfrak{p},h,k}(t) have the same terms of degree $\geq d + \deg(h) - k$.
- Similar results for semi-rational parametric polytopes $Ax \leq b$, A fixed rational matrix, parameter $b \in \mathbb{R}^N$.

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- Similar results for semi-rational parametric polytopes $Ax \le b$, A fixed rational matrix, parameter $b \in \mathbb{R}^N$.
- We describe polynomial-time algorithms for computing Barvinok_{p,h,k}(t) and ConeByCone_{p,h,k}(t), if k is fixed,

p a semi-rational simplex, (also holds for more general data),

weight *h* has fixed degree, or $h(x) = \ell(x)^m$ (more generally $P(\ell_1(x), \ldots, \ell_N(x)), N$ fixed, $\ell_j(x)$ linear).

(implemented in a Maple program, see LattE integrale).

Methods might have their own interest

Intermediate generating functions of a polyhedron q.
 S^L(q)(ξ) := ∑_y ∫_{q∩(y+L)} e^{⟨ξ,x⟩} dx.
 We prove an approximation theorem for generating functions (in the sense of power series approximation)of affine convex polyhedral cones,

from which we derive equality of highest degree terms of quasi-polynomials.

- All this relies on properties of intermediate generating function S^L(s + C)(ξ) of a shifted cone s + C with vertex s, as function of the pair (s, ξ).
- Icing on the cake. A beautiful formula for the Moebius coefficients of a simplex. (discovered in *A. Bjorner and L. Lovasz, Linear decision trees, subspace arrangements and Moebius functions*, 1994, where it is attributed to Stanley)

Intermediate generating functions

Approximation

Three quasi-polynomials

Case $h(x) = \frac{\langle \ell, x \rangle^m}{m!}$ Weight is a power of a linear form

Weights which are powers of linear forms are related to homogeneous components of Taylor series of generating functions.

$$\int_{\mathfrak{p}} \frac{\langle \ell, x \rangle^{m}}{m!} dx = \left(\int_{\mathfrak{p}} e^{\langle \xi, x \rangle} \right)_{[m]} |_{\xi = \ell}$$
$$\sum_{x \in \mathfrak{p} \cap \mathbb{Z}^{d}} \frac{\langle \ell, x \rangle^{m}}{m!} = \left(\sum_{x \in \mathfrak{p} \cap \mathbb{Z}^{d}} e^{\langle \xi, x \rangle} \right)_{[m]} |_{\xi = \ell}$$

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General case: write h(x) as a linear combination of powers of linear forms.

Three quasi-polynomials

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Intermediate generating functions of polyhedra

 $L \subseteq \mathbb{R}^d$ rational subspace, $\mathfrak{q} \subseteq \mathbb{R}^d$ polyhedron (semi-rational convex).

$$S^{L}(\mathfrak{q})(\xi) := \sum_{y \in \Lambda_{\mathbb{R}^{d}/L}} \int_{\mathfrak{q} \cap (y+L)} \mathrm{e}^{\langle \xi, x \rangle} \, \mathrm{d}x.$$

for those ξ where integral and series converge. $S^{L}(q)(\xi)$ admits a meromorphic continuation to \mathbb{C}^{d} .

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for those ξ where integral and series converge. $S^{L}(q)(\xi)$ admits a meromorphic continuation to \mathbb{C}^{d} . Example: For *a* and *b* integers,

$$\sum_{n=a}^{\infty} e^{n\xi} = \frac{e^{a\xi}}{1-e^{\xi}}$$
$$\sum_{n=-\infty}^{b} e^{n\xi} = \frac{e^{b\xi}}{1-e^{-\xi}}$$
Meromorphic continuation:

first sum converges for $\xi < 0$, second sum converges for $\xi > 0$.

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Brion's theorem

Theorem \mathfrak{p} polytope. For each vertex *s* of \mathfrak{p} , C_s cone of feasible directions at *s*, $s + C_s$ supporting cone at *s*,

$$\mathcal{S}^{L}(\mathfrak{p})(\xi) = \sum_{s} \mathcal{S}^{L}(s+\mathcal{C}_{s})(\xi)$$

Originally, for $L = \{0\}$, proved by Brion using localization in equivariant cohomology of toric varieties.

Combinatorial proof can be deduced from set-theoretic Brianchon-Gram theorem (strengthening of Euler identity).

Intermediate generating functions

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Hyperplane singularities Homogeneous components with respect to ξ

Dimension 1. $C = [0, +\infty[, s \in \mathbb{R}, shifted affine cone <math>s + C = [s, +\infty[, a := \lceil s \rceil]$

$$I(s+C)(\xi) := \int_{s}^{+\infty} e^{\xi x} dx = -\frac{e^{s\xi}}{\xi}.$$

$$S(s+C)(\xi) = \sum_{n \ge a} e^{n\xi} = \frac{e^{a\xi}}{1-e^{\xi}} = \left(\frac{e^{a\xi}\xi}{1-e^{\xi}}\right) \frac{1}{\xi}$$

$$= -\frac{1}{\xi} - \sum_{m \ge 0} \frac{B_{m+1}(a)}{(m+1)!} \xi^{m}$$

Bernoulli polynomials $\frac{e^{u\xi\xi}}{e^{\xi}-1} = 1 + \sum_{n\geq 1} \frac{B_n(u)}{n!} \xi^n$

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Bernoulli polynomials $\frac{e^{u\xi\xi}}{e^{\xi}-1} = 1 + \sum_{n \ge 1} \frac{B_n(u)}{n!} \xi^n$

 $S(s+C)(\xi)$ is the (infinite) sum of homogeneous components of degree ≥ -1 , the lowest degree one is $I(C)(\xi) = -\frac{1}{\xi}$.

Three quasi-polynomials

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Hyperplane singularities

Homogeneous components with respect to ξ We prove similar properties for all intermediate generating functions of cones.

• Can assume *C* simplicial, edge generators v_i , $1 \le i \le d$,

$$\mathcal{S}^L(s+\mathcal{C})(\xi) = rac{holomorphic \, at \, \xi = 0}{\prod_{i=1}^d \langle \xi, \, v_i
angle}$$

Intermediate generating functions

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Hyperplane singularities

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$$S^L(s+C)(\xi) = rac{holomorphic \, at \, \xi = 0}{\prod_{i=1}^d \langle \xi, v_i
angle}$$

• $\Rightarrow S^{L}(s+C)(\xi) =$ sum of series of homogeneous components

$$S^{L}(s+C)(\xi) = rac{Taylor \ series \ of \ numerator}{\prod_{i=1}^{d} \langle \xi, v_i
angle} \ = \sum_{m \ge -d} S^{L}(s+C)_{[m]}(\xi)$$

 $S^{L}(s+C)_{[m]}(\xi)$ is a rational function of total degree m.

Intermediate generating functions

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Examples. $d = 2, C = \mathbb{R}^2_{\geq 0}$. {u} := fractional part of $u \in \mathbb{R}$. $s = (s_1, s_2), \xi = (\xi_1, \xi_2)$. $S^L(s + C)(\xi) =$

$$\begin{split} L &= \mathbb{R}^2, \qquad e^{\langle \xi, s \rangle} \frac{1}{\xi_1 \xi_2} \\ L &= \{0\}, \qquad e^{\langle \xi, s \rangle} \frac{e^{\{-s_1\}\xi_1} e^{\{-s_2\}\xi_2}}{(1 - e^{\xi_1})(1 - e^{\xi_2})} \\ L &= \mathbb{R}(1, -1), \qquad e^{\langle \xi, s \rangle} (\frac{e^{\{-(s_1 + s_2)\}\xi_1}}{1 - e^{\xi_1}} - \frac{e^{\{-(s_1 + s_2)\}\xi_2}}{1 - e^{\xi_2}})(\frac{1}{\xi_1 - \xi_2}) \\ L &= \mathbb{R}(1, 1), \qquad e^{\langle \xi, s \rangle} (\frac{e^{\{s_2 - s_1\}\xi_1}}{1 - e^{\xi_1}} - \frac{e^{-\{s_2 - s_1\}\xi_2}}{1 - e^{-\xi_2}})(\frac{-1}{\xi_1 + \xi_2}) \end{split}$$

Easy to check: all four of the form $\frac{holomorphic at \xi=0}{\xi_1\xi_2}$,

$$\frac{1}{\xi_1\xi_2} + \sum_{m \ge -1} \left(\sum_{m_1 + m_2 = m} a_{m_1, m_2} \xi_1^{m_1} \xi_2^{m_2} \right)$$

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Weight
$$h(x) = rac{\langle \ell, x
angle^m}{m!}$$

$$S^{L}(\mathfrak{p})(rac{\ell^{m}}{m!}) = \left(\sum_{s \text{ vertex of } \mathfrak{p}} S^{L}(s+C_{s})_{[m]}(\xi)
ight)|_{\xi=\ell}$$

Sum of rational functions. Poles cancel out.

Intermediate generating functions

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ight)|_{\xi=\ell}$$

Sum of rational functions. Poles cancel out.

Example
$$\sum_{n=a}^{b} e^{n\xi} = \frac{e^{a\xi}}{1-e^{\xi}} + \frac{e^{b\xi}}{1-e^{-\xi}} = \frac{e^{a\xi}-e^{(b+1)\xi}}{1-e^{\xi}}.$$

$$\sum_{n=a}^{b} \frac{n^{m}}{m!} = \left(\frac{e^{a\xi} - e^{(b+1)\xi}}{1 - e^{\xi}}\right)_{[m]}|_{\xi=1}$$
$$= \frac{B_{m+1}(b+1) - B_{m+1}(a)}{(m+1)!}$$

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Dilating p

When p is dilated, replaced by tp, vertex *s* is replaced by *ts*, cone C_s does not change.

$$S^{L}(t\mathfrak{p})(rac{\ell^{m}}{m!}) = \left(\sum_{s}S^{L}(ts+C_{s})_{[m]}(\xi)\right)|_{\xi=\ell}$$

[Similar phenomenon for multi-parametric polytopes]

Ehrhart theory \leftarrow How $S^{L}(ts + C_{s})_{[m]}(\xi)$ depends on $t \in \mathbb{R}$

For a given linear cone *C*, how does $S^{L}(u+C)_{[m]}(\xi)$ depend on $u \in \mathbb{R}^{d}$?

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Periodicity

For
$$v \in \mathbb{Z}^d$$
, $S^L(v+u+C) = \mathrm{e}^{\langle \xi, v \rangle} S^L(u+C)$

$$M^{L}(u, C)(\xi) := e^{-\langle \xi, u \rangle} S^{L}(u + C)(\xi)$$

is a \mathbb{Z}^{d} -periodic function of u

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Periodicity

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$$M^{L}(u, C)(\xi) := e^{-\langle \xi, u \rangle} S^{L}(u + C)(\xi)$$

is a \mathbb{Z}^{d} -periodic function of u

$$S^{L}(\boldsymbol{u}+\boldsymbol{C})_{[m]}(\xi) = \sum_{j=0}^{m+d} \frac{\langle \xi, \boldsymbol{u} \rangle^{m+d-j}}{(m+d-j)!} M^{L}(\boldsymbol{u},\boldsymbol{C})_{[-d+j]}(\xi)$$

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Piecewise polynomial

$$S^{L}(\boldsymbol{u}+\boldsymbol{C})_{[m]}(\xi) = \sum_{j=0}^{m+d} \frac{\langle \xi, \boldsymbol{u} \rangle^{m+d-j}}{(m+d-j)!} M^{L}(\boldsymbol{u},\boldsymbol{C})_{[-d+j]}(\xi)$$

 $M^{L}(u, C)_{[-d+j]}(\xi)$ are expressed as step-polynomials of $u \in \mathbb{R}^{d}$, hence piecewise polynomial function of $u \in \mathbb{R}^{d}$, with one-sided-continuity on boundary of pieces.

Example.

$$\begin{split} &M(s + \mathbb{R}_{\geq 0})(\xi) = \frac{e^{\{-s\}}\xi}{1 - e^{\xi}} \\ &M(s + \mathbb{R}_{\geq 0})_{[m]}(\xi) = -\frac{B_{m+1}(\{-s\})}{(m+1)!}\xi^m \\ &\text{polynomial of degree } m+1 \text{ on pieces } s \in]n, n+1], \text{ left continuous on each piece.} \end{split}$$

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Periodicity

For
$$v \in \mathbb{Z}^d$$
,

$$S^L(v+u+C) = \mathrm{e}^{\langle \xi, v
angle} S^L(u+C)$$

 $M^L(u,C)(\xi) := \mathrm{e}^{-\langle \xi, u
angle} S^L(u+C)(\xi)$

is a periodic function of *u*

$$S^{L}(t\,s+C)_{[m]}(\xi) = \sum_{j=0}^{m+d} t^{m+d-j} \frac{\langle \xi, s \rangle^{m+d-j}}{(m+d-j)!} M^{L}(t\,s,C)_{[-d+j]}(\xi)$$

quasi-polynomial of degree m + d with respect to t.

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Highest degree terms of Ehrhart quasi-polynomial

when the weight is a power of a linear form

Combining with Brion's formula, get

$$S(t\mathfrak{p})(\frac{\ell^m}{m!}) = \left(\sum_{\substack{s \text{ vertex of }\mathfrak{p} \\ s \text{ vertex of }\mathfrak{p}}} S(ts + C_s)_{[m]}(\xi)\right)|_{\xi=\ell}$$
$$= \left(\sum_{\substack{s \text{ vertex of }\mathfrak{p} \\ j=0}} \sum_{j=0}^{m+d} t^{m+d-j} \frac{\langle \xi, s \rangle^{m+d-j}}{(m+d-j)!} M(ts, C_s)_{[-d+j]}(\xi)\right)|_{\xi=\ell}$$

For computing the highest degree coefficients, of terms $t^{m+d}, t^{m+d-1}, \ldots, t^{m+d-k}$, need only lowest degree components

$$M(t s, C_s)_{[-d]}, M(t s, C_s)_{[-d+1]}, \dots, M(t s, C_s)_{[-d+k]}$$

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Example in dim 2.

$$M(s,C)(\xi) := e^{-\langle \xi, s \rangle} S(s+C)(\xi) = \frac{e^{\{-s_1\}\xi_1} e^{\{-s_2\}\xi_2}}{(1-e^{\xi_1})(1-e^{\xi_2})} =$$

1

$$+B_{1}(\{-s_{2}\})\frac{1}{\xi_{1}}+B_{1}(\{-s_{1}\})\frac{1}{\xi_{2}}\\+B_{1}(\{-s_{1}\})B_{2}(\{-s_{2}\})\\+\frac{B_{2}(\{-s_{2}\})}{2}\frac{\xi_{2}}{\xi_{1}}+\frac{B_{2}(\{-s_{1}\})}{2}\frac{\xi_{1}}{\xi_{2}}\\+\cdots$$

degree (-d) = -2

degree (-d + 1) = -1

degree (-d + 2) = 0

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degree \geq 1

 $B_1(u) = u - \frac{1}{2}, \ B_2(u) = u^2 - u + \frac{1}{6}.$

Intermediate generating functions

Approximation

Three quasi-polynomials

Approximation. Fix
$$k \leq d$$
.

$$S(t s + C)_{[m]}(\xi) = \sum_{j=0}^{m+d} t^{m+d-j} \frac{\langle \xi, s \rangle^{m+d-j}}{(m+d-j)!} M(t s, C)_{[-d+j]}(\xi)$$

For each supporting cone C_s of \mathfrak{p} , we construct functions $M^{approx}(u, C_s)(\xi)$ which approximate $M(u, C_s)(\xi)$ in the sense of power series w.r.to ξ ,

i.e. have the same lowest degree components as $M(u, C_s)(\xi)$.

$$\begin{array}{lll} \mathcal{M}(\xi) &=& \mathcal{M}_{[-d]}(\xi) + \cdots + \mathcal{M}_{[-d+k]}(\xi) + \mbox{ higher degree} \\ \mathcal{M}^{approx}(\xi) &=& \mathcal{M}_{[-d]}(\xi) + \cdots + \mathcal{M}_{[-d+k]}(\xi) + \mbox{ higher degree} \end{array}$$

Approximations of the generating function of a cone

Use linear combinations of intermediate generating functions of the cone u + C

$$M_{\mathcal{L}}^{approx}(u, C)(\xi) = \mathrm{e}^{-\langle \xi, u \rangle} \sum_{L \in \mathcal{L}} \rho_{\mathcal{L}}(L) S^{L}(u+C)(\xi)$$

 \mathcal{L} any family of subspaces which contains all subspaces parallel to the faces of codimension $\leq k$ of C and is closed under sum. $\rho_{\mathcal{L}}(L)$ Moebius coefficients of \mathcal{L} .

When *C* is simplicial, smallest such family is just the set of faces of codimension $\leq k$, (sums of subspaces not needed), Moebius function easy to compute.

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- First proof that M^{approx}_{L_s}(u, C)(ξ) is an approximation: based on Euler-MacLaurin formula for a polyhedral cone (Baldoni, B., Vergne, 2008)
- New proof (2013): based on Poisson summation formula (and one-sided continuity).

Heuristically, $S(u + C)(\xi) = \sum_{x \in \mathbb{Z}^d} \phi(x) = \sum_{\gamma \in \mathbb{Z}^d} \hat{\phi}(2\pi\gamma)$

$$\phi(\mathbf{x}) := \mathrm{e}^{\langle \xi, \mathbf{x} \rangle} [\mathbf{u} + \mathbf{C}](\mathbf{x})$$

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$$\hat{\phi}(\mathbf{x}) := e^{\langle \xi, \mathbf{x} \rangle} [\mathbf{u} + \mathbf{C}](\mathbf{x}) \hat{\phi}(2\pi\gamma) = \int_{\mathbf{u}+\mathbf{C}} e^{\langle \xi+2i\pi\gamma, \mathbf{x} \rangle} d\mathbf{x} =: I(\mathbf{u}+\mathbf{C})(\xi+2i\pi\gamma)$$

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$$S(u+C)(\xi) = \sum_{\gamma \in \mathbb{Z}^d} I(u+C)(\xi + 2i\pi\gamma)$$

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$$\begin{split} \phi(x) &:= e^{\langle \xi, x \rangle} [u+C](x) \\ \hat{\phi}(2\pi\gamma) &= \int_{u+C} e^{\langle \xi+2i\pi\gamma, x \rangle} dx =: I(u+C)(\xi+2i\pi\gamma) \\ S(u+C)(\xi) &= \sum I(u+C)(\xi+2i\pi\gamma) \end{split}$$

Dual lattice to projected lattice: $(\Lambda_{\mathbb{R}^d/L})^* = \mathbb{Z}^d \cap \underline{L}^{\perp}$.

 $\gamma \in \mathbb{Z}^d$

$$S^{L}(u+C)(\xi) = \sum_{\gamma \in \mathbb{Z}^{d} \cap L^{\perp}} I(u+C)(\xi+2i\pi\gamma)$$

Three quasi-polynomials

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Barvinok's linear combination

 \mathcal{L} finite family of subspaces L. $\rho(L) \in \mathbb{Z}$.

 $\sum_{L\in\mathcal{L}}\rho(L)S^{L}(\mathfrak{p})$

Fix $k \leq \dim \mathfrak{p}$.

- family *L* contains the linear spaces parallel to all faces of codimension ≤ *k* of p,
- family \mathcal{L} is closed under sum, drawings on blackboard
- coefficients satisfy Moebius property

$$[\bigcup_{L\in\mathcal{L}}L^{\perp}]=\sum_{L\in\mathcal{L}}\rho(L)[L^{\perp}].$$

 L^{\perp} orthogonal of L in dual space of \mathbb{R}^d

Three quasi-polynomials

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Two canonical families of slicing subspaces for a polytope p

In order to use Brion's theorem, need to approximate generating functions of cone C_s , for all vertices *s* of \mathfrak{p} . Need a family of slicing subspaces \mathcal{L}_s for each vertex *s* of \mathfrak{p} . Two canonical such families.

Three quasi-polynomials

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 Barvinok (original family of A.Barvinok): same family L_s = L for all vertices, L the smallest family which contains all subspaces parallel to the faces of codimension ≤ k of p and is closed under sum.

Three quasi-polynomials

Two canonical families of slicing subspaces for a polytope p

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- Barvinok (original family of A.Barvinok): same family L_s = L for all vertices, L the smallest family which contains all subspaces parallel to the faces of codimension ≤ k of p and is closed under sum.
- ConeByCone: for a vertex s, L_s the smallest family which contains all subspaces parallel to the faces of codimension ≤ k of C_s and is closed under sum.
 (Easy when p is a simple polytope)

ntermediate generating functions

Approximation

Three quasi-polynomials

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Three quasi-polynomials for a polytope p

- $S(t\mathfrak{p},h) = \sum_{x \in t\mathfrak{p} \cap \mathbb{Z}^d} h(x)$
- Barvinok_{p,h,k}(t) = ∑_{L∈L} ρ_L(L)S^L(tp, h) where L family of sums of faces of codim ≤ k.
- ConeByCone_{p,h,k}(t)
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Three quasi-polynomials for a polytope p

- $S(t\mathfrak{p},h) = \sum_{x \in t\mathfrak{p} \cap \mathbb{Z}^d} h(x)$
- Barvinok_{p,h,k}(t) = ∑_{L∈L} ρ_L(L)S^L(tp, h) where L family of sums of faces of codim ≤ k.
- ConeByCone_{p,h,k}(t) What is it ?

The third quasi-polynomial when p is simple Fix $k, 0 \le k \le d$.

Theorem (B.B.DeL.K.V. 2013). \mathfrak{p} simple polytope. 1) For each vertex *s* of \mathfrak{p} , let \mathcal{L}_s be the family of faces of codimension $\leq k$ of the supporting cone C_s . Then the sum

$$ConeByCone(\mathfrak{p})(\xi) := \sum_{s} \sum_{L \in \mathcal{L}_s} \rho_{\mathcal{L}_s}(L) S^L(s + C_s)(\xi)$$

is analytic at $\xi = 0$. 2) For $h(x) = \frac{\langle \ell, x \rangle^m}{m!}$, polynomial weight on \mathbb{R}^d , define

 $ConeByCone(\mathfrak{p}, h) = ConeByCone(\mathfrak{p})_{[m]}(\xi)|_{\xi=\ell}$

Then $ConeByCone(t\mathfrak{p}, h)$ is a quasi-polynomial with the same k + 1 highest degree terms as $S(t\mathfrak{p}, h)$. Proof of 1): poles and residues of $S^{L}(s + C_{s})(\xi)$ are computed using Poisson summation formula.

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Step-polynomials, polynomial time algorithms.

ask Jesus, Matthias ...

For $h(x) = \frac{\langle \ell, x \rangle^m}{m!}$ Barvinok_{p,h,k} $(t) = \sum_{L \in \mathcal{L}} \rho_{\mathcal{L}}(L) S^L(tp)_{[m]}(\ell)$ ConeByCone_{p,h,k} $(t) = \sum_s \sum_{L \in \mathcal{L}_s} \rho_{\mathcal{L}_s}(L) S^L(s + C_s)_{[m]}(\ell)$

• Read out of $S^{L}(t s + C_{s})_{[m]}(\xi)$ how to express Barvinok_{p,h,k}(t) and ConeByCone_{p,h,k}(t) in terms of a finite family of step-polynomials associated with the input (p, ℓ , m).

Approximation 000000000 Three quasi-polynomials

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• Moebius coefficients for a simplicial cone. A face *L* of codimension *j* is defined by d - j edges of the cone. $\rho(L) = (-1)^{k-j}$ binomial(d - j - 1, d - k - 1)

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Moebius coefficients for a simplicial cone. A face *L* of codimension *j* is defined by *d* - *j* edges of the cone.
ρ(L) = (-1)^{k-j} binomial(*d* - *j* - 1, *d* - *k* - 1)
Moebius coefficients for a simplex. A face *L* of codimension *j* is defined by *d* + 1 - *j* vertices, need to take also sums of subspaces parallel to faces.

→ Poset of partitions of $\{1, ..., d + 1\}$ into blocks of either size 1 (singletons) or size $\geq d + 1 - k$.

Weighted sums

Intermediate generating functions

Approximation

Three quasi-polynomials

THANK YOU

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