

Intuitionistic provability and uniformly provability in RCA

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1. Introduction.
2. Motivating Results.
3. Investigations.

Constructivity

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- In this talk, we think of constructivity as (Turing) **computational algorithm** and show some equivalences between **global** and **local** constructive provability with respect to reverse mathematics.

Global Constructivity: Constructive Mathematics

Constructive mathematic was initiated mainly by L.E.J. Brouwer based on his philosophy in the disputation on foundation of mathematics in the early 20th century.

The following is an exposition from

“Douglas Bridges and Erik Palmgren, Constructive Mathematics, The Stanford Encyclopedia of Philosophy (Winter 2013 Edition)”.

Constructive mathematics is distinguished from its traditional counterpart, classical mathematics, by the strict interpretation of the phrase “there exists” as “we can construct”. In order to work constructively, we need to re-interpret not only the existential quantifier but all the logical connectives and quantifiers as instructions on how to construct a proof of the statement involving these logical expressions.

Intuitionistic Two-sorted Arithmetic

Intuitionistic two-sorted arithmetic EL introduced by A. S. Troelstra in 1970's is served as base theory formalizing constructive mathematics.

Intuitionistic Two-sorted Arithmetic

- As language, EL has two-sorted variables (for numbers and functions), 0, successor S , abstraction operators λx . (only for numbers), a recursor R , function constants for all primitive recursive functions and equality $=$ for numbers.
- Terms of EL are defined in the usual manner.
- Axioms and rules of EL include
 - λ -CON: $(\lambda x.t)t' = t[t'/x]$
 - REC: $Rt\varphi 0 = 0$ and $Rt\varphi(St') = \varphi(Rt\varphi t', t')$
 - IND: $A(0) \wedge \forall x (A(x) \rightarrow A(Sx)) \rightarrow \forall x A(x)$
 - QF-AC^{0,0}: $\forall x \exists y A_{qf}(x, y) \rightarrow \exists f \forall x A_{qf}(x, fx)$
- EL does not have the law-of-excluded-middle: $A \vee \neg A$.

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 - QF-AC^{0,0}: $\forall x \exists y A_{qf}(x, y) \rightarrow \exists f \forall x A_{qf}(x, fx)$
- EL does not have **the law-of-excluded-middle**: $A \vee \neg A$.

Remark.

$EL \vdash A \vee B \leftrightarrow \exists k (k = 0 \rightarrow A \wedge k \neq 0 \rightarrow B)$.

Intuitionistic & Classical Systems

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- One can identify $EL + LEM$ with function-based language as RCA (RCA₀+full induction) with set-based language, since Δ_1^0 -CA (by function-based language) is derived from QF-AC^{0,0} and LEM.
- One can identify EL_0 (with QF-IND)+LEM as RCA₀, since Σ_1^0 -IND is intuitionistically derived from QF-AC^{0,0} and QF-IND intuitionistically.

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- One can identify EL_0 (with QF-IND)+LEM as RCA₀, since Σ_1^0 -IND is intuitionistically derived from QF-AC^{0,0} and QF-IND intuitionistically.

Then we shall use the same notations RCA and RCA₀ respectively for $EL + LEM$ and $EL_0 + LEM$.

Local Constructivity for Mathematical Statements

Many mathematical statements have Π_2 form:

$$\forall X (A(X) \rightarrow \exists Y B(X, Y)).$$

Intermediate Value Theorem.

For any continuous function $f : [0, 1] \rightarrow \mathbb{R}$ s.t. $f(0) < 0 < f(1)$, then there exists a point $m \in [0, 1]$ s.t. $f(m) = 0$.

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- To reveal the non-uniformity, the following **sequential version** has been investigated.

$$\forall \langle X_n \rangle_{n \in \mathbb{N}} (\forall n A(X_n) \rightarrow \exists \langle Y_n \rangle_{n \in \mathbb{N}} \forall n B(X_n, Y_n)).$$

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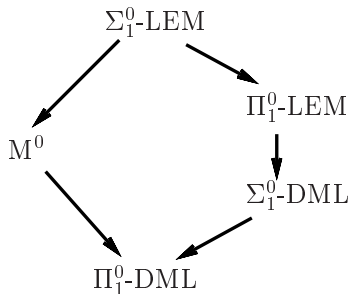
Examples.

	Pointwise	Sequential
JD (The existence of Jordan decomposition for real square matrices)	RCA	ACA
RT^1 (Infinite pigeonhole principle)	RCA	ACA
IVT (Intermediate value theorem)	RCA	WKL
TET (Tietze extension theorem)	RCA	RCA

Contents

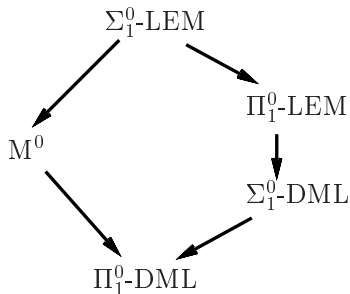
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In this 10 years, it had been found that the law-of-excluded-middle(LEM) has an arithmetical hierarchy (over intuitionistic system like EL) as in reverse mathematics.



- $M^0 : \neg\neg\exists xA_{qf} \rightarrow \exists xA_{qf}$
- $\Sigma_1^0\text{-LEM} : \exists xA_{qf} \vee \neg\exists xA_{qf}$
- $\Sigma_1^0\text{-DML} : \neg(\exists xA_{qf} \wedge \exists yB_{qf}) \rightarrow (\neg\exists xA_{qf} \vee \neg\exists yB_{qf})$

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Recently, [constructive reverse mathematic](#), which classify mathematical principles by that hierarchy, has been carried out.

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- DIC : $\forall \alpha \in \mathbb{R} (\alpha \leq 0 \vee \alpha \geq 0)$.

Fact.

Over EL,

- TRIC $\leftrightarrow \Sigma_1^0$ -LEM.
- DIC $\leftrightarrow \Sigma_1^0$ -DML.

Fact.

Over RCA,

- Seq(TRIC) \leftrightarrow ACA.
- Seq(DIC) \leftrightarrow WKL.

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Over EL,

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Fact.

Over RCA,

- Seq(TRIC) \leftrightarrow ACA.
- Seq(DIC) \leftrightarrow WKL.

Proposition. (Ishihara 2005)

- $\text{EL} \vdash \text{ACA} \leftrightarrow \Sigma_1^0\text{-LEM} + \Pi_1^0\text{-AC}^{0,0}$.
- $\text{EL} \vdash \text{WKL} \leftrightarrow \Sigma_1^0\text{-DML} + \Pi_1^0\text{-AC}^\forall$.

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Let us consider a Π_2^1 statement

$$\forall X^1 (A(X) \rightarrow \exists Y^1 B(X, Y)).$$

- Its provability in RCA corresponds to Y is Muchnik reducible to X , i.e. for all X satisfying $A(X)$, there is a program Φ of Turing machine with oracle s.t. Φ^X compute Y satisfying $B(X, Y)$

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- On the other hand, what one intend to represent by its sequential provability in RCA is that Y is Medvedev reducible to X , i.e. there is a uniform program Φ of Turing machine with oracle s.t. for all X satisfying $A(X)$, Φ^X compute Y satisfying $B(X, Y)$

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Thus, if its sequential version derives WKL or ACA, then there is no uniform program Φ of Turing machine with oracle s.t. for all X satisfying $A(X)$, Φ^X compute Y satisfying $B(X, Y)$

By the way, what is the formal representation to capture precisely the uniform provability in RCA?

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- 1 There exists a (primitive recursive) term t^1 of RCA s.t.

$$\text{RCA} \vdash \forall X (A(X) \rightarrow t|X \downarrow \wedge B(X, t|X)),$$

where $|$ is the partial continuous operation from $\mathbb{N}^{\mathbb{N}}$ to $\mathbb{N}^{\mathbb{N}}$.

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- 2** There exists a (Gödel primitive recursive) term $t^{1 \rightarrow 1}$ of RCA^ω s.t.

$$\text{RCA}^\omega \vdash \forall X (A(X) \rightarrow B(X, tX)),$$

where $\text{RCA}^\omega (:= \text{E-HA}^\omega + \text{QF-AC}^{1,0} + \text{LEM})$ is a conservative extension of RCA in all finite types.

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Remark: Both of them imply sequential provability in RCA.

Kleene's Partial Continuous Operation

We use a partial operation $(\cdot)(\cdot) : \mathbb{N}^{\mathbb{N}} \times \mathbb{N}^{\mathbb{N}} \rightarrow \mathbb{N}$ to define $| : \mathbb{N}^{\mathbb{N}} \times \mathbb{N}^{\mathbb{N}} \rightarrow \mathbb{N}^{\mathbb{N}}$.

For $\alpha, \beta : \mathbb{N} \rightarrow \mathbb{N}$,

$$\alpha(\beta) := \begin{cases} \alpha(\bar{\beta}n) - 1 & \text{where } n \text{ is the least } n' \text{ s.t. } \alpha(\bar{\beta}n') \neq 0. \\ \uparrow & \text{if there is no such } n'. \end{cases}$$

Then

$$\alpha|\beta := \lambda n. \alpha(\langle n \rangle \frown \beta).$$

Proposition. (Dorais 2014, via Realizability interpretation)

If $\text{EL} + \text{M}^0 \vdash \forall X^1 (A(X) \rightarrow \exists Y^1 B(X, Y))$, then
there exists a term t^1 s.t.

EL (hence RCA) $\vdash \forall X (A(X) \rightarrow t|X \downarrow \wedge B(X, t|X))$,

provided that $A(X) \in \text{N}_K$ and $B(X, Y) \in \text{L}_K$.

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provided that $A(X) \in N_K$ and $B(X, Y) \in L_K$.

- N_K is the class of formulas defined inductively as;
 - A_{qf} , $\exists x^\rho A_{\text{qf}}$ are in N_K .
 - If A_1, A_2 are in N_K , then $A_1 \wedge A_2$, $A_1 \rightarrow A_2$, $\forall x^\rho A_1$ are in N_K .
- L_K is the class of formulas defined inductively as;
 - A_{qf} is in L_K .
 - If A_1, A_2 are in L_K , then $A_1 \wedge A_2$, $\forall x^\rho A_1$ and $\exists x^\rho A_1$ are in L_K .
 - If A_1 is in N_K and A_2 is in L_K , then $A_1 \rightarrow A_2$ is in L_K .

Corollary.

If $EL + M^0 \vdash \forall X^1 (A(X) \rightarrow \exists Y^1 B(X, Y))$, then

$$RCA \vdash \forall \langle X_n \rangle_{n \in \mathbb{N}} (\forall n A(X_n) \rightarrow \exists \langle Y_n \rangle_{n \in \mathbb{N}} \forall n B(X_n, Y_n)).$$

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Corollary.

If $EL + M^0 \vdash \forall X^1 (A(X) \rightarrow \exists Y^1 B(X, Y))$, then

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provided that $A(X) \in N_K$ and $B(X, Y) \in L_K$.

Remark. (Yokoyama-F. 2013)

The class N_K for A **cannot** be extended to involve $\exists u^0 \forall v^0 A_{qf}$ in the previous proposition.

Theorem.

If there exists a term t^1 s.t.

$$\text{RCA} \vdash \forall X^1 (A(X) \rightarrow t|X \downarrow \wedge B(X, t|X)),$$

then

$$\text{EL} + \text{M}^0 \vdash \forall X (A(X) \rightarrow \exists Y B(X, Y)),$$

provided that $A(X) \in \text{N}_M$ and $B(X, Y)$ is equivalent to some formula $\forall w^\rho \exists s^0 B_{\text{qf}}(X, Y, w, s)$ over $\text{EL} + \text{M}^0$.

- N_M is the class of formulas defined inductively as;
 - A_{qf} is in N_M .
 - If A_1, A_2 are in N_M , then $A_1 \wedge A_2, A_1 \vee A_2, \forall x^\rho A_1, \exists x^\rho A_1$ are in N_M .
 - If A is in N_M , then $\forall u^\rho \exists v^0 A_{\text{qf}} \rightarrow A$ is in N_M .

Negative Translation

To show this theorem, we use the following **negative translation**.

Definition. (Kuroda 1951)

A^N is defined as $A^N := \neg\neg A^*$, where A^* is defined by induction on the logical structure of A :

- $A^* := A$, if A is a prime formula,
- $(A \square B)^* := (A^* \square B^*)$, where $\square \in \{\wedge, \vee, \rightarrow\}$,
- $(\exists x^\rho A)^* := \exists x^\rho A^*$,
- $(\forall x^\rho A)^* := \forall x^\rho \neg\neg A^*$.

Example.

- $\text{IP}^0(\Pi_1^0, \Sigma_0^0)$:
 $(\forall u^0 A_{qf} \rightarrow \exists x^0 B_{qf}) \rightarrow \exists x^0 (\forall u^0 A_{qf} \rightarrow B_{qf})$
where A_{qf} does not contain x free.

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$$IP(\Pi_1^0, \Sigma_0^0)^N \equiv \neg\neg \left(\begin{array}{l} (\forall u \neg\neg A_{qf} \rightarrow \exists x B_{qf}) \\ \rightarrow \exists x (\forall u \neg\neg A_{qf} \rightarrow B_{qf}) \end{array} \right),$$

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which is intuitionistically equivalent to

$$(\forall u A_{qf} \rightarrow \exists x B_{qf}) \rightarrow \neg\neg \exists x (\forall u A_{qf} \rightarrow B_{qf}).$$

Lemma.

If $\text{RCA} \vdash A$, then $\text{EL} + \text{M}^0 \vdash A^N$.

Idea of Proof.

Induction on the length of the derivation. It is enough to check all the axioms and rules of RCA. Actually M^0 is used only to derive $(\text{QF-AC}^{0,0})^N$ intuitionistically from $\text{QF-AC}^{0,0}$. \square

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 \rightarrow_i \quad (\forall u A_{qf} \rightarrow \exists x B_{qf}) \rightarrow \exists x (\forall u A_{qf} \rightarrow B_{qf}).
 \end{array}$$

Lemma.

For any formula $A \in N_M$, $EL + M_0 \vdash A \rightarrow A^*$.

Proof is by induction on the structure of N_M .

- N_M is the class of formulas defined inductively as;
 - A_{qf} is in N_M .
 - If A_1, A_2 are in N_M , then $A_1 \wedge A_2, A_1 \vee A_2, \forall x^\rho A_1, \exists x^\rho A_1$ are in N_M .
 - If A is in N_M , then $\forall u^\rho \exists v^0 A_{\text{qf}} \rightarrow A$ is in N_M .

Theorem.

If there exists a term t^1 s.t.

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$$\text{EL} + \text{M}^0 \vdash \forall X (A(X) \rightarrow \exists Y B(X, Y)),$$

provided that $A(X) \in \mathbf{N}_M$ and $B(X, Y)$ is equivalent to some formula $\forall w^\rho \exists s^0 B_{qf}(X, Y, w, s)$ over $\text{EL} + \text{M}^0$.

Proof Sketch.

Theorem.

If there exists a term t^1 s.t.

$$\text{RCA} \vdash \forall X^1 (A(X) \rightarrow t|X \downarrow \wedge B(X, t|X)),$$

then

$$\text{EL} + \text{M}^0 \vdash \forall X (A(X) \rightarrow \exists Y B(X, Y)),$$

provided that $A(X) \in \mathbf{N}_M$ and $B(X, Y)$ is equivalent to some formula $\forall w^\rho \exists s^0 B_{qf}(X, Y, w, s)$ over $\text{EL} + \text{M}^0$.

Proof Sketch.

By negative translation, we have that $\text{EL} + \text{M}^0$ derives

$$\forall X^1 (A^*(X) \rightarrow \neg\neg (t|X \downarrow)^* \wedge \neg\neg (\forall w \exists s B_{qf}(X, t|X, w, s))^*).$$

By the previous lemma and multiple use of M^0 , one obtain that

$$\text{EL} + \text{M}^0 \vdash \forall X^1 (A(X) \rightarrow t|X \downarrow \wedge B(X, t|X)).$$

Therefore $\text{EL} + \text{M}^0 \vdash \forall X (A(X) \rightarrow \exists Y B(X, Y))$. □

Combining the theorem with Dorais's result, we have the following.

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Proposition.

There exists a term t^1 s.t.

$$\text{RCA} \vdash \forall X^1 (A(X) \rightarrow t|X \downarrow \wedge B(X, t|X))$$

if and only if

$$\text{EL} + \text{M}^0 \vdash \forall X (A(X) \rightarrow \exists Y B(X, Y)),$$

provided that $A(X) \in \mathcal{N}_{\text{KM}}$ and $B(X, Y)$ is equivalent to some formula $\forall w^\rho \exists s^0 B_{\text{qf}}(X, Y, w, s)$ over $\text{EL} + \text{M}^0$.

- \mathcal{N}_{KM} is the class of formulas defined inductively as;
 - A_{qf} and $\exists x^\rho A_{\text{qf}}$ are in \mathcal{N}_{KM} .
 - If A_1, A_2 are in \mathcal{N}_{KM} , then $A_1 \wedge A_2, \forall x^\rho A_1$ are in \mathcal{N}_{KM} .
 - If A is in \mathcal{N}_{KM} , then $\forall u^\rho \exists v^0 A_{\text{qf}} \rightarrow A$ is in \mathcal{N}_{KM} .

On the Syntactical Restriction

- Annoying feature of Intuitionistic systems is lack of the following properties.
 - $(A \rightarrow \exists x B) \rightarrow \exists x (A \rightarrow B)$.
 - $(\forall x A \rightarrow B) \rightarrow \exists x (A \rightarrow B)$.

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- However, under the M^0 , one can intuitionistically show the followings.
 - $IP^0(\Pi_1^0, \Sigma_0^0)$:
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 - $(\exists x^0 A_{qf} \rightarrow B_{qf}) \rightarrow \exists x^0 (A_{qf} \rightarrow B_{qf})$.

\Rightarrow Our proposition seems to be applicable to a lot of mathematical statements.

Proposition (Hirst-Mummert 2011, via Modified Realizability Interpretation)

If $E\text{-HA}^\omega + AC \vdash \forall X^1 (A(X) \rightarrow \exists Y^1 B(X, Y))$,
 then there exists a term $t^{1 \rightarrow 1}$ s.t.

$$E\text{-HA}^\omega \vdash \forall X (A(X) \rightarrow B(X, tX)),$$

provided that $A(X)$ is existential-free and $B(X, Y) \in \Gamma_1$ where Γ_1 is the class of formulas defined inductively as;

- A_{qf} is in Γ_1 .
- If A_1, A_2 are in L_K , then $A_1 \wedge A_2$, $\forall x A_1$ and $\exists x A_1$ are in Γ_1 .
- If A_1 is existential-free and A_2 is in Γ_1 , then $A_1 \rightarrow A_2$ is in Γ_1 .

Corollary.

If $EL \vdash \forall X (A(X) \rightarrow \exists Y B(X, Y))$,
then there exists a term $t^{1 \rightarrow 1}$ of RCA^ω s.t.

$$RCA^\omega \vdash \forall X (A(X) \rightarrow B(X, tX)),$$

provided that $A(X)$ is existential-free and $B(X, Y) \in \Gamma_1$.

Theorem.

If there exists a term $t^{1 \rightarrow 1}$ of RCA^ω s.t.

$$\text{RCA}^\omega \vdash \forall X (A(X) \rightarrow B(X, tX)),$$

then

$$\text{EL} \vdash \forall X (A(X) \rightarrow \exists Y B(X, Y)),$$

provided that $A(X)$ is **purely universal** and $B(X, Y)$ is equivalent to some formula $\forall w^\rho \exists s^0 B_{qf}(X, Y, w, s)$ over EL.

Theorem.

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Proof Sketch.

As in the previous theorem, by negative translation, we have

$$\text{E-HA}^\omega + \text{QF-AC}^{1,0} + \text{M}^0 \vdash \forall X (A(X) \rightarrow \exists Y B(X, Y)).$$

By using elimination of extensionality and Dialectica interpretation, we obtain

$$\text{WE-HA}^\omega \vdash \forall X (A(X) \rightarrow \exists Y B(X, Y)).$$

The conclusion follows from the conservativity of WE-HA^ω . \square

Combining the theorem with Hirst-Mummert's result, we have the following.

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Remarks

1. Our two propositions express that in ω structures, for practical Π_2 statements, intuitionistic (or constructive recursive) provability is identical with the existence of a uniform algorithm obtaining the witness from the problem and its verification is done in computable mathematics with classical logic.

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2. One can show the versants of our two propositions where RCA^ω and EL are replaced by RCA_0^ω and EL_0 respectively in the same manner. (Note that term $t^{1 \rightarrow 1}$ of RCA_0^ω is a primitive recursive functional in the sense of Kleene.)

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3. All proofs of our propositions are syntactic (just translating formal proofs inductively).
4. One might obtain this kind of results also for $\text{RCA} + \text{WKL}$.

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Remark. (Yokoyama-F. 2013)

The class \mathbb{N}_K for A **cannot** be extended to involve $\exists u^0 \forall v^0 A_{qf}$ in the previous proposition.

Proof.

There is a simple counterexample B :

$\forall X (X \text{ is finite} \rightarrow \exists Y \text{ s.t. its upper bound is in } Y)$.

B is a statement of form $\forall X (\exists u \forall v A_{qf}(X) \rightarrow \exists Y B(X, Y))$ s.t.

- it is provable in EL.
- its **strong** sequential version:

$\forall \langle X_n \rangle_{n \in \mathbb{N}} (\forall n \exists u \forall v A_{qf}(X_n, u, v) \rightarrow \exists \langle Y_n \rangle_{n \in \mathbb{N}} \forall n B(X_n, Y_n))$
 implies ACA over RCA.

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Remark: Its **weak** sequential version:

$\forall \langle X_n \rangle_{n \in \mathbb{N}} \forall \langle u_n \rangle_{n \in \mathbb{N}} (\forall n \forall v A_{qf}(X_n, u_n, v) \rightarrow \exists \langle Y_n \rangle_{n \in \mathbb{N}} \forall n B(X_n, Y_n))$
 is trivially provable in RCA.