

The left side of Cichoń's Diagram

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Cichoń's Diagram

Theorem

Let $\kappa_{an} < \kappa_{cn} < \kappa < \kappa_{nm} < \kappa_{ct}$ be regular uncountable cardinals with $\kappa^{\aleph_0} = \kappa$, $\kappa_{ct}^{\aleph_0} = \kappa_{ct}$ and $2^\kappa \geq \kappa_{ct}$. Assume $\mathfrak{b} = \mathfrak{d} = \kappa$.

Then there is a ccc poset forcing

- $\text{add}(\text{null}) = \kappa_{an}$,
- $\text{cov}(\text{null}) = \kappa_{cm}$,
- $\mathfrak{b} = \kappa$,
- $\text{non}(\text{meager}) = \kappa_{nm}$,
- $\text{cov}(\text{meager}) = 2^{\aleph_0} = \kappa_{ct}$.

Joint work with Diego Mejía and Saharon Shelah.

(See our preprint for a slightly stronger version, available on arXiv.org soon.)

Naive strategy:

- To ensure $\text{add}(\text{null}) \geq \kappa_{an}$, use small subposets of amoeba forcing.
- To ensure $\text{cov}(\text{null}) \geq \kappa_{cn}$, use small subposets of random forcing.
- To ensure $\mathfrak{b} \geq \kappa_b$, use small subposets of Hechler forcing.
- To ensure $\text{non}(\text{meager}) \geq \kappa_{nm}$, use small subposets of eventually different forcing \mathbb{E} .

More precisely: In V^{P_α} , let $Q_\alpha := \mathbb{E} \cap V^{P'_\alpha}$, for some small $P'_\alpha \triangleleft P_\alpha$.

To ensure the converse inequalities, use well-known preservation theorems (σ -centered, μ -centered, etc.)

Definition

Eventually different forcing \mathbb{E} is the set of all conditions (s, φ) , where $s \in \omega^{<\omega}$, φ is a slalom with domain $\omega \setminus \text{dom}(s)$ of bounded width:

$$\exists w \in \omega \forall n : |\varphi(n)| \leq w.$$

The condition (s, φ) forces that the generic function $g : \omega \rightarrow \omega$ **extends** s and **avoids** φ (i.e., $\forall n : g(n) \notin \varphi(n)$).

Definition

Let D be an ultrafilter on ω . For any sequence $\bar{A} = (A_k : k \in \omega)$ of subsets of ω we define $B := \lim_D \bar{A}$ by

$$\forall i \in \omega : i \in B \Leftrightarrow \{k : i \in A_k\} \in D.$$

- Note that B may be empty. (E.g., $A_k = \{k\}$.)
- Even if all set A_k are finite, B may be infinite. (E.g., $A_k = \{0, \dots, k\}$.)
- However: If $\exists w \in \omega : \forall k |A_k| \leq w$, then also $\lim \bar{A}$ is finite. (In fact, any uniform bound for the A_n will also be a bound for their limit.)

Ultrafilter limits in \mathbb{E}

Definition

Let $s \in \omega^{<\omega}$, $w \in \omega$.

A condition p obeys (s, w) if p is of the form (s, φ) with $|\varphi(n)| \leq w$ for all n .

A sequence $\bar{p} = (p_k : k \in \omega)$ of conditions in \mathbb{E} is called **uniform** if they all obey the same (s, w) .

Definition

Let $\bar{p} = (p_k : k \in \omega)$ be a uniform sequence of conditions, all obeying (s, w) . Let $p_k = (s, \varphi_k)$. Let D be an ultrafilter on ω . Then $q = \lim_D \bar{p}$ is defined as follows: $q = (s, \psi)$, where

$$\forall i \forall n : i \in \psi(n) \Leftrightarrow \{k : i \in \varphi_k(n)\} \in D,$$

i.e., ψ is the pointwise D -limit of $(\varphi_k : k \in \omega)$.

Note that q also obeys (s, w) .

Why \mathbb{E} does not destroy unbounded families

Lemma (Miller, TAMS 1981: Compactness)

Let D be a nonprincipal ultrafilter.

Then there is an \mathbb{E} -name \underline{D}^{+1} such that:

- $\Vdash_{\mathbb{E}} \underline{D}^{+1} \supseteq D$ is an ultrafilter.
- For all uniform sequences $\bar{p} = (p_k : k \in \omega)$ of conditions, their D -limit $\lim_D \bar{p}$ forces: $\{k : p_k \in G_{\mathbb{E}}\} \in D^{+1}$.

(This says: Conditions from a uniform sequence are **very compatible**: infinitely many of them fit into the same generic filter.)

Proof.

Let $A_{\bar{p}} := \{k : p_k \in G_{\mathbb{E}}\}$ if $\lim_D \bar{p} \in G_{\mathbb{E}}$, and $A_{\bar{p}} := \omega$ otherwise.

Show that the family of all such $A_{\bar{p}}$ has the finite intersection property. \square

Why \mathbb{E} does not destroy unbounded families, continued

Theorem (Miller 1981)

Let $\bar{f} := (f_i : i < \kappa)$ be a strongly unbounded sequence in ω^ω .

(I.e., $(f_i : i \in S)$ unbounded for all $S \in [\kappa]^\kappa$.)

Then \bar{f} is still unbounded in $V^{\mathbb{E}}$.

Proof.

Assume \underline{g} is a bound for \bar{f} . Fix $D \in V$, $D^{+1} \in V^{\mathbb{E}}$ as in the theorem.

Find $(n_i : i \in \kappa)$ and $(p_i : i \in \kappa)$ such that $p_i \Vdash \forall n \geq n_i : f_i(n) \leq \underline{g}(n)$.

For some $S \in [\kappa]^\kappa$ we get that $(n_i : i \in S)$ is constant, say with value 0,

and that $(p_i : i \in S)$ is uniform. (Same stem, bounded width.)

Wlog $\{f_i(0) : i \in S\}$ is unbounded. Thin out to a uniform sequence

$\bar{p} = (p_{i_k} : k \in \omega)$ such that $(f_{i_k}(0) : k \in \omega)$ is strictly increasing.

Let $q := \lim_D \bar{p}$. Then q forces that $\underline{g}(0)$ bounds "almost all" $f_{i_k}(0)$. □

What can go wrong

Let $\mathbb{E}' \subseteq \mathbb{E}$ be a small subforcing. To show that \mathbb{E}' does not destroy any unbounded family, we would like to have:

Lemma (Wishful thinking)

Whenever $\bar{p} = (p_k : k \in \omega)$ is a uniform sequence of conditions in \mathbb{E}' , then there is a name D^{+1} of an ultrafilter extending an ultrafilter D in the ground model such that $\lim_D \bar{p} \Vdash \{k : p_k \in G_{\mathbb{E}'}\} \in D^{+1}$.

This MAY NOT WORK for certain \mathbb{E}' , because $\lim_D \bar{p}$ may be in $\mathbb{E} \setminus \mathbb{E}'$. We will have to choose \mathbb{E}' **appropriately**, see below. Note that this CANNOT WORK for all \mathbb{E}' , because:

Theorem (Pawlikowski 1992)

There may be (nice) subposets $\mathbb{E}' \subseteq \mathbb{E}$ which add a dominating real. For example, if \mathbb{I} is the “infinitely often equal” forcing, then \mathbb{I} forces that $\mathbb{E} \cap V$ adds a dominating real.

Setup

Let $\delta < (2^\kappa)^+$, and let $\delta = S \cup E$ be a partition into two unbounded sets. Let $\bar{P} = (P_\alpha, Q_\alpha : \alpha < \delta)$ be a finite support iteration with FS limit P_δ , of length $\delta < (2^\kappa)^+$ with FS limit P_δ , where:

- For all $\alpha \in S$ the forcing Q_α is forced to have universe $\lambda_\alpha < \kappa$ (“small” forcing);
- For all $\alpha \in E$ the forcing Q_α is forced to be of the form $Q_\alpha = \mathbb{E} \cap V^{P'_\alpha}$ for an *appropriate* (see below) $P'_\alpha \triangleleft P_\alpha$ of size $< \kappa_{nm}$.

Main Goal

Such iterations will not destroy any strongly unbounded family.

Without loss of generality we will only consider the dense subset of all conditions p which have a “shadow” $s = \text{shadow}(p)$ such that

- s is a finite partial function with $\text{dom}(s) = \text{dom}(p)$.
- For all $\alpha \in \text{supp}(p) \cap S$: $s(\alpha) \in \lambda_\alpha$ and $p \upharpoonright \alpha \Vdash p(\alpha) = s(\alpha)$.
- For all $\alpha \in \text{supp}(p) \cap E$: $s(\alpha) \in \omega \times \omega^{<\omega}$ and $p \upharpoonright \alpha \Vdash p(\alpha) \parallel s(\alpha)$.
(i.e., $s(\alpha) \in V$ determines the stem and the width of $p(\alpha)$.)

Let $\delta \leq 2^\kappa$ (or even $\delta < (2^\kappa)^+$), $\delta = S \cup E$. We consider an iteration $\bar{P} = (P_\alpha, Q_\alpha : \alpha < \delta)$ where we use small forcings $\alpha \in S$, and subsets of \mathbb{E} for $\alpha \in E$.

Subgoal

Whenever $\bar{p} = (p_k : k \in \omega)$ is a sufficiently nice family of conditions, then there exists a sequence $\bar{D} = (D^\alpha : \alpha \leq \delta)$ of ultrafilter names (D^α a P_α -name) such that:

- Some kind of \bar{D} -limit of \bar{p} is defined, and:
- $(\lim_{\bar{D}} \bar{p}) \Vdash$ almost all p_k (with respect to D_δ) are in G_{P_δ} .
("infinitely many" is good enough)

To get from the subgoal to the goal is left as an exercise.

What does "sufficiently nice" mean?

Definition

A family $(p_i : i \in I)$ of conditions is called a uniform Δ -system, if:

- The supports $(\text{supp}(p_i) : i \in I)$ form a Δ -system.
- The shadows agree on the root.

Let $\delta \leq 2^\kappa$ (or even $\delta < (2^\kappa)^+$), $\delta = S \cup E$. We consider an iteration $\bar{P} = (P_\alpha, Q_\alpha : \alpha < \delta)$ where we use small forcings $\alpha \in S$, and subsets of \mathbb{E} for $\alpha \in E$.

Definition

Let \bar{P} be an iteration as above. A **guardrail** for \bar{P} is a “shadow with full support”, that is: a sequence $(h(\alpha) : \alpha < \delta)$ where each $h(\alpha)$ is

- if $\alpha \in S$: an ordinal $< \lambda_\alpha$, i.e., a standard name for a condition in Q_α .
- if $\alpha \in \delta \setminus S$: a pair (s, w) with $s \in \omega^{<\omega}$, $w \in \omega$.

A condition $p \in P_\delta$ is **compatible with h** if h extends the shadow of p .

Note:

- Shadows have finite support. (“basic neighborhood in product space”)
- Guardrails have full support. (“element of product space”)
- For every guardrail h , the set $P_h := \{p \in P_\delta : \text{shadow}(p) \subseteq h\}$ is centered.

Let $\delta \leq 2^\kappa$ (or even $\delta < (2^\kappa)^+$), $\delta = S \cup E$. We consider an iteration $\bar{P} = (P_\alpha, Q_\alpha : \alpha < \delta)$ where we use small forcings $\alpha \in S$, and subsets of \mathbb{E} for $\alpha \in E$.

Definition

A family $(p_i : i \in I)$ of conditions is called a uniform Δ -system, if:

- The supports $(\text{supp}(p_i) : i \in I)$ form a Δ -system.
- The shadows agree on the root.

Each countable uniform Δ -system \bar{p} defines a basic open set in the σ -box product topology

Lemma (Engelking-Karłowicz 1965)

Assume $\delta < (2^\kappa)^+$, $\kappa = \kappa^{\aleph_0}$. Then there is a family $(h_\epsilon : \epsilon < \kappa)$ of guardrails such that for every **countable** uniform Δ -system $\bar{p} = (p_k : k \in \omega)$ there is some ϵ such that h_ϵ witnesses the uniformity of \bar{p} .

The σ -box product is κ -separable.

Recall that we have a “dense” family $(h_\epsilon : \epsilon < \kappa)$ of guardrails. Every countable uniform Δ -system follows one of these guardrails.

Fix $\epsilon < \kappa$ and consider h_ϵ .

Subsubgoal

Whenever $\bar{p} = (p_k : k \in \omega)$ is a Δ -system of conditions, all compatible with h_ϵ , then there exists a sequence $\bar{D} = \bar{D}^\epsilon = (D_\alpha^\epsilon : \alpha \leq \delta)$ of ultrafilter names (D_α^ϵ a P_α -name) such that: $\lim_{\bar{D}^\epsilon} \bar{p}$ is defined, and:

- $(\lim_{\bar{D}^\epsilon} \bar{p}) \Vdash$ *almost all* p_k (with respect to D_δ^ϵ) are in G_{P_δ} .

It is easy to achieve this goal. The support of $q := \lim \bar{p}$ will be the root Δ of \bar{p} . For $\alpha \in E \cap \Delta$ we let $q(\alpha) = \lim_{D_\alpha} (p_k(\alpha) : k \in \omega)$.

We have to make sure that $q(\alpha)$ will be in Q_α , which was defined as

$\mathbb{E} \cap V^{P'_\alpha}$ for **appropriate** $P'_\alpha \triangleleft P_\alpha$ of size $< \kappa_{nm}$.

When defining $\bar{P} = (P_\alpha, Q_\alpha : \alpha < \delta)$, we will also define a sequence $(D_\alpha^\epsilon : \alpha < \delta)$. For $\alpha \in E$, we have to ensure that $D_\alpha^\epsilon \cap V^{P'_\alpha}$ is an element of $V^{P'_\alpha}$.

Since there are only κ many ϵ , we can do this for all ϵ .

Summary

Given a strongly unbounded family \bar{F} of size κ and $\kappa \leq \kappa_{nm} \leq \kappa_{ct} \leq 2^\kappa$, we can construct a finite support iteration $(P_\alpha, Q_\alpha : \alpha < \kappa_{ct})$, mixing

- arbitrary ccc forcings Q_α of size $< \kappa$
- forcings Q_α of the form $\mathbb{E} \cap V^{P'_\alpha}$ for “sufficiently closed” $P'_\alpha \triangleleft P_\alpha$ of size $< \kappa_{nm}$

which will preserve the unboundedness of \bar{F} .