

Fully Automated Shape Analysis Based on Forest Automat

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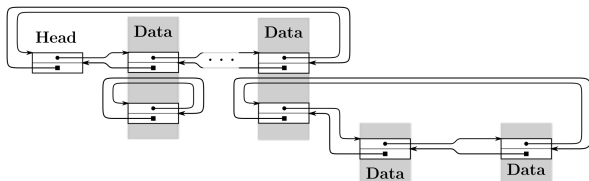
Uppsala University, Sweden

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Shape Analysis

■ Shape analysis:

- reasoning about programs with dynamic linked data structures
- notoriously **difficult**: infinite sets of complex graphs

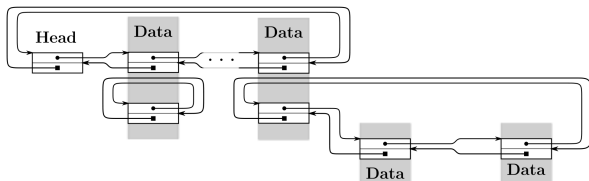


- **memory safety**: invalid dereferences, double free, memory leakage
- **error line reachability** (assertions), **shape invariance** (testers), ...

Shape Analysis

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- ▶ **memory safety**: invalid dereferences, double free, memory leakage
- ▶ **error line reachability** (assertions), **shape invariance** (testers), ...

■ Existing solutions:

- ▶ often specialized (lists)
- ▶ require human help (loop invariants, inductive predicates)
- ▶ low scalability
- ▶ ⇒ **still quite far from a general push-button solution**

■ Separation Logic

😊 local reasoning: well scalable

😞 fixed abstraction

■ Separation Logic

- 😊 local reasoning: **well scalable**
- 😞 **fixed abstraction**

■ Abstract Regular Tree Model Checking (ARTMC)

- 😊 uses tree automata (TA): **flexible** and **refinable abstraction**
- 😞 monolithic encoding of the heap: **limited scalability**

The Forest Automata-based Approach

Forest Automata

- Combine
 - 😊 flexibility of ARTMC

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- Combine
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- with
 - 😊 scalability of SL

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- splitting heaps into tree components

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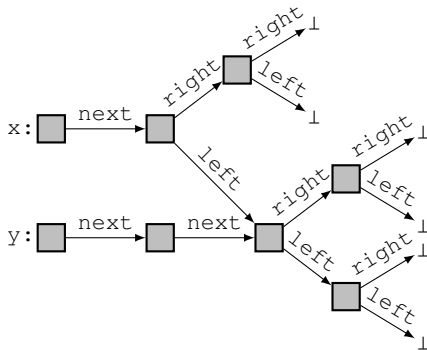
- splitting heaps into tree components

and

- using tree automata to represent sets of tree components of heaps

Heap Representation

■ Forest decomposition of a heap



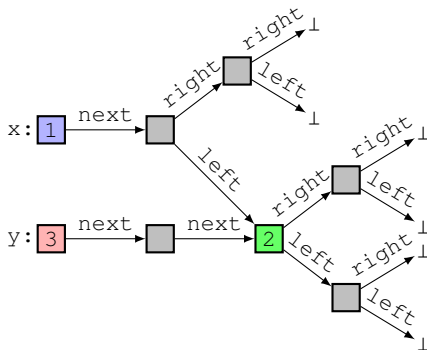
Heap Representation

■ Forest decomposition of a heap

- Identify **cut-points**

nodes referenced:

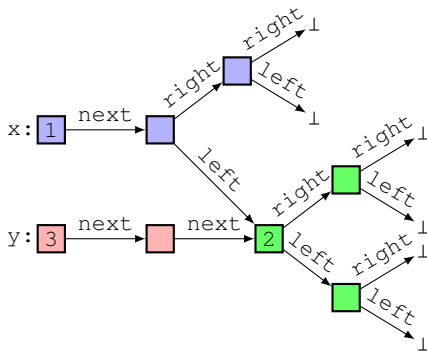
- by variables, or
- multiple times



Heap Representation

■ Forest decomposition of a heap

- ▶ Identify **cut-points** ← nodes referenced:
 - by variables, or
 - multiple times
- ▶ Split the heap into **tree components**

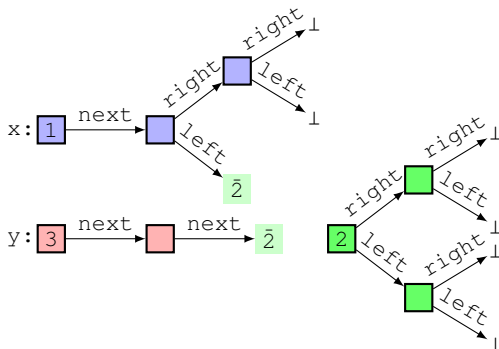


Heap Representation

■ Forest decomposition of a heap

- ▶ Identify **cut-points**
- ▶ Split the heap into **tree components**
- ▶ **References** are explicit

- by variables, or
- multiple times



Heap Representation

■ a heap $h \mapsto$ a forest $(\hat{\uparrow}_1, \hat{\uparrow}_2, \dots, \hat{\uparrow}_n)$

Heap Representation

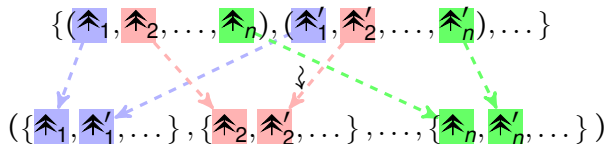
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- a **set of heaps** $\mathcal{H} \mapsto \{(\blacktriangleright_1, \blacktriangleright_2, \dots, \blacktriangleright_n), (\blacktriangleright'_1, \blacktriangleright'_2, \dots, \blacktriangleright'_n), \dots\}$
 - the same number of cut-points and the general structure of the heaps required

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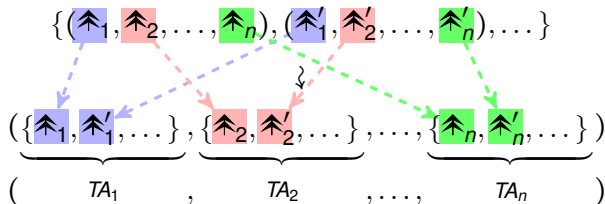
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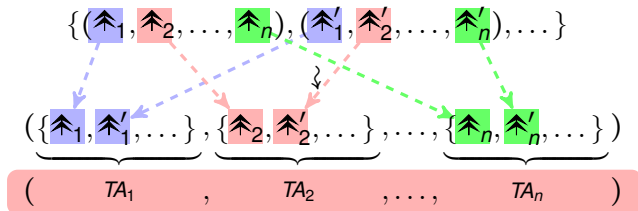
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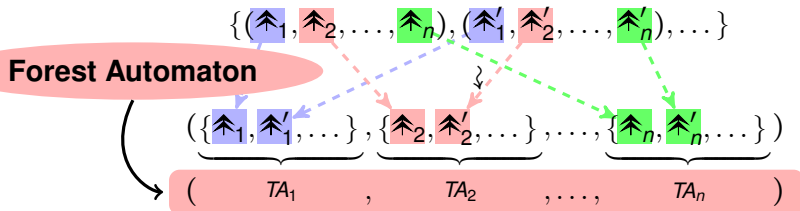
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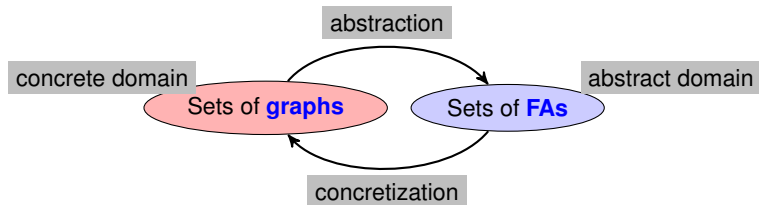
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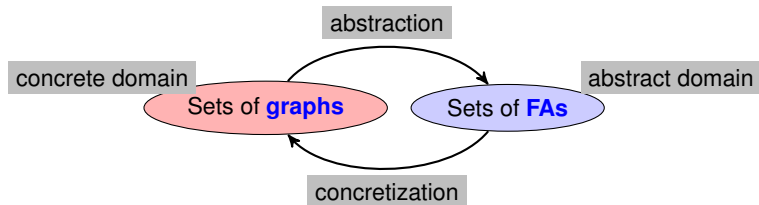
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Abstract Interpretation



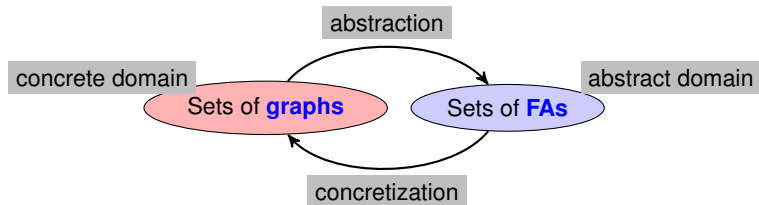
Abstract Interpretation



Statements

- `x := new T()`
- `delete(x)`
- `x := null`
- `x := y`
- `x := y.next`
- `x.next := y`
- `if/while (x == y)`

Abstract Interpretation

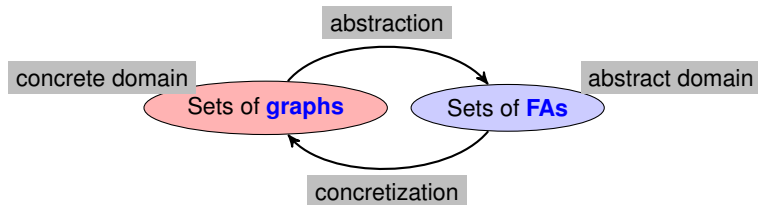


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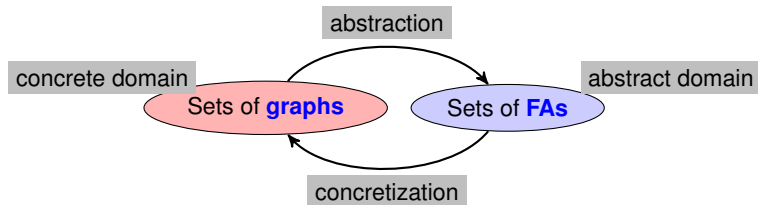
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$$(TA_1, \dots, TA_n) \rightsquigarrow (TA_1, \dots, TA_n, TA_{n+1})$$

An arrow points from the first statement in the "Statements" list, `x := new T()`, to the TA_{n+1} term in the equation above.

Abstract Interpretation



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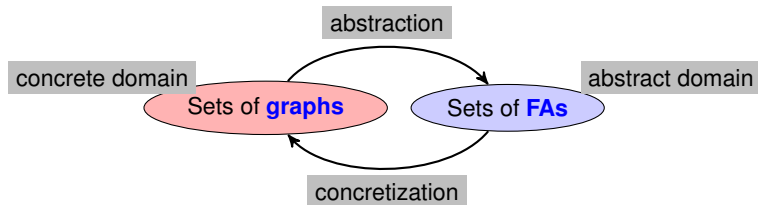
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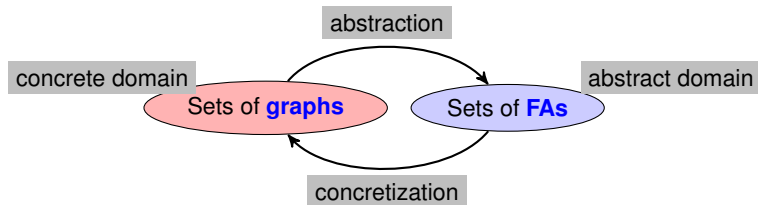
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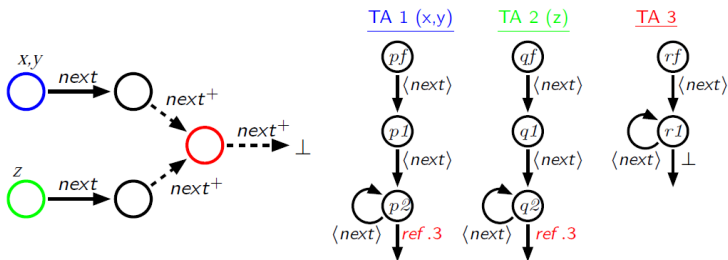
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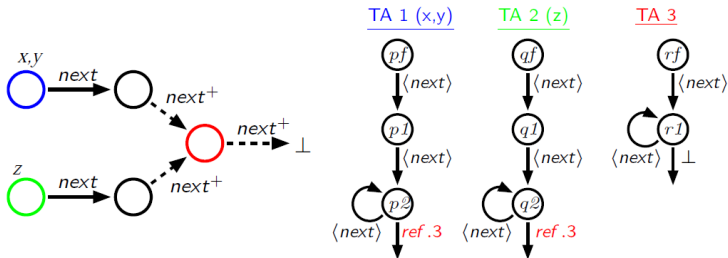
modify transitions

check symbols on transitions

Abstract Transformers for Pointer Updates

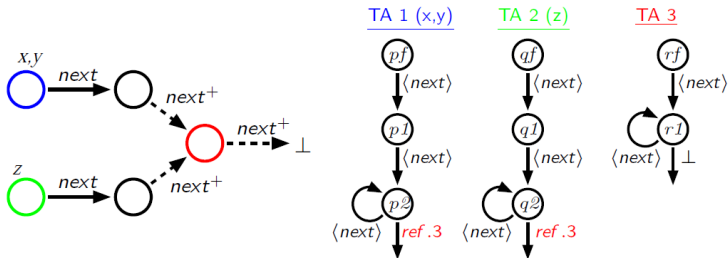


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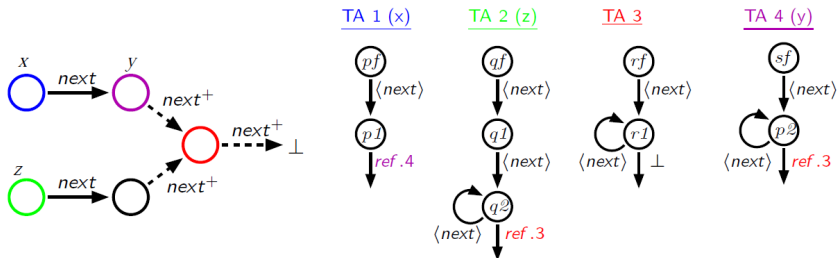


■ $y := x.next$

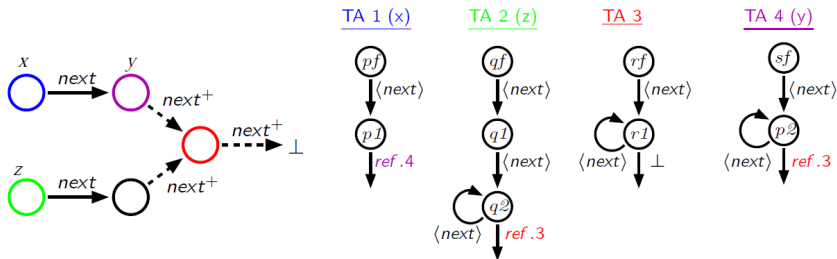
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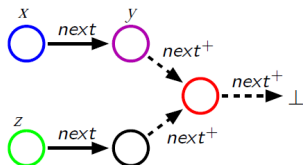
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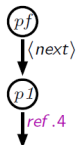
Abstract Transformers for Pointer Updates



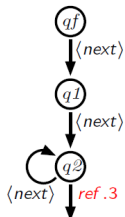
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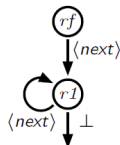
TA 1 (x)



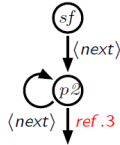
TA 2 (z)



TA 3

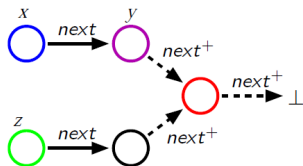


TA 4 (y)

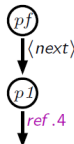


■ $x.next := z;$

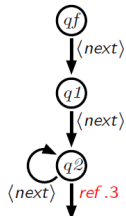
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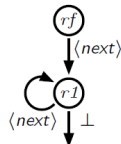
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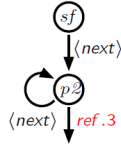
TA 2 (z)



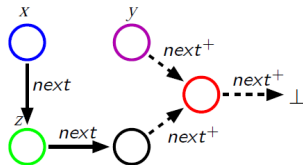
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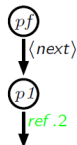
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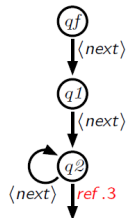
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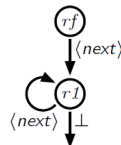
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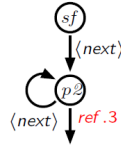
TA 2 (z)



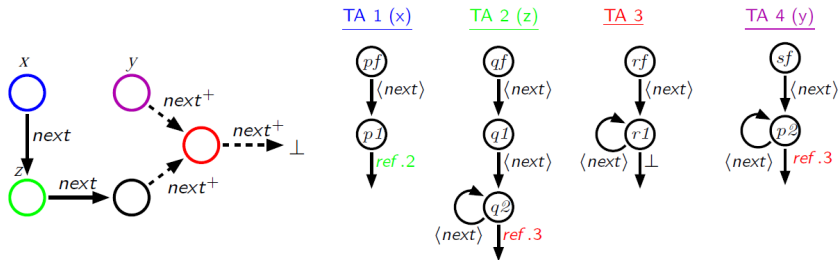
TA 3



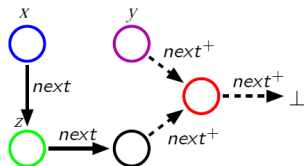
TA 4 (y)



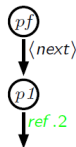
Abstract Transformers for Pointer Updates



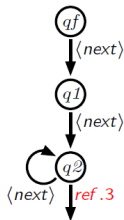
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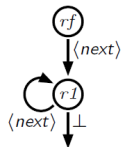
TA 1 (x)



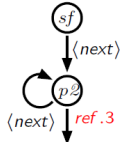
TA 2 (z)



TA 3

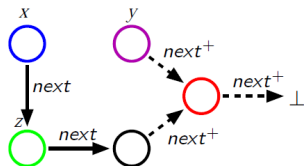


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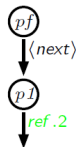


■ $Z := X;$

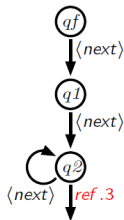
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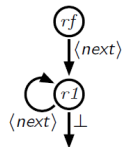
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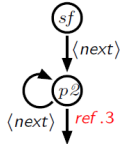
TA 2 (z)



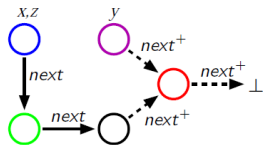
TA 3



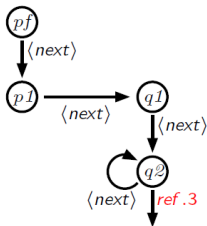
TA 4 (y)



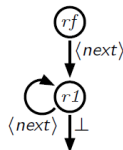
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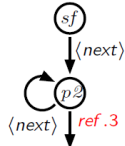
TA 1 (x, z)



TA 3



TA 4 (y)

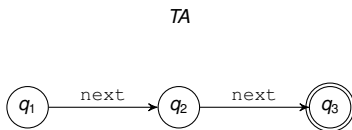


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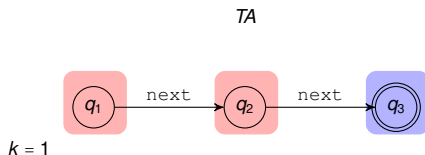
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 - collapse states with languages whose prefixes match **up to height k**

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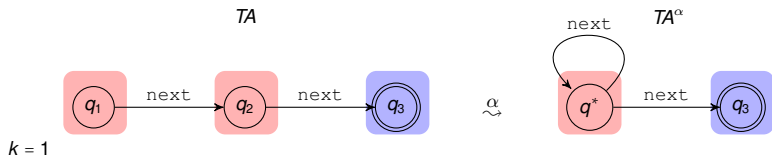
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 - **finite-height** abstraction (from ARTMC)
 - collapse states with languages whose prefixes match **up to height k**



Nondeterministic Tree Automata

- For efficiency reasons, we **never determinize** TAs.
- All operations done on NTAs, including:
 - **inclusion checking**: based on **antichains** and **simulations**,
 - discarding macro-states during an implicit subset construction,
 - **size reduction**: based on **simulation equivalences**.
 - collapsing simulation-equivalent states.

Summary

The so-far-presented:

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- 😊 works well for **singly linked lists** (SLLs), circular lists, **trees**, SLLs with **head/tail pointers**, trees with **root pointers**, ...

Summary

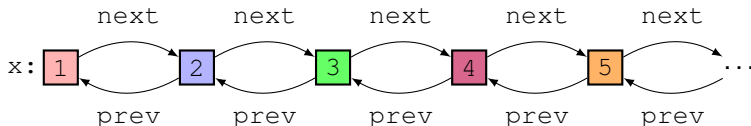
The so-far-presented:

😊 works well for **singly linked lists (SLLs)**, circular lists, **trees**, SLLs with **head/tail pointers**, trees with **root pointers**, ...

😞 fails for more complex data structures

- ▶ **unbounded** number of **cut-points** \leadsto

heaps with different numbers of cut-points need to be treated separately



- doubly linked lists (DLLs),
- trees with parent pointers,
- skip lists

■ Hierarchical Forest Automata

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- a **hierarchy** of FAs

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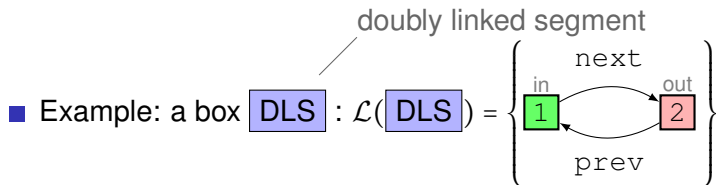
doubly linked segment

■ Example: a box DLS

Hierarchical Forest Automata

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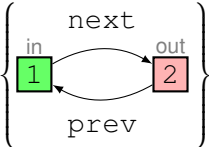
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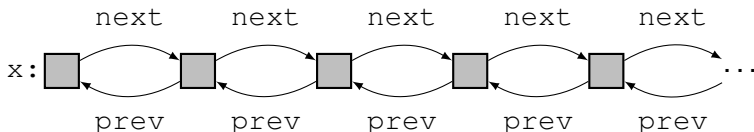
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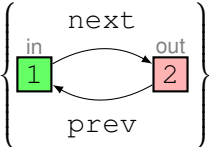
doubly linked segment



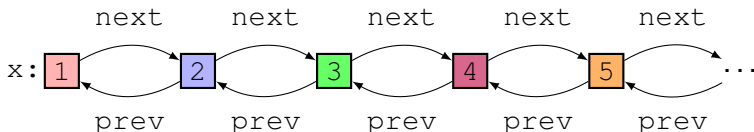
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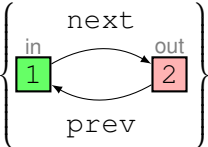
The diagram shows a doubly linked segment (DLS) represented as a box labeled "DLS". To the right of the box is an equation: $\mathcal{L}(\text{DLS}) =$ followed by a large curly brace containing a diagram of a doubly linked segment. This segment consists of two nodes: a green box labeled "1" with "in" above it, and a red box labeled "2" with "out" above it. A curved arrow labeled "next" points from node 1 to node 2, and a curved arrow labeled "prev" points from node 2 back to node 1.



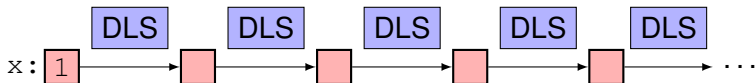
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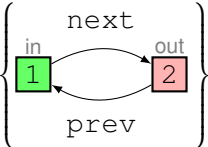
The diagram shows a doubly linked segment (DLS) enclosed in large curly braces. Inside the braces, there are two nodes: a green box labeled '1' with 'in' above it, and a red box labeled '2' with 'out' above it. A curved arrow labeled 'next' points from node 1 to node 2, and a curved arrow labeled 'prev' points from node 2 back to node 1. A line points from the text 'doubly linked segment' to the curly braces.



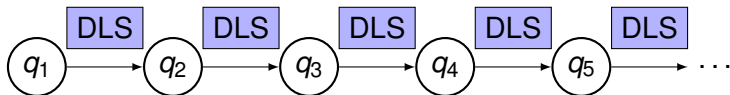
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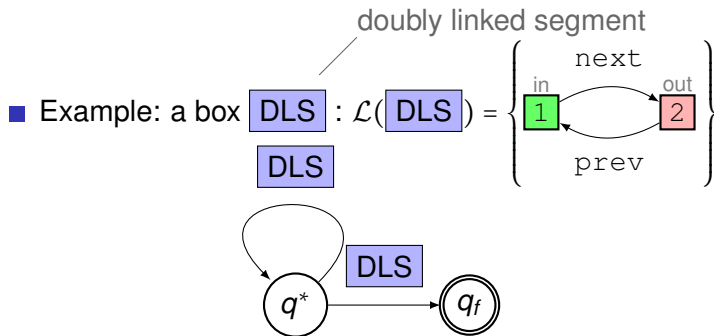
The diagram shows a doubly linked segment (DLS) with two states, 1 and 2, connected by 'next' and 'prev' transitions. State 1 is green and labeled 'in', and state 2 is red and labeled 'out'. The transitions are labeled 'next' and 'prev'.



Hierarchical Forest Automata

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The Challenge

How to find the “right” boxes?

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- database of boxes
- automatic discovery

Learning of Boxes

- compromise between

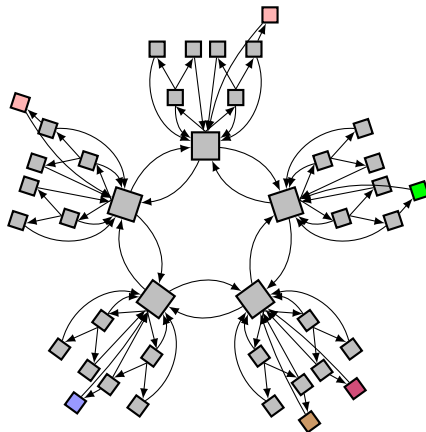
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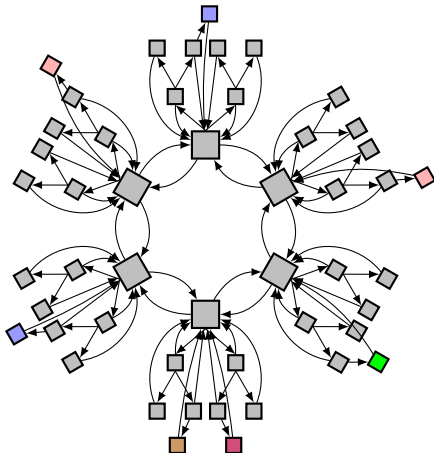


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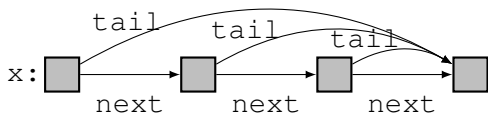
Learning of Boxes

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 - **reusability**: use on different heaps of the same kind
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Learning of Boxes

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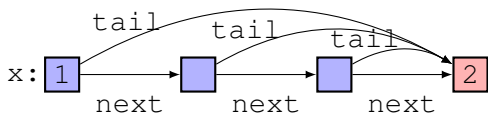
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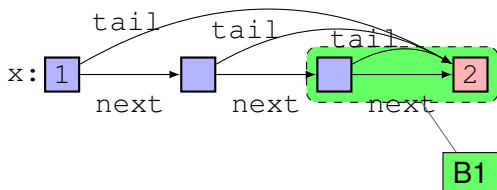
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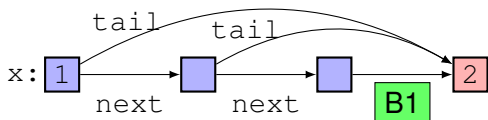
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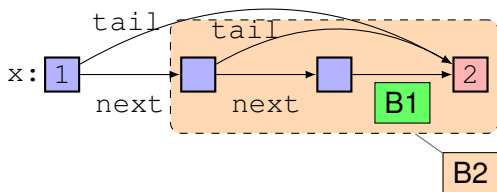
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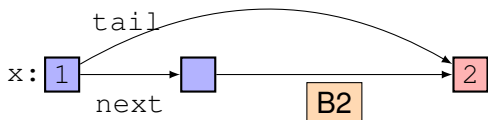
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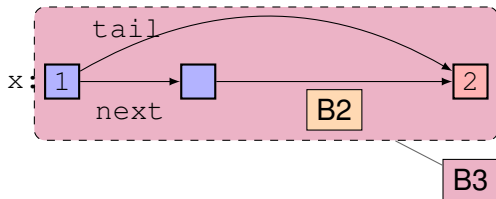
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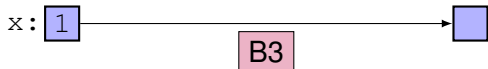
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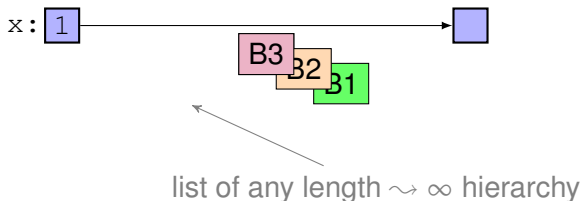
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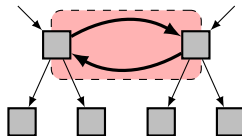
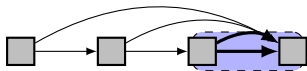
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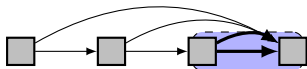
Learning of Boxes: Knots

1 Smallest subgraphs meaningful to be folded:

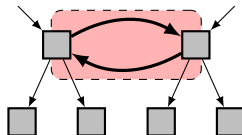


Learning of Boxes: Knots

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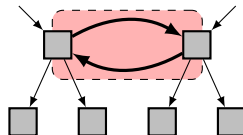
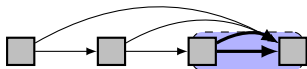


- 2 Handle interface



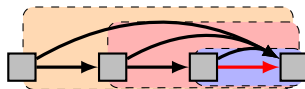
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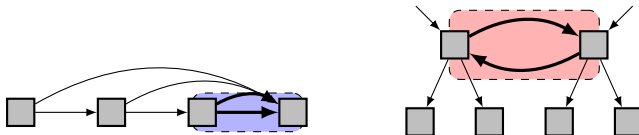
- **compose** intersecting knots



prevent ∞ nesting

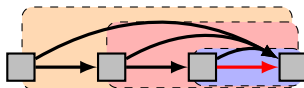
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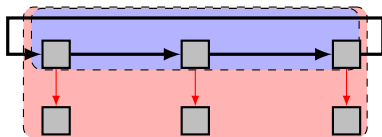
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prevent ∞ nesting

- **enclose** paths from inner nodes to leaves

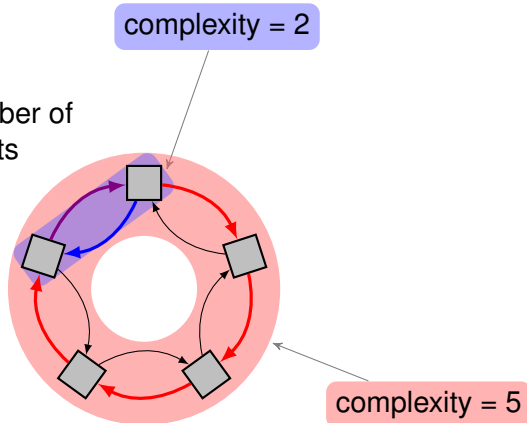


prevent ∞
interface nodes

- 3 Complexity: max number of cutpoints in basic knots

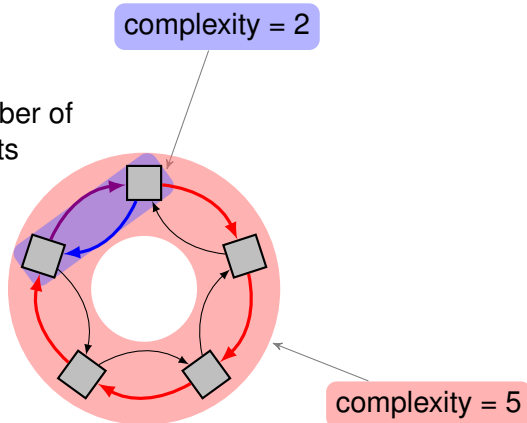
Learning of Boxes: Knots

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Learning of Boxes: Knots

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- find basic knots with $1, 2, \dots$ cut-points

Widening Revisited

- learning and folding of boxes in the abstraction loop

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Fold boxes that will, after abstraction, appear on cycles of automata.

⇒ hide unboundedly many cut-points

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1 **Algorithm:** Abstraction Loop

2 *Unfold solo boxes*

3 **repeat**

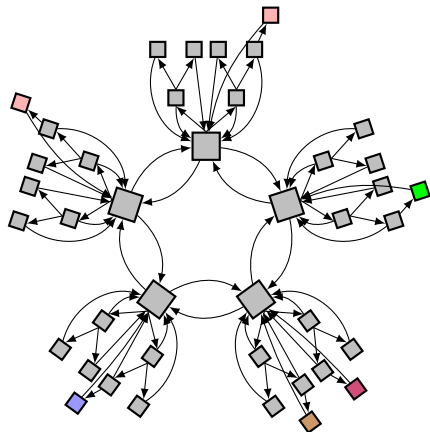
4 *Abstract*

5 *Fold*

6 **until** *fixpoint*

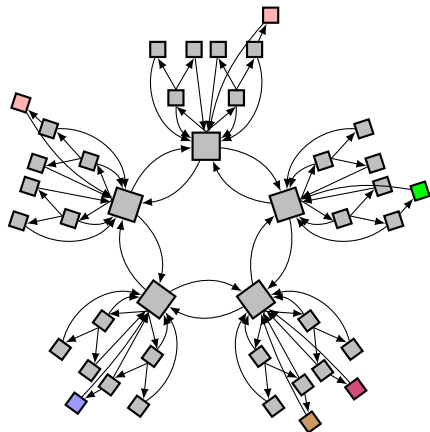
not on a cycle

Learning of Boxes: Example



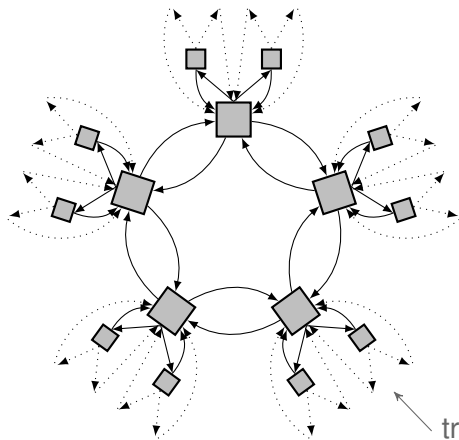
- 1 *Unfold solo boxes*
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Learning of Boxes: Example



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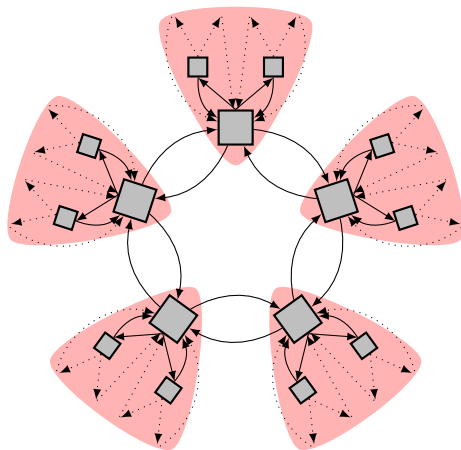
Learning of Boxes: Example



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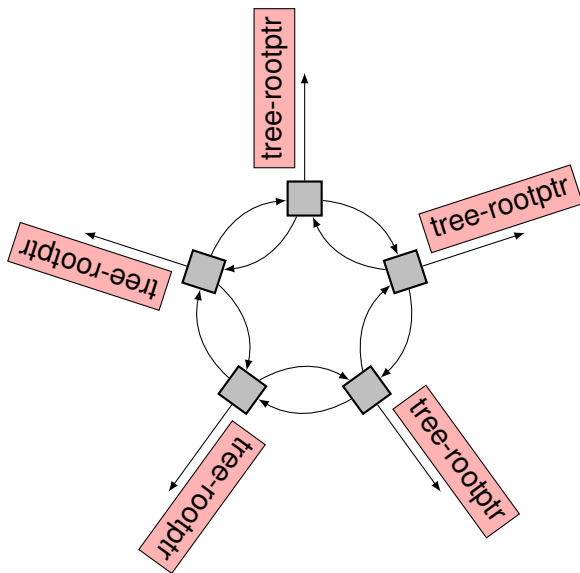
tree with root ptrs of any height

Learning of Boxes: Example



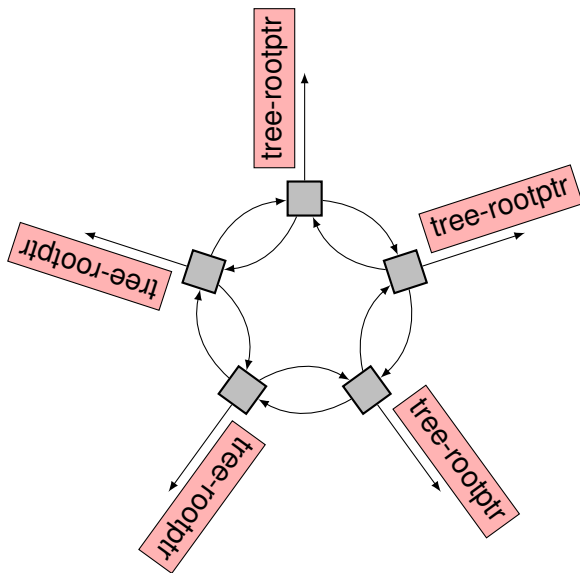
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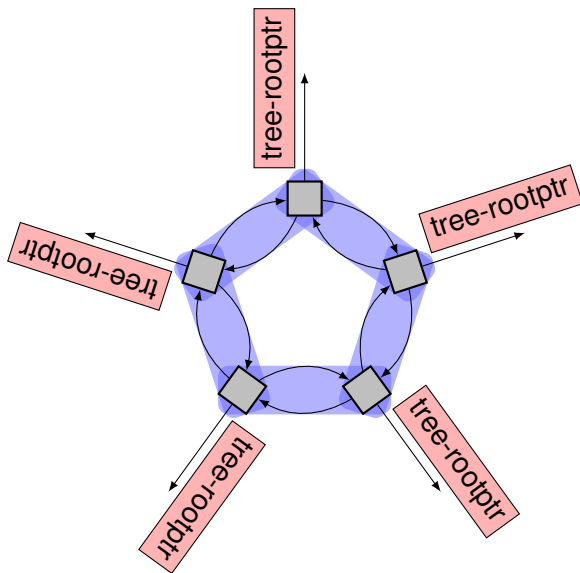
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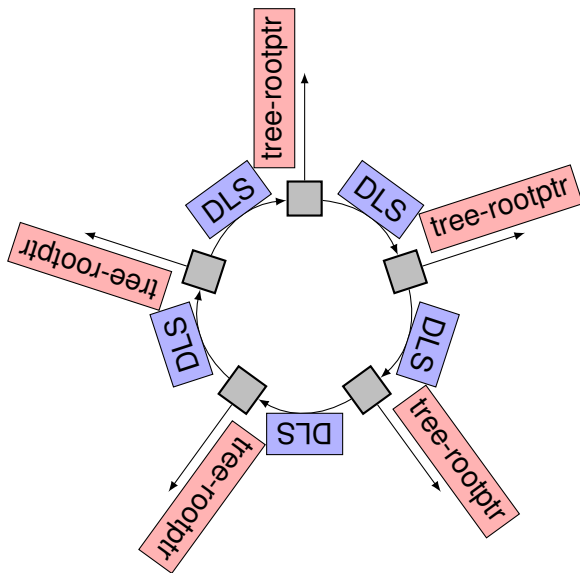
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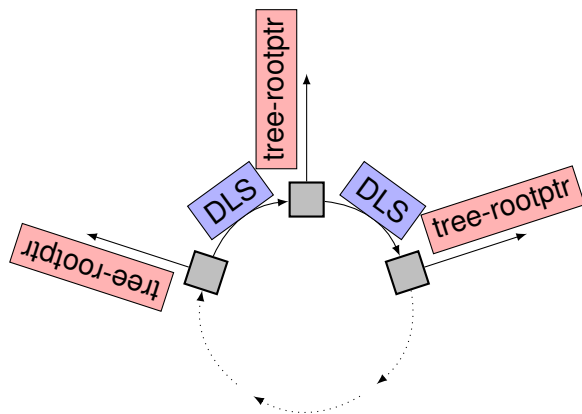
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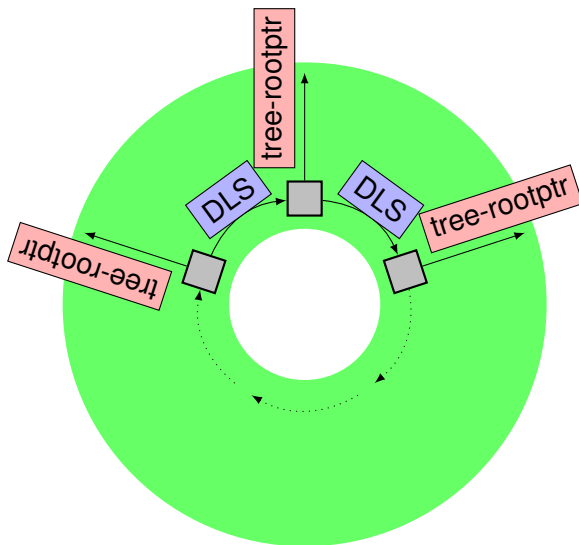
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Learning of Boxes: Example

circular-DLL-of
-trees-rootptr

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Experimental Results

- implemented in the **Forester** tool

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Table: Results of the experiments [s]

Example	FA	Predator	Example	FA	Predator
SLL (delete)	0.04	0.04	DLL (reverse)	0.06	0.03
SLL (bubblesort)	0.04	0.03	DLL (insert)	0.07	0.05
SLL (mergesort)	0.15	0.10	DLL (insertsort ₁)	0.40	0.11
SLL (insertsort)	0.05	0.04	DLL (insertsort ₂)	0.12	0.05
SLL (reverse)	0.03	0.03	DLL of CDLLs	1.25	0.22
SLL+head	0.05	0.03	DLL+subdata	0.09	T
SLL of 0/1 SLLs	0.03	0.11	CDLL	0.03	0.03
SLL _{Linux}	0.03	0.03	tree	0.14	Err
SLL of CSLLs	0.73	0.12	tree+parents	0.21	T
SLL of 2CDLLs _{Linux}	0.17	0.25	tree+stack	0.08	Err
skip list ₂	0.42	T	tree (DSW) ^{Deutsch-Schorr-Waite}	0.40	Err
skip list ₃	9.14	T	tree of CSLLs	0.42	Err

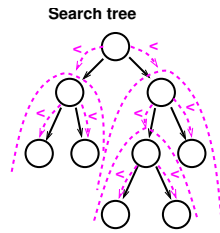
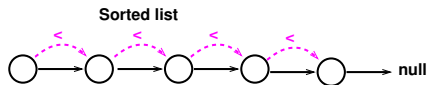
timeout

false positive

Extension to data

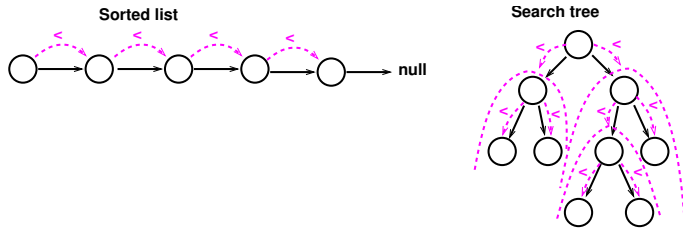
Tracking Relations over Data Values

- Verify **data-related properties** such as sortedness.

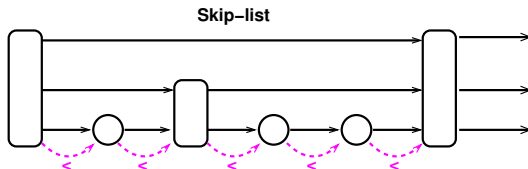


Tracking Relations over Data Values

- Verify **data-related properties** such as sortedness.



- Verify **data-dependent** memory safety/shape invariance.



Forest Automata with Data Constraints

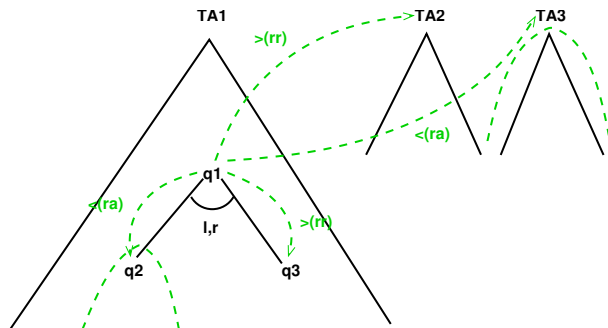
■ TA rules extended with constraints

- ▶ **local**: between states of a **single rule**,
- ▶ **global**: between a **state** and a **whole TA**

■ comparing:

- ▶ two nodes: **root-root** (rr),
- ▶ a node and all nodes of a tree: **root-all** (ra).

$$q1 \xrightarrow{l,r} (q2, q3) : \{0 >_{ra} 1, 0 <_{rr} 2\} \text{ vs } G = \{q1 >_{rr} TA2, q1 <_{ra} TA3\}$$



Experimental Results

Support for ordering relations implemented in an extension of [Forester](#).

Example	time [s]	Example	time [s]
SLL insert	0.06	BST insert	6.87
SLL delete	0.08	BST delete	114.00
SLL reverse	0.07	BST left rotate	7.35
SLL bubblesort	0.13	BST right rotate	6.25
SLL insertsort	0.10		
DLL insert	0.14	SL ₂ insert	9.65
DLL delete	0.38	SL ₂ delete	10.14
DLL reverse	0.16	SL ₃ insert	56.99
DLL bubblesort	0.39	SL ₃ delete	57.35
DLL insertsort	0.43		

Conclusion

Shape analysis with **forest automata**:

- fully **automated**, very **flexible**

Conclusion

Shape analysis with **forest automata**:

- fully **automated**, very **flexible**
- the **Forester** tool

- ▶ <http://www.fit.vutbr.cz/research/groups/verifit/tools/forester>

Conclusion

Shape analysis with **forest automata**:

- fully **automated**, very **flexible**
- the **Forester** tool
 - ▶ <http://www.fit.vutbr.cz/research/groups/verifit/tools/forester>
- successfully verified:
 - ▶ (singly/doubly linked (circular)) **lists** (of (...) lists)
 - ▶ **trees** (with additional pointers)
 - ▶ **skip lists**
 - ▶ tracking **ordering** relations
- not covered here:
 - ▶ support for **pointer arithmetic**
 - needed for lists used e.g. in the Linux kernel

Future Work

- **CEGAR** loop
 - **red-black** trees, ...
 - already some preliminary results for lists
- **concurrent** data structures
 - lockless skip lists, ...
- **recursive** boxes
 - B+ trees, ...
- support for **incomplete** code