

Applications of Higher-Order Model Checking to Program Verification

Hiroshi Unno
University of Tsukuba

(Joint work with Naoki Kobayashi, Ryosuke
Sato, Tachio Terauchi, and Takuya Kuwahara)

Success Story: Software Model Checkers for C

Prove Properties of Program Executions

Program:

P

Concurrency

Recursive Procedures

Heap Data Structures

Specification:

Ψ

Safety

Termination

Non-termination

SLAM, BLAST,
MAGIC, ...

TERMINATOR,
...

TNT, T2,
...

T2, ...

LTL, CTL, fair CTL, CTL*

Challenge: How To Construct Software Model Checker for **OCaml**?

Prove Properties of Program Executions

Program:

P \models

Specification:

Ψ

- Higher-order Functions
- Exception Handling
- Algebraic Data Structures
- Objects & Dyn. Dispatch
- General References

Safety

Termination

Non-termination

LTL, CTL, fair CTL, CTL*

This Tutorial: Software Model Checker MoCHi for OCaml based on HOMC Prove Properties of Program Executions

Program:

P

\models

Specification:

Ψ

- Higher-order Functions
- Exception Handling
- Algebraic Data Structures

Safety

Termination

Non-termination

ω -regular properties

This Tutorial: Software Model Checker MoCHi for OCaml based on HOMC Prove Properties of Program Executions

Program:

P

\models

Specification:

Ψ

- Higher-order Functions
- Exception Handling
- Algebraic Data Structures

Safety

Termination

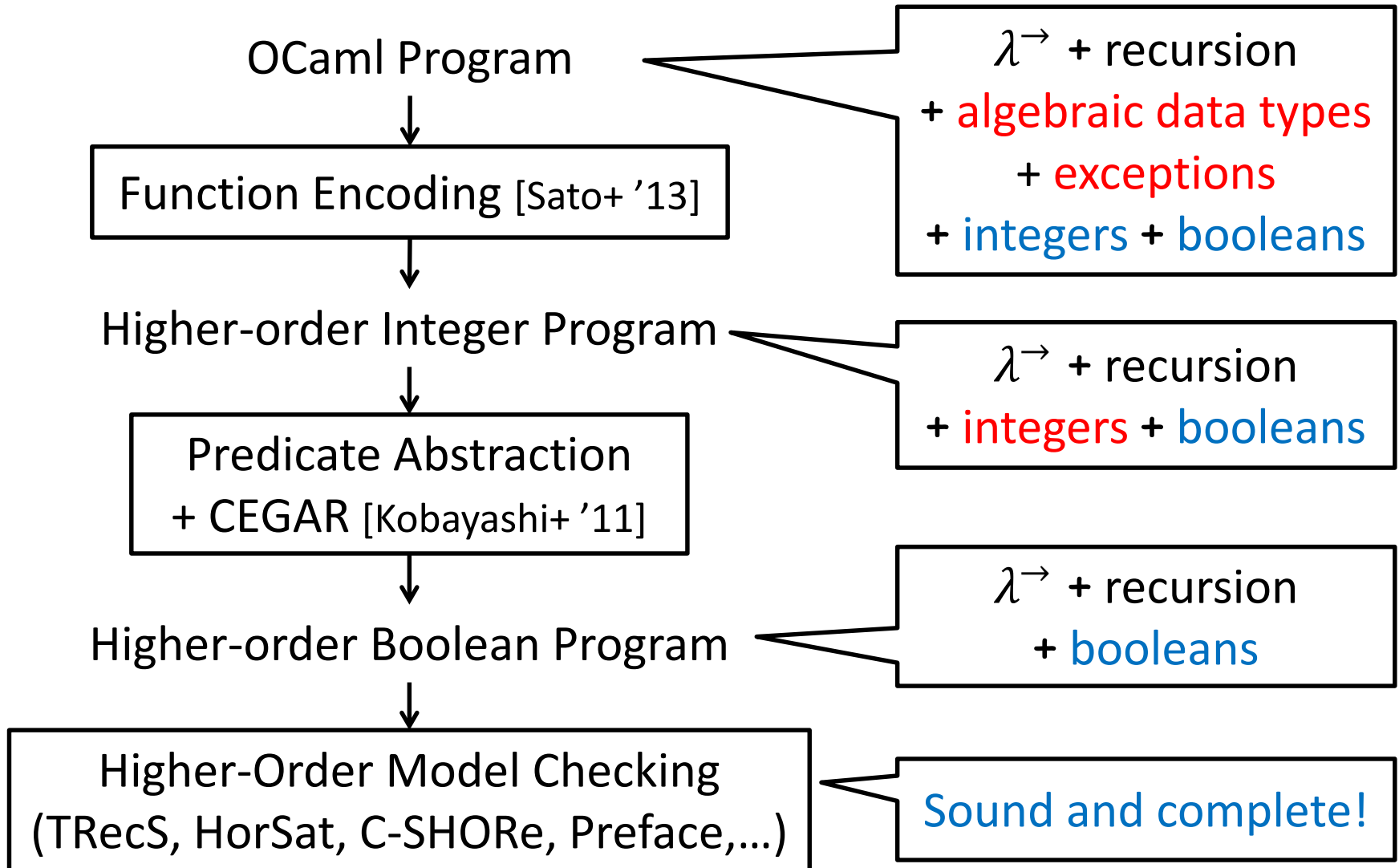
Non-termination

ω -regular properties

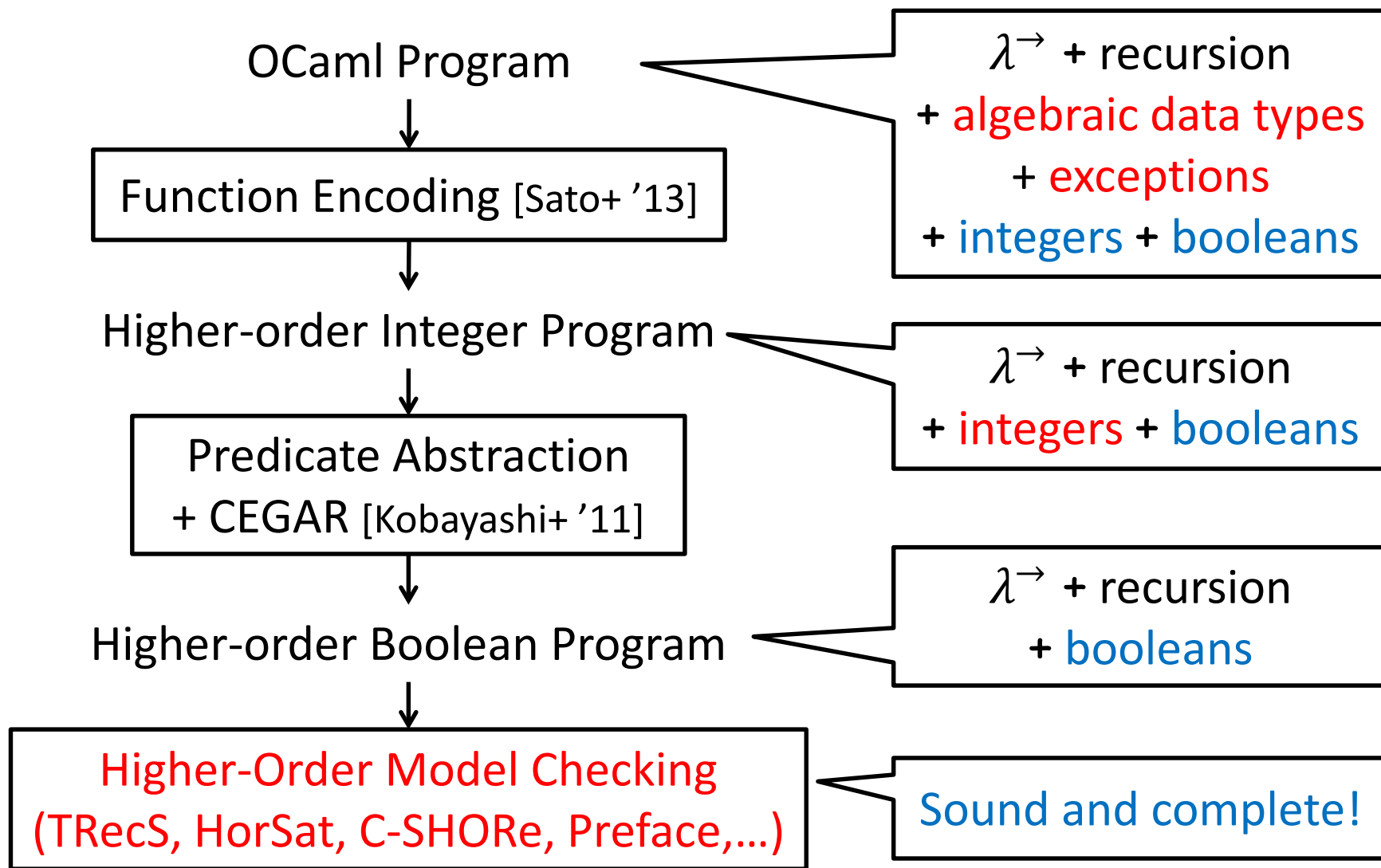
Tool Demonstration of MoCHi

- Web interface available from:
<http://www-kb.is.s.u-tokyo.ac.jp/~ryosuke/mochi/>

Overall Flow of Safety Verification



Overall Flow of Safety Verification



Higher-Order Model Checking

- A generalization of ordinary model checking :
 - Model the target system as a **recursion scheme** and check if it satisfies the given specification

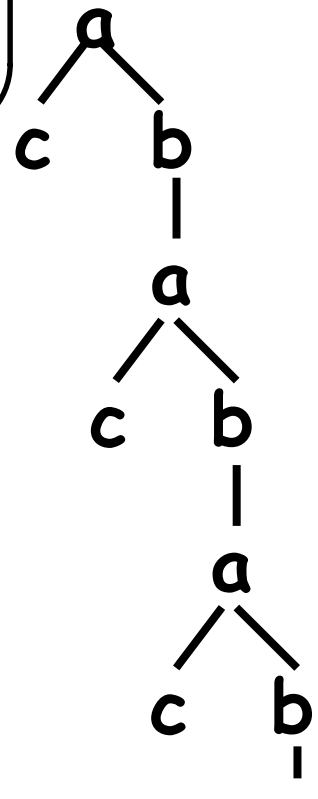
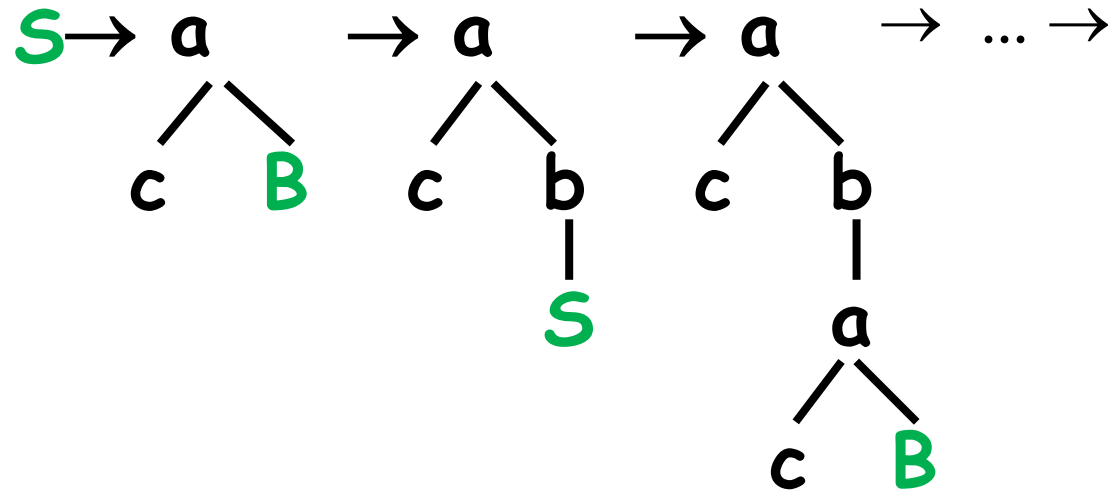
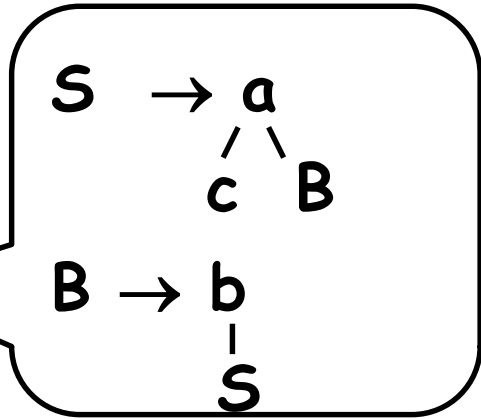
Model Checking	Verification Target
Finite state model checking	Simple loops
Pushdown model checking	First-order recursive functions
Higher-order model checking	Higher-order recursive functions

Higher-Order Recursion Scheme (HORS)

- Grammar for generating a possibly infinite tree

Order-0 scheme

$S \rightarrow a \ c \ B$
 $B \rightarrow b \ S$



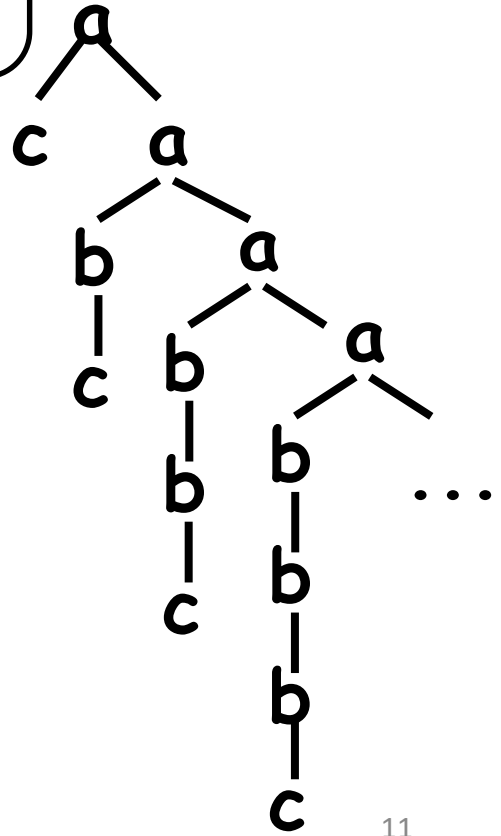
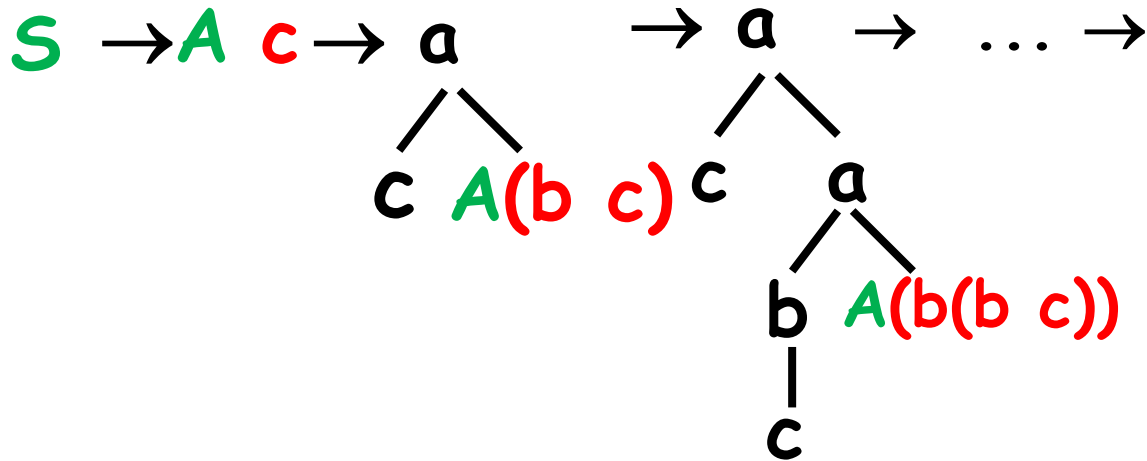
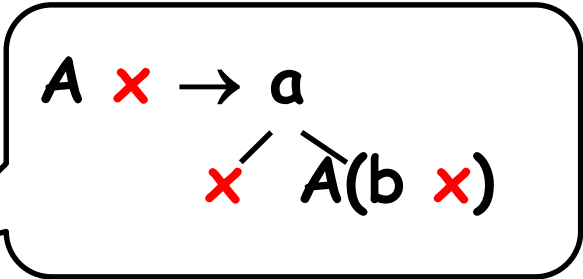
Higher-Order Recursion Scheme (HORS)

- Grammar for generating a possibly infinite tree

Order-1 scheme

$$S \rightarrow A c$$

$$A x \rightarrow a x (A (b x))$$



HORS as a Programming Language

Recursion schemes

\approx

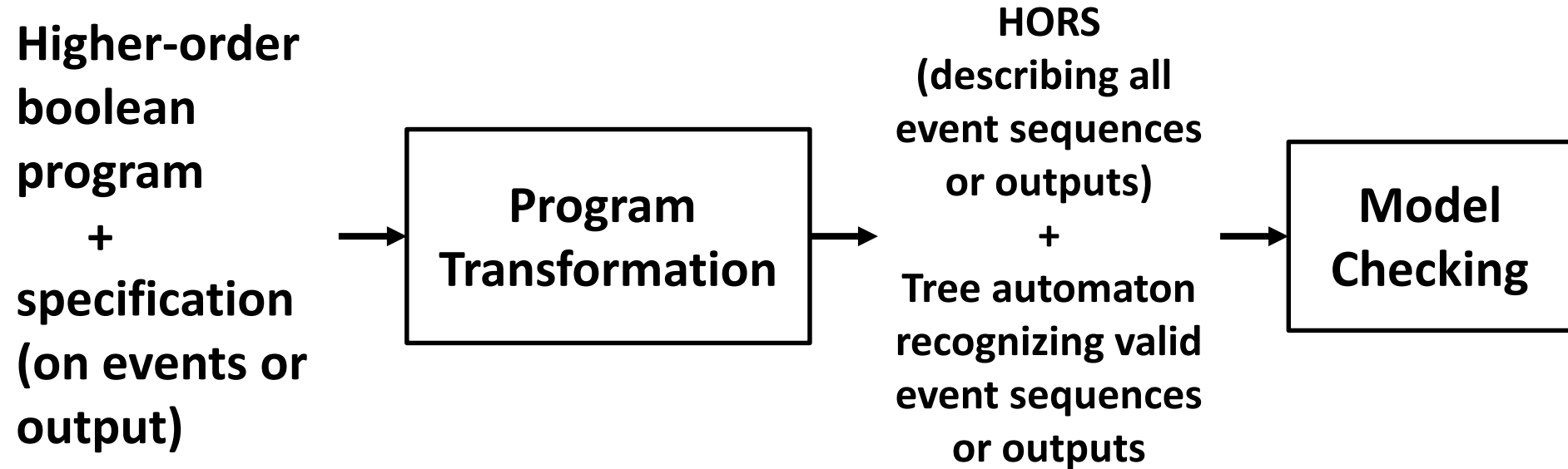
Simply-typed λ -calculus

+ recursion

+ tree constructors (but no destructors)

(+ finite data domains such as booleans)

From Program Verification to Higher-Order Model Checking [Kobayashi '09]



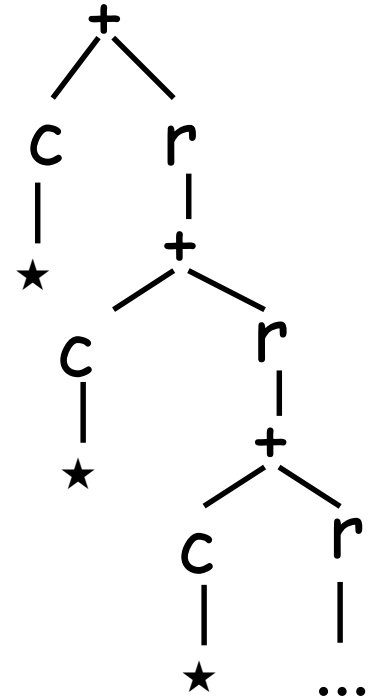
Example: From
Higher-order

continuation parameter,
expressing how “foo” is accessed
after the call returns

```
let rec f(x) =  
  if * then close(x)  
  else (read(x); f(x))  
in  
let y = open "foo"  
in  
  f (y)
```

$F \times k \rightarrow + (c k) (r (F \times k))$
 $S \rightarrow F d \star$

CPS
Transformation!



Is the file “foo”
accessed according
to read* close?

Is each path of the tree
labeled by r^*c ?

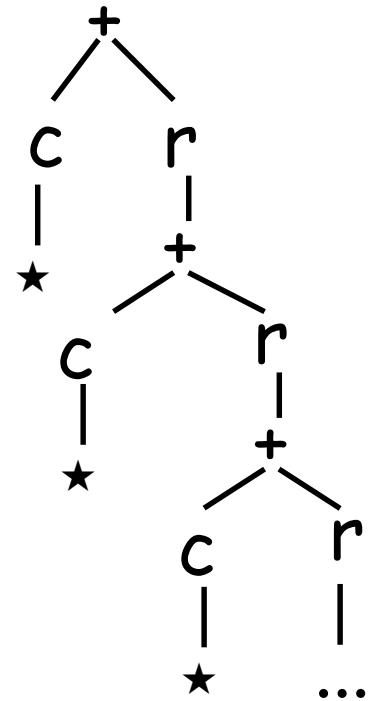
Example: From
Higher-order

continuation parameter,
expressing how “foo” is accessed
after the call returns

```
let rec f(x) =  
  if * then close(x)  
  else (read(x); f(x))  
in  
let y = open "foo"  
in  
  f (y)
```

$F \times k \rightarrow + (c k) (r (F \times k))$
 $S \rightarrow F d \star$

CPS
Transformation!



Is the file “foo”
accessed according
to read* close?

Is each path of the tree
labeled by r^*c ?

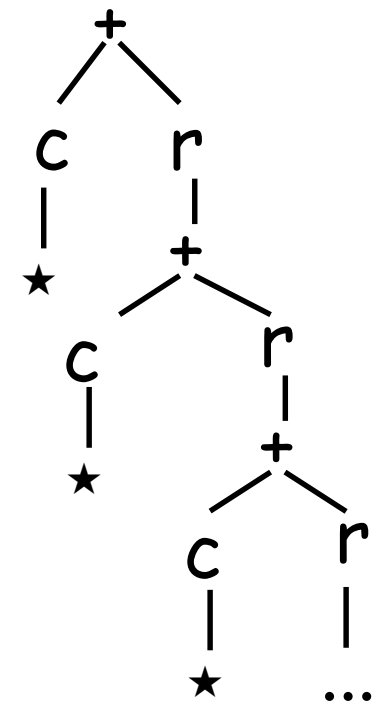
Example: From
Higher-order

continuation parameter,
expressing how “foo” is accessed
after the call returns

```
let rec f(x) =  
  if * then close(x)  
  else (read(x); f(x))  
in  
let y = open "foo"  
in  
  f (y)
```

$F \times k \rightarrow + (c \ k) (r \ (F \times k))$
 $S \rightarrow F \ d \ \star$

CPS
Transformation!



Is the file “foo”
accessed according
to read* close?

Is each path of the tree
labeled by r^*c ?

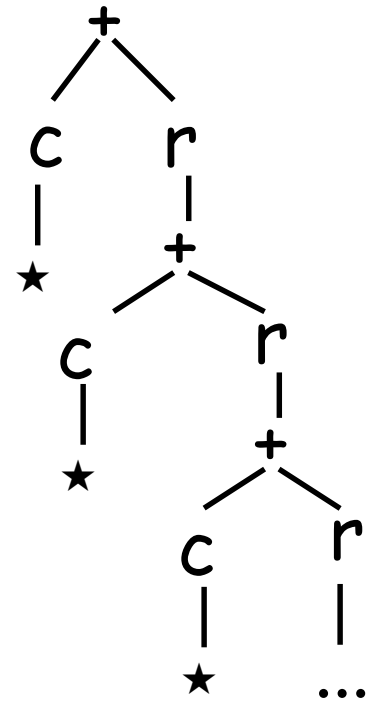
Example: From
Higher-order

continuation parameter,
expressing how “foo” is accessed
after the call returns

```
let rec f(x) =  
  if * then close(x)  
  else (read(x); f(x))  
in  
let y = open "foo"  
in  
  f (y)
```

$F \times k \rightarrow + (c k) (r (F \times k))$
 $S \rightarrow F d \star$

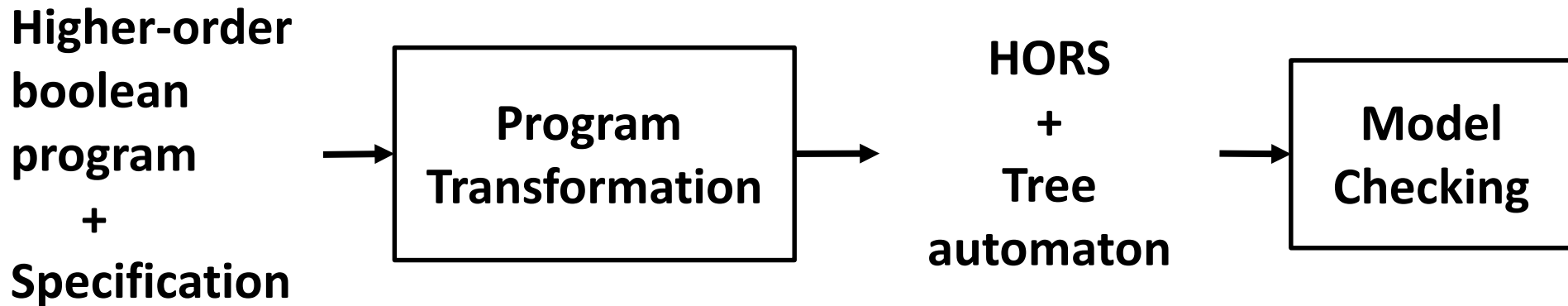
CPS
Transformation!



Is the file “foo”
accessed according
to read* close?

Is each path of the tree
labeled by r^*c ?

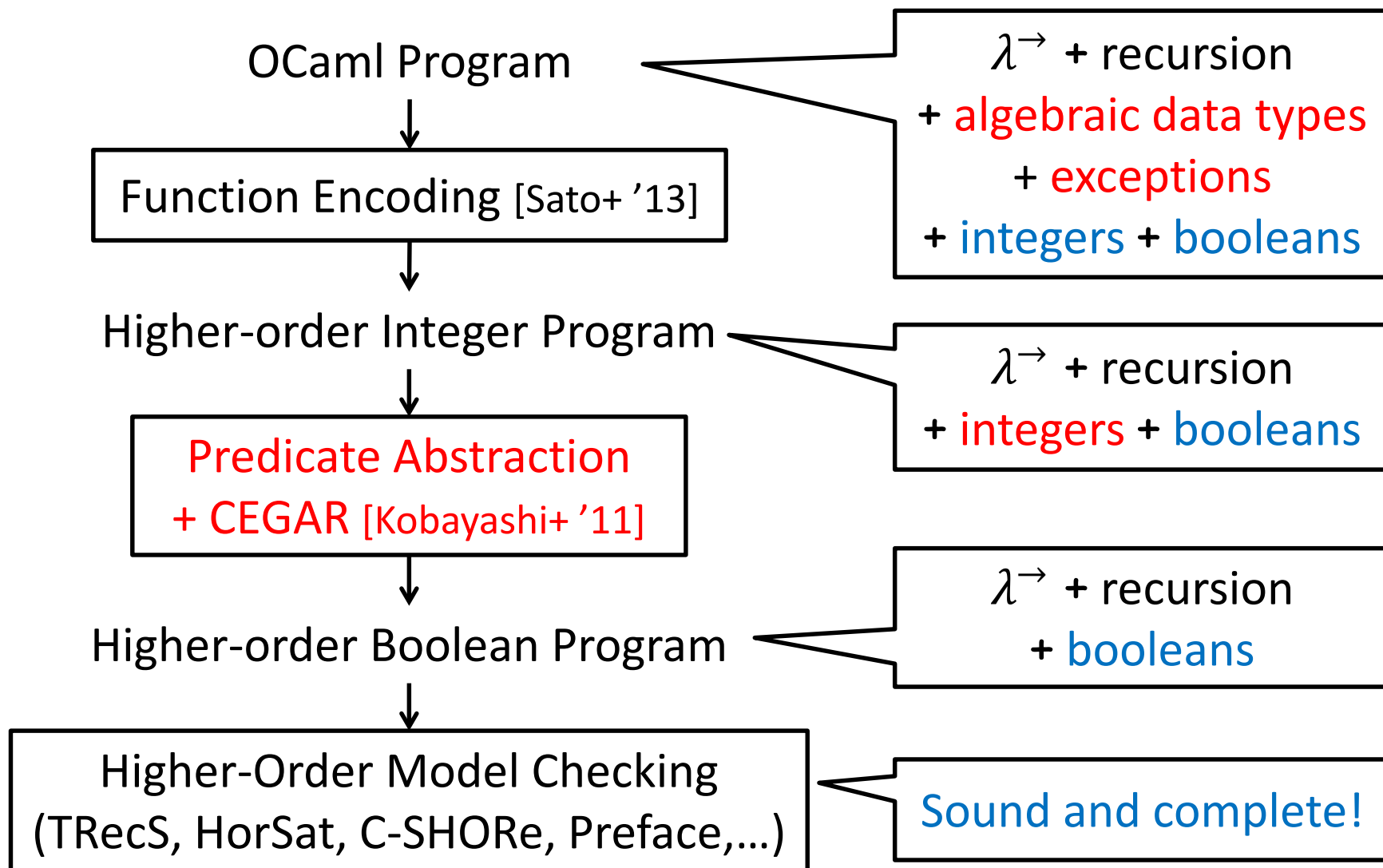
Program Verification based on Higher-Order Model Checking [Kobayashi '09]



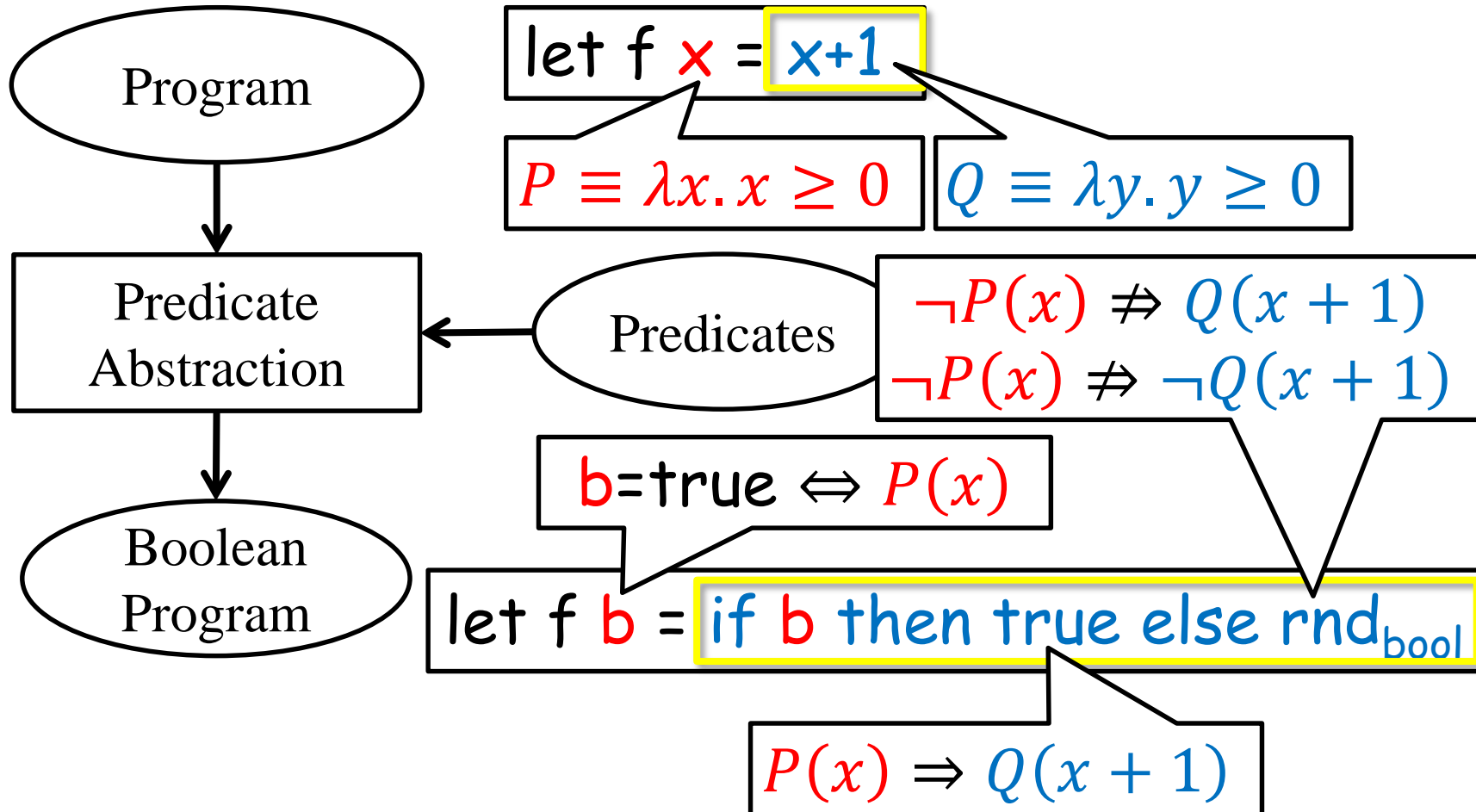
Sound, complete, and automatic for:

- Simply-typed λ -calculus + recursion
 - + tree constructors (but no destructors)
 - + finite data domains (e.g. booleans)
(but not for infinite data domains!)
- A large class of verification problems:
resource usage verification, reachability, flow analysis, ...

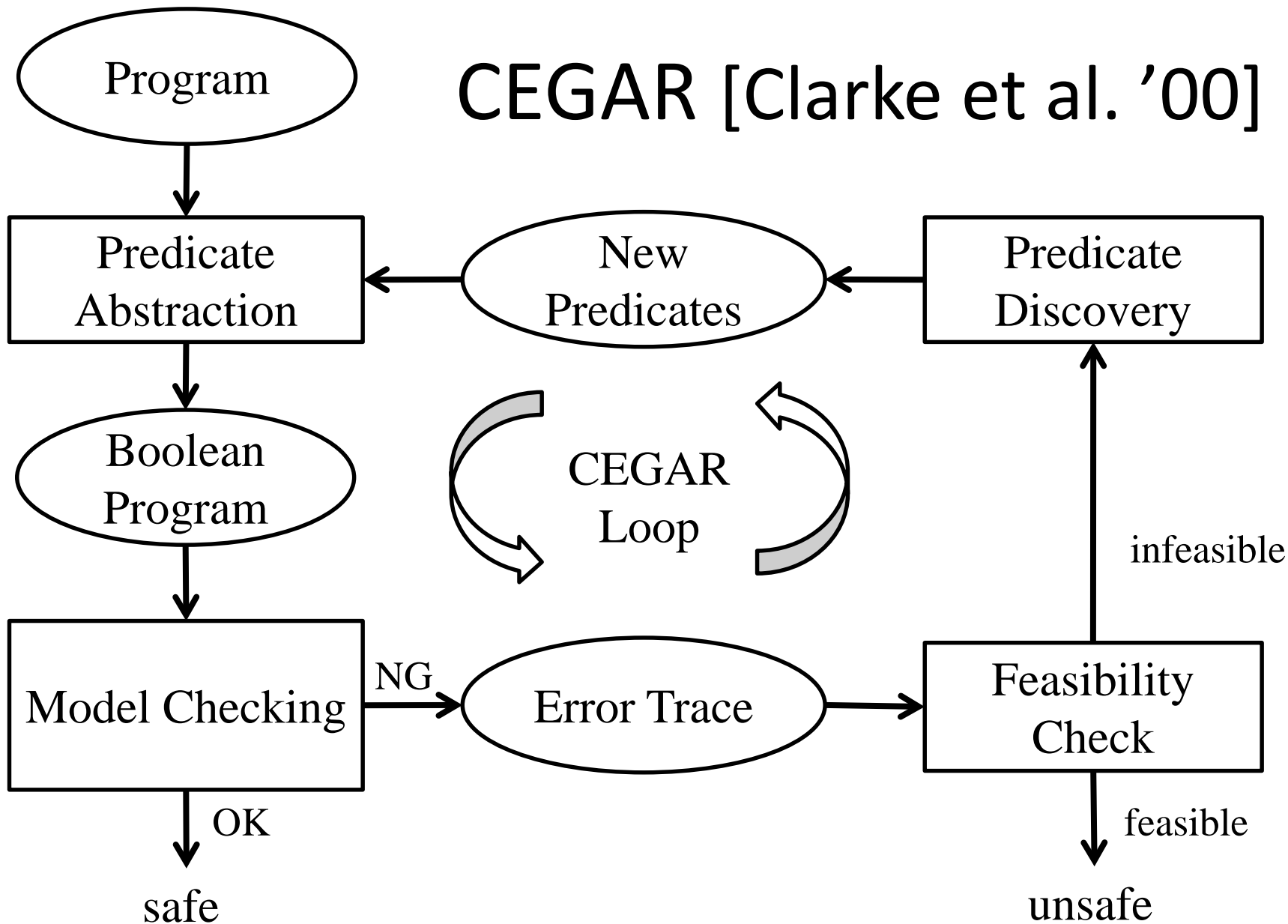
Overall Flow of Safety Verification



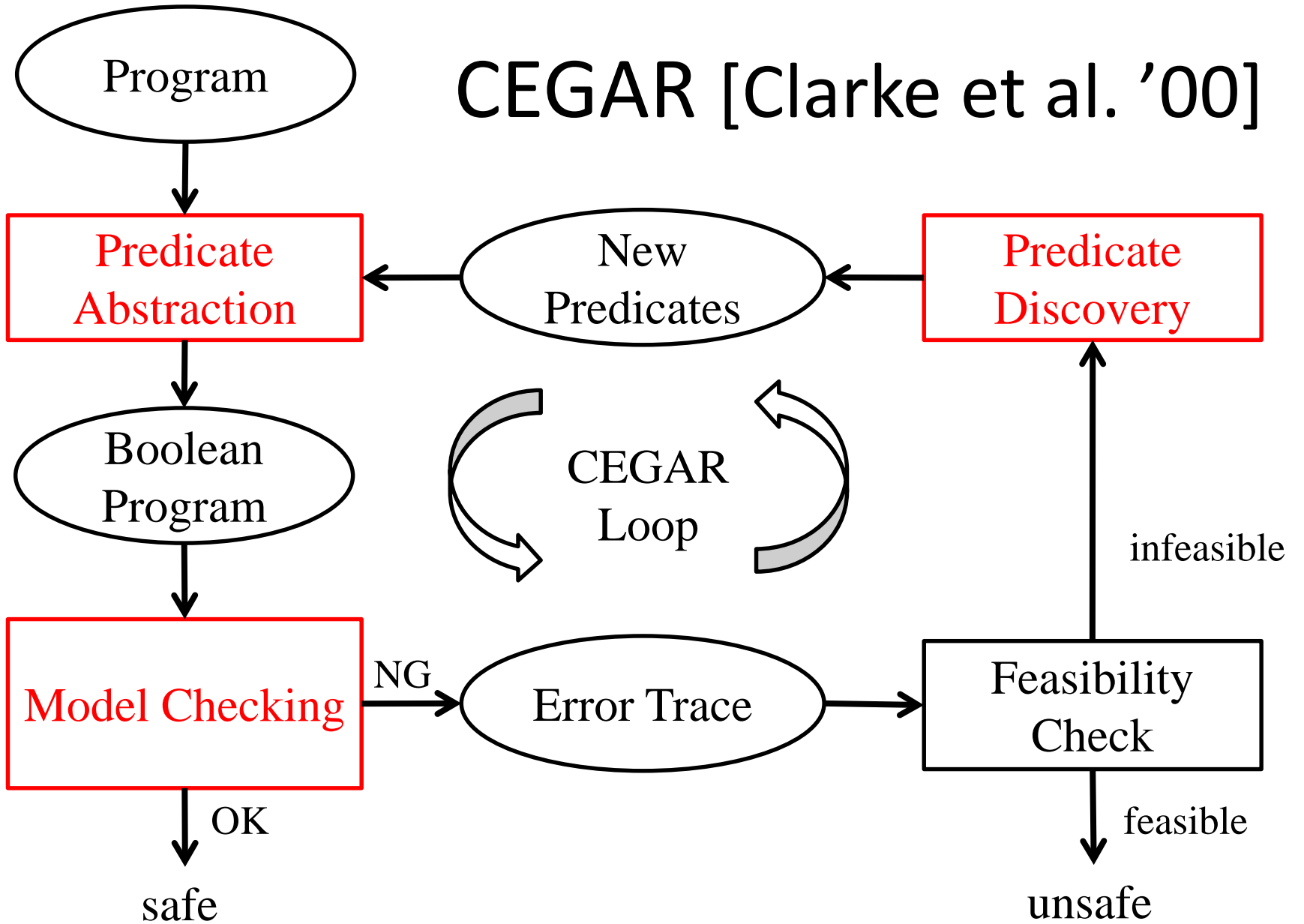
Predicate Abstraction [Graf & Saidi '97]



CEGAR [Clarke et al. '00]



CEGAR [Clarke et al. '00]

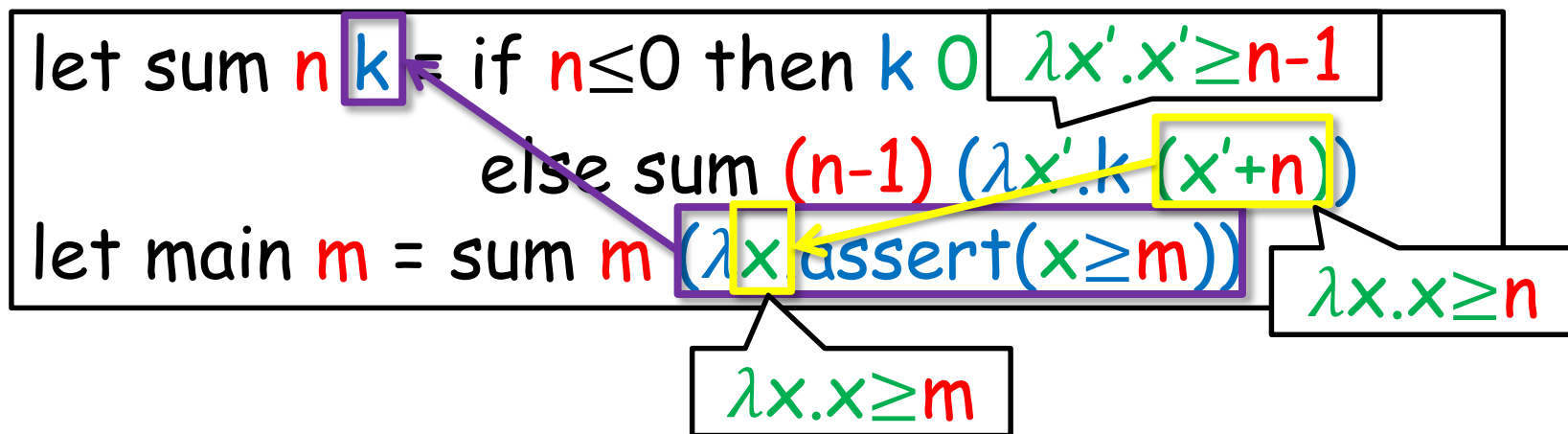


Challenges in Higher-Order Setting

- Model Checking
 - How to precisely analyze higher-order control flows?
⇒ Higher-order model checking!
- Predicate Abstraction
 - How to ensure consistency of abstraction?
- Predicate Discovery
 - How to find new predicates that can eliminate an infeasible error trace from the abstraction?

Challenges in Higher-Order Setting

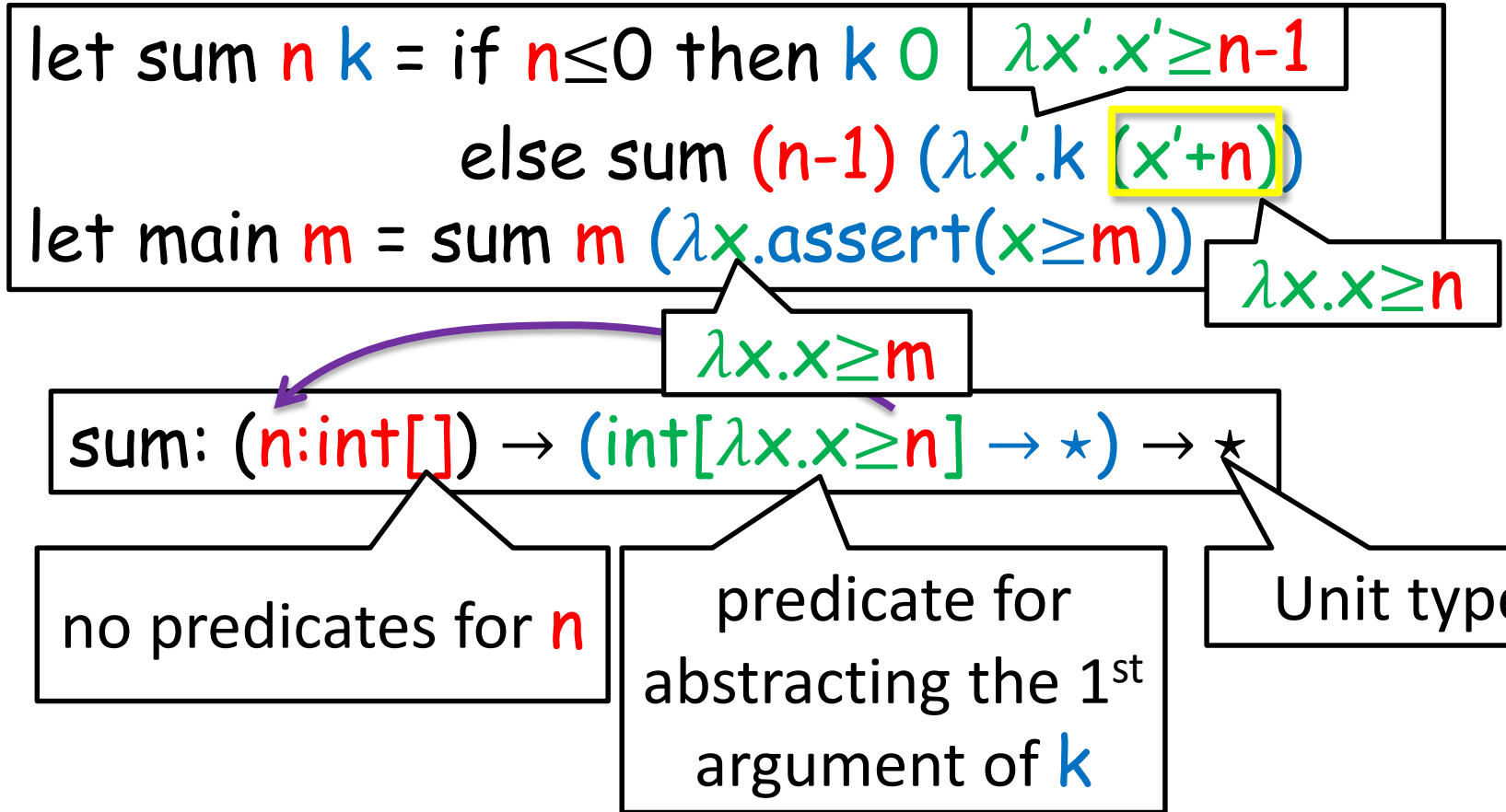
- Predicate Abstraction
 - How to ensure consistency of abstraction?



Our Solution: Abstraction Types

- Specify which predicates should be used for abstraction of each expression
- $\text{int}[P_1, \dots, P_n]$
Int. exps. that should be abstracted by P_1, \dots, P_n
e.g., $3 : \text{int}[\lambda x. x > 0, \text{even?}] \rightsquigarrow (\text{true}, \text{false})$
- $(x : \text{int}[P_1, \dots, P_n]) \rightarrow \text{int}[Q_1, \dots, Q_m]$
Assuming that argument x is abstracted by P_1, \dots, P_n ,
abstract the return value by Q_1, \dots, Q_m

Example: Abstraction Types



Example: Predicate Abstraction

```

let sum n k = if n ≤ 0 then k 0
              else sum (n-1) (λx'.k (x'+n))
let main m = sum m (λx.assert(x ≥ m))
  
```

Annotations:

- $\lambda x'.x' \geq n-1$ (points to the lambda function in the first branch)
- $n > 0$ (points to the lambda function in the second branch)
- $\lambda x.x \geq n$ (points to the lambda function in the main function)

sum: $(n:\text{int}[]) \rightarrow (\text{int}[\lambda x.x \geq n] \rightarrow *) \rightarrow *$

```

let sum () k =
  if * then k true
  else sum () (λb'.k (if b' then true else rndbool))
let main () = sum () (λb.assert(b))
  
```

Annotation:

- $x' \geq n-1 \wedge n > 0 \Rightarrow x'+n \geq n$ (points to the lambda function in the else branch)

Successfully model checked!

Type-Directed Predicate Abstraction

HO Int Expression

Abstraction Type

$$\Gamma \vdash M : \tau \rightsquigarrow t$$

Abstraction Type Environment

HO Bool Expression

$$\Gamma \vdash M : \tau' \rightarrow \tau \rightsquigarrow s \quad \Gamma \vdash N : \tau' \rightsquigarrow t$$

$$\Gamma \vdash M N : \tau \rightsquigarrow s t$$

Predicate Abstraction Rule for Function Applications

Challenges in Higher-Order Setting

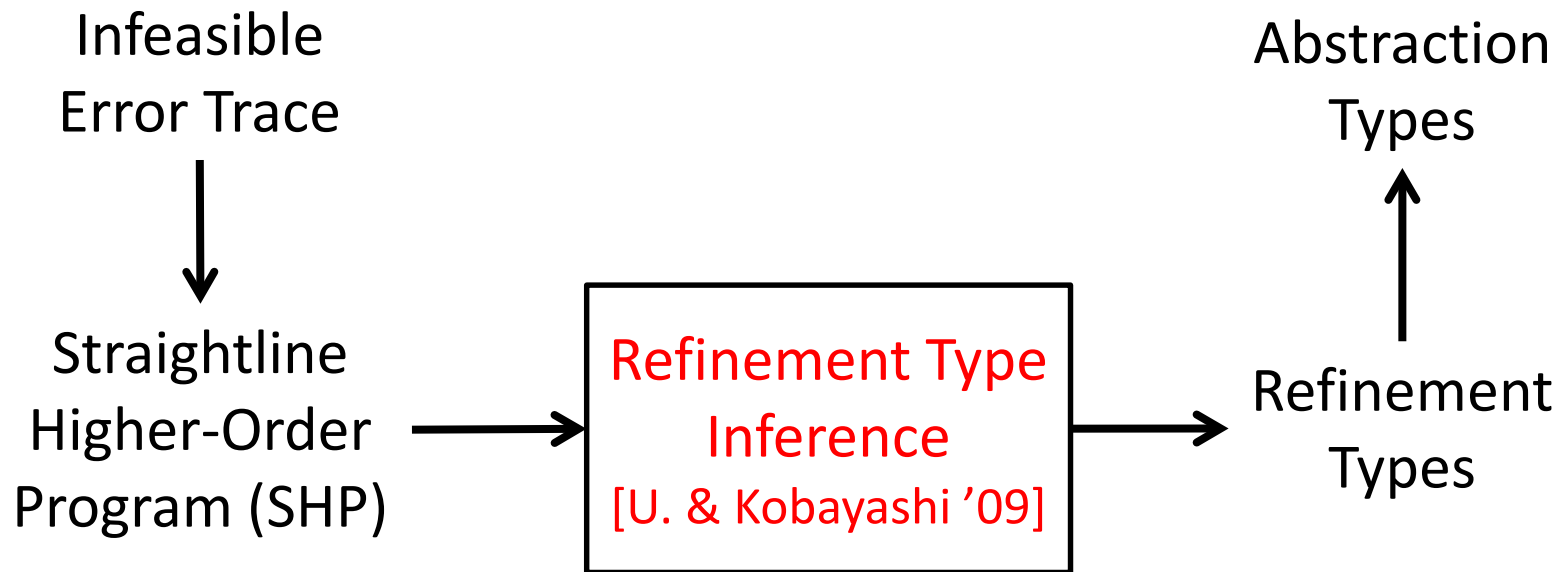
- Predicate Discovery
 - How to find new predicates that can eliminate an infeasible error trace from the abstraction?

Challenges in Higher-Order Setting

- Predicate Discovery
 - How to find **abstraction types** that can eliminate an infeasible error trace from the abstraction?

Our Solution

- Reduction to **refinement type inference** of a straightline higher-order program (SHP)



Refinement Types [Xi & Pfenning '98, '99]

- $\{x : \text{int} \mid x \geq 0\}$

Non-negative integers

FOL formulas (e.g. QFLIA)
for type refinement

- $(x : \text{int}) \rightarrow \{r : \text{int} \mid r \geq x\}$

Functions that take an integer x and
return an integer r not less than x

Soundness of refinement type system \vdash_{Ref} :

P is safe (i.e., $P \not\rightarrow^* \text{assert false}$)

if P is well-typed (i.e., $\exists \Gamma. \Gamma \vdash_{Ref} P$)

Example: Abstraction Type Finding (1/2)

```
let sum n k = if n ≤ 0 then k 0
              else sum (n-1) (λx'.k (x'+n))
let main m = sum m (λx.assert(x ≥ m))
```

Infeasible error trace:

```
main m → sum m (λx.assert(x ≥ m))
→ if m ≤ 0 then (λx.assert(x ≥ m)) 0 else ...
→ (λx.assert(x ≥ m)) 0
→ assert(0 ≥ m)
→ fail
```

$m \leq 0$

$0 < m$

Example: Abstraction Type Finding (2/2)

```
let sum n k = if n ≤ 0 then k 0
              else sum (n-1) (λx'.k (x'+n))
let main m = sum m (λx.assert(x ≥ m))
```

main $m \rightarrow^* \text{if } m \leq 0 \dots \rightarrow^*_{m \leq 0} \text{assert}(0 \geq m) \rightarrow_{0 < m} \text{fail}$

Straightline Higher-Order Program (SHP):

```
let sum n k = assume(n ≤ 0); k 0
let main m = sum m (λx.assume(x < m); fail)
```

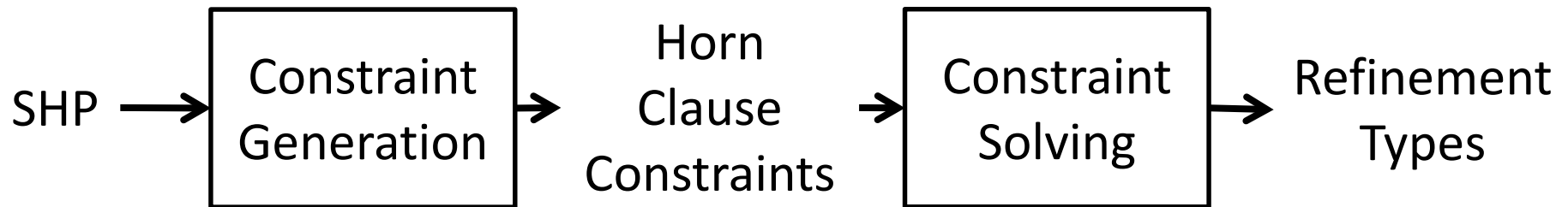
[U. & Kobayashi '09]

Abstraction Type:

```
sum: (n:int[]) → (int[λx.x ≥ n] → *) → *
```

Refinement Type Inference

[U. & Kobayashi '09]



Example: Constraint Generation

Straightline Higher-Order Program (SHP):

```
let sum n k = assume(n ≤ 0); k 0
let main m = sum m (λx. assume(x < m); fail)
```

Refinement Type Templates:

$$\text{sum: } (n:\{n:\text{int} \mid P(n)\}) \rightarrow$$
$$(\{x:\text{int} \mid Q(n,x)\} \rightarrow \star) \rightarrow \star$$

Horn Clause Constraints:

$$\top \Rightarrow P(m)$$
$$P(n) \wedge n \leq 0 \wedge x=0 \Rightarrow Q(n,x)$$
$$P(m) \wedge Q(m,x) \wedge x < m \Rightarrow \perp$$

Example: Constraint Solving (1/2)

Horn Clause Constraints:

$$\top \Rightarrow P(m)$$

$$P(n) \wedge n \leq 0 \wedge x=0 \Rightarrow Q(n,x)$$

$$P(m) \wedge Q(m,x) \wedge x < m \Rightarrow \perp$$



Horn Clause Constraints with P eliminated:

$$n \leq 0 \wedge x=0 \Rightarrow Q(n,x)$$

$$Q(n,x) \Rightarrow (n=m \Rightarrow x \geq m)$$



Interpolating Prover

$$\text{Solution: } Q(n,x) \equiv x \geq n$$

Interpolating Prover

- Input: ϕ_1, ϕ_2 such that $\phi_1 \Rightarrow \phi_2$
- Output: an *interpolant* ϕ of ϕ_1, ϕ_2 such that:
 1. $\phi_1 \Rightarrow \phi$
 2. $\phi \Rightarrow \phi_2$
 3. $FV(\phi) \subseteq FV(\phi_1) \cap FV(\phi_2)$
- Example: $x \geq n$ is an interpolant of:
 $n \leq 0 \wedge x = 0$ and $n = m \Rightarrow x \geq m$

Example: Constraint Solving (2/2)

Horn Clause Constraints:

$$\top \Rightarrow P(m)$$

$$P(n) \wedge n \leq 0 \wedge x=0 \Rightarrow Q(n,x)$$

$$P(m) \wedge Q(m,x) \wedge x < m \Rightarrow \perp$$

Substitute $Q(n,x)$ with $x \geq n$

Horn Clauses with $P1$ substituted:

$$\top \Rightarrow P(m)$$

$$P(n) \Rightarrow (n \leq 0 \wedge x=0 \Rightarrow x \geq n)$$

Interpolating Prover

$$\text{Solution: } P(n) \equiv \top$$

Example: Refinement Type Inference

Straightline Higher-Order Program (SHP):

```
let sum  $n$   $k$  = assume( $n \leq 0$ );  $k$  0  
let main  $m$  = sum  $m$  ( $\lambda x$ . assume( $x < m$ ); fail)
```

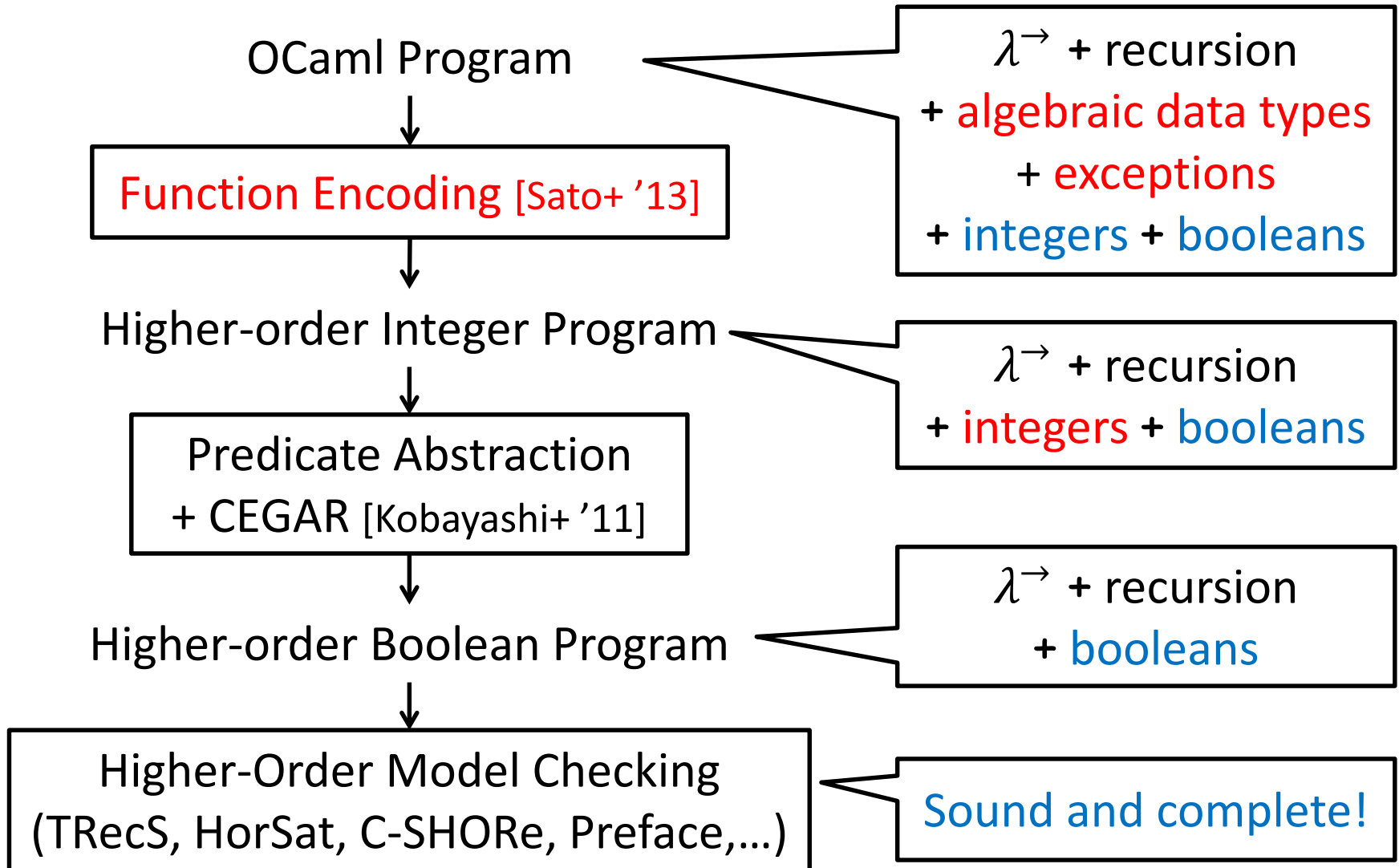
Refinement Type Templates:

```
sum: ( $n:\{n:\text{int} \mid P(n)\}$ )  $\rightarrow$   
      ( $\{x:\text{int} \mid Q(n,x)\} \rightarrow \star$ )  $\rightarrow \star$ 
```

Refinement Types of SHP:

```
sum: ( $n:\{n:\text{int} \mid T\}$ )  $\rightarrow$   
      ( $\{x:\text{int} \mid x \geq n\} \rightarrow \star$ )  $\rightarrow \star$ 
```

Overall Flow of Safety Verification



Function Encoding of Lists

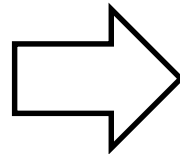
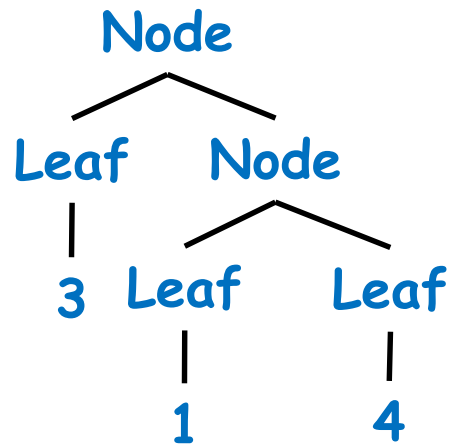
- Encode a list as a pair (len, f) such that:
 - len is the length of the list
 - f is a function from an index i to the i -th element
 - e.g., $[3;1;4]$ is encoded as $(3, f)$ where:
 $f(0)=3, f(1)=1, f(2)=4$, and undefined otherwise

```
let nil = (0, fun i -> ⊥)
let cons a (len, l) = (len + 1, fun i -> if i = 0 then a else l (i - 1))
let hd (len, l) = assert (len ≠ 0); l 0
let tl (len, l) = assert (len ≠ 0); (len - 1, fun i -> l (i + 1))
let is_nil (len, l) = len = 0
```

Function Encoding of Algebraic Data Structures

- Encode an algebraic data structure as a function from **the path** of a node to **its label**

```
type btree = Leaf of int | Node of btree * btree
```



A function f such that:

$f [] = \text{Node}$

$f [1] = \text{Leaf}$

$f [1;1] = 3$

$f [2;1] = \text{Leaf}$

$f [2;1;1] = 1$

$f [2] = \text{Node}$

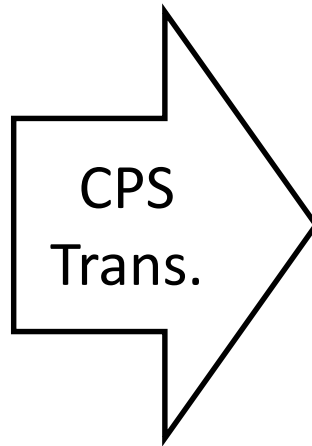
$f [2;2] = \text{Leaf}$

$f [2;2;1] = 4$

Function Encoding of Exceptions

```
exception NotPos
```

```
let rec fact n =  
  if n ≤ 0 then  
    raise NotPos  
  else  
    try  
      n × fact (n-1)  
    with NotPos -> 1
```



```
type exc = NotPos
```

```
let rec fact n k exn =  
  if n ≤ 0 then  
    exn NotPos  
  else  
    fact (n-1)  
    (fun r -> k (n × r))  
    (fun NotPos -> k 1)
```

Summary: Safety Verification by MoCHi

- For finite-data HO programs: sound, complete, and fully-automatic verification by reduction to HO model checking [Kobayashi '09]
- For infinite-data HO programs: sound and automatic (but incomplete) verification by a combination of:
 - HO model checking
 - predicate abstraction & discovery [Kobayashi+ '11, U.+ '09, '15]
 - program transformation [Sato+ '13]

Necessarily incomplete but often more precise than other approaches

Sometimes relatively complete modulo certain assumptions

- relatively complete refinement type system [U.+ '13]
- relatively complete predicate discovery [Terauchi & U. '15]

This Tutorial: Software Model Checker MoCHi for OCaml based on HOMC

Prove Properties of Program Executions

OCaml Program:

P

\models

Specification:

Ψ

- Higher-order Functions
- Exception Handling
- Algebraic Data Structures

Safety

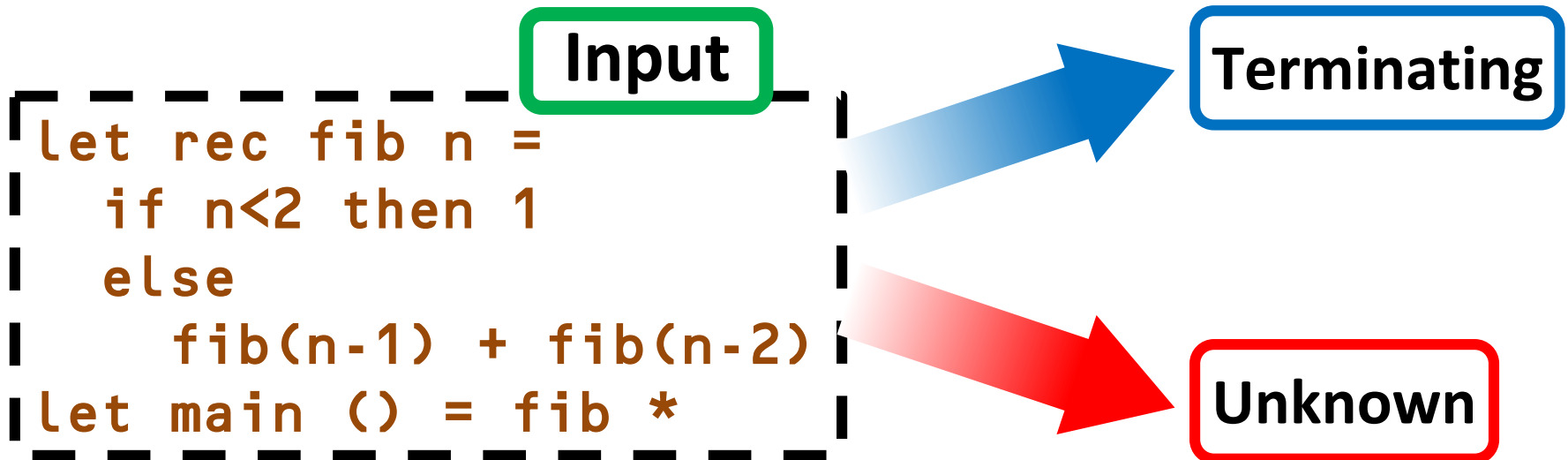
Termination

Non-termination

ω -regular properties

Termination Verification

- Automatically prove that a program terminates for every input (and non-determinism)



Tool Demonstration of MoCHi

- Web interface available from:
<http://www.kb.is.s.u-tokyo.ac.jp/~kuwahara/termination/>

1st Naïve Approach to Termination Verification of HO Functional Programs

- Abstract to a finite data HO program, and apply HO model checking
- Problem: many terminating programs are turned into non-terminating ones by abstraction

e.g. $f(x) = \text{if } x < 0 \text{ then } 1 \text{ else } 1 + f(x-1)$ terminating
 $\rightarrow f(b_{x < 0}) = \text{if } b_{x < 0} \text{ then } 1 \text{ else } 1 + f(*)$ non-terminating

Termination Verification for Imperative Programs

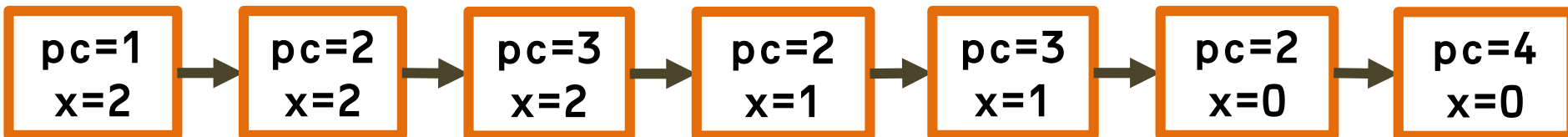
- Binary Reachability Analysis [Cook+ '06]
 - Theorem [Podelski & Rybalchenko '04]:
 P is terminating iff
 T^+ is disjunctively well-founded (dwf)
 - T : the transition relation of P
 - dwf: a finite union of well-founded relations

Example: Binary Reachability Analysis

```
1: x = *;  
2: while(x>0){  
3:   x--;  
4: }
```

$$T^+ \subseteq \{(s, s') \mid s.pc < s'.pc\} \\ \cup \{(s, s') \mid s.pc > s'.pc\} \\ \cup \{(s, s') \mid s.x > s'.x \geq 0\}$$

Terminating!



2nd Naïve Approach to Termination Verification of HO Functional Programs

- Check that \rightarrow^+ is dwf by [Cook+ '06]
 - \rightarrow : the one-step reduction relation of the HO program P
- Problem: [Cook+ '06] needs to reason about change in calling context / call stack
 - Theorem [Berardi+'14, Yokoyama'14]:
[Cook+ '06] can only prove termination of primitive recursive functions (when usable wf relations have height at most ω)

2nd Naïve Approach to Termination

```
let rec ack m n =
```

```
  if m = 0 then n + 1
```

```
  else if n = 0 then ack (m-1) 1
```

```
  else ack (m-1) (ack m (n-1))
```

```
let main m n = if m > 0 && n > 0 then ack m n
```

Terminates but transition relation is quite complex

– Theorem [Berardi+'14, Yokoyama'14]:

[Cook+ '06] can only prove termination of primitive recursive functions (when usable wf relations have height at most ω)

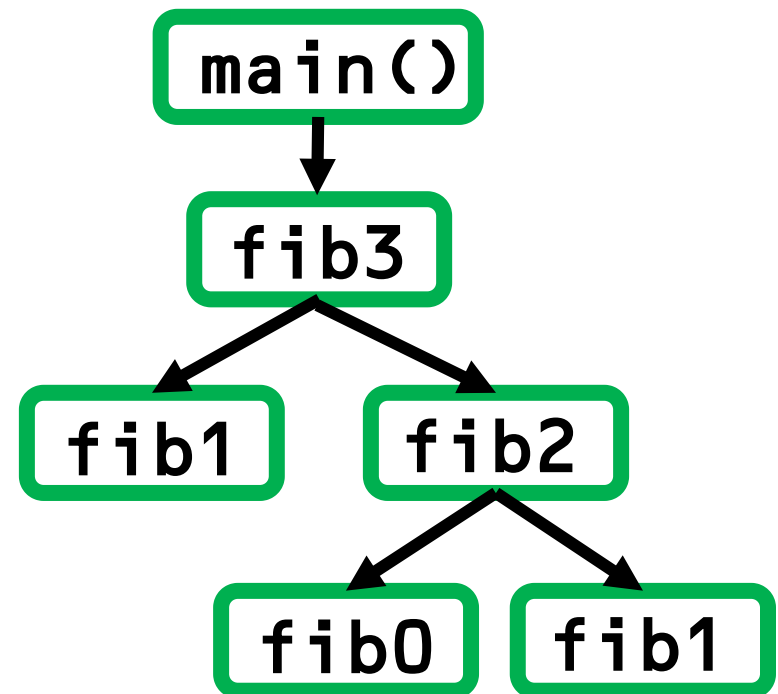
Our Solution: Binary Reachability Analysis Generalized to HO [Kuwahara+ '14]

- Theorem [Kuwahara+ '14]:
HO functional program P is terminating iff $Call_P^+$ is dwf
 - The calling relation $Call_P$ of P :
 $\{(f\tilde{v}, g\tilde{w}) \mid g\tilde{w} \text{ is called from } f\tilde{v} \text{ in an execution of } P\}$
 - $Call_P^+ = \{(f\tilde{v}, g\tilde{w}) \mid main() \rightarrow^* E[f\tilde{v}], f\tilde{v} \rightarrow^+ E'[g\tilde{w}]\}$

Example: Generalized Binary Reachability Analysis

```
Let rec fib n =  
  if n < 2 then 1  
  else fib (n-1)  
    + fib (n-2)  
Let main() = fib(rand())
```

(Tree representation)


$$\text{Call} = \{(\text{fib}(n), \text{fib}(n-1)) \mid n > 1\}$$
$$\cup \{(\text{fib}(n), \text{fib}(n-2)) \mid n > 1\}$$
$$\subseteq \{(\text{fib } m, \text{fib } n) \mid m > n \geq 0\}$$

Reduce Binary Reachability to Plain Reachability

- Goal: check $Call_P \subseteq W$ for some dwf W
- Approach: reduction to a safety verification problem by program transformation
 - To each function f , **add an extra argument** to record the argument of an ancestor call to f
 - **Assert that W holds** when f is called

```
fib n =  
  if n<2 then n  
  else fib(n-1)+fib(n-2)  
main() = fib(rand())
```

```
W = {(m,n) | m>n≥0}
```



```
fib m n =  
  assert(m>n≥0);  
  let m' = if * then m else n in  
  if n<2 then n  
  else fib m' (n-1)+fib m' (n-2)  
main() = fib ⊥ (rand())
```

This Tutorial: Software Model Checker MoCHi for OCaml based on HOMC

Prove Properties of Program Executions

OCaml Program:

P

\models

Specification:

Ψ

- Higher-order Functions
- Exception Handling
- Algebraic Data Structures

Safety

Termination

Non-termination

ω -regular properties

Automata-Theoretic Approach [Vardi'91]

- Input:
 - Program P
 - ω -regular temporal property Ψ
- 1. Construct ω -automaton $A_{\neg\Psi}$ (with a fairness acceptance condition) that recognizes $L(\neg\Psi)$
- 2. Construct product program $P \times A_{\neg\Psi}$
- 3. Verify that $P \times A_{\neg\Psi}$ is fair terminating (i.e., no infinite execution trace that is fair)

Theorem: $P \models \Psi$ iff $P \times A_{\neg\Psi}$ is fair terminating

Definition: Fair Termination of P

- Fairness Constraint: $C = \{(A_1, B_1), \dots, (A_n, B_n)\}$
- Infinite sequence π is **fair** wrt C if $\forall (A, B) \in C$,
 - A occurs only finitely often in π or
 - B occurs infinitely often in π
- P is **fair terminating** wrt C if P has no infinite execution trace that is fair wrt C

Fair Termination Verification for Imperative Programs [Cook+ '07]

- Theorem:

P is fair terminating wrt C iff $T^{+\uparrow C}$ is dwf

- T : transition relation of P

- fair transitive closure $R^{+\uparrow C}$ of R is defined by:

$$R^{+\uparrow C} = \left\{ (s_1, s_n) \mid \begin{array}{l} \forall 1 \leq i < n. (s_i, s_{i+1}) \in R, \\ s_1 \cdots s_n \text{ is fair wrt } C, n \geq 2 \end{array} \right\}$$

(Intuitively means the subset of R^+ that is fair wrt C)

- Finite sequence $s_1 \cdots s_n$ is **fair** wrt C if $\forall (A, B) \in C$,
 A does not occur in $s_1 \cdots s_n$ or B occurs in $s_1 \cdots s_n$

1st Naïve Approach to Fair Termination Verification of HO Functional Programs

- Check that $\rightarrow^{+\uparrow C}$ is dwf
 - \rightarrow : the one-step reduction relation of the HO program P
- Suffers from the same problem as the 1st naïve approach to plain termination verification of HO functional programs:
 - [Cook+ '07] needs to reason about change in calling context / call stack

2nd Naïve Approach to Fair Termination Verification of HO Functional Programs

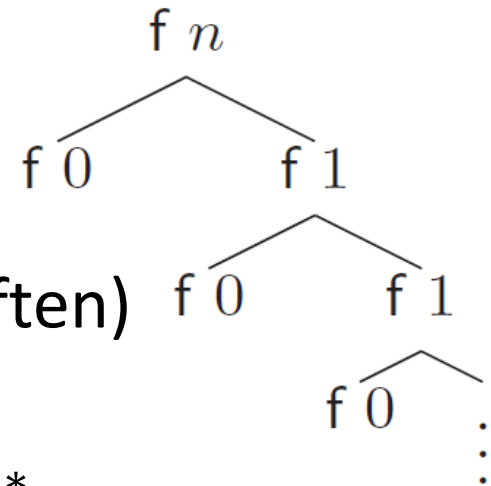
- Check that $Call_P^{+\uparrow C}$ is dwf
- **Unsound:** There is a case that $Call_P^{+\uparrow C}$ is dwf but P is not fair-terminating wrt C

– For example,

$f\ x = \text{if } x \leq 0 \text{ then } () \text{ else } (f\ 0; f\ 1)$

$C = \{(\text{true}, f\ 0)\}$

(fair wrt C iff $f\ 0$ is called infinitely often)



$f\ 2 \rightarrow^* f\ 0; f\ 1 \rightarrow^* f\ 1 \rightarrow^* f\ 0; f\ 1 \rightarrow^* \dots$

Our Solution: Fair-Termination Analysis Generalized to HO Programs [Murase+ '16]

- Check disjunctive well-foundedness of \triangleright_P^C :
 $\{(f\tilde{v}, g\tilde{w}) \mid \text{main}() \rightarrow^* E[f\tilde{v}], f\tilde{v} \rightarrow^{+\uparrow C} E'[g\tilde{w}]\}$
 - Note that \triangleright_P^C is Call_P^+ but \rightarrow^+ replaced by $\rightarrow^{+\uparrow C}$
- Theorem:
 P is fair-terminating wrt C iff \triangleright_P^C is dwf

How to Check that \triangleright_P^C is dwf?

- By reduction to a safety verification problem via program transformation similar to the one for binary reachability analysis
(see our POPL'16 paper [Murase+ '16] for details)

Summary: Plain and Fair Termination Verification by MoCHI

- Naïve combination of HO model checking and predicate abstraction into HO Boolean programs is too imprecise
- Generalize binary reachability analysis to the HO setting by introducing the calling relations $Call_P$ and \triangleright_P^C

This Tutorial: Software Model Checker MoCHi for OCaml based on HOMC

Prove Properties of Program Executions

OCaml Program:

$$P$$
$$\models$$

Specification:

$$\Psi$$

- Higher-order Functions
- Exception Handling
- Algebraic Data Structures

Safety

Termination

Non-termination

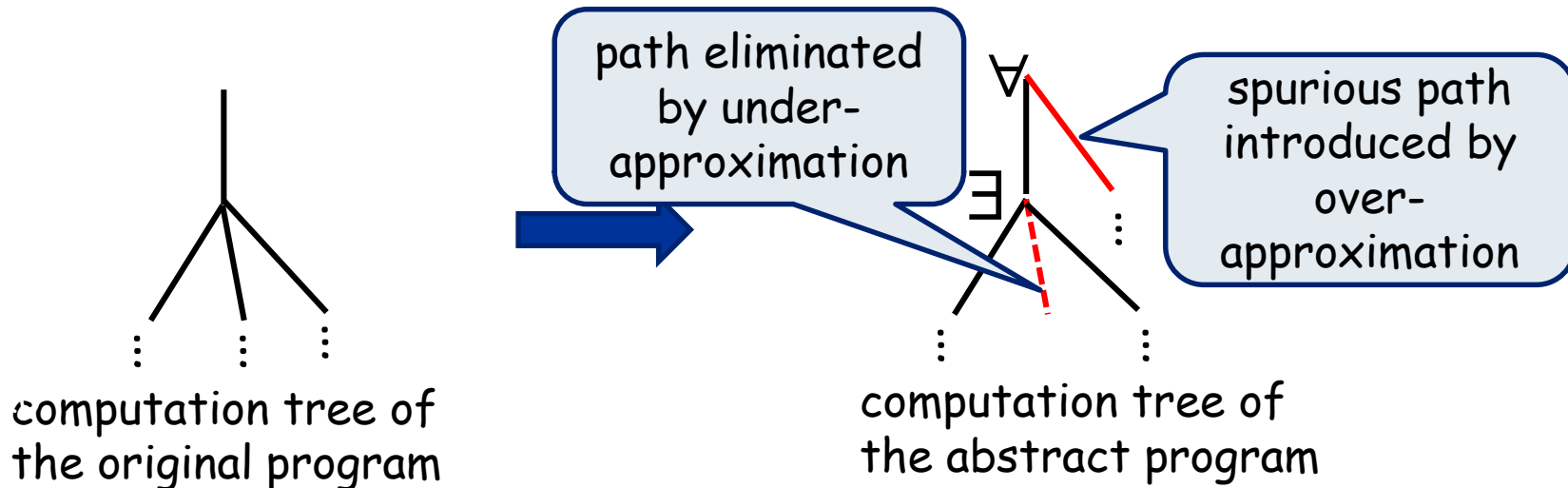
ω -regular properties

Verifying Non-Termination (or Disproving Termination) of HO programs

- Goal: prove that a program is **non**-terminating for **some** input (or for some non-deterministic choice)
 - complementary to termination verification

Our approach [Kuwahara+ '15]

- combine **over- and under-approximation**
 - **over-approximate** deterministic branches, and check that **all the branches** are non-terminating
 - **under-approximate** non-deterministic branches, and check that **one of the branches** is non-terminating



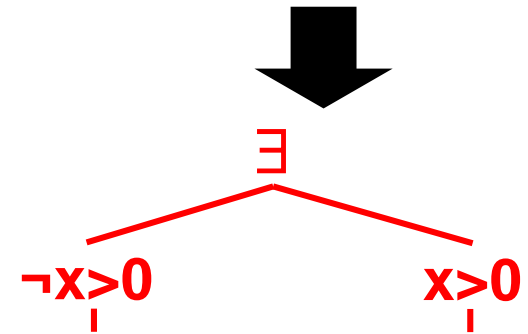
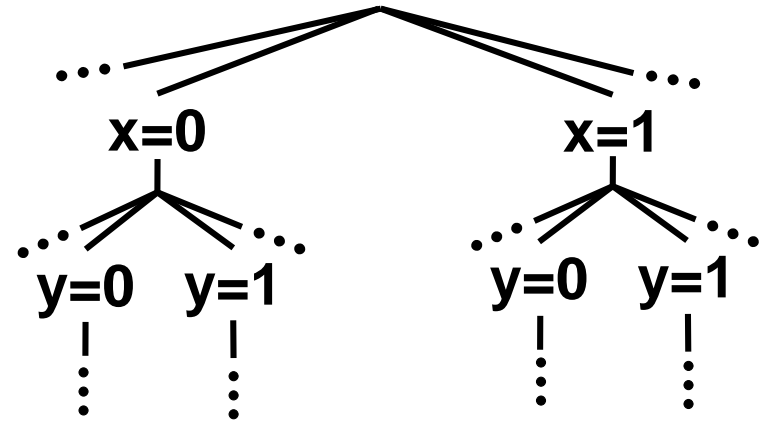
Our Approach: Combination of Under-/Over-approximation

pred: $x > 0$

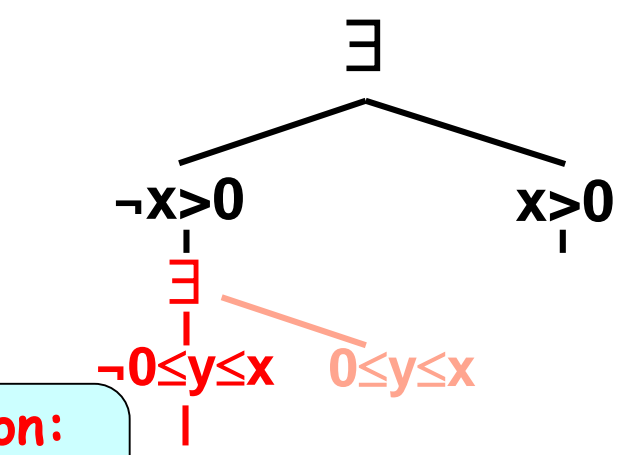
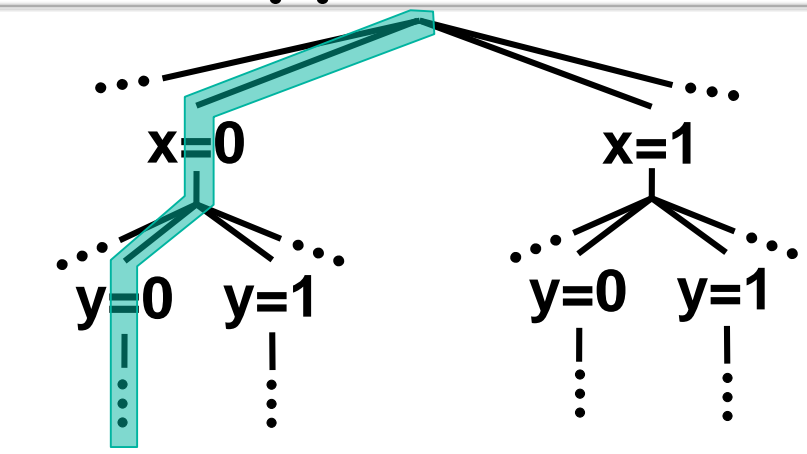
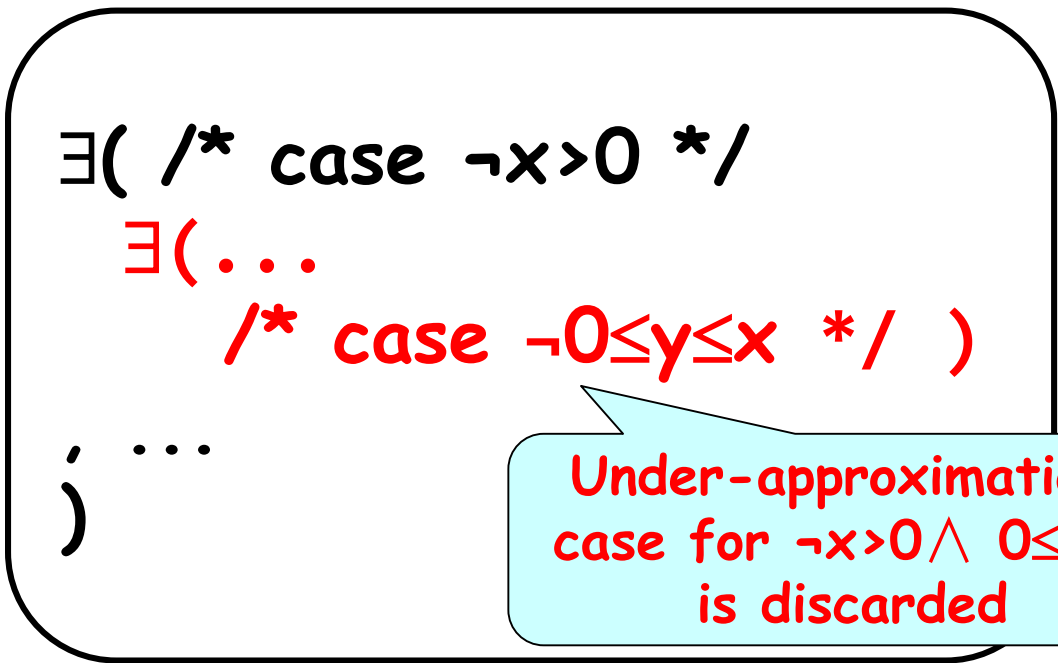
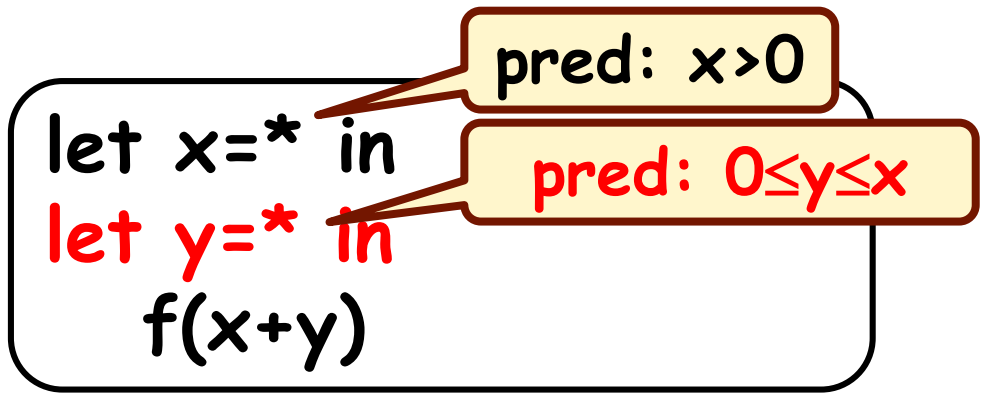
```
let x=* in  
let y=* in  
f(x+y)
```

Only one of the
branches needs to
be non-terminating

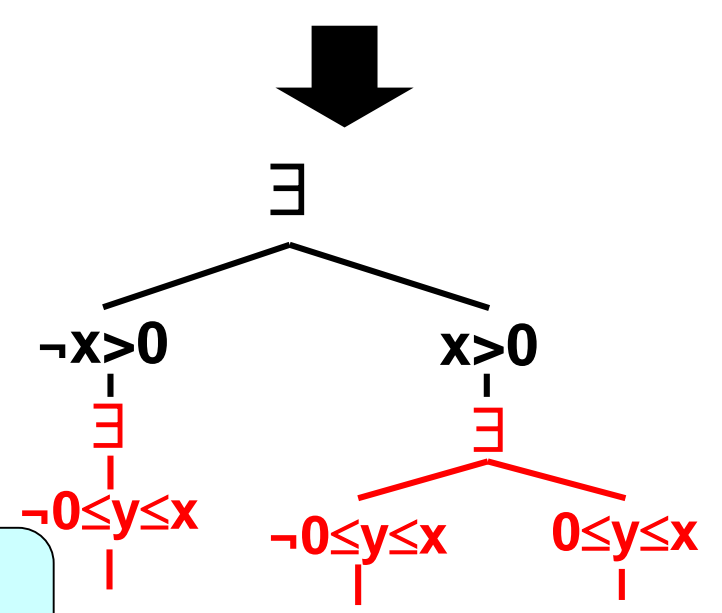
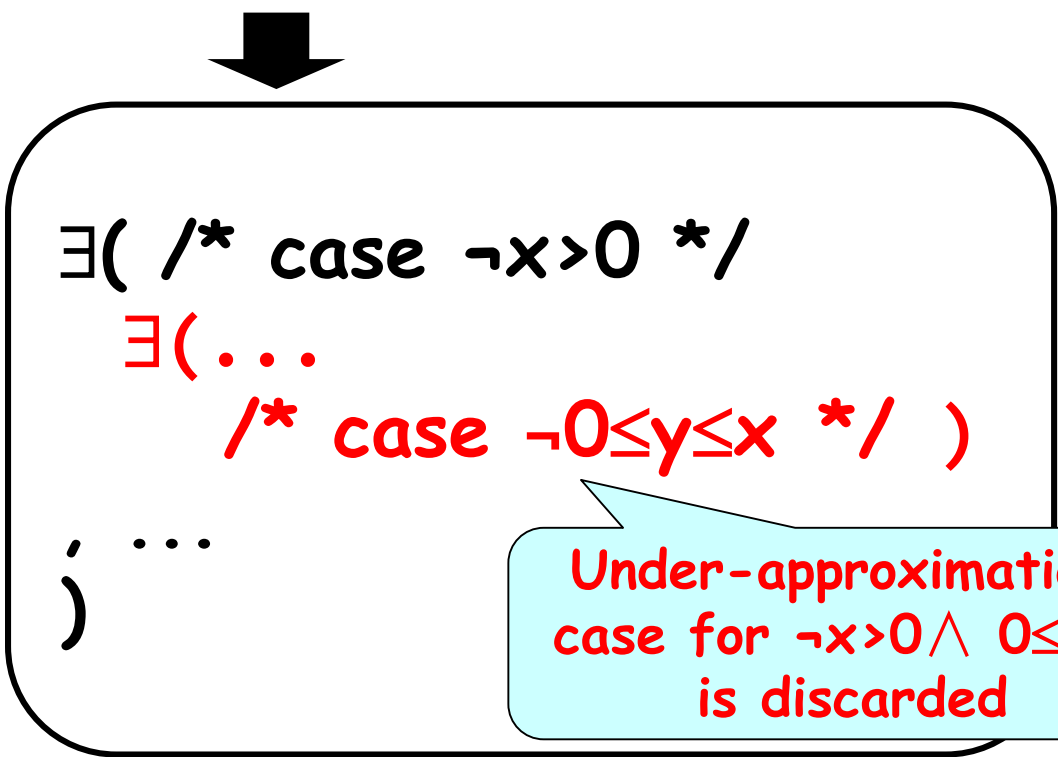
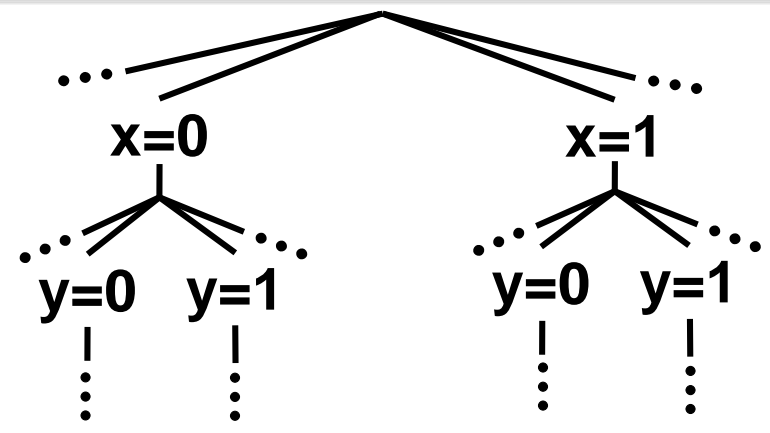
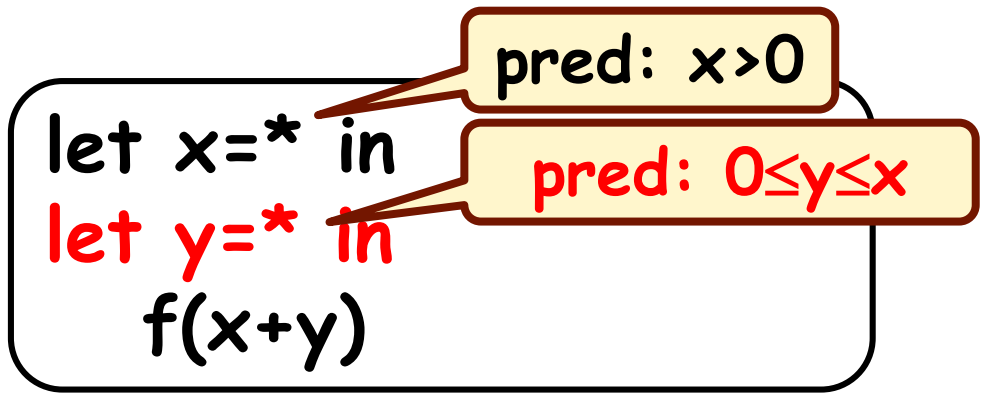
```
∃ (...  
  /* case  $\neg x > 0$  */  
  , ...  
  /* case  $x > 0$  */  
)
```



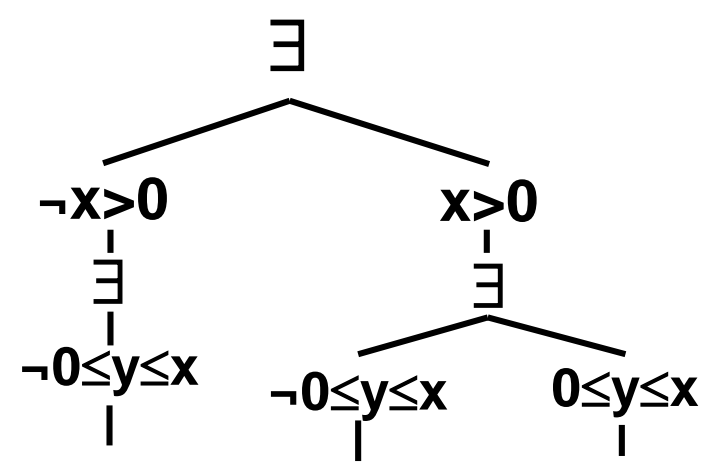
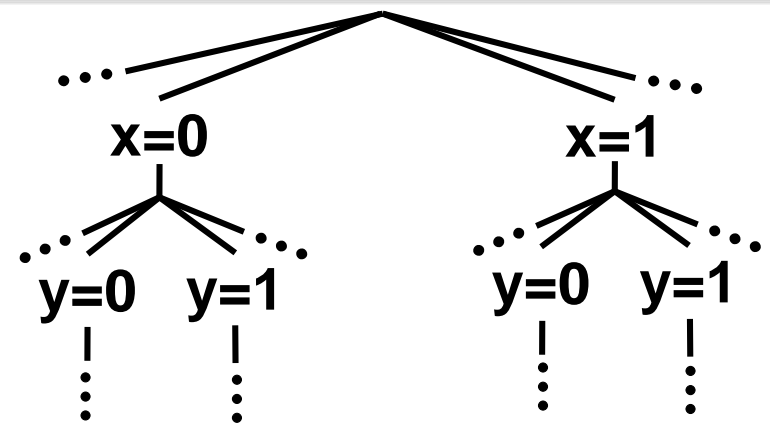
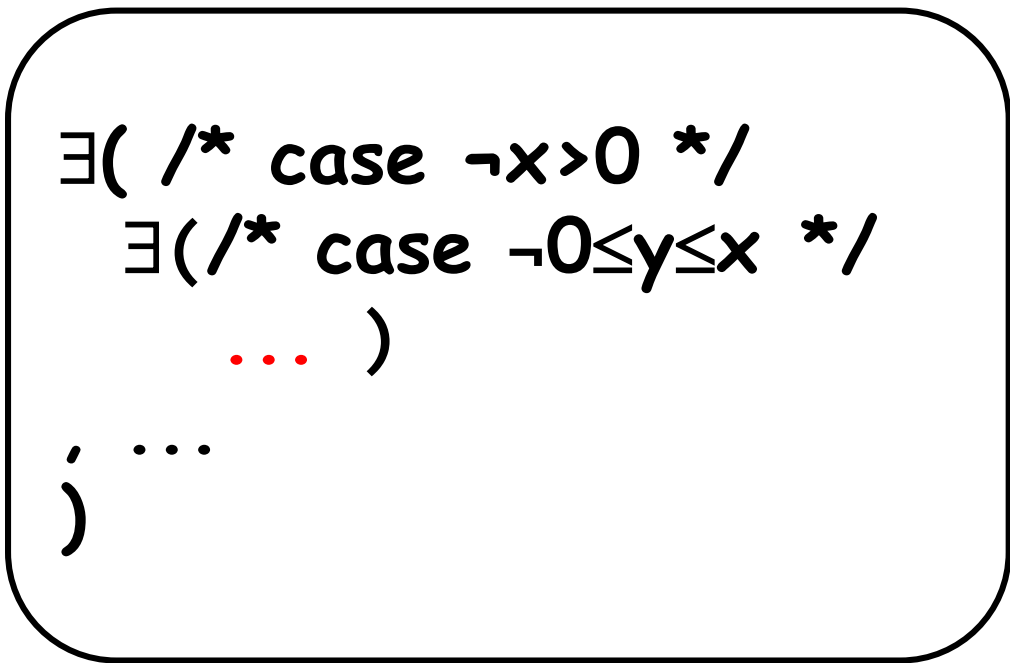
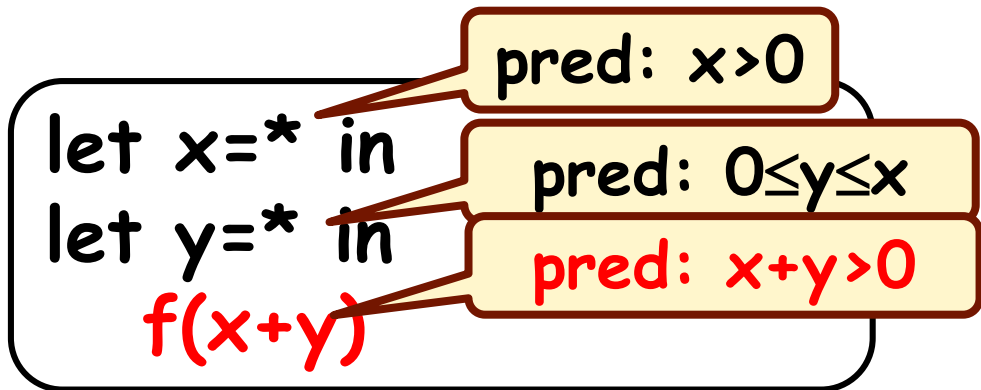
Our Approach: Combination of Under-/Over-approximation



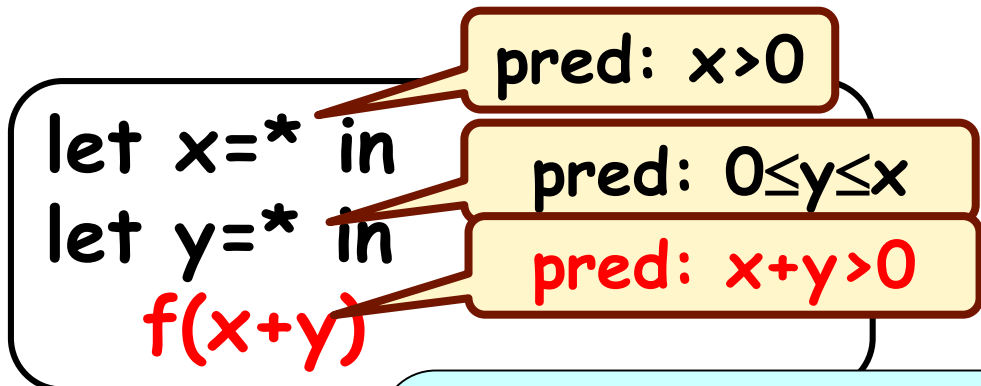
Our Approach: Combination of Under-/Over-approximation



Our Approach: Combination of Under-/Over-approximation



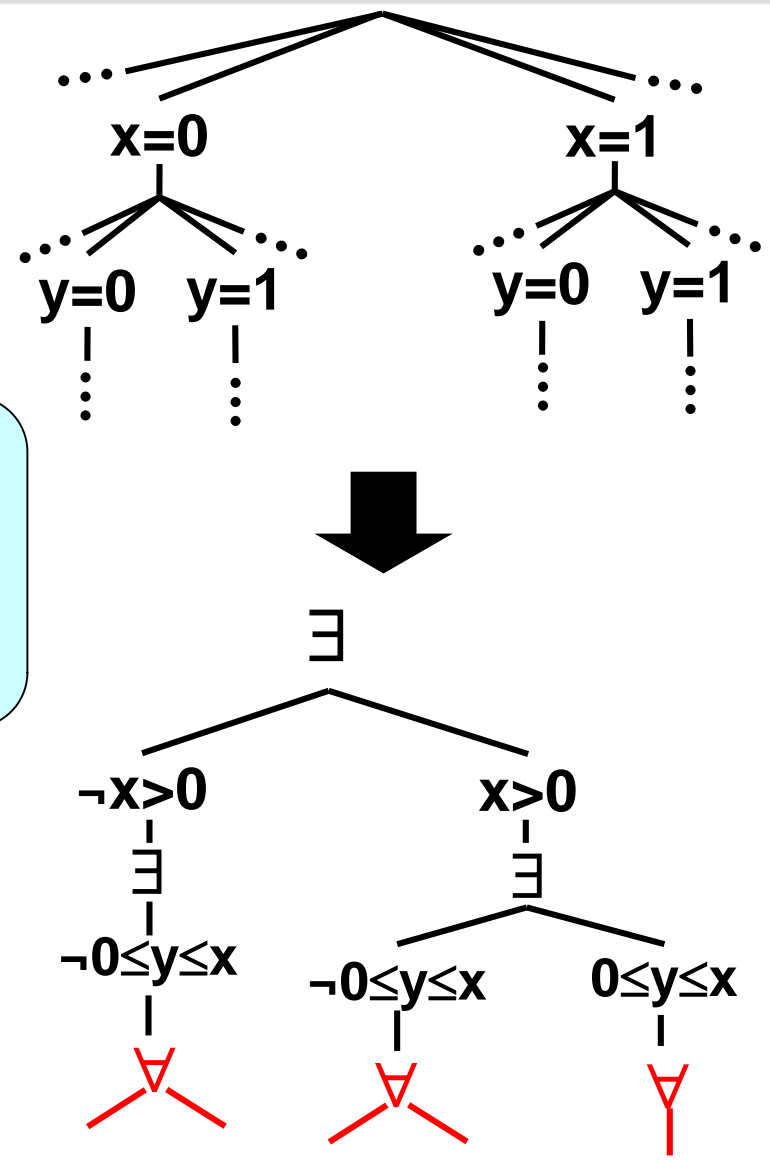
Our Approach: Combination of Under-/Over-approximation



Overapproximation:
both branches should
have an infinite path
(since we don't know
which branch is valid)

```

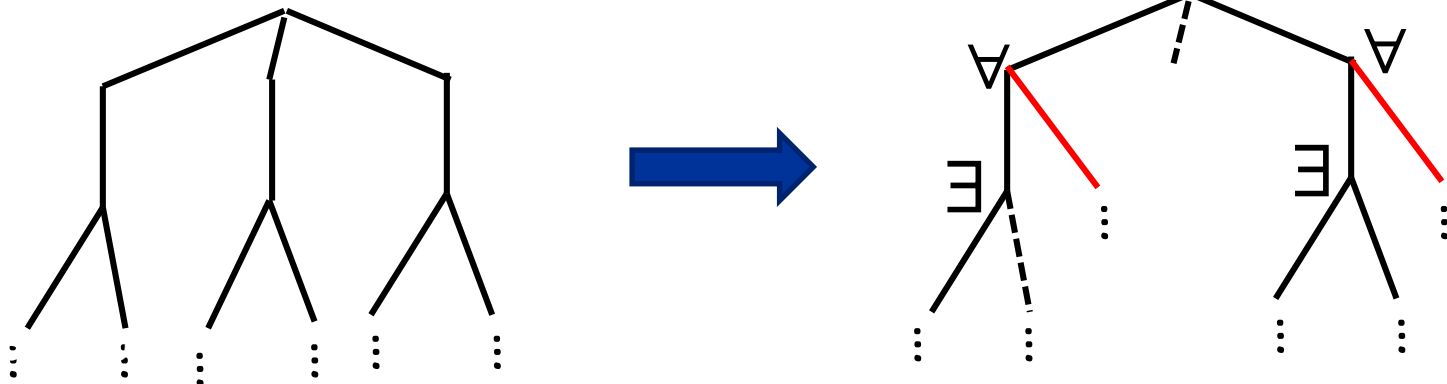
∃ ( /* case
  ∃ ( /* case
    ∇ ( f true /*case x+y>0 */,
      f false /*case -x+y>0 */ )
  )
  , ...
)
  
```



Summary: Non-Termination

Verification by MoCHI

- Underapproximate non-deterministic computation, and check that **one of the branches** has a non-terminating path
- Overapproximate deterministic computation, and check that **all the branches** have non-terminating paths
- Check them by using HO model checking



Conclusions

- HO model checking alone is not enough to construct practical software model checkers for OCaml, Java, ...
- It is often the case that software verification techniques developed for imperative programs cannot be reused in the HO setting
 - Types are useful for generalization to HO