

# Cone avoid result under certain combinatorial condition

Lu Liu

Central South University

January 13, 2016

# Introduction

The first goal of this talk is to provide a framework to prove the following kind of result.

## Theorem

*Given an instance of problem  $P$ , namely  $I$ , and an instance of problem  $Q$ , namely  $J$ , if the set of solutions of  $J$  is complex enough, then there exists a "non trivial" solution of  $I$  that does not "compute" the set of solutions of  $J$ .*

For example,

## Theorem 1 ([7])

*Given a set  $A$  that is not effectively compressible, and a computable binary tree  $\mathcal{T}$ , if  $[\mathcal{T}]$  does not admit computable strong enumeration, then there exists an infinite subset of  $A$ , namely  $G$ , such that  $G$  does not compute a strong enumeration of  $[\mathcal{T}]$ .*



# Table of Content

- Notions
- Intuition
- Forcing condition
- How to extend the forcing condition
- What role does combinatorics play
- References and Appendix

We begin with examples of some notions.

- Problem: (1)  $RT_k^n$ ; (2) WWKL; (3) SUBSET;
- Instance  $I$ : (1) a coloring; (2) a tree defining a closed set of positive measure; (3) a set;
- Solutions of instance  $I$ ,  $\mathcal{I}^I$ : (1) homogeneous set of the coloring; (2) a path; (3) a subset of the set;
- Non trivial: (1) infinite; (2) infinite long; (3) infinite;

If  $\mathcal{I}^I$  forces  $\varphi$  and  $\mathcal{I}^J$  forces  $\psi$  then  $\mathcal{I}^I \mathcal{I}^J$  forces  $\psi \wedge \varphi$ .  
(Where  $\mathcal{I}^I \mathcal{I}^J$  is short for  $\mathcal{I}^I \cap \mathcal{I}^J$ . And  $\mathcal{I}^I$  forces  $\varphi$  means every  $Y \in \mathcal{I}^I$  satisfy  $\varphi(Y)$ .)

# Forcing condition

Forcing condition: essentially a set of solutions of problem  $P$ .

# Forcing condition

Forcing condition: essentially a set of solutions of problem  $P$ .

In this method, it is defined by

some  $\rho_1, \dots, \rho_k \in 2^{<\omega}$ ,

some closed sets of instance of  $P$ , namely  $\mathcal{P}_1, \dots, \mathcal{P}_m$ ,

some  $\mathcal{B} \subseteq \mathcal{P}(\{1, 2, \dots, m\})$ ,

$$\begin{aligned} & \bigcup_{l_1 \in \mathcal{P}_1} \bigcup_{l_2 \in \mathcal{P}_2} \dots \bigcup_{l_m \in \mathcal{P}_m} \\ & \mathcal{J}^{l_{r_1}} \mathcal{J}^{l_{r_2}} \dots \mathcal{J}^{l_{r_j}} \mathcal{J}^{\rho_1} + \mathcal{J}^{l_{r_1}} \mathcal{J}^{l_{r_2}} \dots \mathcal{J}^{l_{r_j}} \mathcal{J}^{\rho_2} \\ & \qquad \qquad \qquad + \dots + \mathcal{J}^{l_{r_1}} \mathcal{J}^{l_{r_2}} \dots \mathcal{J}^{l_{r_j}} \mathcal{J}^{\rho_k} \\ & + \mathcal{J}^{l_{t_1}} \mathcal{J}^{l_{t_2}} \dots \mathcal{J}^{l_{t_i}} \mathcal{J}^{\rho_1} + \mathcal{J}^{l_{t_1}} \mathcal{J}^{l_{t_2}} \dots \mathcal{J}^{l_{t_i}} \mathcal{J}^{\rho_2} \\ & \qquad \qquad \qquad + \dots + \mathcal{J}^{l_{t_1}} \mathcal{J}^{l_{t_2}} \dots \mathcal{J}^{l_{t_i}} \mathcal{J}^{\rho_k} \\ & \dots \end{aligned} \tag{1}$$

Where  $\{r_1, \dots, r_j\}, \{t_1, \dots, t_i\}, \dots$  are all elements of  $\mathcal{B}$ .

# Forcing condition

Or equivalently,

$$\bigcup_{l_1 \in \mathcal{P}_1} \bigcup_{l_2 \in \mathcal{P}_2} \cdots \bigcup_{l_m \in \mathcal{P}_m} \sum_{j \leq k} \sum_{B \in \mathcal{B}} \mathcal{J}^{\rho_j} \prod_{i \in B} \mathcal{J}^{l_i} \quad (2)$$

As usual, the forcing condition is a set of candidates.



# Forcing condition

We assume that,

## Assumption 2

- $\mathcal{I}^I$  is effectively closed in  $I$ .
- The mathematical problem as a function from instance to solution set  $I \mapsto \mathcal{I}^I$  is continuous.

The purpose is: solutions encoded by a forcing condition is an effectively closed set provided every  $\mathcal{P}_i$  is effectively closed.

# How to extend the forcing condition

## Definition 3 (Type 1 extension)

Type 1 extension simply extends some  $\rho_i$  to some  $\tau \succ \rho_i$  such that the forcing condition still encode "sufficiently many" solutions while preserving all other components of the forcing condition.

# How to extend the forcing condition

Try to force  $\Phi_e^G(n)$  to be a wrong description. (For each  $n$  a description of  $[\mathcal{T}]$  lies within a finite set  $\mathcal{V} = \{a_1, \dots, a_N\}$ .)

This can be done if there exists  $\tau \succ \rho_i$  which is a solution of the given instance  $I$  of  $P$  such that the forcing condition after the type 1 extension still encode "sufficiently many" solutions.

# How to extend the forcing condition

Let  $W$  denote the set of wrong answers. View  $\Phi_e(n)$  as a partial function  $2^{<\omega} \rightarrow \mathcal{V}$ . Consider following cases,

# How to extend the forcing condition

Let  $W$  denote the set of wrong answers. View  $\Phi_e(n)$  as a partial function  $2^{<\omega} \rightarrow \mathcal{V}$ . Consider following cases,

- 1 For "sufficiently many" instance  $J$  including the actual instance  $I$ ,  $\Phi_e(n)^{-1}(W)$  has non empty intersection with

$$\sum_{i \leq k} \mathcal{I}^{\rho_i} \sum_{B \in \mathcal{B}} \prod_{j \in B} \mathcal{I}^{I_j}$$

for "sufficiently many"  $I_j \in \mathcal{P}_i, i \leq k$ .

# How to extend the forcing condition

Let  $W$  denote the set of wrong answers. View  $\Phi_e(n)$  as a partial function  $2^{<\omega} \rightarrow \mathcal{V}$ . Consider following cases,

- 1 For "sufficiently many" instance  $J$  including the actual instance  $I$ ,  $\Phi_e(n)^{-1}(W)$  has non empty intersection with

$$\sum_{i \leq k} \mathcal{I}^{\rho_i} \sum_{B \in \mathcal{B}} \prod_{j \in B} \mathcal{I}^{I_j}$$

for "sufficiently many"  $I_j \in \mathcal{P}_i, i \leq k$ .

- 2 Similar to item 1 but  $I$  is not included.

# How to extend the forcing condition

Let  $W$  denote the set of wrong answers. View  $\Phi_e(n)$  as a partial function  $2^{<\omega} \rightarrow \mathcal{V}$ . Consider following cases,

- 1 For "sufficiently many" instance  $J$  including the actual instance  $I$ ,  $\Phi_e(n)^{-1}(W)$  has non empty intersection with

$$\sum_{i \leq k} \mathcal{I}^{\rho_i} \sum_{B \in \mathcal{B}} \prod_{j \in B} \mathcal{I}^{I_j}$$

for "sufficiently many"  $I_j \in \mathcal{P}_i, i \leq k$ .

- 2 Similar to item 1 but  $I$  is not included.
- 3 Contrary to item 1,  $\Phi_e(n)^{-1}(W)$  does not cover "sufficiently many" instance, sets of answers  $V \subseteq \mathcal{W}$  that  $\Phi_e(n)^{-1}(V^c)$  does not cover "sufficiently many" instance are not so "diverse".

# How to extend the forcing condition

Let  $W$  denote the set of wrong answers. View  $\Phi_e(n)$  as a partial function  $2^{<\omega} \rightarrow \mathcal{V}$ . Consider following cases,

- 1 For "sufficiently many" instance  $J$  including the actual instance  $I$ ,  $\Phi_e(n)^{-1}(W)$  has non empty intersection with

$$\sum_{i \leq k} \mathcal{P}_i^{\rho_i} \sum_{B \in \mathcal{B}} \prod_{j \in B} \mathcal{P}_j^{I_j}$$

for "sufficiently many"  $I_j \in \mathcal{P}_j, i \leq k$ .

- 2 Similar to item 1 but  $I$  is not included.
- 3 Contrary to item 1,  $\Phi_e(n)^{-1}(W)$  does not cover "sufficiently many" instance, sets of answers  $V \subseteq \mathcal{W}$  that  $\Phi_e(n)^{-1}(V^c)$  does not cover "sufficiently many" instance are not so "diverse".
- 4 Similar to item 3 but sets of answers  $V \subseteq \mathcal{W}$  that  $\Phi_e(n)^{-1}(V^c)$  does not cover "sufficiently many" instance are "diverse".



# How to extend the forcing condition

# How to extend the forcing condition

- In case (1), by assumption 6 (see later), there exists type 1 extension forcing  $\Phi_e^\tau(n)$  to be wrong.

# How to extend the forcing condition

- In case (1), by assumption 6 (see later), there exists type 1 extension forcing  $\Phi_e^\tau(n)$  to be wrong.
- Case (2). Intuitively, this case means many instances make mistakes but the actual instance  $f$  does not. We compute a "description" of  $f$  in this case contradicting with assumption on  $f$  in theorem 1.

# How to extend the forcing condition

- In case (1), by assumption 6 (see later), there exists type 1 extension forcing  $\Phi_e^\tau(n)$  to be wrong.
- Case (2). Intuitively, this case means many instances make mistakes but the actual instance  $/$  does not. We compute a "description" of  $/$  in this case contradicting with assumption on  $/$  in theorem 1.
- Case (3). The collection of sets of answer that is not disagreed is "concentrated" and include the set of correct answers. We can compute a "description" of  $[\mathcal{T}]$ , a contradiction.

# How to extend the forcing condition

- In case (1), by assumption 6 (see later), there exists type 1 extension forcing  $\Phi_e^\tau(n)$  to be wrong.
- Case (2). Intuitively, this case means many instances make mistakes but the actual instance  $I$  does not. We compute a "description" of  $I$  in this case contradicting with assumption on  $I$  in theorem 1.
- Case (3). The collection of sets of answer that is not disagreed is "concentrated" and include the set of correct answers. We can compute a "description" of  $[\mathcal{T}]$ , a contradiction.
- Case (4). In this case we apply type 2 extension defined as following.

# How to extend the forcing condition

To force a  $\Pi_1^0$  requirement  $\psi$ , say  $\Phi_e^G(n) \uparrow$ , consider the sets of answers that is not disagreed, i.e.,  $V \subseteq \mathcal{V}$  s.t.,

$$[T_V^c] =^{def} \{I : \text{for every solution } Y \text{ in } \mathcal{S}^I \cap c, \Phi_e^Y(n) \uparrow \vee \Phi_e^Y(n) \in V\} \neq \emptyset \quad (3)$$

# How to extend the forcing condition

To force a  $\Pi_1^0$  requirement  $\psi$ , say  $\Phi_e^G(n) \uparrow$ , consider the sets of answers that is not disagreed, i.e.,  $V \subseteq \mathcal{V}$  s.t.,

$$[T_V^c] =^{def} \{I : \text{for every solution } Y \text{ in } \mathcal{I}^I \cap c, \Phi_e^Y(n) \uparrow \vee \Phi_e^Y(n) \in V\} \neq \emptyset \quad (3)$$

## Definition 4

A type 2 extension of  $c$  induced by  $\mathcal{P}_{m+1}, \mathcal{P}_{m+2}, \dots, \mathcal{P}_{m+n}$ ,  $\mathcal{K} \subseteq \mathcal{P}(\{m+1, \dots, m+n\})$  is,

$$\begin{aligned} & c \bigcap \\ & \quad \bigcup_{I_{m+1} \in \mathcal{P}_{m+1}} \dots \bigcup_{I_{m+n} \in \mathcal{P}_{m+n}} \sum_{K \in \mathcal{K}} \prod_{j \in K} \mathcal{I}^{I_j} \\ &= \bigcup_{I_1 \in \mathcal{P}_1} \dots \bigcup_{I_{m+n} \in \mathcal{P}_{m+n}} \sum_{i \leq k} \mathcal{I}^{\rho_i} \sum_{K \in \mathcal{K}, B \in \mathcal{B}} \prod_{j \in K \cup B} \mathcal{I}^{I_j} \end{aligned} \quad (4)$$

# How to extend the forcing condition

Note that,

- if a collection of set of answers  $\{V_i\}, i \in K$  has empty intersection, then  $\mathcal{I}^{\rho_i} \prod_{j \in K \cup B} \mathcal{I}^{I_j}$  forces  $\Phi_e^G(n) \uparrow$  (for any  $B \in \mathcal{B}$ ).
- $[T_V^c]$  is effectively closed.



# How to extend the forcing condition

Note that,

- if a collection of set of answers  $\{V_i\}, i \in K$  has empty intersection, then  $\mathcal{J}^{\rho_i} \prod_{j \in K \cup B} \mathcal{J}^{I_j}$  forces  $\Phi_e^G(n) \uparrow$  (for any  $B \in \mathcal{B}$ ).
- $[T_V^c]$  is effectively closed.

The key point is,

## Lemma 5

*If the collection of the set of answers that is not disagreed,  $V_1, \dots, V_w$ , are not so "diverse", and  $[T_{V_m}^c]$  contain "sufficiently many" instances, let  $\mathcal{K} = \{K \subseteq \{1, \dots, w\} : \bigcap_{j \in K} V_j = \emptyset\}$ , then type 2 extension of  $c$  induced by  $\mathcal{K}$ ,  $[T_{V_j}^c], j \leq w$  still contains "sufficiently many" solutions.*

# What role does combinatorics play

## Assumption 6

For any forcing condition  $c$  encoding "sufficiently many" solutions, let  $E$  be a set of initial segment of solutions, if whenever for some instance  $J$   $\mathcal{J}^E$  has non empty intersection with

$$\mathcal{J}^J \sum_{i \leq k} \mathcal{J}^{\rho_i} \sum_{B \in \mathcal{B}} \prod_{j \in B} \mathcal{J}^{I_j}$$

for "sufficiently many"  $I_j \in \mathcal{P}_j, j \leq k$ , then there exists  $\gamma \in E$ ,  $i \leq k$  such that  $\mathcal{J}^\gamma \cap \mathcal{J}^J \neq \emptyset$  and type 1 extension  $\gamma \succ \rho_i$  of the forcing condition still encode "sufficiently many" solutions.

In another words, it is "easy" to apply type 1 extension without destroying the "sufficiently many" property.

# What role does combinatorics play

## Assumption 7

The "sufficiently many" (instance and solution) property mentioned in assumption 6 can be computed in a c.e. way and should imply existence of "non trivial" solution.

Some interesting point is,

# What role does combinatorics play

## Assumption 7

The "sufficiently many" (instance and solution) property mentioned in assumption 6 can be computed in a c.e. way and should imply existence of "non trivial" solution.

Some interesting point is,

- assumption 6 is purely combinatorial;

# What role does combinatorics play

## Assumption 7

The "sufficiently many" (instance and solution) property mentioned in assumption 6 can be computed in a c.e. way and should imply existence of "non trivial" solution.

Some interesting point is,

- assumption 6 is purely combinatorial;
- to deal with problem  $P$ , it is not necessary to restrict on the coding of solutions given by  $\mathcal{I}_P$ ;

# What role does combinatorics play

## Assumption 7

The "sufficiently many" (instance and solution) property mentioned in assumption 6 can be computed in a c.e. way and should imply existence of "non trivial" solution.

Some interesting point is,

- assumption 6 is purely combinatorial;
- to deal with problem  $P$ , it is not necessary to restrict on the coding of solutions given by  $\mathcal{I}_P$ ;
- pre-choose a solution from the forcing condition if you are dealing with some problem (property) that  $WKL_0$  does not imply (preserve).

# A digression

What about results like,

## Theorem 8

*There exists instance  $I_Q$  of  $Q$  such that for any instance of  $P$ ,  $I_P$ , there exists "non trivial" solution  $G$  of  $I_P$  such that  $G$  does not compute any non trivial solution of  $I_Q$ .*

## Theorem 9

*for any instance of  $P$ ,  $I$ , there exists "non trivial" solution  $G$  that is generalized low;*

# A digression

What about results like,

## Theorem 8

*There exists instance  $I_Q$  of  $Q$  such that for any instance of  $P$ ,  $I_P$ , there exists "non trivial" solution  $G$  of  $I_P$  such that  $G$  does not compute any non trivial solution of  $I_Q$ .*

## Theorem 9

*for any instance of  $P$ ,  $I$ , there exists "non trivial" solution  $G$  that is generalized low;*

Usually, it matters that whether  $\Phi_e^\tau(n)$  halt but the outcome does not. So a deliberate Type 2 extension is not needed, but assumption 6 is still required.



# Further discussion

The result suggest to characterize the power of a problem in terms of describing path through trees.

# Further discussion

The result suggest to characterize the power of a problem in terms of describing path through trees.

An attempt looks like,

## Definition 10

There exists a uniformly  $I$ -enumerable tree  $T^I \subseteq \omega^{<\omega}$ , such that

- for all  $\rho \in \omega^{<\omega}$ ,  $|\{\tau \in T^I : \tau \succ \rho\}| = \infty$  implies there exists some path extending  $\tau$ ;
- any path of  $[T^I]$  computes a non trivial solution of  $I$ ;
- any nontrivial solution of  $I$  computes a certain description of  $[T^I]$ .

A description of  $[T]$  is simply a sequence of clopen set.

The point is study the combinatorics restriction of admissible description.

# Further discussion

## Question 11

Does there exist an instance of  $RT_3^1$ ,  $I_3^1$  such that for any instance of  $RT_2^1$ ,  $I_2^1$  and any solution of  $I_2^1$ , namely  $G$ ,  $G$  does not compute a non trivial solution of  $I_3^1$ ?

## Question 12

Does there exist a 1-random  $X$  such that for any instance of  $RT_2^1$ ,  $I_2^1$  and any solution of  $I_2^1$ , namely  $G$ ,  $G$  does not derandomize  $X$ ?

# References



P. CHOLAK, C. JOCKUSCH, AND T. SLAMAN, *On the strength of Ramsey's theorem for pairs*, Journal of Symbolic Logic, 66 (2001), pp. 1–55.



C. CHONG, T. SLAMAN, AND Y. YANG, *The metamathematics of stable Ramsey's theorem for pairs*, Journal of the American Mathematical Society, 27 (2014), pp. 863–892.



D. D. DZHAFAROV AND C. G. JOCKUSCH, *Ramsey's theorem and cone avoidance*, Journal of Symbolic Logic, 74 (2009), pp. 557–578.



M. KUMABE AND A. LEWIS, *A fixed-point-free minimal degree*, Journal of the London Mathematical Society, (2009).



M. LERMAN, R. SOLOMON, AND H. TOWNSNER, *Separating principles below ramsey's theorem for pairs*, Journal of Mathematical Logic, 13 (2013), p. 1350007.



L. LIU, *Cone avoiding closed sets*, Transactions of the American Mathematical Society, 367 (2015), pp. 1609–1630.



———, *Constructing a weak subset of a random set*, Submitted, (2015).



J. S. MILLER, *Extracting information is hard: a turing degree of non-integral effective hausdorff dimension*, Advances in Mathematics, 226 (2011), pp. 373–384.



L. PATEY, *Iterative forcing and hyperimmunity in reverse mathematics*, arXiv preprint arXiv:1501.07709, (2015).



W. WANG, *The definability strength of combinatorial principles*, 2014. to appear.

## Definition 13

Let  $D_n$  be the canonical representation of finite set of  $2^{<\omega}$ .  
An enumeration of  $T \subseteq 2^{<\omega}$  is a  $h : \omega \rightarrow \omega$  such that  
 $(\forall n) D_{h(n)} \cap T \neq \emptyset$ . Moreover,  $h$  is

- $k$ -enumeration iff  $(\forall n) |D_{h(n)}| \leq k$ ;
- non-trivial iff  $(\forall n \forall \rho \in D_{h(n)}) |\rho| = n$ ;
- strong iff it is a  $k$ -enumeration for some  $k \in \mathbb{N}$ ;

Fix the SUBSET problem  $\mathcal{J} : I \rightarrow \mathcal{J}^I = \{Y : Y \subseteq I\}$

## Definition 14

- An effectively closed set of instance is said to contain *sufficiently many* instances if it contains both  $J, J^c$  for some instance  $J$ .
- A forcing condition  $c$  is said to contain sufficiently many solutions iff there exists  $I_i \in \mathcal{P}_i, i \leq k$  with  $(\forall i, j \leq k) \rho_i \subseteq I_j$  and

$$\bigcup_{B \in \mathcal{B}} \left( \bigcap_{j \in B} I_j \right) = \omega$$

Requirements are,

$$P_e : |G \cap A| > e;$$

$R_e : \Phi_e^{G \cap A}$  is not a non-trivial strong  $e$ -enumeration of  $[\mathcal{T}]$ , i.e. one of the following holds:

- 1  $\Phi_e^{G \cap A}$  is not total;
- 2  $(\exists n)[\Phi_e^{G \cap A}(n)] \cap [\mathcal{T}] = \emptyset$ ;
- 3  $(\exists n)|\Phi_e^{G \cap A}(n)| > e$ ;
- 4  $(\exists n) \rho \in \Phi_e^{G \cap A}(n), |\rho| \neq n$

## Definition 15 (Diverse)

For a collection of sets  $\mathcal{V} = \{V_1, \dots, V_w\}$ ,  $\mathcal{V}$  is  $K$ -disperse iff for all  $K$ -partitions of  $\{V_1, \dots, V_w\}$ ,  $P_1 \cup P_2 \cup \dots \cup P_K = \{V_1, \dots, V_w\}$ , there exists  $k \leq K$  such that  $\bigcap_{V_i \in P_k} V_i = \emptyset$ .



*End*

*Thank you*