### Strong reductions for extended formulations

Gábor Braun<sup>1</sup> Sebastian Pokutta<sup>1</sup> Aurko Roy<sup>1</sup>

<sup>1</sup>Georgia Tech

#### Semidefinite & Matrix Methods in Optimization & Communication Singapore Feb 2, 2016

Gábor Braun, Sebastian Pokutta, Aurko Roy Strong reductions for extended formulations

## How powerful are Linear and Semidefinite Programs?

Gábor Braun, Sebastian Pokutta, Aurko Roy Strong reductions for extended formulations

#### Linear Programming (LP)

- a general algorithmic paradigm
- efficient in theory and practice
- large body of approximation algorithms

#### Linear Programming (LP)

- a general algorithmic paradigm
- efficient in theory and practice
- large body of approximation algorithms

Semidefinite programming (SDP):

- generalizes linear programming
- also efficient in theory
- covers much of tractable convex optimization
- better approximations for hard problems!

VERTEXCOVERLPSETCOVERLPFACILITYLOCATIONLPMAXCUTSDPSPARSESTCUTSDPMAXCSPSum-of-squares (SDP)

.

Unique Games Conjecture  $\Rightarrow$  SDP is optimal algorithm for MAXCSPs

Gábor Braun, Sebastian Pokutta, Aurko Roy Strong reductions for extended formulations

イロト イヨト イヨト イヨト

 Earliest lowerbound was on the size of a symmetric LP for TRAVELINGSALESMAN and MATCHING due to Yannakakis (1991).

- Earliest lowerbound was on the size of a symmetric LP for TRAVELINGSALESMAN and MATCHING due to Yannakakis (1991).
- Many lowerbounds have focused on specific LP and SDP relaxations, like the Sherali-Adams and Lasserre/SoS hierarchies.

- Earliest lowerbound was on the size of a symmetric LP for TRAVELINGSALESMAN and MATCHING due to Yannakakis (1991).
- Many lowerbounds have focused on specific LP and SDP relaxations, like the Sherali-Adams and Lasserre/SoS hierarchies.
- We know due to Chan et al. (2013) and Lee et al. (2014) that the Sherali-Adams and Lasserre hierarchies are optimal for CSPs.

- Earliest lowerbound was on the size of a symmetric LP for TRAVELINGSALESMAN and MATCHING due to Yannakakis (1991).
- Many lowerbounds have focused on specific LP and SDP relaxations, like the Sherali-Adams and Lasserre/SoS hierarchies.
- We know due to Chan et al. (2013) and Lee et al. (2014) that the Sherali-Adams and Lasserre hierarchies are optimal for CSPs.
- However the picture isn't so clear for other classes of problems...

Can we use already known hardness results?

 Schoenebeck (2008) and Charikar et al. (2009) show lowerbounds for LP and SDP hierarchies for certain CSPs.

- Schoenebeck (2008) and Charikar et al. (2009) show lowerbounds for LP and SDP hierarchies for certain CSPs.
- Together with Chan et al. (2013) and Lee et al. (2014) we get unconditional LP and SDP hardness statements for some CSP problems.

- Schoenebeck (2008) and Charikar et al. (2009) show lowerbounds for LP and SDP hierarchies for certain CSPs.
- Together with Chan et al. (2013) and Lee et al. (2014) we get unconditional LP and SDP hardness statements for some CSP problems.
- Can we come up with a notion of *approximation preserving reductions* as in complexity theory to harness these results for other problems?

- Schoenebeck (2008) and Charikar et al. (2009) show lowerbounds for LP and SDP hierarchies for certain CSPs.
- Together with Chan et al. (2013) and Lee et al. (2014) we get unconditional LP and SDP hardness statements for some CSP problems.
- Can we come up with a notion of *approximation preserving reductions* as in complexity theory to harness these results for other problems?
- Braun et al. (2015) came up with the notion of affine reductions to show for example a  $\frac{3}{2} \varepsilon$  LP inapproximability for VERTEXCOVER by reducing from MAXCUT.

Can we use already known hardness results?

- Schoenebeck (2008) and Charikar et al. (2009) show lowerbounds for LP and SDP hierarchies for certain CSPs.
- Together with Chan et al. (2013) and Lee et al. (2014) we get unconditional LP and SDP hardness statements for some CSP problems.
- Can we come up with a notion of *approximation preserving reductions* as in complexity theory to harness these results for other problems?
- Braun et al. (2015) came up with the notion of affine reductions to show for example a  $\frac{3}{2} \varepsilon$  LP inapproximability for VERTEXCOVER by reducing from MAXCUT.
- Bazzi et al. (2015) improved this to  $2 \varepsilon$  by reducing from 1F-CSP, together with intermediate Sherali-Adams reductions to show hardness of 1F-CSP.

 Generalize the reductions from Braun et al. (2015) to drop the dependency on affineness.

- Generalize the reductions from Braun et al. (2015) to drop the dependency on affineness.
- Generalize the reductions to fractional optimization problems such as e.g., SPARSESTCUT.

- Generalize the reductions from Braun et al. (2015) to drop the dependency on affineness.
- Generalize the reductions to fractional optimization problems such as e.g., SPARSESTCUT.
- Use this to prove new LP and SDP hardness results as well as some old ones.

# Summary of Results

Problem	Factor	Source	Paradigm
MAXCUT	$rac{15}{16} + arepsilon$	MAX-3-XOR/0	SDP
SPARSESTCUT, tw(supply) = $O(1)$	$2-\varepsilon$	MaxCut	LP
SPARSESTCUT, tw(supply) = $O(1)$	$rac{16}{15} - \varepsilon$	MaxCut	SDP
BALANCEDSEPARATOR, $tw(demand) = O(1)$	$\omega(1)$	UniqueGames	LP
INDEPENDENTSET	$\omega(n^{1-\varepsilon})$	Max- <i>k</i> -CSP	Lasserre $O(n^{\varepsilon})$ rounds
MATCHING, 3-regular	$1 + \varepsilon/n^2$	Matching	LP
1F-CSP	ω <b>(1</b> )		IP
<i>Q</i> -≠-CSP	ω(1)	UNIQUEARMES	LI

イロン イ団と イヨン イヨン

### Some comments

Gábor Braun, Sebastian Pokutta, Aurko Roy Strong reductions for extended formulations

æ

・ロト ・ 理 ト ・ ヨ ト ・ ヨ ト

- The best known algorithmic hardness of MAXCUT is  $\frac{16}{17} + \varepsilon$  (assuming  $P \neq NP$ ).

< 回 > < 三 > < 三 >

- The best known algorithmic hardness of MAXCUT is  $\frac{16}{17} + \varepsilon$  (assuming  $P \neq NP$ ).
- Inapproximability of 1F-CSP proves a 2  $\varepsilon$  inapproximability for VERTEXCOVER.

- The best known algorithmic hardness of MAXCUT is  $\frac{16}{17} + \varepsilon$  (assuming  $P \neq NP$ ).
- Inapproximability of 1F-CSP proves a 2  $\varepsilon$  inapproximability for VERTEXCOVER.
- Inapproximability of Q- $\neq$ -CSP proves a  $Q \varepsilon$  inapproximability for Q-regular hypergraph cover.

- The best known algorithmic hardness of MAXCUT is  $\frac{16}{17} + \varepsilon$  (assuming  $P \neq NP$ ).
- Inapproximability of 1F-CSP proves a 2  $\varepsilon$  inapproximability for VERTEXCOVER.
- Inapproximability of Q- $\neq$ -CSP proves a  $Q \varepsilon$  inapproximability for Q-regular hypergraph cover.
- Lasserre relaxations are suboptimal for INDEPENDENTSET: there is an LP of linear size with a  $2\sqrt{n}$  approximation factor.

- The best known algorithmic hardness of MAXCUT is  $\frac{16}{17} + \varepsilon$  (assuming  $P \neq NP$ ).
- Inapproximability of 1F-CSP proves a 2  $\varepsilon$  inapproximability for VERTEXCOVER.
- Inapproximability of Q- $\neq$ -CSP proves a  $Q \varepsilon$  inapproximability for Q-regular hypergraph cover.
- Lasserre relaxations are suboptimal for INDEPENDENTSET: there is an LP of linear size with a  $2\sqrt{n}$  approximation factor.
- LPs on bounded treewidth graphs is easy: we show the existence of uniform LPs of size  $O(n^k)$  for MATCHING, INDEPENDENTSET, VERTEXCOVER, MAXCUT and UNIQUEGAMES on graphs of treewidth k.

• (10) • (10)

An optimization problem  $\mathcal{P} = (\mathcal{S}, \mathfrak{I}, val)$  consists of

- a set 3 of instances,

An optimization problem  $\mathcal{P} = (\mathcal{S}, \mathfrak{I}, val)$  consists of

- a set 3 of instances,
- a set S of feasible solutions,

An optimization problem  $\mathcal{P} = (\mathcal{S}, \mathfrak{I}, val)$  consists of

- a set 3 of instances,
- a set S of feasible solutions,
- and a real valued objective val:  $\mathfrak{I}\times\mathcal{S}\to\mathbb{R}.$

An optimization problem  $\mathcal{P} = (\mathcal{S}, \mathfrak{I}, val)$  consists of

- a set 3 of instances,
- a set S of feasible solutions,
- and a real valued objective val:  $\mathfrak{I}\times\mathcal{S}\to\mathbb{R}.$
- val $_{\mathcal{I}}(s)$ : quality of a solution  $s \in \mathcal{S}$  w.r.t instance  $\mathcal{I} \in \mathfrak{I}$
- $\operatorname{OPT}(\mathcal{I}) \coloneqq \min_{s \in \mathcal{S}} \operatorname{val}_{\mathcal{I}}(s)$

Given a graph G, the minimization problem VERTEXCOVER consists of

Given a graph *G*, the minimization problem VERTEXCOVER consists of Instances all induced subgraphs *H* of *G*;

Given a graph *G*, the minimization problem VERTEXCOVER consists of Instances all induced subgraphs *H* of *G*;Feasible solutions all vertex covers *X* of *G*;

Given a graph *G*, the minimization problem VERTEXCOVER consists of Instances all induced subgraphs *H* of *G*; Feasible solutions all vertex covers *X* of *G*; Measure  $val_H(X) := |X \cap V(H)|$ .

# (C, S)-approximations of optimization problems

# How to measure the quality of approximations to a problem $\mathcal{P} = (\mathcal{S}, \mathfrak{I}, \text{val}) \texttt{?}$

How to measure the quality of approximations to a problem  $\mathcal{P} = (\mathcal{S}, \mathfrak{I}, val)$ ?

- $C: \mathfrak{I} \rightarrow \mathbb{R}$ , called the *completeness guarantee*
- $S: \mathfrak{I} \rightarrow \mathbb{R}$ , called the *soundness guarantee*
- − OPT  $(\mathcal{I}) \ge S(\mathcal{I}) \Rightarrow$  optimum over the LP or SDP relaxation is bounded below by  $C(\mathcal{I})$ .
- $-\ \mathfrak{I}^{\boldsymbol{\mathcal{S}}} \coloneqq \{\mathcal{I} \mid \mathcal{I} \in \mathfrak{I}, \text{OPT}\left(\mathcal{I}\right) \geq \boldsymbol{\mathcal{S}}(\mathcal{I})\} \text{ is the set of sound instances.}$
- Approximation ratio: C/S
A linear program  $Ax \leq b$  with  $x \in \mathbb{R}^r$  s.t.

Feasible solutions vectors  $x^s \in \mathbb{R}^r$  for every  $s \in S$  satisfying

 $Ax^{s} \leq b$ ,

A linear program  $Ax \leq b$  with  $x \in \mathbb{R}^r$  s.t.

Feasible solutions vectors  $x^s \in \mathbb{R}^r$  for every  $s \in S$  satisfying

 $Ax^{s} \leq b$ ,

Instances as affine functions  $w_{\mathcal{I}} \colon \mathbb{R}^r \to \mathbb{R}$  for all  $\mathcal{I} \in \mathfrak{I}^S$  s.t.

$$w_{\mathcal{I}}(x^s) = \operatorname{val}_{\mathcal{I}}(s),$$

A linear program  $Ax \leq b$  with  $x \in \mathbb{R}^r$  s.t.

Feasible solutions vectors  $x^s \in \mathbb{R}^r$  for every  $s \in S$  satisfying

 $Ax^{s} \leq b$ ,

Instances as affine functions  $w_{\mathcal{I}} \colon \mathbb{R}^r \to \mathbb{R}$  for all  $\mathcal{I} \in \mathfrak{I}^S$  s.t.

$$w_{\mathcal{I}}(x^s) = \operatorname{val}_{\mathcal{I}}(s),$$

Achieving (C, S) guarantee

$$\mathcal{I} \in \mathfrak{I}^{S} \Rightarrow \min \{ w_{\mathcal{I}}(x) \mid Ax \leq b \} \geq C(\mathcal{I})$$

A linear program  $Ax \leq b$  with  $x \in \mathbb{R}^r$  s.t.

Feasible solutions vectors  $x^s \in \mathbb{R}^r$  for every  $s \in S$  satisfying

 $Ax^{s} \leq b$ ,

Instances as affine functions  $w_{\mathcal{I}} \colon \mathbb{R}^r \to \mathbb{R}$  for all  $\mathcal{I} \in \mathfrak{I}^S$  s.t.

$$w_{\mathcal{I}}(x^s) = \operatorname{val}_{\mathcal{I}}(s),$$

Achieving (C, S) guarantee

$$\mathcal{I} \in \mathfrak{I}^{\mathcal{S}} \Rightarrow \min \{ w_{\mathcal{I}}(x) \mid Ax \leq b \} \geq C(\mathcal{I})$$

- Size of the formulation: number of inequalities in  $Ax \le b$
- LP formulation complexity, fc<sub>LP</sub>(P, C, S): min size of all formulations.

Semidefinite program  $\{X \in \mathbb{S}_+^r \mid \mathcal{A}(X) = b\}$  and:

Feasible solutions as vectors  $X^s \in \mathbb{S}^r_+$  for all  $s \in \mathcal{S}$  satisfying

 $\mathcal{A}(X^s)=b,$ 

Semidefinite program  $\{X \in \mathbb{S}_+^r \mid \mathcal{A}(X) = b\}$  and:

Feasible solutions as vectors  $X^s \in \mathbb{S}^r_+$  for all  $s \in \mathcal{S}$  satisfying

 $\mathcal{A}(X^s)=b,$ 

Instances as nonnegative affine functions  $w_{\mathcal{I}} \colon \mathbb{S}^r \to \mathbb{R}$  for all  $\mathcal{I} \in \mathfrak{I}$  satisfying

$$w_{\mathcal{I}}(X^s) = \operatorname{val}_{\mathcal{I}}(s),$$

Semidefinite program  $\{X \in \mathbb{S}^r_+ \mid \mathcal{A}(X) = b\}$  and: Equipine as vectors  $X^s \in \mathbb{S}^r$  for all  $a \in S$  set

Feasible solutions as vectors  $X^s \in \mathbb{S}^r_+$  for all  $s \in \mathcal{S}$  satisfying

 $\mathcal{A}(X^s)=b,$ 

Instances as nonnegative affine functions  $w_{\mathcal{I}} \colon \mathbb{S}^r \to \mathbb{R}$  for all  $\mathcal{I} \in \mathfrak{I}$  satisfying

$$w_{\mathcal{I}}(X^s) = \operatorname{val}_{\mathcal{I}}(s),$$

Achieving (C, S)-approximation guarantee :

$$\mathcal{I} \in \mathfrak{I}^{\mathcal{S}} \Rightarrow \min \left\{ w_{\mathcal{I}}(X) \mid \mathcal{A}(X) = b \right\} \geq C(\mathcal{I})$$

Semidefinite program  $\{X \in \mathbb{S}_+^r \mid A(X) = b\}$  and:

Feasible solutions as vectors  $X^s \in \mathbb{S}^r_+$  for all  $s \in \mathcal{S}$  satisfying

 $\mathcal{A}(X^s)=b,$ 

Instances as nonnegative affine functions  $w_{\mathcal{I}} \colon \mathbb{S}^r \to \mathbb{R}$  for all  $\mathcal{I} \in \mathfrak{I}$  satisfying

$$w_{\mathcal{I}}(X^s) = \operatorname{val}_{\mathcal{I}}(s),$$

Achieving (C, S)-approximation guarantee :

$$\mathcal{I} \in \mathfrak{I}^{\mathcal{S}} \Rightarrow \min \left\{ w_{\mathcal{I}}(X) \mid \mathcal{A}(X) = b \right\} \geq C(\mathcal{I})$$

- Size of the formulation: r
- SDP formulation complexity, fc<sub>SDP</sub>(P, C, S): min size of all formulations.

#### Example

Recall the following LP for the VERTEXCOVER problem:

$$\min \sum_{i} x_{i} \qquad \text{s.t.} \\ x_{i} + x_{j} \ge 1 \quad \forall \{i, j\} \in E(G) \\ 1 \ge x_{i} \ge 0$$

#### Example

Recall the following LP for the VERTEXCOVER problem:

$$\min \sum_{i} x_{i} \quad \text{s.t.}$$
$$x_{i} + x_{j} \ge 1 \quad \forall \{i, j\} \in E(G)$$
$$1 \ge x_{i} \ge 0$$

- Every vertex cover X of  $G \rightarrow \mathbb{1}_X$  of the LP
- Every instance *H* (induced subgraph of *G*) corresponds to the affine function  $\langle \mathbb{1}_{H}, . \rangle$
- $-C/S = \frac{1}{2}$  for this LP

The (C, S)-approximate *slack matrix* of an optimization problem  $\mathcal{P}$  is the  $\mathfrak{I}^S \times S$  matrix  $M_{\mathcal{P},C,S}(\mathcal{I}, s) \coloneqq \mathsf{val}_{\mathcal{I}}(s) - C(\mathcal{I})$ .

周レイモレイモ

The (C, S)-approximate *slack matrix* of an optimization problem  $\mathcal{P}$  is the  $\mathfrak{I}^S \times S$  matrix  $M_{\mathcal{P},C,S}(\mathcal{I}, s) \coloneqq \mathsf{val}_{\mathcal{I}}(s) - C(\mathcal{I})$ .

For a sound instance  $\mathcal{I} \in \mathfrak{I}^{S}$ , the entry corresponding to solution *s* measures the "slack" from  $C(\mathcal{I})$ .

The (C, S)-approximate *slack matrix* of an optimization problem  $\mathcal{P}$  is the  $\mathfrak{I}^S \times S$  matrix  $M_{\mathcal{P},C,S}(\mathcal{I}, s) \coloneqq \mathsf{val}_{\mathcal{I}}(s) - C(\mathcal{I})$ .

For a sound instance  $\mathcal{I} \in \mathfrak{I}^{S}$ , the entry corresponding to solution *s* measures the "slack" from  $C(\mathcal{I})$ .

- Nonnegative factorization of size  $r: M_{\mathcal{P},C,S} = \sum_{i=1}^{r} M_i, M_i \ge 0$ and  $\operatorname{rk} M_i = 1$
- − PSD factorization of size r:  $M_{\mathcal{P}, C, S}(\mathcal{I}, s) = \text{Tr}[A_{\mathcal{I}}B_s], A_{\mathcal{I}}, B_s \in \mathbb{S}_+^r$

周レイモレイモ

The (C, S)-approximate *slack matrix* of an optimization problem  $\mathcal{P}$  is the  $\mathfrak{I}^S \times S$  matrix  $M_{\mathcal{P},C,S}(\mathcal{I}, s) \coloneqq \mathsf{val}_{\mathcal{I}}(s) - C(\mathcal{I})$ .

For a sound instance  $\mathcal{I} \in \mathfrak{I}^{S}$ , the entry corresponding to solution *s* measures the "slack" from  $C(\mathcal{I})$ .

- Nonnegative factorization of size  $r: M_{\mathcal{P},C,S} = \sum_{i=1}^{r} M_i, M_i \ge 0$ and  $\operatorname{rk} M_i = 1$
- PSD factorization of size  $r: M_{\mathcal{P}, \mathcal{C}, \mathcal{S}}(\mathcal{I}, s) = \text{Tr}[A_{\mathcal{I}}B_s], A_{\mathcal{I}}, B_s \in \mathbb{S}_+^r$
- **Nonnegative rank,**  $rk_+ M_{\mathcal{P},C,S}$ : min size of nonnegative factorization of  $M_{\mathcal{P},C,S}$
- **PSD rank**,  $rk_{psd} M_{\mathcal{P},C,S}$ : min size of psd factorization of  $M_{\mathcal{P},C,S}$

(本語) (本語) (本語)

# Why should we care?

Because of the following well known theorem due to Yannakakis (1988):

#### Theorem

Let  $\mathcal{P} = (S, \mathfrak{I}, val)$  be an optimization problem with completeness guarantee C and soundness guarantee S.Then

$$\begin{split} & \operatorname{fc}_{\mathsf{LP}}\left(\mathcal{P}, \mathcal{C}, \mathcal{S}\right) = \mathsf{rk}_{+} \, \mathit{M}_{\mathcal{P}, \mathcal{C}, \mathcal{S}}, \\ & \operatorname{fc}_{\mathsf{SDP}}\left(\mathcal{P}, \mathcal{C}, \mathcal{S}\right) = \mathsf{rk}_{\mathsf{psd}} \, \mathit{M}_{\mathcal{P}, \mathcal{C}, \mathcal{S}}. \end{split}$$

# Why should we care?

Because of the following well known theorem due to Yannakakis (1988):

#### Theorem

Let  $\mathcal{P} = (S, \mathfrak{I}, val)$  be an optimization problem with completeness guarantee C and soundness guarantee S.Then

$$\begin{split} & \mathsf{fc}_{\mathsf{LP}}\left(\mathcal{P}, \mathcal{C}, \mathcal{S}\right) = \mathsf{rk}_{+} \, \mathit{M}_{\mathcal{P}, \mathcal{C}, \mathcal{S}}, \\ & \mathsf{fc}_{\mathsf{SDP}}\left(\mathcal{P}, \mathcal{C}, \mathcal{S}\right) = \mathsf{rk}_{\mathsf{psd}} \, \mathit{M}_{\mathcal{P}, \mathcal{C}, \mathcal{S}}. \end{split}$$

Common strategy to bound the formulation complexity is to bound the corresponding ranks by

- Rectangle covering arguments
- Common information
- (Quantum) Communication complexity

### Definition (Braun et al. (2015))

A reduction from  $(\mathcal{P}_1, C_1, S_1)$  to  $(\mathcal{P}_2, C_2, S_2)$  consists of two maps \* from  $\mathfrak{I}_1^{S_1} \to \mathfrak{I}_2^{S_2}$  and  $* : S_1 \to S_2$  such that

$$\operatorname{val}_{\mathcal{I}_1}(s_1) = \operatorname{val}_{\mathcal{I}_1^*}(s_1^*) + \mu(\mathcal{I}_1),$$
  
 $C_1(\mathcal{I}_1) \leq C_2(\mathcal{I}_1^*) + \mu(\mathcal{I}_1)$ 

### Definition (Braun et al. (2015))

A reduction from  $(\mathcal{P}_1, C_1, S_1)$  to  $(\mathcal{P}_2, C_2, S_2)$  consists of two maps \* from  $\mathfrak{I}_1^{S_1} \to \mathfrak{I}_2^{S_2}$  and  $* : S_1 \to S_2$  such that

$$\operatorname{val}_{\mathcal{I}_1}(s_1) = \operatorname{val}_{\mathcal{I}_1^*}(s_1^*) + \mu(\mathcal{I}_1),$$
  
 $C_1(\mathcal{I}_1) \leq C_2(\mathcal{I}_1^*) + \mu(\mathcal{I}_1)$ 

 Note the affine relationship between the objective values of the two problems.

A reduction from  $\mathcal{P}_1$  to  $\mathcal{P}_2$  consists of

- Two maps from  $*:\mathfrak{I}_1\to\mathfrak{I}_2$  and  $*:\mathcal{S}_1\to\mathcal{S}_2$
- Two nonnegative  $\mathfrak{I}_1\times \mathcal{S}_1$  matrices  $\textit{M}_1$  and  $\textit{M}_2$  such that

$$\operatorname{val}_{\mathcal{I}_1}(s_1) - C_1(\mathcal{I}_1) = \left(\operatorname{val}_{\mathcal{I}_1^*}(s_1^*) - C_2(\mathcal{I}_1^*)\right) M_1(\mathcal{I}_1, s_1) + M_2(\mathcal{I}_1, s_1)$$

and OPT  $(\mathcal{I}_1^*) \geq S_2(\mathcal{I}_1^*)$  whenever OPT  $(\mathcal{I}_1) \geq S_1(\mathcal{I}_1)$ .

A reduction from  $\mathcal{P}_1$  to  $\mathcal{P}_2$  consists of

- Two maps from  $*:\mathfrak{I}_1\to\mathfrak{I}_2$  and  $*:\mathcal{S}_1\to\mathcal{S}_2$
- Two nonnegative  $\mathfrak{I}_1\times \mathcal{S}_1$  matrices  $\textit{M}_1$  and  $\textit{M}_2$  such that

$$\operatorname{val}_{\mathcal{I}_1}(s_1) - C_1(\mathcal{I}_1) = \left(\operatorname{val}_{\mathcal{I}_1^*}(s_1^*) - C_2(\mathcal{I}_1^*)\right) M_1(\mathcal{I}_1, s_1) + M_2(\mathcal{I}_1, s_1)$$

and OPT  $(\mathcal{I}_1^*) \geq S_2(\mathcal{I}_1^*)$  whenever OPT  $(\mathcal{I}_1) \geq S_1(\mathcal{I}_1)$ .

The matrices  $M_1$  and  $M_2$ 

- encode additional "computation" in the reduction
- can be an arbitrary function of the solutions and instances

4 AR N 4 B N 4 B

Since there are no free lunches...

#### Theorem

Let  $(\mathcal{P}_1, C_1, S_1)$  and  $(\mathcal{P}_2, C_2, S_2)$  be two problems with a reduction from  $\mathcal{P}_1$  to  $\mathcal{P}_2$ . Then

$$\begin{split} & \mathsf{fc}_{\mathsf{LP}}(\mathcal{P}_1) \leq \mathsf{rk}_+(\mathit{M}_2) + \mathsf{rk}_+(\mathit{M}_1) + \mathsf{rk}_+(\mathit{M}_1) \cdot \mathsf{fc}_{\mathsf{LP}}(\mathcal{P}_2) + \mathit{O}(1), \\ & \mathsf{fc}_{\mathsf{SDP}}(\mathcal{P}_1) \leq \mathsf{rk}_{\mathsf{psd}}(\mathit{M}_2) + \mathsf{rk}_{\mathsf{psd}}(\mathit{M}_1) + \mathsf{rk}_{\mathsf{psd}}(\mathit{M}_1) \cdot \mathsf{fc}_{\mathsf{SDP}}(\mathcal{P}_2) + \mathit{O}(1), \end{split}$$

where  $M_1$  and  $M_2$  are the matrices in the reduction.

Since there are no free lunches...

#### Theorem

Let  $(\mathcal{P}_1, C_1, S_1)$  and  $(\mathcal{P}_2, C_2, S_2)$  be two problems with a reduction from  $\mathcal{P}_1$  to  $\mathcal{P}_2$ . Then

$$\begin{aligned} & \operatorname{fc}_{\mathsf{LP}}(\mathcal{P}_1) \leq \operatorname{rk}_+(M_2) + \operatorname{rk}_+(M_1) + \operatorname{rk}_+(M_1) \cdot \operatorname{fc}_{\mathsf{LP}}(\mathcal{P}_2) + O(1), \\ & \operatorname{fc}_{\mathsf{SDP}}(\mathcal{P}_1) \leq \operatorname{rk}_{\mathsf{psd}}(M_2) + \operatorname{rk}_{\mathsf{psd}}(M_1) + \operatorname{rk}_{\mathsf{psd}}(M_1) \cdot \operatorname{fc}_{\mathsf{SDP}}(\mathcal{P}_2) + O(1). \end{aligned}$$

where  $M_1$  and  $M_2$  are the matrices in the reduction.

 Clearly the matrices M<sub>1</sub> and M<sub>2</sub> should have low complexity to obtain useful reductions.

### **Proof Sketch**

Reformulate the reduction relationship in terms of matrices:

$$M_{\mathcal{P}_1, \mathcal{C}_1, \mathcal{S}_1} = \left(F_{\mathfrak{I}}M_{\mathcal{P}_2, \mathcal{C}_2, \mathcal{S}_2}F_{\mathcal{S}}\right) \circ M_1 + M_2$$

< 回 > < 三 > < 三 >

### **Proof Sketch**

Reformulate the reduction relationship in terms of matrices:

$$M_{\mathcal{P}_1, \mathcal{C}_1, \mathcal{S}_1} = \left( F_{\mathfrak{I}} M_{\mathcal{P}_2, \mathcal{C}_2, \mathcal{S}_2} F_{\mathcal{S}} \right) \circ M_1 + M_2$$

- $F_{\mathfrak{I}}$  is a  $\mathfrak{I}_1 imes \mathfrak{I}_2$  matrix, encoding  $* : \mathfrak{I}_1 \to \mathfrak{I}_2$
- $\textit{F}_{\mathcal{S}}$  is a  $\mathcal{S}_2 \times \mathcal{S}_1$  matrix, encoding  $*: \mathcal{S}_1 \rightarrow \mathcal{S}_2$

### **Proof Sketch**

Reformulate the reduction relationship in terms of matrices:

$$M_{\mathcal{P}_1, \mathcal{C}_1, \mathcal{S}_1} = \left(F_{\mathfrak{I}}M_{\mathcal{P}_2, \mathcal{C}_2, \mathcal{S}_2}F_{\mathcal{S}}\right) \circ M_1 + M_2$$

- $F_{\mathfrak{I}}$  is a  $\mathfrak{I}_1 \times \mathfrak{I}_2$  matrix, encoding  $* : \mathfrak{I}_1 \rightarrow \mathfrak{I}_2$
- $\textit{F}_{\mathcal{S}}$  is a  $\mathcal{S}_2 \times \mathcal{S}_1$  matrix, encoding  $*: \mathcal{S}_1 \rightarrow \mathcal{S}_2$

Further simplify the matrix relationship:

$$M_{\mathcal{P}_1, \mathcal{C}_1, \mathcal{S}_1} = \left(F_{\mathfrak{I}}\widetilde{M}_{\mathcal{P}_2, \mathcal{C}_2, \mathcal{S}_2}F_{\mathcal{S}}\right) \circ M_1 + \operatorname{diag}(F_{\mathfrak{I}}a) \cdot M_1 + M_2$$

where  $M_{\mathcal{P}_2, C_2, S_2} = \widetilde{M}_{\mathcal{P}_2, C_2, S_2} + a\mathbb{1}$  (incurs the O(1) factors) and use the identities

$$-\operatorname{rk}_+(A \circ B) \leq (\operatorname{rk}_+ A) \cdot (\operatorname{rk}_+ B)$$

$$- \mathsf{rk}_+(ABC) \le \mathsf{rk}_+ B$$

$$- \mathsf{rk}_+(\mathbf{A} + \mathbf{B}) \leq \mathsf{rk}_+ \mathbf{A} + \mathsf{rk}_+ \mathbf{B}$$

# Fractional optimization problems

- An optimization problem where the objective  $val_{\mathcal{I}}$  is of the form  $val_{\mathcal{I}}^n / val_{\mathcal{I}}^d$ .
- Efficient LP based algorithms are used to find an optimal value of a linear combination of val<sup>n</sup><sub>L</sub> and val<sup>d</sup><sub>L</sub>.

# Fractional optimization problems

- An optimization problem where the objective  $val_{\mathcal{I}}$  is of the form  $val_{\mathcal{I}}^n / val_{\mathcal{I}}^d$ .
- Efficient LP based algorithms are used to find an optimal value of a linear combination of val<sup>n</sup><sub>I</sub> and val<sup>d</sup><sub>I</sub>.

#### Example

#### The SPARSESTCUT problem of

- $c: E(K_n) \rightarrow \mathbb{R}_{\geq 0}$ , called the *capacity* function
- $d: E(K_n) \rightarrow \mathbb{R}_{\geq 0}$  called the *demand* function

```
The objective function for a cut s is to minimize \frac{\sum_{i \in s, j \notin s} c(i,j)}{\sum_{i \in s, i \notin s} d(i,j)}.
```

### LP formulation for a fractional problem

A linear program  $Ax \leq b$  with  $x \in \mathbb{R}^r$  s.t.:

Feasible solutions as vectors  $x^s \in \mathbb{R}^r$  for every  $s \in S$  satisfying

 $Ax^{s} \leq b$  for all  $s \in S$ ,

### LP formulation for a fractional problem

A linear program  $Ax \leq b$  with  $x \in \mathbb{R}^r$  s.t.:

Feasible solutions as vectors  $x^s \in \mathbb{R}^r$  for every  $s \in S$  satisfying

$$Ax^{s} \leq b$$
 for all  $s \in S$ ,

Instances as a pair of affine functions  $w_{\mathcal{I}}^n, w_{\mathcal{I}}^d \colon \mathbb{R}^r \to \mathbb{R}$  for all  $\mathcal{I} \in \mathfrak{I}^S$  satisfying

$$w_{\mathcal{I}}^n(x^s) = \operatorname{val}_{\mathcal{I}}^n(s)$$
  
 $w_{\mathcal{I}}^d(x^s) = \operatorname{val}_{\mathcal{I}}^d(s)$ 

### LP formulation for a fractional problem

A linear program  $Ax \leq b$  with  $x \in \mathbb{R}^r$  s.t.:

Feasible solutions as vectors  $x^s \in \mathbb{R}^r$  for every  $s \in S$  satisfying

$$Ax^{s} \leq b$$
 for all  $s \in S$ ,

Instances as a pair of affine functions  $w_{\mathcal{I}}^n, w_{\mathcal{I}}^d \colon \mathbb{R}^r \to \mathbb{R}$  for all  $\mathcal{I} \in \mathfrak{I}^S$  satisfying

$$w_{\mathcal{I}}^n(x^s) = \operatorname{val}_{\mathcal{I}}^n(s)$$
  
 $w_{\mathcal{I}}^d(x^s) = \operatorname{val}_{\mathcal{I}}^d(s)$ 

Achieving (C, S) approximation guarantee If  $\mathcal{I} \in \mathfrak{I}^S$ 

$$egin{aligned} & \mathsf{A} x \leq \mathsf{b} \Rightarrow egin{cases} w_{\mathcal{I}}^{\mathsf{d}}(x) \geq 0 \ w_{\mathcal{I}}^{\mathsf{n}}(x) \geq \mathsf{C}(\mathcal{I}) w_{\mathcal{I}}^{\mathsf{d}}(x) \end{aligned}$$

#### Example

A common LP relaxation for the SPARSESTCUT problem with *capacity* function *c* and *demand* function *d* is the following

$$\min \sum_{i,j} c(i,j)x_{ij} \qquad \text{s.t.}$$
$$\sum_{i,j} d(i,j)x_{ij} \ge \alpha \sum_{i,j} d(i,j)$$
$$1 \ge x_{ij} \ge 0$$

#### Example

A common LP relaxation for the SPARSESTCUT problem with *capacity* function *c* and *demand* function *d* is the following

$$\begin{split} \min \sum_{i,j} c(i,j) x_{ij} & \text{s.t.} \\ \sum_{i,j} d(i,j) x_{ij} \geq \alpha \sum_{i,j} d(i,j) \\ 1 \geq x_{ij} \geq 0 \end{split}$$

 This is the LP used by Gupta et al. (2013); the value of *α* is found by binary search.

The (*C*, *S*)-approximate slack matrix for a fractional optimization problem  $\mathcal{P}$  is the  $2\mathfrak{I}^S \times S$  matrix of the form

$$M_{\mathcal{P},\mathcal{C},\mathcal{S}} = egin{bmatrix} M^{(d)}_{\mathcal{P},\mathcal{C},\mathcal{S}} \ M^{(n)}_{\mathcal{P},\mathcal{C},\mathcal{S}} \end{bmatrix}$$

where  $M_{\mathcal{P},\mathcal{C},\mathcal{S}}^{(d)}, M_{\mathcal{P},\mathcal{C},\mathcal{S}}^{(n)}$  are nonnegative  $\mathfrak{I}^{\mathcal{S}} \times \mathcal{S}$  matrices with entries

$$egin{aligned} & \mathcal{M}^{(d)}_{\mathcal{P},C,S}(\mathcal{I},s)\coloneqq \mathsf{val}^d_\mathcal{I}(s) \ & \mathcal{M}^{(n)}_{\mathcal{P},C,S}(\mathcal{I},s)\coloneqq \mathsf{val}^n_\mathcal{I}(s) - \mathcal{C}(\mathcal{I})\,\mathsf{val}^d_\mathcal{I}(s). \end{aligned}$$

A reduction from  $\mathcal{P}_1$  to  $\mathcal{P}_2$  consists of

– Two maps  $*:\mathfrak{I}_1\to\mathfrak{I}_2$  and  $*:\mathcal{S}_1\to\mathcal{S}_2$ 

– Four nonnegative  $\Im_1\times \mathcal{S}_1$  matrices  $\textit{M}_1^{(n)},\textit{M}_1^{(d)},\textit{M}_2^{(n)},\textit{M}_2^{(d)}$  such that

$$\begin{split} M^{(n)}_{\mathcal{P}_{1},C_{1},S_{1}}(\mathcal{I}_{1},S_{1}) &= M^{(n)}_{\mathcal{P}_{2},C_{2},S_{2}}(\mathcal{I}_{1}^{*},S_{1}^{*})M^{(n)}_{1}(\mathcal{I}_{1},s_{1}) + M^{(n)}_{2}(\mathcal{I}_{1},s_{1}), \\ & \text{val}_{\mathcal{I}_{1}}^{d}(s_{1}) = \text{val}_{\mathcal{I}_{1}^{*}}^{d}(s_{1}^{*})M^{(d)}_{1}(\mathcal{I}_{1},S_{1}) + M^{(d)}_{2}(\mathcal{I}_{2},S_{2}), \\ & \text{OPT}(\mathcal{I}_{1}) \geq S_{1}(\mathcal{I}_{1}) \Rightarrow \text{OPT}(\mathcal{I}_{1}^{*}) \geq S_{2}(\mathcal{I}_{1}^{*}) \end{split}$$

A reduction from  $\mathcal{P}_1$  to  $\mathcal{P}_2$  consists of

– Two maps  $*:\mathfrak{I}_1\to\mathfrak{I}_2$  and  $*:\mathcal{S}_1\to\mathcal{S}_2$ 

– Four nonnegative  $\Im_1 \times S_1$  matrices  $M_1^{(n)}, M_1^{(d)}, M_2^{(n)}, M_2^{(d)}$  such that

$$\begin{split} M^{(n)}_{\mathcal{P}_{1},C_{1},S_{1}}(\mathcal{I}_{1},S_{1}) &= M^{(n)}_{\mathcal{P}_{2},C_{2},S_{2}}(\mathcal{I}_{1}^{*},S_{1}^{*})M^{(n)}_{1}(\mathcal{I}_{1},s_{1}) + M^{(n)}_{2}(\mathcal{I}_{1},s_{1}), \\ & \text{val}^{d}_{\mathcal{I}_{1}}(s_{1}) = \text{val}^{d}_{\mathcal{I}_{1}^{*}}(s_{1}^{*})M^{(d)}_{1}(\mathcal{I}_{1},S_{1}) + M^{(d)}_{2}(\mathcal{I}_{2},S_{2}), \\ & \text{OPT}(\mathcal{I}_{1}) \geq S_{1}(\mathcal{I}_{1}) \Rightarrow \text{OPT}(\mathcal{I}_{1}^{*}) \geq S_{2}(\mathcal{I}_{1}^{*}) \end{split}$$

- As before, the matrices  $M_1^{(n)}, M_1^{(d)}, M_2^{(n)}, M_2^{(d)}$  encode additional "computation" in the reduction.

#### Theorem

Let  $\mathcal{P}_1, C_1, S_1$ ) and  $\mathcal{P}_2, C_2, S_2$ ) be two fractional problems with a reduction from  $\mathcal{P}_1$  to  $\mathcal{P}_2$ . Then

$$\begin{split} & \mathsf{fc}_{\mathsf{LP}}(\mathcal{P}_1) \leq \mathsf{rk}_{\mathsf{LP}} \begin{bmatrix} M_2^{(n)} \\ M_2^{(d)} \end{bmatrix} + \mathsf{rk}_{\mathsf{LP}} \begin{bmatrix} M_1^{(n)} \\ M_1^{(d)} \end{bmatrix} + \mathsf{rk}_+ \begin{bmatrix} M_1^{(n)} \\ M_1^{(d)} \end{bmatrix} \cdot \mathsf{fc}_{\mathsf{LP}}(\mathcal{P}_2), \\ & \mathsf{fc}_{\mathsf{SDP}}(\mathcal{P}_1) \leq \mathsf{rk}_{\mathsf{SDP}} \begin{bmatrix} M_2^{(n)} \\ M_2^{(d)} \end{bmatrix} + \mathsf{rk}_{\mathsf{SDP}} \begin{bmatrix} M_1^{(n)} \\ M_1^{(d)} \end{bmatrix} + \mathsf{rk}_{\mathsf{psd}} \begin{bmatrix} M_1^{(n)} \\ M_1^{(d)} \end{bmatrix} \cdot \mathsf{fc}_{\mathsf{SDP}}(\mathcal{P}_2), \end{split}$$

where  $M_1^{(n)}, M_2^{(a)}, M_2^{(n)}, M_2^{(a)}$  are the matrices in the reduction.
### Definition

A *one-free bit* CSP (1F-CSP) is a CSP where every clause has *exactly* two satisfying assignments over its free variables.

#### Definition

A *one-free bit* CSP (1F-CSP) is a CSP where every clause has *exactly* two satisfying assignments over its free variables.

#### Theorem

With small numbers  $\eta, \varepsilon, \delta > 0$  positive integers t, q,  $\Delta$  we have for any  $0 < \zeta < 1$  and n large enough

$$\begin{aligned} \mathsf{fc}_{\mathsf{LP}}(\mathsf{UNIQUEGAMES}_{\Delta}(n,q), 1-\zeta, \delta) &- n\Delta^t q^{t+1} \leq \\ \mathsf{fc}_{\mathsf{LP}}(\mathsf{1F-CSP}, (1-\varepsilon)(1-\zeta t), \eta) \end{aligned}$$

## Definition (UNIQUEGAMES<sub> $\Delta$ </sub>(*n*, *q*))

Let n, q and  $\Delta$  be positive integer parameters. The maximization problem UNIQUEGAMES<sub> $\Delta$ </sub>(n, q) consists of

instances All edge-weighted  $\Delta$ -regular bipartite graphs (*G*, *w*) with partite sets  $\{0\} \times [n]$  and  $\{1\} \times [n]$  with every edge  $\{i, j\}$  labeled with a permutation  $\pi_{i,j} \colon [q] \to [q]$  such that  $\pi_{i,j} = \pi_{i,j}^{-1}$ .

feasible solutions All functions  $s: \{0, 1\} \times [n] \rightarrow [q]$  called *labelings* of the vertices.

measure The weighted fraction of correctly labeled edges, i.e., edges  $\{i, j\}$  with  $s(i) = \pi_{i,j}(s(j))$ :

$$\mathsf{val}_{(G,w)}(s) \coloneqq \frac{\sum_{\substack{\{i,j\} \in E(G) \\ s(i) = \pi_{i,j}(s(j))}} w(i,j)}{\sum_{\{i,j\} \in E(G)} w(i,j)}$$

Gábor Braun, Sebastian Pokutta, Aurko Roy Strong reductions for extended formulations

- The variables of the 1F-CSP problem are  $\langle v, z \rangle$  for  $v \in V(G)$  and  $z \in \{-1, 1\}^q$ .

- The variables of the 1F-CSP problem are  $\langle v, z \rangle$  for  $v \in V(G)$  and  $z \in \{-1, 1\}^q$ .
- Let  $v \in V(G)$  and  $u_1, \ldots, u_t$  adjacent to v.

- The variables of the 1F-CSP problem are  $\langle v, z \rangle$  for  $v \in V(G)$  and  $z \in \{-1, 1\}^q$ .
- Let  $v \in V(G)$  and  $u_1, \ldots, u_t$  adjacent to v.
- There is a clause  $C(v, u_1, ..., u_t, x, S)$  for any  $x \in \{-1, 1\}^q$  and  $S \subseteq [q]$  of size  $q(1 \varepsilon)$  that is an "approximate local test" of a correct labeling.

(周) (下) (下)

- The variables of the 1F-CSP problem are  $\langle v, z \rangle$  for  $v \in V(G)$  and  $z \in \{-1, 1\}^q$ .
- Let  $v \in V(G)$  and  $u_1, \ldots, u_t$  adjacent to v.
- There is a clause  $C(v, u_1, ..., u_t, x, S)$  for any  $x \in \{-1, 1\}^q$  and  $S \subseteq [q]$  of size  $q(1 \varepsilon)$  that is an "approximate local test" of a correct labeling.
- Feasible solutions are translated via the long code of the labeling s, i.e.  $s^*(\langle v, z \rangle) \coloneqq z_{s(v)}$ .

(周) (下) (下)

- The variables of the 1F-CSP problem are  $\langle v, z \rangle$  for  $v \in V(G)$  and  $z \in \{-1, 1\}^q$ .
- Let  $v \in V(G)$  and  $u_1, \ldots, u_t$  adjacent to v.
- There is a clause  $C(v, u_1, ..., u_t, x, S)$  for any  $x \in \{-1, 1\}^q$  and  $S \subseteq [q]$  of size  $q(1 \varepsilon)$  that is an "approximate local test" of a correct labeling.
- Feasible solutions are translated via the long code of the labeling s, i.e.  $s^*(\langle v, z \rangle) \coloneqq z_{s(v)}$ .
- Define the matrix

$$egin{aligned} \mathcal{M}_{m{v},u_1,\ldots,u_t}((G,m{w},\pi),m{s}) \coloneqq \mathbb{E}_{m{x},m{S}}\left[C(m{v},u_1,\ldots,u_t,m{x},m{S})[m{s}^*]
ight] - \ & (1-arepsilon)\left(\sum_{i\in[t]}\chi[m{s}(m{v})=\pi_{m{v},u_i}(m{s}(u_i))]-t+1
ight) \end{aligned}$$

< 🗇 > < 🖻 > < 🖻 >

- It turns out that the matrix  $M_2$  in the reduction is  $M_2((G, w, \pi), s) = \frac{1}{t(1-\varepsilon)} \mathbb{E}[M_{\mathbf{v},\mathbf{u}_1,\dots,\mathbf{u}_t}((G, w, \pi), s)]$ 

- It turns out that the matrix  $M_2$  in the reduction is  $M_2((G, w, \pi), s) = \frac{1}{t(1-\varepsilon)} \mathbb{E}[M_{\mathbf{v},\mathbf{u}_1,\dots,\mathbf{u}_t}((G, w, \pi), s)]$
- We show that it has nonnegative rank at most  $n\Delta^t q^{t+1}$  by "unrolling" the expectation.

- It turns out that the matrix  $M_2$  in the reduction is  $M_2((G, w, \pi), s) = \frac{1}{t(1-\varepsilon)} \mathbb{E}[M_{\mathbf{v}, \mathbf{u}_1, \dots, \mathbf{u}_t}((G, w, \pi), s)]$
- We show that it has nonnegative rank at most  $n\Delta^t q^{t+1}$  by "unrolling" the expectation.
- Note that we do not have to argue about Sherali-Adams solutions as in Bazzi et al. (2015); this is a simple LP reduction in our framework.

- It turns out that the matrix  $M_2$  in the reduction is  $M_2((G, w, \pi), s) = \frac{1}{t(1-\varepsilon)} \mathbb{E}[M_{\mathbf{v}, \mathbf{u}_1, \dots, \mathbf{u}_t}((G, w, \pi), s)]$
- We show that it has nonnegative rank at most  $n\Delta^t q^{t+1}$  by "unrolling" the expectation.
- Note that we do not have to argue about Sherali-Adams solutions as in Bazzi et al. (2015); this is a simple LP reduction in our framework.
- The base LP hardness of UNIQUEGAMES is due to Charikar et al. (2009) and Chan et al. (2013).

# Reducing UNIQUEGAMES to $Q \rightarrow -CSP$

### Definition

A not equal CSP ( $Q \rightarrow CSP$  for short) is a CSP with value set  $\mathbb{Z}_Q$ , the additive group of integers modulo Q, where every clause has the form  $\bigwedge_{i=1}^{k} x_i \neq a_i$  for some constants  $a_i$ .

### Definition

A not equal CSP ( $Q \rightarrow CSP$  for short) is a CSP with value set  $\mathbb{Z}_Q$ , the additive group of integers modulo Q, where every clause has the form  $\bigwedge_{i=1}^{k} x_i \neq a_i$  for some constants  $a_i$ .

#### Theorem

With small numbers  $\eta, \varepsilon, \delta > 0$  positive integers t, q,  $\Delta$ , we have for any  $0 < \zeta < 1$  and n large enough

$$egin{aligned} \mathsf{fc}_{\mathsf{LP}}(\mathsf{UNIQUEGAMES}_\Delta(n,q),1-\zeta,\delta) &- n\Delta^t q^{t+1} \leq \ \mathsf{fc}_{\mathsf{LP}}(Q extsf{-}arphi extsf{-}\mathsf{CSP},(1-arepsilon)(1-1/q)(1-\zeta t),\eta) \end{aligned}$$

### Definition

A not equal CSP ( $Q \rightarrow CSP$  for short) is a CSP with value set  $\mathbb{Z}_Q$ , the additive group of integers modulo Q, where every clause has the form  $\bigwedge_{i=1}^{k} x_i \neq a_i$  for some constants  $a_i$ .

#### Theorem

With small numbers  $\eta, \varepsilon, \delta > 0$  positive integers t, q,  $\Delta$ , we have for any  $0 < \zeta < 1$  and n large enough

 $\begin{aligned} & \operatorname{fc}_{\mathsf{LP}}(\mathsf{UNIQUEGAMES}_{\Delta}(n,q),1-\zeta,\delta) - n\Delta^t q^{t+1} \leq \\ & \operatorname{fc}_{\mathsf{LP}}(Q \text{-} \neq \text{-}\mathsf{CSP},(1-\varepsilon)(1-1/q)(1-\zeta t),\eta) \end{aligned}$ 

- Proof idea is similar to 1F-CSP.

# Matching over 3-regular graphs has no small LP

#### Theorem

For any n and  $0 \le \varepsilon < 1$ , there exists a 3-regular graph  $D_{2n}$  with 2n(2n-1) vertices, so that any LP approximating MATCHING( $D_{2n}$ ) within  $1 - \varepsilon / |V(D_{2n})|$  has  $2^{\Omega(\sqrt{|V(D_{2n})|})}$  inequalities.

周レイモレイモ

For any n and  $0 \le \varepsilon < 1$ , there exists a 3-regular graph  $D_{2n}$  with 2n(2n-1) vertices, so that any LP approximating MATCHING( $D_{2n}$ ) within  $1 - \varepsilon / |V(D_{2n})|$  has  $2^{\Omega(\sqrt{|V(D_{2n})|})}$  inequalities.

## Proof Idea.

- Reduce from MATCHING( $K_{2n}$ ) by replacing every vertex by (2n-1)-cycles
- Connect corresponding vertices to each other
- Lift perfect matchings in the "obvious" way

A (10) A (10) A (10)

# 3-regular matchings continued



Figure : The graph  $D_{2n}$  for n = 2 in the reduction to 3-regular Matching.

- The base hard problem is the perfect matching problem MATCHING( $K_{2n}$ ), Rothvoß (2014).

For any  $\delta,\varepsilon>0$  there are infinitely many n such that there is a graph G with n vertices and

$$fc_{SDP}\left(MAXCUT(G), \frac{4}{5} - \varepsilon, \frac{3}{4} + \delta\right) = n^{\Omega(\log n / \log \log n)}.$$
 (1)

For any  $\delta,\varepsilon>0$  there are infinitely many n such that there is a graph G with n vertices and

$$fc_{SDP}\left(MAXCUT(G), \frac{4}{5} - \varepsilon, \frac{3}{4} + \delta\right) = n^{\Omega(\log n / \log \log n)}.$$
 (1)

## Proof Idea.

- Reduce from Max-3-XOR/0, every predicate is of the form  $x_{i1} + x_{i2} + x_{i3} \pmod{2} = 0$
- Use the existing reduction by Trevisan et al. (2000).
- Hardness is due to Lee et al. (2014) combined with Schoenebeck (2008)'s Lasserre inapproximability result.

## SDP hardness of MAXCUT continued



Figure : The gadget  $H_C$  for the clause  $C = (x_i + x_j + x_k = 0)$  in the reduction from MAX-3-XOR/0 to MAXCUT. Solid vertices are shared by gadgets, the empty ones are local to the gadget.

For any  $\varepsilon \in (0, 1)$  there are  $\eta_{LP} > 0$  and  $\eta_{SDP} > 0$  such that for every large enough n the following hold

$$\begin{aligned} & \mathsf{fc}_{\mathsf{LP}}\left(\mathsf{SPARSESTCUT}, \eta_{LP}(1+\varepsilon), \eta_{LP}(2-\varepsilon)\right) \geq n^{\Omega\left(\frac{\log n}{\log \log n}\right)}, \\ & \mathsf{fc}_{\mathsf{SDP}}\left(\mathsf{SPARSESTCUT}, \eta_{SDP}\left(1+\frac{4\varepsilon}{5}\right), \eta_{SDP}\left(\frac{16}{15}-\varepsilon\right)\right) \geq n^{\Omega\left(\frac{\log n}{\log \log n}\right)}. \end{aligned}$$

even if the supply graph has treewidth 2.

For any  $\varepsilon \in (0, 1)$  there are  $\eta_{LP} > 0$  and  $\eta_{SDP} > 0$  such that for every large enough n the following hold

$$\begin{aligned} & \mathsf{fc}_{\mathsf{LP}}\left(\mathsf{SPARSESTCUT}, \eta_{LP}(1+\varepsilon), \eta_{LP}(2-\varepsilon)\right) \geq n^{\Omega\left(\frac{\log n}{\log \log n}\right)}, \\ & \mathsf{fc}_{\mathsf{SDP}}\left(\mathsf{SPARSESTCUT}, \eta_{SDP}\left(1+\frac{4\varepsilon}{5}\right), \eta_{SDP}\left(\frac{16}{15}-\varepsilon\right)\right) \geq n^{\Omega\left(\frac{\log n}{\log \log n}\right)}. \end{aligned}$$

even if the supply graph has treewidth 2.

## Proof Idea.

 Use the reduction from MAXCUT due to Gupta et al. (2013) using the fractional reduction framework.

For any  $\varepsilon \in (0, 1)$  there are  $\eta_{LP} > 0$  and  $\eta_{SDP} > 0$  such that for every large enough n the following hold

$$\begin{aligned} & \mathsf{fc}_{\mathsf{LP}}\left(\mathsf{SPARSESTCUT}, \eta_{LP}(1+\varepsilon), \eta_{LP}(2-\varepsilon)\right) \geq n^{\Omega\left(\frac{\log n}{\log \log n}\right)}, \\ & \mathsf{fc}_{\mathsf{SDP}}\left(\mathsf{SPARSESTCUT}, \eta_{SDP}\left(1+\frac{4\varepsilon}{5}\right), \eta_{SDP}\left(\frac{16}{15}-\varepsilon\right)\right) \geq n^{\Omega\left(\frac{\log n}{\log \log n}\right)}. \end{aligned}$$

even if the supply graph has treewidth 2.

## Proof Idea.

- Use the reduction from MAXCUT due to Gupta et al. (2013) using the fractional reduction framework.
- Base LP hardness of MAXCUT is due to Chan et al. (2013).

#### Theorem

For any small enough  $\gamma > 0$  there are infinitely many n, such that there is a graph G with n vertices with the largest independent set of G having size  $\alpha(G) = O(n^{\gamma})$  but there is a  $\Omega(n^{\gamma})$ -round Lasserre solution of size  $\Theta(n)$ , i.e., the integrality gap is  $n^{1-\gamma}$ . However  $f_{CLP}(INDEPENDENTSET(G), 2\sqrt{n}) \leq 3n + 1$ .

#### Theorem

For any small enough  $\gamma > 0$  there are infinitely many n, such that there is a graph G with n vertices with the largest independent set of G having size  $\alpha(G) = O(n^{\gamma})$  but there is a  $\Omega(n^{\gamma})$ -round Lasserre solution of size  $\Theta(n)$ , i.e., the integrality gap is  $n^{1-\gamma}$ . However  $fc_{LP}(INDEPENDENTSET(G), 2\sqrt{n}) \leq 3n + 1$ .

## Proof Idea.

 Use the reduction mechanism within a Lasserre/SoS framework by reducing Max-k-CSP to INDEPENDENTSET.

#### Theorem

For any small enough  $\gamma > 0$  there are infinitely many n, such that there is a graph G with n vertices with the largest independent set of G having size  $\alpha(G) = O(n^{\gamma})$  but there is a  $\Omega(n^{\gamma})$ -round Lasserre solution of size  $\Theta(n)$ , i.e., the integrality gap is  $n^{1-\gamma}$ . However fc<sub>LP</sub>(INDEPENDENTSET(G),  $2\sqrt{n}$ )  $\leq 3n + 1$ .

## Proof Idea.

- Use the reduction mechanism within a Lasserre/SoS framework by reducing Max-k-CSP to INDEPENDENTSET.
- The reduction is a simple conflict graph over the partial assignments.

#### Theorem

For any small enough  $\gamma > 0$  there are infinitely many n, such that there is a graph G with n vertices with the largest independent set of G having size  $\alpha(G) = O(n^{\gamma})$  but there is a  $\Omega(n^{\gamma})$ -round Lasserre solution of size  $\Theta(n)$ , i.e., the integrality gap is  $n^{1-\gamma}$ . However  $fc_{LP}(INDEPENDENTSET(G), 2\sqrt{n}) \leq 3n + 1$ .

## Proof Idea.

- Use the reduction mechanism within a Lasserre/SoS framework by reducing Max-k-CSP to INDEPENDENTSET.
- The reduction is a simple conflict graph over the partial assignments.
- The SoS/Lasserre integrality gap for MAX-k-CSP is due to Bhaskara et al. (2012).

# BALANCEDSEPARATOR cannot be approximated to any constant factor by a LP

## Definition

The BALANCEDSEPARATOR is similar to the SPARSESTCUT cut problem. There are two functions  $c : E(K_n) \to \mathbb{R}_{\geq 0}$  (capacity) and  $d : E(K_n) \to \mathbb{R}_{\geq 0}$  (demand). Goal is to minimize the capacity of all cuts that are "balanced", i.e. cut atleast  $\frac{1}{4}$  of total demand.

# BALANCEDSEPARATOR cannot be approximated to any constant factor by a LP

## Definition

The BALANCEDSEPARATOR is similar to the SPARSESTCUT cut problem. There are two functions  $c : E(K_n) \to \mathbb{R}_{\geq 0}$  (capacity) and  $d : E(K_n) \to \mathbb{R}_{\geq 0}$  (demand). Goal is to minimize the capacity of all cuts that are "balanced", i.e. cut atleast  $\frac{1}{4}$  of total demand.

#### Theorem

For any constant  $c_1 \ge 1$  there is another constant  $c_2 \ge 1$  such that for all *n* there is a demand function  $d : E(K_n) \to \mathbb{R}_{\ge 0}$  satisfying  $\operatorname{tw}([n]_d) \le c_2$  so that BALANCEDSEPARATOR(*n*, *d*) is LP-hard with an inapproximability factor of  $c_1$ .

< 回 ト < 三 ト < 三

# BALANCEDSEPARATOR cannot be approximated to any constant factor by a LP

## Definition

The BALANCEDSEPARATOR is similar to the SPARSESTCUT cut problem. There are two functions  $c : E(K_n) \to \mathbb{R}_{\geq 0}$  (capacity) and  $d : E(K_n) \to \mathbb{R}_{\geq 0}$  (demand). Goal is to minimize the capacity of all cuts that are "balanced", i.e. cut atleast  $\frac{1}{4}$  of total demand.

#### Theorem

For any constant  $c_1 \ge 1$  there is another constant  $c_2 \ge 1$  such that for all *n* there is a demand function  $d : E(K_n) \to \mathbb{R}_{\ge 0}$  satisfying  $\operatorname{tw}([n]_d) \le c_2$  so that BALANCEDSEPARATOR(*n*, *d*) is LP-hard with an inapproximability factor of  $c_1$ .

 Reduce from UNIQUEGAMES using the long code test of Khot and Vishnoi (2015). Recall the definition of treewidth:

## Definition

A *tree decomposition* of a graph *G* is a tree *T* together with a vertex set of *G* called *bag*  $B_t \subseteq V(G)$  for every node *t* of *T*, satisfying the following conditions:

$$- V(G) = \bigcup_{t \in V(T)} B_t$$

- For every adjacent vertices u, v of G there is a bag B<sub>t</sub> containing both u and v
- − For all nodes  $t_1$ ,  $t_2$ , t of T with t lying between  $t_1$  and  $t_2$  (i.e., t is on the unique path connecting  $t_1$  and  $t_2$ ) we have  $B_{t_1} \cap B_{t_2} \subseteq B_t$

The width of the tree decomposition is  $\max_{t \in V(T)} |B_t| - 1$ . The *treewidth* tw(*G*) of *G* is the minimum width of its tree decompositions.

< 回 > < 回 > < 回 >

# Small uniform LPs for bounded treewidth problems

- For a class of problems we call *admissible*, there is an LP of size  $O(n^k)$  if the underlying graph has treewidth k.

- For a class of problems we call *admissible*, there is an LP of size  $O(n^k)$  if the underlying graph has treewidth k.
- Admissible problems are those that allow local partial solutions to be patched together in a global way in a precise manner.

- For a class of problems we call *admissible*, there is an LP of size  $O(n^k)$  if the underlying graph has treewidth k.
- Admissible problems are those that allow local partial solutions to be patched together in a global way in a precise manner.
- The LP is similar to the Sherali-Adams hierarchy, and is equivalent if the problem is a CSP.
- For a class of problems we call *admissible*, there is an LP of size  $O(n^k)$  if the underlying graph has treewidth k.
- Admissible problems are those that allow local partial solutions to be patched together in a global way in a precise manner.
- The LP is similar to the Sherali-Adams hierarchy, and is equivalent if the problem is a CSP.
- MATCHING, VERTEXCOVER, INDEPENDENTSET and CSPs such as MAXCUT and UNIQUEGAMES are admissible problems.

– Can one use this non-affine reduction framework to show LP/SDP hardness results for more problems?

- Can one use this non-affine reduction framework to show LP/SDP hardness results for more problems?
- Is this the right generalization of approximation preserving reductions, or can this be generalized further?

- Can one use this non-affine reduction framework to show LP/SDP hardness results for more problems?
- Is this the right generalization of approximation preserving reductions, or can this be generalized further?

## Thank you for listening!