# Separations in Query Complexity Based on Pointer Functions

Alexander Belov CWI

Joint work with: Andris Ambainis, Kaspars Balodis,

Troy Lee, Miklos Santha, and Juris Smotrovs

Introduction
Computation Models
Separations
A Previous Result
Our Main Results
Göös-Pitassi-Watson
Our Modifications
$R_1$ versus $R_0$

 $R_0$  versus D

Conclusion

# Introduction





- Deterministic (Decision Tree)
- Randomized (Probability distribution on decision trees)



- 2 or  $\frac{8}{3}$ **Expected** number of queries on input:  $\frac{8}{3}$
- Worst input in total:

#### Introduction **Computation Models Separations** A Previous Result **Our Main Results** Göös-Pitassi-Watson **Our Modifications** $R_1$ versus $R_0$

- $R_0$  versus D
- Conclusion

- Deterministic (Decision Tree) D:
- R: Randomized (Probability distribution on decision trees)
  - $R_0$ : Zero-error (Las Vegas)
    - always outputs a correct output
  - $R_1$ : **One-sided error** 
    - always rejects a negative input
    - accepts a positive input with probability  $\geq \frac{1}{2}$ (or vice versa)
  - $R_2$ : Bounded-error (Monte Carlo)
    - rejects a negative input with probability  $\geq \frac{2}{3}$  accepts a positive input with probability  $\geq \frac{2}{3}$

#### Introduction

- **Computation Models**
- Separations
- A Previous Result
- Our Main Results
- Göös-Pitassi-Watson
- Our Modifications
- $R_1$  versus  $R_0$
- $R_0$  versus D
- Conclusion

- *D*: Deterministic (Decision Tree)
- R: Randomized (Probability distribution on decision trees)
  - $R_0$ : Zero-error (Las Vegas)
  - $R_1$ : One-sided error
  - $R_2$ : Bounded-error (Monte Carlo)
- Q: Quantum bounded-error
  - $Q_E$ : Quantum exact

Introduction	
Computation Models	
Separations	
A Previous Result	
Our Main Results	)
Göös-Pitassi-Watson	
Our Modifications	
$R_1$ versus $R_0$	
$R_0$ versus $D$	
Conclusion	

#### Easy for **partial** functions

# IntroductionComputation ModelsSeparationsA Previous ResultOur Main ResultsGöös-Pitassi-WatsonOur Modifications $R_1$ versus $R_0$ $R_0$ versus DConclusion

#### Easy for **partial** functions

**Example:** Deutsch-Jozsa problem (almost)

Reject iff all input variables are zeroes



Accept iff exactly half of the variables are ones



#### Introduction Computation Models Separations A Previous Result Our Main Results Göös-Pitassi-Watson Our Modifications R<sub>1</sub> versus R<sub>0</sub> R<sub>0</sub> versus D Conclusion

#### Easy for **partial** functions

**Example:** Deutsch-Jozsa problem (almost)

Reject iff all input variables are zeroes

0 0 0 0 0 0 0 0

Accept iff exactly half of the variables are ones

 $R_1 = 1$ 

#### Introduction Computation Models Separations A Previous Result Our Main Results Göös-Pitassi-Watson Our Modifications R<sub>1</sub> versus R<sub>0</sub> R<sub>0</sub> versus D Conclusion

#### Easy for **partial** functions

**Example:** Deutsch-Jozsa problem (almost)

Reject iff all input variables are zeroes

0 0 0 0 0 0 0 0

Accept iff exactly half of the variables are ones

$$R_1 = 1, \quad Q_E = 1,$$



#### Easy for **partial** functions

**Example:** Deutsch-Jozsa problem (almost)

Reject iff all input variables are zeroes

0 0 0 0 0 0 0 0

Accept iff exactly half of the variables are ones

$$R_1 = 1, \quad Q_E = 1, \qquad R_0 = n/2 + 1$$



# **A Previous Result**



# **A Previous Result**



We have [Snir'85, Saks & Wigderson'86]:

$$R_0 = R_1 = R_2 = O(n^{0.7537...}), \qquad D = n$$

# **Our Main Results**

I	n	tr	n	d	11	C	ti	$\cap$	n	
ł		u	U	u	u	U	u	U		

Computation Models

Separations

A Previous Result

Our Main Results

Göös-Pitassi-Watson

Our Modifications

```
R_1 versus R_0
```

 $R_0$  versus D

Conclusion

#### It is known [Nisan'89]

$$D = O(R_1^2)$$

#### We get functions with:

$$D = \widetilde{\Theta}(R_0^2)$$





# **Our Main Results**

Introduction	It is known []
Computation Models	
Separations	
A Previous Result	- 0 0
Our Main Results	
Göös-Pitassi-Watson	We get funct
Our Modifications	
$\underline{R_1}$ versus $R_0$	D
$R_0$ versus $D$	
Conclusion	R <sub>1</sub> R <sub>0</sub>
A Previous Result Our Main Results <u>Göös-Pitassi-Watson</u> Our Modifications $R_1$ versus $R_0$ $R_0$ versus $D$ Conclusion	We get fund

Nisan'89]

$$D = O(R_1^2)$$



The last one also saturates [Kulkarni & Tal'13, Midrijānis'05]

$$R_0 = \widetilde{O}(R_2^2)$$

Introduction
Göös-Pitassi-Watson
Paper
Goal

D versus 1-certificates

Pointers

Features

**Our Modifications** 

 $R_1$  versus  $R_0$ 

 $R_0$  versus D

Conclusion

# Göös-Pitassi-Watson

Paper



Goa

Introduction	•
Göös-Pitassi-Watson	•
Paper	•
Goal	•
D versus 1-certificates	•
Pointers	•
Features	•
Our Modifications	•
$R_1$ versus $R_0$	•
$R_0$ versus $D$	•
Conclusion	•

Clique vs. Independent Set in communication complexity

- Reduce to a problem in query complexity: Find a function that
- □ has large deterministic complexity
- □ has small unambiguous 1-certificates

There exists a number of 1-certificates such that each positive input satisfies exactly one of them.

# D versus 1-certificates

Introduction Göös-Pitassi-Watson Paper Goal D versus 1-certificates Pointers Features Our Modifications R<sub>1</sub> versus R<sub>0</sub> R<sub>0</sub> versus D Conclusion

#### Function on nm Boolean variables

Accept iff there exists a unique all-1 column



D = nm
 short 1-certificates (n + m - 1), BUT not unambiguous.

# D versus 1-certificates

Introduction Göös-Pitassi-Watson Paper Goal D versus 1-certificates Pointers Features Our Modifications <u>R\_1 versus R\_0</u> <u>R\_0 versus D</u> Conclusion

#### Function on nm Boolean variables

Accept iff there exists a unique all-1 column



D = nm

short 1-certificates (n + m - 1), **BUT not** unambiguous. Should specify which zero to take in each column

## **Pointers**





Alphabet:  $\{0,1\} \times ([n] \times [m] \cup \{\bot\})$ Not Boolean, but we can encode using  $O(\log(n+m))$  bits.

#### Accept iff

- $\Box$  There is a (unique) all-1 column *b*;
- $\Box$  in *b*, there is a unique element *r* with non-zero pointer;
- □ following the pointers from r, we traverse through exactly one zero in each column but b.

## **Pointers**





Still have D = nm

short unambigous 1-certificates (n + m - 1)

### **Features**

#### Introduction Göös-Pitassi-Watson Paper Goal D versus 1-certificates Pointers

Features

**Our Modifications** 

 $R_1$  versus  $R_0$ 

 $R_0$  versus D

Conclusion

# Highly elusive (flexible)





# Still traversable (if know where to start).

Göös-Pitassi-Watson
0005-1 118531-11815011
Our Modifications
Binary Tree
Definition (base)
$R_1$ versus $R_0$

 $R_0$  versus D

Conclusion

# **Our Modifications**

# **Binary Tree**

Introduction Göös-Pitassi-Watson Our Modifications Binary Tree Definition (base)	Instead of a list
<u>R<sub>1</sub> versus R<sub>0</sub></u> <u>R<sub>0</sub> versus D</u> <u>Conclusion</u>	we use a balanced binary tree
	<ul><li>More elusive</li><li>Random access</li></ul>

# **Definition (base)**

Introduction		
Goos-Pitassi-Watson		
Our Modifications		
Binary Tree		
Definition (base)		
D. Voroug D.		
$n_1$ versus $n_0$		
$R_0$ versus $D$		



#### Accept iff

- There is a (unique) all-1 column b;
- in b, there is a unique element r with non-zero pointers;
- for each  $j \neq b$ , following a path T(j) from r gives a zero in the jth column.
- Some additional information is contained in the leaves (to be defined).

Introduction
Göös-Pitassi-Watson
Our Modifications
$R_1$ versus $R_0$
State of the Art
Partial Separation
Definition
Totalisation
Check Column
Upper Bound
Lower Bound
Summary
$R_0$ versus $D$

Conclusion

# $R_1$ versus $R_0$



# State of the Art

Introduction	
Göös-Pitassi-Watson	
Our Modifications	
$R_1$ versus $R_0$	
State of the Art	
Partial Separation	
Definition	
Totalisation	
Check Column	<b>NO</b> separation was known even between $R_2$ and $R_0$ .
Upper Bound	
Lower Bound	
Summary	(Iterated functions are not of much help here.)
$R_0$ versus $D$	
Conclusion	

# **Partial Separation**

Introduction	
Göös-Pitassi-Watson	
Our Modifications	
$R_1$ versus $R_0$	
State of the Art	Recall the separation for a partial function
Partial Separation	
Definition	Reject iff all input variables are zeroes
Totalisation	
Check Column	
Upper Bound	
Lower Bound	Accept iff evently helf of the veriebles are ence
Summary	Accept in exactly nall of the variables are ones
$R_0$ versus $D$	
Conclusion	

# Definition





- Add a back pointer to each variable.
- Accept iff

  - $\square$  exactly m/2 of the leaves back point to the root r.

# Totalisation

Introduction Göös-Pitassi-Watson **Our Modifications**  $R_1$  versus  $R_0$ State of the Art **Partial Separation** Definition **Totalisation** Check Column Upper Bound Lower Bound Summary  $R_0$  versus DConclusion



A column is good if it contains a leaf back pointing to the root of a legitimate tree.

- A positive input contains exactly m/2 good columns.
- A negative input contains no good columns.

A total function looks like a partial function.

# **Check Column: Informal**



eliminate an element in column c: it is not a leaf of the tree.

0

# **Check Column: Formal**



Conclusion

**Deterministic subroutine** 

```
Given a column c \in |m|, accept iff it
```



- While there is  $\geq 2$  non-eliminated columns:
  - Let a be a non-eliminated element in c. If none, **reject**.
  - Let r be the back pointer of a, and b be the column of r.
  - Let j be a non-eliminated column  $\neq b$ .
- If the path T(j) from r ends in a zero in column j, eliminate column j.

**Otherwise**, eliminate element *a*.

Verify the only non-eliminated column.

# **Upper Bound**

Introduction Göös-Pitassi-Watson **Our Modifications**  $R_1$  versus  $R_0$ State of the Art **Partial Separation** Definition **Totalisation** Check Column Upper Bound Lower Bound Summary  $R_0$  versus DConclusion



On each iteration of the loop, either an element or a column gets eliminated. At most n + m iterations. Complexity:  $\widetilde{O}(n + m)$ .

Sticking into Deutsch-Jozsa, get  $R_1$  and  $Q_E$  upper bound of

$$\widetilde{O}(n+m)$$

# Lower Bound

Introduction
Göös-Pitassi-Watson
Our Modifications
$R_1$ versus $R_0$
State of the Art
Partial Separation
Definition
Totalisation
Check Column
Upper Bound
Lower Bound
Summary
$R_0$ versus $D$
Conclusion



(Negative) input with exactly one zero in each column.

An  $R_0$  algorithm can reject only if it has found m/2 zeroes.

Requires  $\Omega(nm)$  queries.





28 / 39

# Summary

Introduction
Göös-Pitassi-Watson
Our Modifications
$R_1$ versus $R_0$
State of the Art
Partial Separation
Definition
Totalisation
Check Column
Upper Bound
Lower Bound
Summary
$R_0$ versus $D$
Conclusion

Upper bound for  $R_1$  and  $Q_E$  is  $\widetilde{O}(n+m)$ .

Lower bound for a  $R_0$  algorithm is  $\Omega(nm)$ .

Taking n = m, we get a quadratic separation between  $R_1$  and  $R_0$ , as well as between  $Q_E$  and  $R_0$ 

NB. The previous separation was [Ambainis'12]:

 $Q_E = O(R_0^{0.8675...})$ 

Introduction
Göös-Pitassi-Watson
Our Modifications
$R_1$ versus $R_0$
$R_0$ versus $D$
Definition
Lower Bound
Upper Bound
Summary
Conclusion

# $R_0$ versus D



# Definition





- Back pointers are to columns.
- Accept iff
  - □ ...
  - $\Box$  all the leaves back point to the all-1 column *b*.

# Lower Bound



Conclusion

#### Adversary Method.

Let n = 2m.

If the kth element is queried in a column:

- I If  $k \leq m$ , return !.
- Otherwise, return  $\bigcirc$  with back pointer to column k m.



At the end, the column contains m 1 and m with back pointers to all columns  $1, 2, \ldots, m$ .

The algorithm does not know the value of the function until it has queried > m elements in each of m columns.

Lower bound:  $\Omega(m^2)$ .

# **Upper Bound: Informal**





Each column contains a back pointer to the all-1 column. BUT which one is the right one—?

We try each back pointer by quering few elements in the column, and proceed to a one where no zeroes were found.

Even if this is not the all-1 column,

we can arrange that it contains fewer zeroes whp.

# **Upper Bound: Formal**

Göös-Pitassi-Watson

Introduction

Our Modifications

 $R_1$  versus  $R_0$ 

 $R_0$  versus D

Definition

Lower Bound

Upper Bound

Summary

Conclusion

#### Algorithm

```
Let c be the first column, and k \leftarrow n.
```

```
\Box Let c \leftarrow \mathsf{ProcessColumn}(c, k), and k \leftarrow k/2.
```

ProcessColumn(column *c*, integer *k*)

- Query all elements in column *c*.
- If there are no zeroes, verify column c.
- I If there are more then k zeroes, query all nm variables, and output the value of the function.
- **For** each zero *a*:
  - $\Box$  Let *j* be the back pointer of *a*.
  - Query O(n/k) elements in column j. (Probability  $< \frac{1}{(nm)^2}$  that no zero found if there are > k/2 of them).
  - $\Box$  If no zero was found, return *j*.
- Reject

# Summary

Introduction
Göös-Pitassi-Watson
Our Modifications
$R_1$ versus $R_0$
$R_0$ versus $D$
Definition
Lower Bound
Upper Bound
Summary
Conclusion

Take n = 2m.

- Lower bound for a D algorithm is  $\Omega(m^2).$
- Upper bound for a  $R_0$  algorithm is O(n+m).

We get a quadratic separation between  $R_0$  and D.

# Summary

Introduction Göös-Pitassi-Watson Our Modifications R<sub>1</sub> versus R<sub>0</sub> R<sub>0</sub> versus D Definition Lower Bound Upper Bound Summary

Conclusion

Take n = 2m.

- Lower bound for a D algorithm is  $\Omega(m^2).$
- Upper bound for a  $R_0$  algorithm is  $\widetilde{O}(n+m)$ .

We get a quadratic separation between  $R_0$  and D.

Also, upper bound for a Q algorithm is  $O(\sqrt{n+m})$ . We get a quartic separation between Q and D.

NB. Previous separation was quadratic: Grover's search.

# Conclusion

# Results

Introduction
Goos-Pitassi-watson
Our Modifications
$R_1$ versus $R_0$
$R_0$ versus $D$
Conclusion
Results

Open Problems

$$R_1 = \widetilde{O}(R_0^{1/2})$$
$$Q_E = \widetilde{O}(R_0^{1/2})$$
$$R_0 = \widetilde{O}(D^{1/2})$$
$$Q = \widetilde{O}(D^{1/4})$$

$$Q = \widetilde{O}(R_0^{1/3})$$
$$Q_E = \widetilde{O}(R_2^{2/3})$$
$$\widetilde{\deg} = \widetilde{O}(R_2^{1/4})$$

# **Open Problems**

ntroduction	•
Göös-Pitassi-Watson	
Our Modifications	
$R_1$ versus $R_0$	
$R_0$ versus $D$	
Conclusion	
Results	
Open Problems	•
	•
	•

We have resolved  $R_2 \leftrightarrow R_0$  and  $R_1 \leftrightarrow D$ . Can we resolve  $R_2 \leftrightarrow D$  too? Known:  $R_2 = \Omega(D^{1/3})$  and  $R_2 = \widetilde{O}(D^{1/2})$ .

- Can we overcome the "certificate complexity barrier"? Obtain a function with  $R_2 = o(C)$ ?
- The same about Q \leftarrow D
  Known: Q = \Omega(D^{1/6}) and Q = \Omega(D^{1/4}).
  and Q\_E \leftarrow D?
  Known: Q\_E = \Omega(D^{1/3}) and Q\_E = \Omega(D^{1/2}).

Introduction
Göös-Pitassi-Watson
Our Modifications
$R_1$ versus $R_0$
$R_0$ versus $D$
Conclusion
Results
Open Problems

# Any questions?

