# Tutorials: Braid Group Cryptography Second part Singapore, June 2007

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## The underlying (apparently hard) problems

Conjugacy Decision Problem: Given  $u, w \in B_n$ , determine whether they are conjugate, i.e., there exists  $v \in B_n$  such that

$$w = v^{-1}uv$$

Conjugacy Search Problem: Given conjugate elements  $u, w \in B_n$ , find  $v \in B_n$  such that

$$w = v^{-1}uv$$

Decomposition Problem:  $u \notin G \leq B_n$ . Find  $x, y \in G$  such that w = xuy.

## Attacks using Summit Sets

**Basic idea:** For an element  $x \in B_n$ , we look for a subset  $I_x$  of the conjugacy class of x satisfying:

- 1. For every  $x \in B_n$ , the set  $I_x$  is finite, non-empty and only depends on the conjugacy class of x.
- 2. For each  $x \in B_n$ , one can compute efficiently  $\tilde{x} \in I_x$  and the conjugator  $a^{-1}xa = \tilde{x}$ .
- 3. One can construct the whole set  $I_x$  for any representative  $\tilde{x} \in I_x$ .

For solving the Conjugacy Decision Problem and Conjugacy Search Problem for given  $x, y \in B_n$ , we have to do:

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(a) Find \tilde{x} \in I_x and \tilde{y} \in I_y.
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(b) Using the algorithm from Property (3), compute further elements of  $I_x$  (while keeping track of the conjugating elements), until either:

(i)  $\tilde{y} \in I_x$ , proving x and y are conjugate and providing a conjugating element, or

(ii)  $\tilde{y} \notin I_x$ , proving that x and y are not conjugate.

**Garside (1969)**:  $I_x = SS(x)$ , the Summit Set of x, which is the set of conjugates of x having maximal infimum.

## The Super Summit Sets Elrifai and Morton (1994)

 $I_x = SSS(x)$ , the Super Summit Set of x, consisting of the conjugates of x having minimal canonical length len(x) (SSS(x) is much smaller than SS(x)).

**Definition:** Let  $x = \Delta^p x_1 \cdots x_r \in B_n$ . Cycling of x, c(x), is:

$$\mathbf{c}(x) = \Delta^p x_2 \cdots x_r \tau^{-p}(x_1).$$

where  $\tau(\sigma_i) = \sigma_{n-i}$ . Decycling of x, d(x), is:

 $\mathbf{d}(x) = x_r \Delta^p x_1 x_2 \cdots x_{r-1} = \Delta^p \tau^{-p}(x_r) x_1 x_2 \cdots x_{r-1}.$ 

**Properties:**  $c(x) = (\tau^{-p}(x_1))^{-1}x(\tau^{-p}(x_1))$ ;  $d(x) = x_r x x_r^{-1}$ 

 $\inf(x) \le \inf(\mathbf{c}(x))$ ;  $\sup(x) \ge \sup(\mathbf{d}(x))$ 

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Finding an element in SSS(x): Perform cycling for increasing the infimum, since:

**Elrifai-Morton, Birman-Ko-Lee:** There exists a positive integer  $k_1$  such that  $inf(c^{k_1}(x)) > inf(x)$ .

We get: element  $\hat{x}$  of maximal infimum.

Perform decycling for decreasing the supremum, since:

**Elrifai-Morton, Birman-Ko-Lee:** There exists a positive integer  $k_2$  such that  $sup(d^{k_2}(\hat{x})) < sup(\hat{x})$ .

We get: element in SSS(x).

**Complexity (Elrifai-Morton, Birman-Ko-Lee):** at most rm (r=length in Artin generators, m=canonical length).

**Example (Elrifai-Morton):** Let  $P = \sigma_1 \sigma_2^2 \sigma_3 \sigma_1 \sigma_2^2$ .

Left canonical form:  $P = (\sigma_1 \sigma_2)(\sigma_2 \sigma_3 \sigma_1 \sigma_2)(\sigma_2);$ inf(P) = 0; sup(P) = 3.

One cycling:

$$c(P) = (\sigma_2 \sigma_3 \sigma_1 \sigma_2)(\sigma_2)(\sigma_1 \sigma_2) = (\sigma_2 \sigma_3 \sigma_1 \sigma_2)(\sigma_2 \sigma_1 \sigma_2) = (\sigma_2 \sigma_3 \sigma_1 \sigma_2)(\sigma_1 \sigma_2 \sigma_1) = (\sigma_2 \sigma_3 \sigma_1 \sigma_2 \sigma_1)(\sigma_2 \sigma_1);$$
  
inf(c(P)) = 0, sup(c(P)) = 2.

One further cycling:

$$c^{2}(P) = (\sigma_{2}\sigma_{1})(\sigma_{2}\sigma_{3}\sigma_{1}\sigma_{2}\sigma_{1}) = \Delta_{4}\sigma_{2};$$
  
inf(c<sup>2</sup>(P)) = 1; sup(c<sup>2</sup>(P)) = 2.

**Exploring the set** SSS(x).

**Proposition (Elrifai-Morton, 1994):** Let  $x \in B_n$  and  $V \subset$ SSS(x) be non-empty. If  $V \neq$  SSS(x), then there exist  $y \in V$ and a permutation braid s such that  $s^{-1}ys \in$  SSS $(x) \setminus V$ .

**Proposition (Franco, Gonzales-Meneses, 2003):** Let  $x \in B_n$ and  $V \subset SSS(x)$  be non-empty. If  $V \neq SSS(x)$ , then there exist  $y \in V$  such that  $\sigma_i^{-1}y\sigma_i \in SSS(x) \setminus V$ .

This exploring algorithm computes a directed graph: **Vertices:** the set SSS(x). **Edges:** for  $y, z \in SSS(x)$ ,  $y \xrightarrow{\sigma_i} z$  if  $\sigma_i^{-1}y\sigma_i = z$ .

#### **Problem:** The size of SSS(x) is very big.

## The Ultra Summit Sets Gebhardt (2005)

 $I_x = USS(x)$ , the Ultra Summit Set of x, consisting of the conjugates of x in SSS(x), which satisfy  $c^m(y) = y$  for some m > 0 (USS(x)) is smaller than SSS(x)).

USS(x) consists of a finite set of disjoint orbits, closed under cycling, decycling and the operator  $\tau$ .

**Examples (Birman, Gebhardt, Gonzales-Meneses, 2006):** • USS $(\sigma_1) = SSS(\sigma_1) = SS(\sigma_1) = \{\sigma_1, \dots, \sigma_{n-1}\}$ , and each element is an orbit under cycling, since  $c(\sigma_i) = \sigma_i$  for  $i = 1, \dots, n-1$ .

• 
$$x = \sigma_1 \sigma_3 \sigma_2 \sigma_1 \cdot \sigma_1 \sigma_2 \cdot \sigma_2 \sigma_1 \sigma_3 \in B_4$$
.  
 $|\mathsf{USS}(x)| = 6$  while  $|\mathsf{SSS}(x)| = 22$ .

USS(x) consists of 2 closed orbits under cycling: USS(x) =  $O_1 \cup O_2$ , each one containing 3 rigid elements:

$$O_{1} = \{\sigma_{1}\sigma_{3}\sigma_{2}\sigma_{1} \cdot \sigma_{1}\sigma_{2} \cdot \sigma_{2}\sigma_{1}\sigma_{3}, \sigma_{1}\sigma_{2} \cdot \sigma_{2}\sigma_{1}\sigma_{3} \cdot \sigma_{1}\sigma_{3}\sigma_{2}\sigma_{1}, \sigma_{1}\sigma_{3}\sigma_{2}\sigma_{1} \cdot \sigma_{1}\sigma_{2}\},\$$

$$O_2 = \{\sigma_3\sigma_1\sigma_2\sigma_3 \cdot \sigma_3\sigma_2 \cdot \sigma_2\sigma_3\sigma_1, \sigma_3\sigma_2 \cdot \sigma_2\sigma_3\sigma_1 \cdot \sigma_3\sigma_1\sigma_2\sigma_3, \sigma_2\sigma_3\sigma_1 \cdot \sigma_3\sigma_1\sigma_2\sigma_3 \cdot \sigma_3\sigma_2\}.$$

Note that  $O_2 = \tau(O_1)$ .

**Remark:** In generic case, |USS(x)| is either  $\ell$  or  $2\ell$  ( $\ell = len(x)$ ) (depends on whether  $\tau(O_1) = O_1$ ) containing rigid braids (Gebhardt). There are exceptions in non-generic case: for  $E \in B_{12}$ :

$$E = (\sigma_2 \sigma_1 \sigma_7 \sigma_6 \sigma_5 \sigma_4 \sigma_3 \sigma_8 \sigma_7 \sigma_{11} \sigma_{10}) \cdot (\sigma_1 \sigma_2 \sigma_3 \sigma_2 \sigma_1 \sigma_4 \sigma_3 \sigma_{10}) \cdot (\sigma_1 \sigma_3 \sigma_4 \sigma_{10}) \cdot (\sigma_1 \sigma_{10}) \cdot (\sigma_1 \sigma_{10} \sigma_9 \sigma_8 \sigma_7 \sigma_{11}) \cdot (\sigma_1 \sigma_2 \sigma_7 \sigma_{11})$$

has an Ultra Summit Set of size 264, instead of the expected size 12 (Birman, Gebhardt, Gonzales-Meneses).

The size and structure of the USS(x) depends on its Nielsen-Thurston type: periodic, reducible or Pseudo-Anosov (**Birman**, **Gebhardt**, **Gonzales-Meneses**). **Finding an element in USS**(x): First, perform cycling and decycling for getting an element in  $\tilde{x} \in SSS(x)$ .

Then start cycling it. We get two integers  $m_1, m_2$  ( $m_1 < m_2$ ), which satisfy:

$$\mathbf{c}^{m_1}(\tilde{x}) = \mathbf{c}^{m_2}(\tilde{x})$$

 $\hat{x} = \mathbf{c}^{m_1}(\tilde{x}) \in \mathsf{USS}(x)$ , since  $\mathbf{c}^{m_2-m_1}(\hat{x}) = \hat{x}$ .

Exploring USS(x) from  $\hat{x} \in USS(x)$ :

**Definition:** Given  $x \in B_n$ ,  $y \in USS(x)$ . A permutation braid  $s \neq 1$  is a minimal for y with respect to USS(x) if  $s^{-1}ys \in USS(x)$ , and no proper prefix of s satisfies this property.

**Proposition (Gebhardt):** Let  $x \in B_n$  and  $V \subseteq USS(x)$  be nonempty. If  $V \neq USS(x)$ , then there exist  $y \in V$  and a generator  $\sigma_i$  such that  $c_y(\sigma_i)$  is a minimal permutation braid for y, and  $(c_y(\sigma_i))^{-1}y(c_y(\sigma_i)) \in USS(X) \setminus V$ .

**Birman, Gebhardt, Gonzales-Meneses (2006)**: if  $x \in USS(x)$  with len(x) = k > 0 and s be a minimal simple element for x. Then s is a prefix of either  $\iota(x)$  or  $\iota(x^{-1})$ , or both, where  $\iota(x)$  is the first factor of the Garside normal form.

As in Super Summit Sets, the algorithm for USS(x), compute a graph:

**Vertices:** elements of USS(x).

**Edges:** for  $y, z \in USS(x)$ ,  $y \xrightarrow{s} z$  if  $s^{-1}ys = z$ , where s is a minimal permutation braid.

**Complexity:** Although the number of cycling  $m_2$  for finding an element in USS(x) is not known in general, in practice, **the algorithm based on the Ultra Summit Sets is substantially better** for braid groups.

More results: Talk of Gonzales-Meneses in the conference ...

## A heuristic algorithm using the Super Summit Sets Hofheinz-Steinwandt (2002)

**Idea:** we hope that the representatives in SSS of two conjugated elements will not be too far away (one is a conjugation of the other by a permutation braid).

So, given a pair (x, x') of braids, where  $x' = s^{-1}xs$ , we do: 1. By a variant of cycling and decycling, we find  $\tilde{x} \in SSS(x)$  and  $\tilde{x}' \in SSS(x')$ .

2. Try to find a permutation braid P, such that  $\tilde{x}' = P^{-1}\tilde{x}P$ . (using the symmetric group).

**Remark**: In such cases, we can find also the conjugator.

Actually, one can find any  $\tilde{s}$  (commuted with r) which satisfies  $x' = \tilde{s}^{-1}x\tilde{s}$  which will do the job, since after  $r^{-1}pr$  is known:

$$\tilde{s}^{-1}r^{-1}pr\tilde{s} = r^{-1}\tilde{s}^{-1}p\tilde{s}r = r^{-1}x'r$$

which is the shared key.

**Success rate**: Almost 100% of the cases in the Anshel-Anshel-Goldfeld protocol, and about 80% of the cases in the Diffie-Hellman-type protocol.

## Attacks based on linear representations

**Idea:** map the braid groups into groups of matrices, in which the Conjugacy Search Problem is easy, and lift up the result to the braid group.

Two main representations:

1. Burau representation - for  $n \ge 5$  is not faithful, but since its kernel is very small - it still might be possible (Hughes, 2002). Some variant was broken by Lee-Lee (2002).

Lawrence-Krammer representation - it is faithful (Bigelow (2001), Krammer (2002)), and hence can be used as an attack to Diffie-Hellman-type protocol (Cheon-Jun, 2003).

## Length-based attack Hughes-Tannenbaum (2002)

**Property**: For a **length function**  $\ell$  defined on  $B_n$ , usually

 $\ell(a^{-1}ba) > \ell(b)$ 

for elements  $a, b \in B_n$ .

**Idea**: If  $b = x^{-1}ax$  and  $x = g_1 \cdot g_2 \cdots g_k$ , the following hopefully holds with a non-negligible probability:

$$\ell(g_k x^{-1} a x g_k^{-1}) < \ell(g x^{-1} a x g^{-1})$$

for any generator  $g \neq g_k$ .

In this way, we try to reveal x by peeling off generator after generator.

#### **Improvements:**

- Generalization to solution of equations (G-Kaplan-Teicher-Tsaban-Vishne, 2005)
- Memory approach (G-Kaplan-Teicher-Tsaban-Vishne, 2005)
- Better length functions (Hock-Tsaban, 2006)
- Application to other groups (Ruinskiy-Shamir-Tsaban, 2007)

Will be discussed in my conference talk ...

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## Cycling problem as a potential hard problem

# Ko-Lee-Cheon-Han-Kang-Park (2000): Cycling Problem might be hard.

Cycling Problem: Given a braid y and a positive integer t such that y is in the image of the operator  $\mathbf{c}^t$ . Find a braid x such that  $\mathbf{c}^t(x) = y$ .

Not so hard!

**Maffre (2005)**: Given y, one can find x such that c(x) = y very fast.

**Gebhardt and Gonzales-Meneses (2007)**: General Cycling Problem has a polynomial solution, since the cycling operation is **surjective**, so apply Maffre's algorithm t times.

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## Future directions

1. A cryptosystem based on the Shifted Conjugacy Search Problem Dehornoy (2006)

Let  $x, y \in B_{\infty}$ . We define:

 $x * y = x \cdot \mathrm{d}y \cdot \sigma_1 \cdot \mathrm{d}x^{-1}$ 

where dx is the shift of x in  $B_{\infty}$ , i.e.  $d(\sigma_i) = \sigma_{i+1}$  for each  $i \ge 1$ .

Shifted Conjugacy Search Problem: Let  $s, p \in B_{\infty}$  and p' = s \* p. Find a braid  $\tilde{s}$  satisfying  $p' = \tilde{s} * p$ .

#### Fiat-Shamir authentication scheme:

S is a set and  $(F_s)_{s\in S}: S \to S$  is a family of functions satisfying:

$$F_r(F_s(p)) = F_{F_r(s)}(F_r(p)), \qquad r, s, p \in S$$

Alice is the prover who wants to convince Bob that she knows the secret key s:

Private key: Alice:  $s \in S$ . Public keys: Two elements  $p, p' \in S$  such that  $p' = F_s(p)$ . Alice: Chooses a random  $r \in S$  and sends Bob  $x = F_r(p)$  and  $x' = F_r(p')$ . Bob: Chooses a random bit c and sends it to Alice. Alice: If c = 0, sends y = r (then Bob checks:  $x = F_y(p)$  and  $x' = F_y(p')$ ); If c = 1, sends  $y = F_r(s)$  (then Bob checks:  $x' = F_y(x)$ ). Tutorials: Braid Group Cryptography Page 22 Singapore, June 2007 **Dehornoy (2006)**: A LD-system is a set S with a binary operation which satisfies: r \* (s \* p) = (r \* s) \* (r \* p).

#### The Fiat-Shamir-type authentication scheme on LD-systems:

Private key: Alice:  $s \in S$ . Public keys: Two elements  $p, p' \in S$  such that p' = s \* p.

Alice: Chooses a random  $r \in S$  and sends Bob x = r \* p and x' = r \* p'. Bob: Chooses a bit *c* and sends it to Alice. Alice: If c = 0, sends y = r (then Bob checks: x = y \* p and x' = y \* p'); If c = 1, sends y = r \* s (then Bob checks: x' = y \* x).

**LD-system on braid group**:  $B_{\infty}$  with the shifted conjugacy operation.

Further research:

1. **Cryptanalysis direction:** What is the success rate of a length-based attack on this scheme?

2. **Cryptanalysis direction:** Can one develop any theory (like Summit Sets) for the Shifted Conjugacy Search Problem?

3. **Cryptosystem direction:** Can one suggest a LD-system on the braid group, which will be secure for the length-based attack?

4. **Cryptosystem direction:** Can one suggest a LD-system on a different noncommutative group, which will be secure?

#### 2. A cryptosystem based on the shortest braid problem

**Settings:**  $B_{\infty}$ , Generators  $\{\sigma_1, \sigma_2, ...\}$  subject to the usual braid relations.

Minimal Length Problem (or Shortest Word Problem): Starting with a word w in the  $\sigma_i^{\pm 1}$ 's, find the shortest word w' which is equivalent to w, i.e., that satisfies  $w' \equiv w$ .

**Paterson and Razborov (1991)**: The Minimal Length Problem is co-NP-complete.

Hardness for  $B_n$  for fixed n is not known.

From the point of view of cryptography, we are interested to construct relatively large families of provably difficult instances in which the keys may be randomly chosen.

**Dehornoy (2004):** Braids of the form  $w(\sigma_1^{e_1}, \sigma_2^{e_2}, \ldots, \sigma_n^{e_n})$  with  $e_i = \pm 1$ , i.e., braids in which, for each *i*, at least one of  $\sigma_i$  or  $\sigma_i^{-1}$  does not occur, could be relevant.

**Possible problem:** The shortest word problem in  $B_n$  for a fixed n might be not so hard.

#### Some indications:

For  $B_3$ : Berger (Artin), Wiest (Artin), Xu (BKL).

For *B*<sub>4</sub>: Kang-Ko-Lee (BKL).

For  $B_n$ , *n* fixed (small): Conjecture: Wiest (Artin), **G-Kaplan-Tsaban** (Artin).

Further research:

- 1. Cryptosystem direction: Suggest a cryptosystem based on the shortest word problem in  $B_{\infty}$ , using the hardness result of Paterson-Razborov?
- 2. Cryptanalysis direction: What is the final status of the shortest word problem in  $B_n$  for a fixed n?

#### 3. Alternative distributions

**Idea:** Try to change the distribution of the generators.

**Markov walk:** the distribution of the choice of the next generator depends on the choice of the current chosen generator.

**Maffre (2006):** Proposes a new random generator of key which is secure against his attack and the one of Hofheinz-Steinwandt.

Further research: Is it secure from the other attacks too?

### 4. Cryptosystems based on different non-commutative groups

**Further Research:** Can one suggest a different non-commutative group where the suggested protocols on the braid group can be applied, and the cryptosystem will be secure?

For applying Diffie-Hellman, one needs **two subgroups which commutes element-wise**.

#### Some possibilities:

1. Thompson group (Shpilrain-Ushakov, 2006).

2. Miller groups (groups with an abelian automorphism group) (Mahalanobis, 2005).