

# **Simultaneous analysis of all SNPs in genome-wide and re-sequencing association studies**

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# Simultaneous analysis of all SNPs

Why try to do it?

- additional power to detect true positives:
  - multiple true predictors in model  $\Rightarrow$ 
    - less residual variation
    - better prediction of phenotype
  - capture all main effects for epistatic interactions
  - look for  $G \times E$  joint/interaction effects with  $\approx$  all the  $G$
- signal at a potential false +ve weakened by true +ves
  - better localisation and interpretability.

Counter-arguments:

- massive optimization problem
  - unlikely to find global maximum
  - so may lose some of the above advantages.

# HyperLASSO algorithm

- **Data:** cases and controls GW genotypes (+ covariates)
- **Model:** logistic regression

$$\text{logit}(y_i) = \beta_0 + \sum_j \beta_j x_{ij}$$

$i \equiv$  individuals;  $j \equiv$  SNPs;  $x_{ij} \equiv$  genotype  $\in \{0, 1, 2\}$ .

- **Problem:** too many predictors - overfitting.
- **Solution:** prior/penalty strongly rewards  $\beta_j = 0$  for each  $j$ :

# Normal-Exponential-Gamma (NEG)

NEG is a generalisation of the DE (Laplace):

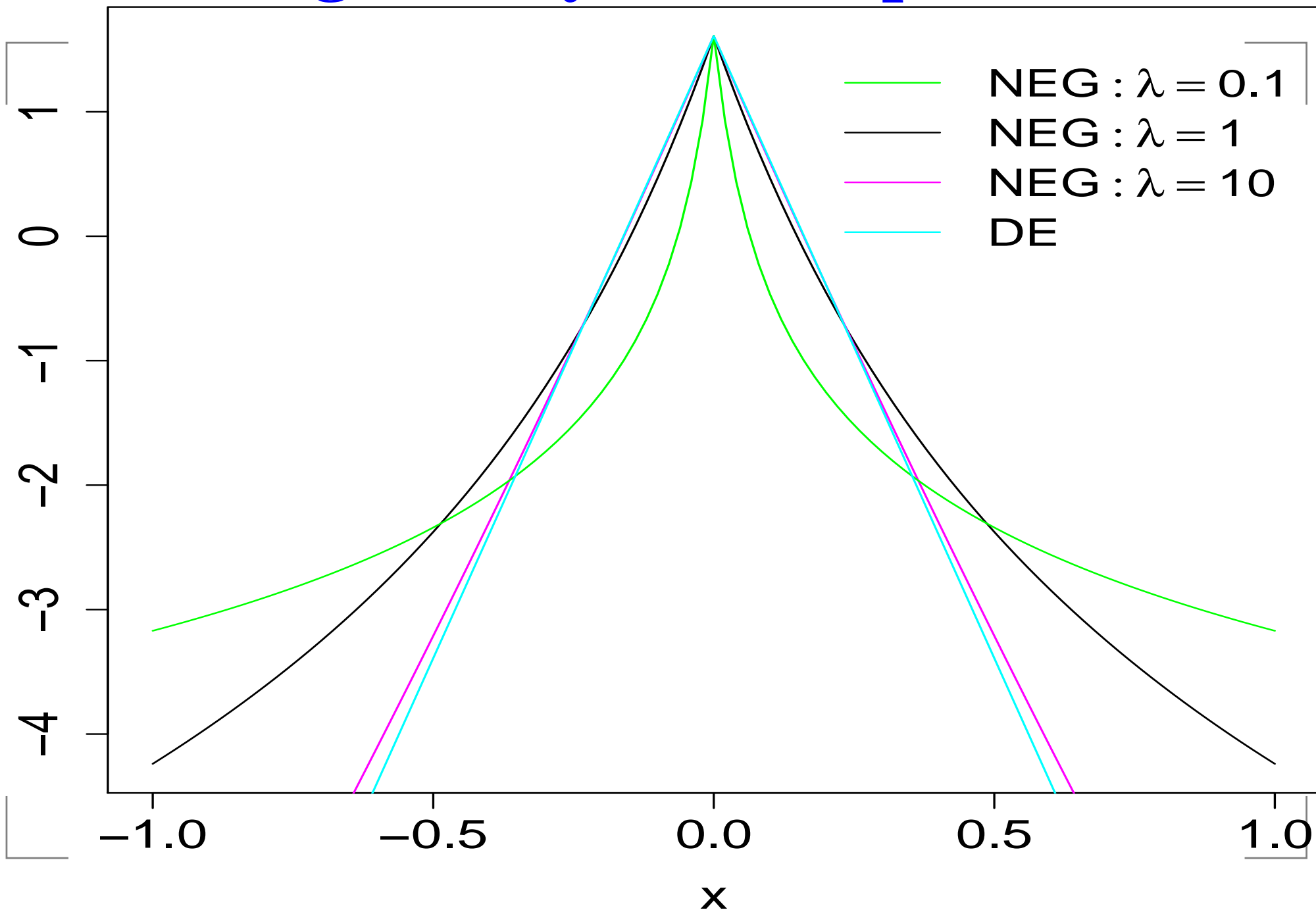
$$\text{DE}(\beta|\xi) = \int_0^\infty \mathbf{N}(\beta|0, \sigma^2) \mathbf{G}(\sigma^2|1, \xi^2/2) d\sigma^2 = \frac{\xi}{2} \exp\{-\xi|\beta|\}$$

$$\begin{aligned} \text{NEG}(\beta|\lambda, \gamma) &= \int_0^\infty \int_0^\infty \mathbf{N}(\beta|0, \sigma^2) \mathbf{G}(\sigma^2|1, \psi) \mathbf{G}(\psi|\lambda, \gamma^2) d\sigma^2 d\psi \\ &= \kappa \exp\left\{\frac{\beta^2}{4\gamma^2}\right\} D_{-2\lambda-1}\left(\frac{|\beta|}{\gamma}\right), \end{aligned}$$

If  $\lambda \uparrow \infty$  and  $\gamma \uparrow \infty$  with  $\xi = \sqrt{2\lambda}/\gamma$  constant,  $\text{NEG} \rightarrow \text{DE}$ .

- prior interpretation: most effects are  $\sim$  zero, agnostic about size of non-zero effects;
- flatter tails means
  - less shrinkage so sparser models
  - initial over-estimate of effect size penalised lightly.

# log density of NEG prior



# Choice of prior parameters

Some prior quantiles for three choices of  $\lambda$  and  $\gamma$ :

$\lambda$	1.0	1.4	1.8
$\gamma$	0.0012	0.006	0.015
$\mathbf{P}(x > 0.05)$	$5.8 \times 10^{-4}$	$3.5 \times 10^{-3}$	$1.7 \times 10^{-2}$
$\mathbf{P}(x > 0.1)$	$1.4 \times 10^{-4}$	$5.3 \times 10^{-4}$	$2.1 \times 10^{-3}$
$\mathbf{P}(x > 0.2)$	$3.6 \times 10^{-5}$	$7.8 \times 10^{-5}$	$2.0 \times 10^{-4}$
$\mathbf{P}(x > 0.4)$	$9.0 \times 10^{-6}$	$1.1 \times 10^{-5}$	$1.7 \times 10^{-5}$
$\mathbf{P}(x > 1)$	$1.4 \times 10^{-6}$	$8.5 \times 10^{-7}$	$6.2 \times 10^{-7}$

Larger shape parameter  $\lambda$  gives more weight to small, non-zero effects. Below, we choose  $\lambda$  to be very small (usually 0.05) to have very little shrinkage. Choose scale parameter  $\gamma$  to give desired type 1 error for given  $\lambda$  (see below).

# The optimisation algorithm

Cyclic Co-ordinate Descent algorithm:

- start with all  $\beta_j = 0$ .
- update order is allocated randomly but fixed in each run.
- Newton-Raphson update step:

$$\beta_j^{new} = \beta_j - \frac{L'(\boldsymbol{\beta}) - f'(\beta_j)}{L''(\boldsymbol{\beta}) - f''(\beta_j)}$$

where each ' denotes derivative wrt  $\beta_j$ .

- Key shortcut: use computationally-fast bounds to avoid expensive computation of  $L'$  for all but a few SNPs.
- Seek local optimum in 100 runs; choose best solution:
  - may not be global optimum but very similar model.

# SNP selection

Given shrinkage prior:

- SNPs with non-zero posterior mode
  - $|(\log\text{-lik})'| > |(\log\text{-prior})'|$
  - not a Bayesian procedure: assess using type-1 error
  - use Bayesian language for penalised likelihood (“shrinkage regression”)
- rescaling genotype scores alters prior; e.g. if genotypes are standardised to mean zero and unit variance then
  - type-1 error invariant with MAF
  - for 1 SNP, asymptotically  $\sim$  Armitage trend test (ATT).
  - supports larger effect sizes for lower MAF.



# Type 1 error; Multiple genetic models

- explicit approximation for type 1 error in terms of derivative of log-prior
  - asymptotically correct for 1 SNP, otherwise conservative
  - avoids need for permutation
  - choose desired  $\lambda$  then assign  $\gamma$  to control type-1 error
- Can also consider dominant, recessive and heterozygous (1 df over-dominant) models, in addition to codominant (additive).
  - only one regression coefficient per SNP
  - no type-1 error approximation, but empirically the extra terms approximately double type-1 error.

# Main simulation study

- 1K cases, 1K controls;
- 80K SNPs on  $20 \times 20$  Mb chromosomes;
- 6 Causal SNPs all on one chromosome;
- 500 replicates, so 3K causal SNPs total;
- $\alpha = 10^{-5}$ ; additive-only model.

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Method	SNPs selected	Causal SNPs tagged	False positives		
			min. separation (Kb)		
			0	40	100
HyperLASSO	2097	1576	368	368	366
ATT	6810	1554	696	486	441

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A causal variant is “tagged” if  $\geq 1$  selected SNP has  $r^2 > 0.05$  with it.

# Main simulation study

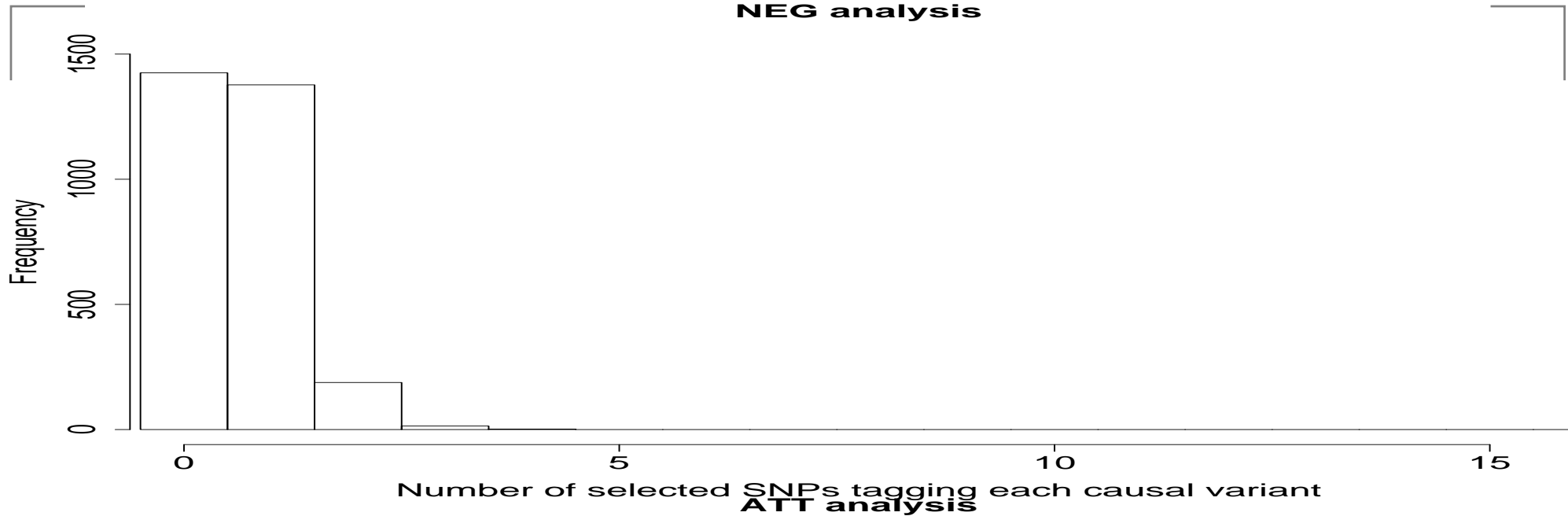
# causal SNPs tagged (/500) by MAF and risk ratio

Method	MAF and allelic risk ratio					
	15%		5%		2%	
HyperLASSO	252	360	209	370	146	239
ATT	244	353	209	370	143	235

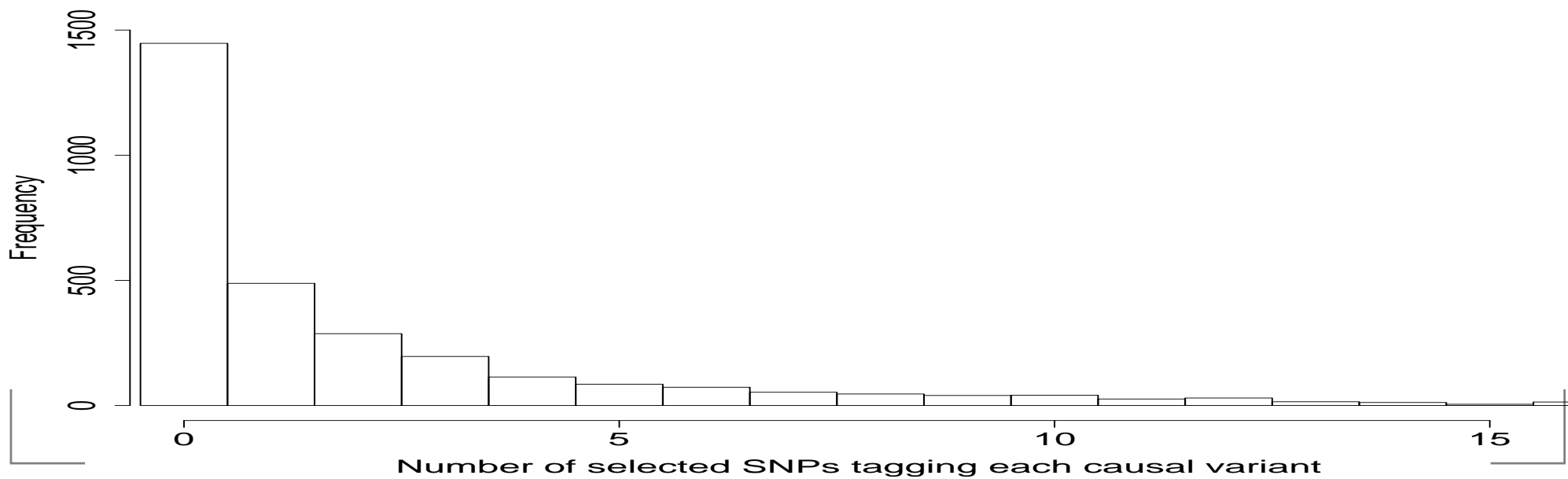
- 54 SNPs tagged by HyperLASSO and not ATT;
- 32 SNPs tagged by ATT and not HyperLASSO;
- $p = 0.11$

# Tagging SNPs per causal SNP

NEG analysis



ATT analysis



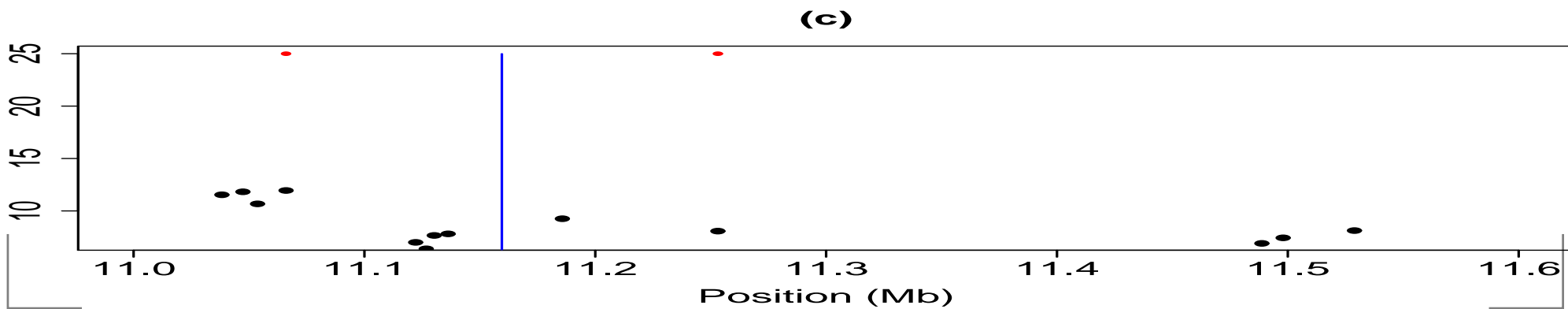
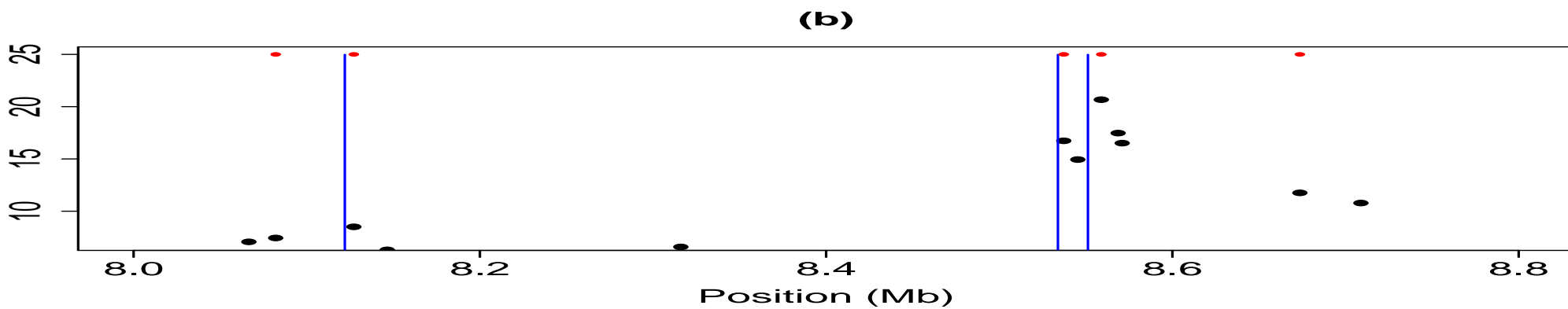
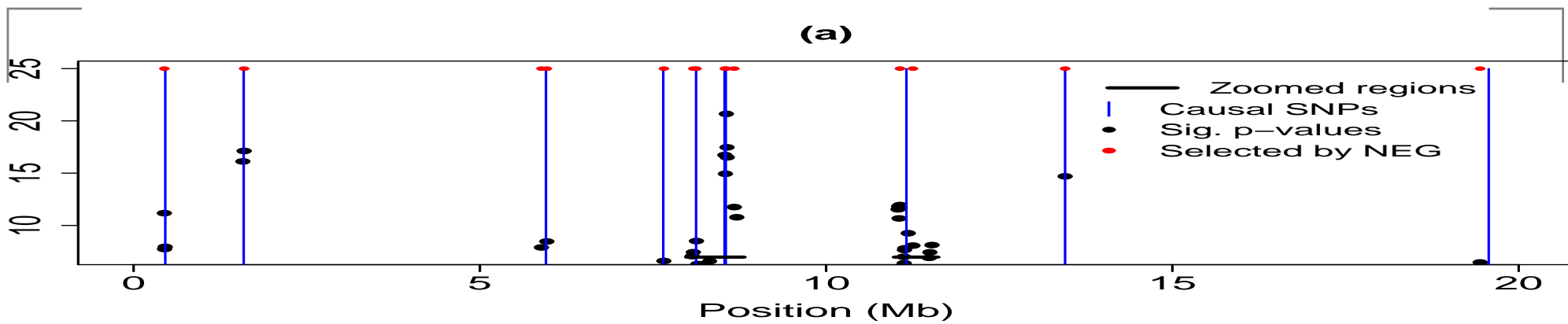
# Genome-wide simulation study

- 1K cases, 1K controls;
- $120 \times 20$  Mb chromosome; 480K SNPs;
- 10 causal variants on one chromosome, each MAF = 0.15 and risk ratio = 2;
- $\alpha = 5 \times 10^{-7}$ ; additive-only model;
- compute time  $\approx$  1 hour per mode.

## Results:

- Both HyperLASSO and ATT tag all 10 causal SNPs;
- HyperLASSO selects 14 SNPs;
- ATT selects 35 SNPs.

# Genome-wide simulation



# Resequencing simulation study

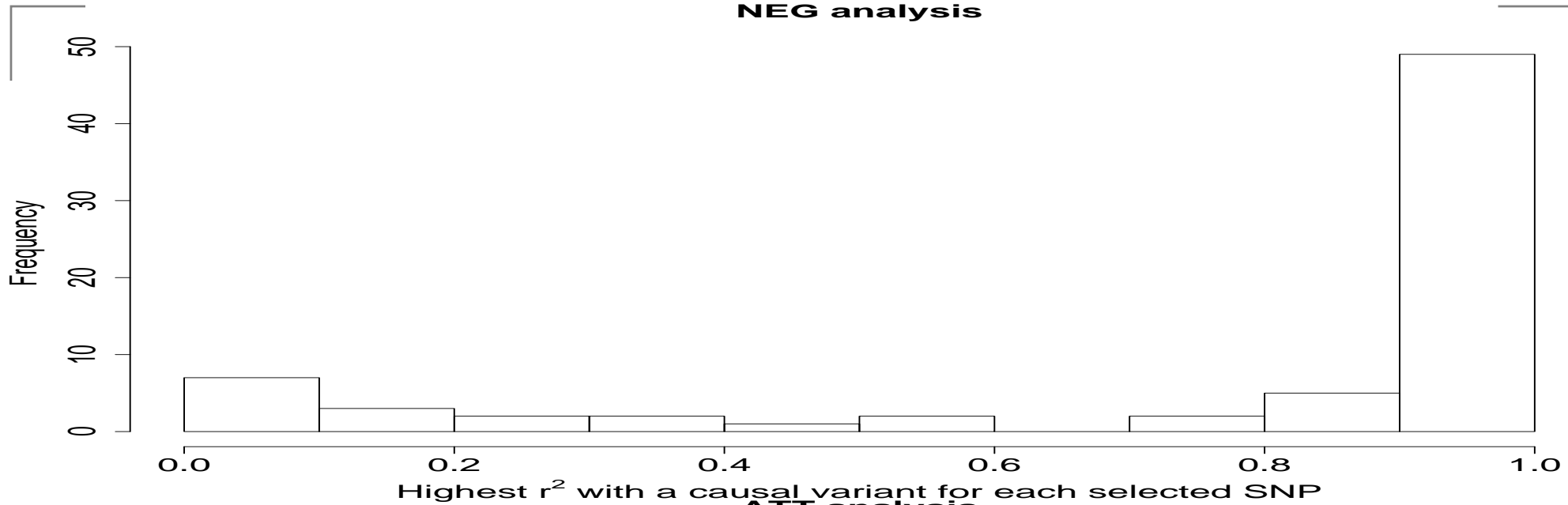
- 1K cases, 1K controls;
- all 192K polymorphic sites on one 20 Mb chromosome;
- 6 Causal SNPs on the chromosome
  - same disease model as main study;
- 10 replicates;
- $\alpha = 10^{-5}$ ; additive-only model;

## Results:

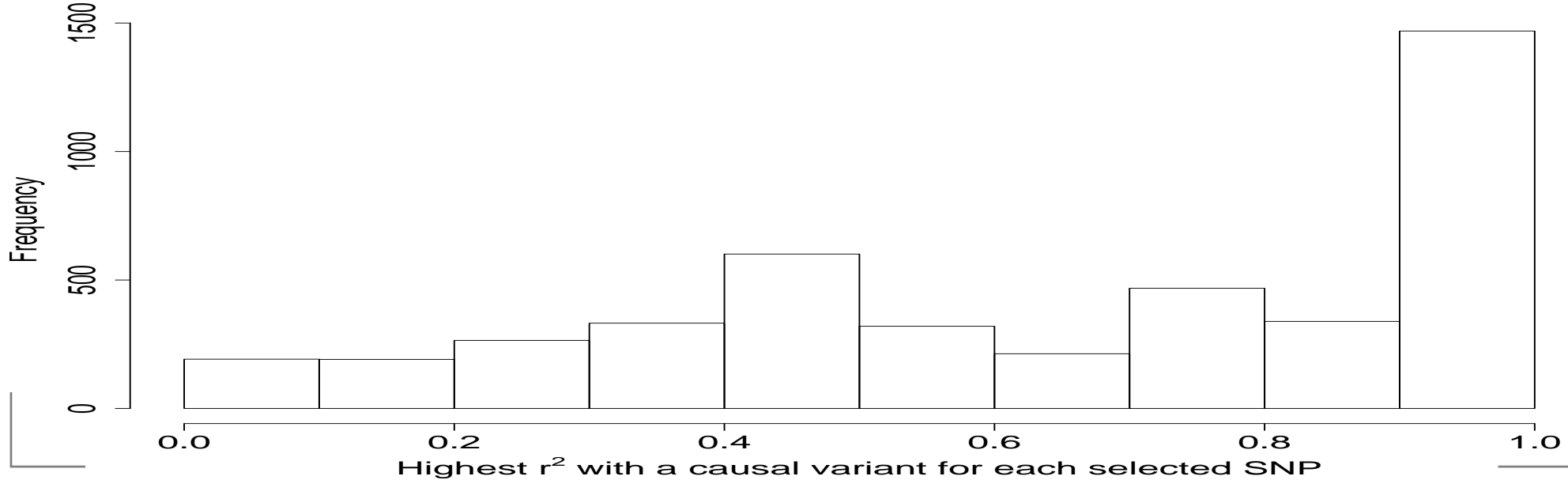
- Both ATT and HyperLASSO tagged 54 of 60 causals;
- HyperLASSO selected 64 SNPs
- ATT selected 599.

# Selected SNPs: best $r^2$ with causal

**NEG analysis**



**ATT analysis**





# T2D GWAS Sladek et al. 2007

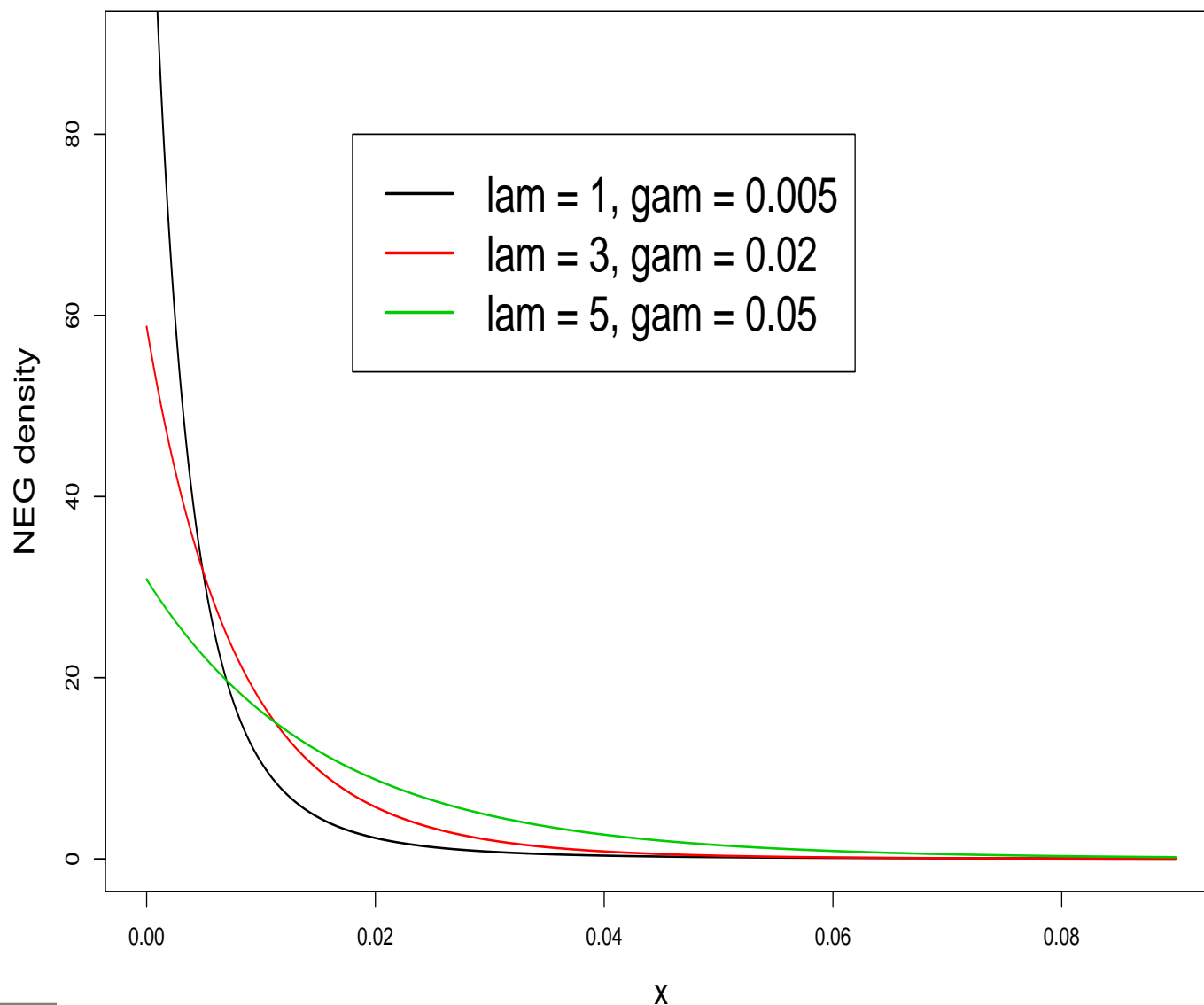
- 694 cases, 654 controls;
- 300K Illumina Hap300 genotyping platform;
- 42 SNPs tagging 32 loci significant at  $5 \times 10^{-5}$  and were progressed to stage 2 (plus 15 from Hap100 chip – not re-analysed here).
- NEG re-analysis using additive, dominant and recessive models:
  - 26 SNPs tagging 25 loci;
  - tagged all 5 loci confirmed in stage 2 – with same model (3 dominant, 2 additive);
  - looking at sub-optimal modes generated 3 new SNPs, each in high LD with a selected SNP.

# Prediction of case/control status

- much interest in prediction of phenotype, but widespread view that prospects are poor, e.g.
  - NEJM Nov 08: 18 confirmed SNPs add little to prediction of T2D from known risk factors
  - Nat Genet 08: 20 confirmed SNPs for human height explain 3% of variation

BUT these only include SNPs significant at very stringent levels. Many more true causal SNPs exist.
- relax penalty for prediction
  - larger models
  - greater shrinkage of effect sizes

# NEG prior for prediction



Larger values of shape parameter  $\lambda$  gives more curvature and greater density away from origin, so more shrinkage and more non-zero modes.

# Acknowledgments

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- <http://www.ebi.ac.uk/projects/BARGEN/>
- Hoggart *et al.*, PLoS Genetics 2008