

## Q-curvature

The orig. construction of the Q-curv. seeks to imitate

Yamabe eqn. in dim.  $n \geq 3$   $\longrightarrow$

Gauss curv. prescription eqn. (**GCP**) in dim. 2.

It's closely related to the GJMS operators  $P_m$ . To some extent, the construction can go either way ( $P$ 's to  $Q$ 's or  $Q$ 's to  $P$ 's). There are now constructions of  $Q$  that are genuinely different than the original one we'll discuss here (in which  $Q$  comes from the GJMS series).

Let everything as acting on functions (0-densities) on an  $n$ -dimensional manifold  $M$ .

The Graham-Jenne-Mason-Sparling (**GJMS**) operators [J. London Math. Soc. 1992] were built using the Fefferman-Graham ambient construction, and by careful analysis of the construction, have the properties in the following (redundant) list. Here  $n$  is not nec. even.

- $P_m$  exists for  $m$  even and  $m - n \notin 2\mathbb{Z}^+$ .
- $P_m = \Delta^{m/2} + \text{LOT}$ .
- $P_m$  is formally self-adjoint.
- For  $f \in C^\infty(M)$ , under a conformal change of metric

$$\hat{g} = e^{2\omega} g, \quad \omega \in C^\infty(M),$$

we have the conformal covariance relation

$$\hat{P}_m f = e^{-\frac{n+m}{2}\omega} P_m (e^{\frac{n-m}{2}\omega} f).$$

- Alternatively,  $P_m$  gives rise to a conformally invariant operator  $\mathbf{P}_m : \mathcal{E}[-(n - m)/2] \rightarrow \mathcal{E}[-(n + m)/2]$ .
- $P_m$  has a polynomial expression in  $\nabla$  and the Riemann tensor (actually the Ricci tensor, according to a recent result of Graham) in which all coefficients are rational in the dimension  $n$ .
- Gover and Peterson, CMP 2003 show that there's an expression in which the only poles are given by factors  $(n - 2)(n - 4) \cdots (n - m + 2)$  in the denominators of these rational functions.
- On flat  $\mathbf{R}^n$ ,  $P_m = \Delta^{n/2}$ .

- $P_m$  has the form

$$\delta S_m d + \frac{n-m}{2} Q_m,$$

where  $Q_m$  is a local scalar invariant, and  $S_m$  is an operator on 1-forms of the form

$$(d\delta)^{m/2-1} + \text{LOT} \quad \text{or} \quad \Delta^{m/2-1} + \text{LOT}.$$

All the formulas mentioned above are universal.

Note that  $P_m$  is unable to detect changes in the  $(d\delta)^{m/2-1}$  term in the principal part of  $S_m$ .

**Remark**  $P_m$  gives rise to a  $Q_m$  in an elementary way (just take  $P_m 1$ ) **when**  $m \neq n$ . But the really important  $Q$  is  $Q = Q_n$ .

**Remark**  $P_2$  is the conformal Laplacian

$$Y = \underbrace{\Delta d}_{\Delta} + \frac{n-2}{4(n-1)}K.$$

This makes

$$Q_2 = \frac{K}{2(n-1)} =: J,$$

(the Schouten scalar).

Here's an intuitive approach (more formal approach later) to constructing the Q-curvature. The Yamabe eqn. is

$$\underbrace{\left( \Delta + \frac{n-2}{2}J \right)}_Y u = \frac{n-2}{2} \hat{J} u^{(n+2)/(n-2)},$$

where

$$\hat{g} = e^{2\omega} g, \quad \omega \in C^\infty(M), \quad u := e^{(n-2)\omega/2}.$$

The GCP eqn. is

$$\Delta \omega + J = \hat{J} e^{2\omega} \quad (n = 2).$$

We get GCP from the Yamabe eqn. by slipping in a gratuitous 1,

$$\begin{aligned} & \Delta \left( e^{(n-2)\omega/2} - 1 \right) + \frac{n-2}{2} J e^{(n-2)\omega/2} \\ &= \frac{n-2}{2} \hat{J} e^{(n+2)\omega/2}, \end{aligned}$$

dividing by  $(n-2)/2$ , and eval. at  $n=2$ .

Similarly, take the higher-order Yamabe equation based on the **GJMS operators**,

$$\underbrace{\left( \delta S_m d + \frac{n-m}{2} Q_m \right)}_{P_m} u = \frac{n-m}{2} \hat{Q}_m u^{(n+m)/(n-m)},$$

where

$$u = e^{(n-m)\omega/2} \quad (n \notin \{m, m-2, m-4, \dots, 2, 0\}),$$

$$S_m = (d\delta)^{m/2-1} + \text{LOT}.$$

We slip in the gratuitous 1,

$$\begin{aligned} & \delta S_m d(e^{(n-m)\omega/2} - 1) + \frac{n-m}{2} Q_m e^{(n-m)\omega/2} \\ &= \frac{n-m}{2} \widehat{Q}_m e^{(n+m)\omega/2}, \end{aligned}$$

divide by  $(n-m)/2$ , and evaluate at  $n = m$ :

$$\boxed{P\omega + Q = \widehat{Q}e^{n\omega}.}$$

That is, we **define**  $Q$  from the GJMS op. series, as

$$\left[ \frac{2P_m 1}{n-m} \right]_{n=m}.$$

This construction of  $Q$  immediately gives its unusual **linear** conformal change law.

Switching to a density viewpoint (more later on this), we have a conformally invariant operator  $\mathbf{P}: \mathcal{E}[0] \rightarrow \mathcal{E}[-n]$ , and  $\mathbf{Q} \in \mathcal{E}[-n]$  satisfying

$$\boxed{\widehat{\mathbf{Q}} = \mathbf{Q} + \mathbf{P}\omega.}$$

For example, GCP looks like

$$\hat{J} = J + \Delta\omega$$

for  $J$  viewed as a  $(-2)$ -density.

In hindsight, we have answered the

**Question:** Is there a higher (**even**) dimensional generalization of the exponential class Gauss curvature prescription problem  $\hat{J} = J + \Delta\omega$ ?

But the  $Q$ -curvature also plays other important roles in conformal geometry, in that:



- Its integral has total metric variation the Fefferman-Graham obstruction tensor;
- It provides the geometric expression of the exponential class  
Beckner-Moser-Trudinger inequality;
- It provides the main term in **Polyakov formulas** for the quotient of functional determinants or torsion quantities, at 2 conformally related metrics;
- It provides one of the important terms in volume renormalization asymptotics at conformal infinity  
[Fefferman-Graham, MRL 2002].

**Background:** The Einstein (divergence free Ricci) tensor  $E$  is the total metric variation of the scalar curvature. This means that if we take a smooth curve of metrics  $g(\varepsilon)$ , denote  $(d/d\varepsilon)|_{\varepsilon=0}$  by a  $\bullet$ , and suppose

$$g(0) = g, \quad g^\bullet = h,$$

then

$$\left( \int K dv_g \right)^\bullet = \int h^{ab} E_{ab} dv_g.$$

This is how the Einstein-Hilbert action leads to the Einstein equation.

**Background:** In dimension 4, the Bach tensor  $\mathcal{B}$  is the total metric variation of  $|C|^2$ , where  $C$  is the Weyl tensor.

**Question:** In general even dimension  $n$ , the Fefferman-Graham tensor  $\mathcal{O}_{ab}$  is the obstruction to the power series construction of the ambient metric assoc. to a conformal structure. Is  $\mathcal{O}_{ab}$  the total metric variation of anything natural?

**Answer:** Yes, the Q-curvature, according to Graham-Hirachi, math.DG/0405068. In fact, for the  $(-n)$ -density version  $\mathbf{Q}$  of the Q-curv.,

$$\left(\int \mathbf{Q}\right)^{\bullet} = \int h^{ab} \mathcal{O}_{ab} dv_g.$$

This is sensible at least when  $h$  has compact support.

**Background:** Beckner's [Ann. M. 1993] generalization, from  $S^2$  to  $S^n$ , of the celebrated Moser-Trudinger inequality, says that with normalized measure on the sphere (and taking  $n$  even for simplicity),

$$\log \int_{S^n} e^{n(\omega - \bar{\omega})} \leq \frac{n}{2(n-1)!} \int_{S^n} \omega P \omega,$$

where

$$P = \Delta \{ \Delta + n - 2 \} \{ \Delta + 2(n - 3) \} \cdot \\ \cdot \{ \Delta + 3(n - 4) \} \cdots \left\{ \Delta + \frac{n}{2} \left( \frac{n}{2} - 1 \right) \right\}.$$

Equality holds **iff** there is a diffeomorphism  $h$  of  $S^n$  for which  $h^* g_{\text{round}} = e^{2\omega} g_{\text{round}}$ .

**Remark:** See [Branson, JFA 1987] for an early sighting of the operator  $P$ .

**Remark:** (2D) Moser-Trudinger is

$$\log \int_{S^2} e^{2(\omega - \bar{\omega})} \leq \int_{S^2} \omega \Delta \omega.$$

But in higher dim., note that  $P$  is more delicate than just  $\Delta^{n/2}$ . Closely related inequalities figure in de Branges' resolution of the Bieberbach conjecture (the Lebedev-Mihlin inequality), and Perelman's work on the Poincaré conjecture (Gross' logarithmic Sobolev inequality).

These are sharp endpoint derivatives of borderline Sobolev imbeddings, or duals of such.

**Question:** Is there an expression of Beckner's inequality that just involves some local invariant? Something like the soln. of the Yamabe problem, which realizes the Sobolev imbedding  $L_1^2 \hookrightarrow L^{2n/(n-2)}$  as the problem of minimizing  $\int K$  over volume 1 metrics?

**Answer:** For vol. 1 metrics  $\hat{g} = e^{2\omega}g$ , where  $g = g_{\text{round}}$ ,

$$0 \leq \int_{S^n} \omega(\hat{\mathbf{Q}} + \mathbf{Q}).$$

**Remark:** The borderline Sob. imbeddings are  $L_r^2 \hookrightarrow L^{2n/(n-2r)}$ , and the Beckner-MT edge of the borderline is  $L_{n/2}^2 \hookrightarrow e^L$ .

**Remark:** This gives a glimpse of an interesting 2-metric functional on a conformal class,

$$\mathcal{Q}(\hat{g}, g) = \frac{1}{2} \int \omega(\hat{\mathbf{Q}} + \mathbf{Q}).$$

This is alternating, and satisfies the cocycle condition

$$\boxed{\mathcal{Q}(\hat{\hat{g}}, g) = \mathcal{Q}(\hat{\hat{g}}, \hat{g}) + \mathcal{Q}(\hat{g}, g)}$$

for iterated conformal changes. Here  $\hat{\hat{g}} = e^{2\eta}\hat{g}$ ,  $\hat{g} = e^{2\omega}g$ , where  $\omega$  and  $\eta$  are smooth functions.

Indeed,

$$\begin{aligned}
& \mathcal{Q}(\widehat{g}, \widehat{g}) + \mathcal{Q}(\widehat{g}, g) = \\
& \frac{1}{2} \int \eta(\widehat{\widehat{\mathbf{Q}}} + \widehat{\mathbf{Q}}) + \frac{1}{2} \int \omega(\widehat{\mathbf{Q}} + \mathbf{Q}) = \\
& \frac{1}{2} \int \eta \underbrace{(2\widehat{\mathbf{Q}} + \widehat{\mathbf{P}}\eta)}_{2(\mathbf{Q} + \mathbf{P}\omega) + \mathbf{P}\eta} + \frac{1}{2} \int \omega(2\mathbf{Q} + \mathbf{P}\omega) = \\
& \frac{1}{2} \int (\omega + \eta)(2\mathbf{Q} + \mathbf{P}(\omega + \eta)) = \\
& \mathcal{Q}(\widehat{g}, g).
\end{aligned}$$

The underbrace step used conformal invariance,  $\widehat{\mathbf{P}} = \mathbf{P}$ . The last step used the formal self-adjointness of  $\mathbf{P}$  to equate

$$2 \int \eta \mathbf{P}\omega \quad \text{and} \quad \int \eta \mathbf{P}\omega + \int \omega \mathbf{P}\eta.$$

$\mathbf{Q}(g_1, g_2)$  is a cocycle whose variation (in  $g_1$ , in the  $\omega$  direction) is  $\int \omega \mathbf{Q}_1$ .

**Background:** It's known that there are Polyakov formulas expressing functional determinant quotients within a conformal class as differential polynomials in the conformal factor.

For example, let  $Y$  be the conformal Laplacian; then

$$-\log \frac{\det \hat{Y}}{\det Y} = \int_M \omega \operatorname{polyn}(\underbrace{\nabla \cdots \nabla \omega}_{\geq 1}, \nabla \cdots \nabla R) \\ + (\text{global term})$$

in even dims., for  $\hat{g} = e^{2\omega}g$ . The global term vanishes if  $\mathcal{N}(Y) = 0$  (a conformally invt. property); otherwise it records the variation of the global inner product on the null space.



Similarly with  $Y$  replaced by anything with decent elliptic and conformal behavior. This includes detour torsion quantities developed in recent joint work with Rod Gover, generalizing Cheeger's half-torsion.

**Question:** Can the RHS above be expressed more invariantly?

**Answer:** In low even dims. (2,4,6), and conjecturally in all even dims., for  $A$  a power of a conformally covariant operator with suitable positive ellipticity properties,

$$-\log \frac{\det \hat{A}}{\det A} = c \int_M \omega(\hat{\mathbf{Q}} + \mathbf{Q}) + \int_M (\hat{\mathbf{F}} - \mathbf{F}) \\ + (\text{global term})$$

for some (universal) constant  $c$ , where  $\mathbf{F}$  is some density-valued local invt. (which vary depending on what  $A$  is).

Formulas like this (together with the link between these cocycles and sharp inequalities mentioned above) make possible an attack on the extremal problem for the determinant (or torsion) as in [Onofri, CMP 1982], [Osgood-Phillips-Sarnak, JFA 1988] in 2D; [Branson-Chang-Yang, CMP 1992] and [Chang-Yang, Ann. M. 1995] in 4D; [Branson, Seoul Natl. U. Lec. Notes no. 4, 1993], [Branson, TAMS 1995] in 6D; [Branson-Peterson, in prep.] in 8D.