

# **A Semidefinite Programming Approach to Tensegrity Theory and Realizability of Graphs**

Anthony So

Department of Computer Science

Yinyu Ye

Department of Management Science and Engineering and

by courtesy, Electrical Engineering

Stanford University

Stanford, CA 94305, U.S.A

**In SODA'06**

<http://www.stanford.edu/~yyye>

## Outlines

- Graph Realization Problem

## Outlines

- Graph Realization Problem
- $d$ -Realizable Graphs

## Outlines

- Graph Realization Problem
- $d$ -Realizable Graphs
- SDP Formulation

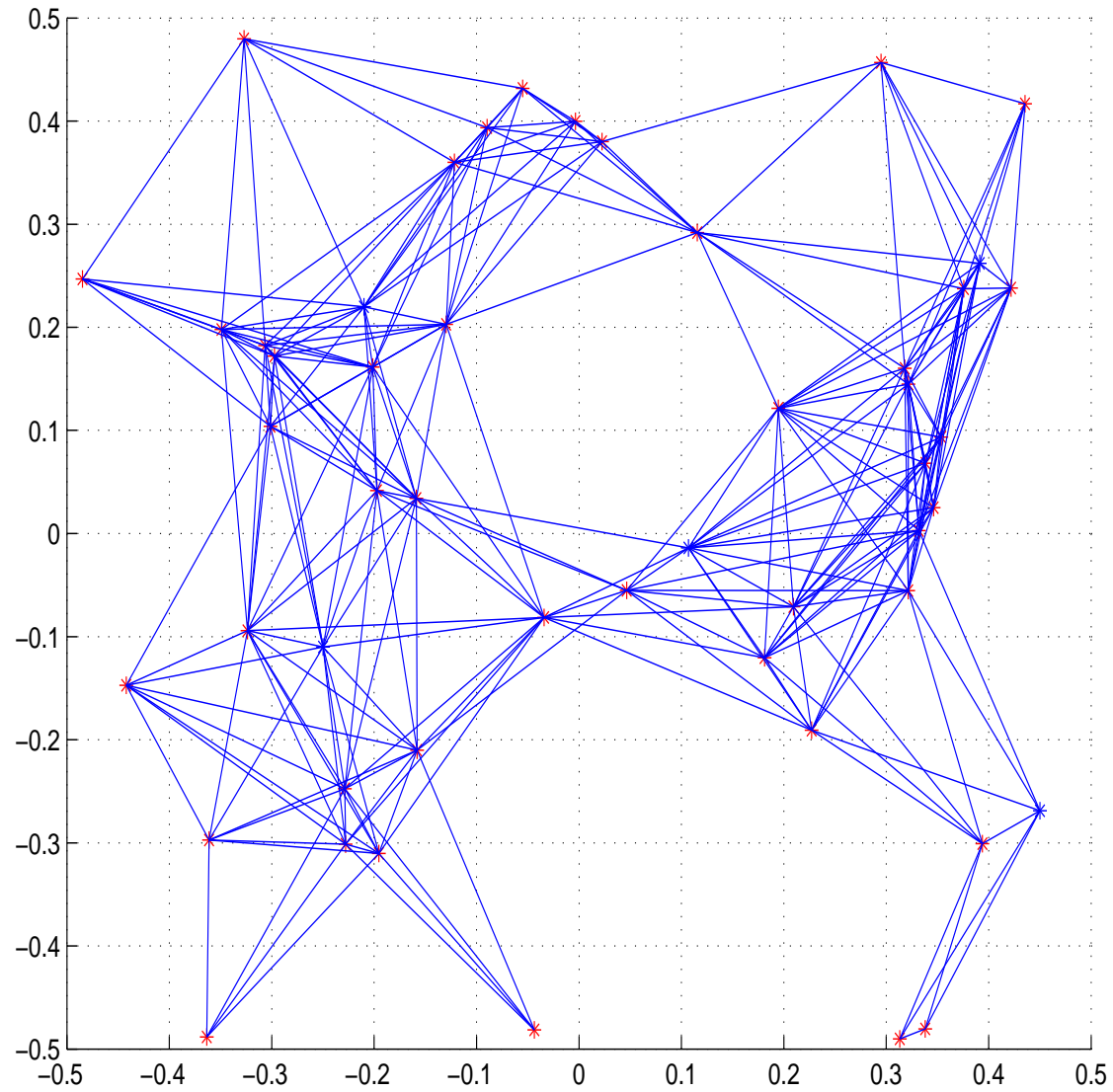
## Outlines

- Graph Realization Problem
- $d$ -Realizable Graphs
- SDP Formulation
- Realization Algorithm

## The Graph Realization Problem

Given a graph  $G = (V, E)$  and a set of non-negative edge weights  $\{d_{ij} : (i, j) \in E\}$ , and the goal is to compute a realization of  $G$  in the Euclidean space  $\mathbf{R}^d$  for a given dimension  $d$ , i.e. to place the vertices of  $G$  in  $\mathbf{R}^d$  such that the Euclidean distance between every pair of adjacent vertices  $v_i, v_j$  equals to the prescribed weight  $d_{ij}$ .

Figure 1: 50-node Graph Realization in 2D



## Applications

- Global Position System (GPS)



## Applications

- Global Position System (GPS)
- Sensor network localization

## Applications

- Global Position System (GPS)
- Sensor network localization
- Molecular conformation

## Applications

- Global Position System (GPS)
- Sensor network localization
- Molecular conformation
- Data dimension reduction

## Applications

- Global Position System (GPS)
- Sensor network localization
- Molecular conformation
- Data dimension reduction
- Euclidean ball parking

## Related Work

- Schoenberg and Young/Householder studied the case where all pairwise distances are given, which formed the basis of various **multidimensional scaling** algorithms.

## Related Work

- Schoenberg and Young/Householder studied the case where all pairwise distances are given, which formed the basis of various **multidimensional scaling** algorithms.
- Barvinok and Alfakih/Wolkowicz used SDP models to show that the problem is solvable in polynomial time if the **dimension** of the realization is not restricted. Moreover, they have given **bounds** on the dimension needed to realize the given distances.

## Related Work

- Schoenberg and Young/Householder studied the case where all pairwise distances are given, which formed the basis of various **multidimensional scaling** algorithms.
- Barvinok and Alfakih/Wolkowicz used SDP models to show that the problem is solvable in polynomial time if the **dimension** of the realization is not restricted. Moreover, they have given **bounds** on the dimension needed to realize the given distances.
- However, if we require the realization to be in  $\mathbf{R}^d$  for some **fixed**  $d$ , then the problem becomes **NP-complete** (Aspnes, Goldenberg, and Yang 2004).

## Related Work

- Schoenberg and Young/Householder studied the case where all pairwise distances are given, which formed the basis of various **multidimensional scaling** algorithms.
- Barvinok and Alfakih/Wolkowicz used SDP models to show that the problem is solvable in polynomial time if the **dimension** of the realization is not restricted. Moreover, they have given **bounds** on the dimension needed to realize the given distances.
- However, if we require the realization to be in  $\mathbf{R}^d$  for some **fixed**  $d$ , then the problem becomes **NP-complete** (Aspnes, Goldenberg, and Yang 2004).
- Identify **families of graph instances** that admit polynomial time algorithms for computing a realization in the **required dimension** (Biswas, So, Toh, and Ye 2004-2005; SODA'05, ACM, IEEE).



## $d$ -Realizable Graphs

A graph is  $d$ -realizable if it can **always** be realized in  $\mathbf{R}^d$  **whenever** it is realizable (the edge weights are Euclidean metric).

## $d$ -Realizable Graphs

A graph is  $d$ -realizable if it can **always** be realized in  $\mathbf{R}^d$  **whenever** it is realizable (the edge weights are Euclidean metric).

- Connelly and Sloughter have recently given a complete **characterization** of the class of  $d$ -realizable graphs, where  $d = 1, 2, 3$

## $d$ -Realizable Graphs

A graph is  $d$ -realizable if it can **always** be realized in  $\mathbf{R}^d$  **whenever** it is realizable (the edge weights are Euclidean metric).

- Connelly and Sloughter have recently given a complete **characterization** of the class of  $d$ -realizable graphs, where  $d = 1, 2, 3$
- It is trivial to find a realization of an  $1$ -realizable graph, since a graph is  $1$ -realizable iff it is a **forest**.

## $d$ -Realizable Graphs

A graph is  $d$ -realizable if it can **always** be realized in  $\mathbf{R}^d$  **whenever** it is realizable (the edge weights are Euclidean metric).

- Connelly and Sloughter have recently given a complete **characterization** of the class of  $d$ -realizable graphs, where  $d = 1, 2, 3$
- It is trivial to find a realization of an  $1$ -realizable graph, since a graph is  $1$ -realizable iff it is a **forest**.
- A polynomial time algorithm for realizing  $2$ -realizable graphs exists: **trilateralization**.

## $d$ -Realizable Graphs

A graph is  $d$ -realizable if it can **always** be realized in  $\mathbf{R}^d$  **whenever** it is realizable (the edge weights are Euclidean metric).

- Connelly and Sloughter have recently given a complete **characterization** of the class of  $d$ -realizable graphs, where  $d = 1, 2, 3$
- It is trivial to find a realization of an  $1$ -realizable graph, since a graph is  $1$ -realizable iff it is a **forest**.
- A polynomial time algorithm for realizing  $2$ -realizable graphs exists: **trilateralization**.
- Finding a corresponding algorithm for  $3$ -realizable graphs is posed as an **open question**.

### 3-realizable graph I

A graph is 3-realizable iff it does not contain  $K_5$  or  $K_{2,2,2}$  as a minor (Connelly and Sloughter 2004).

Figure 2: K-5

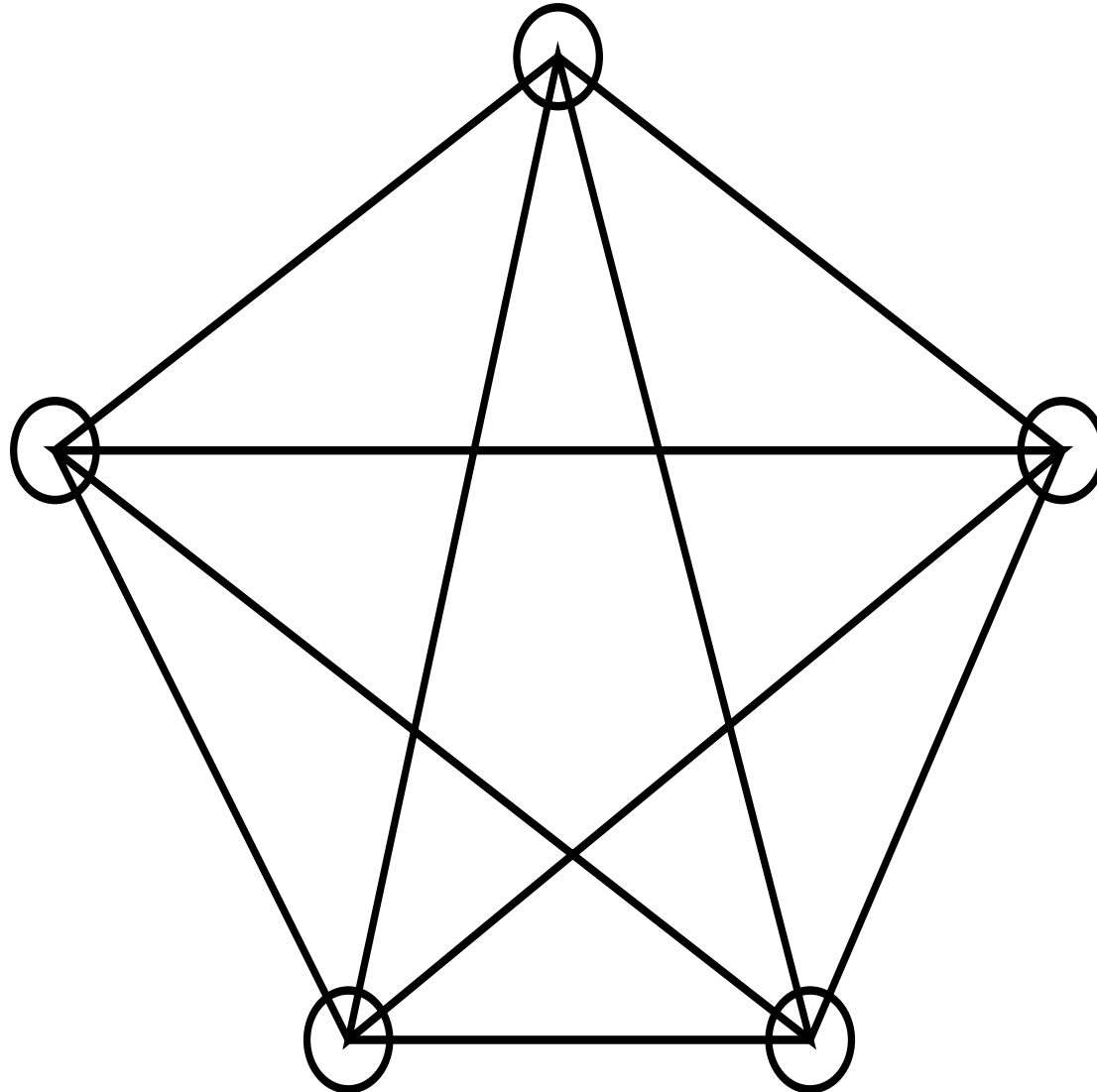
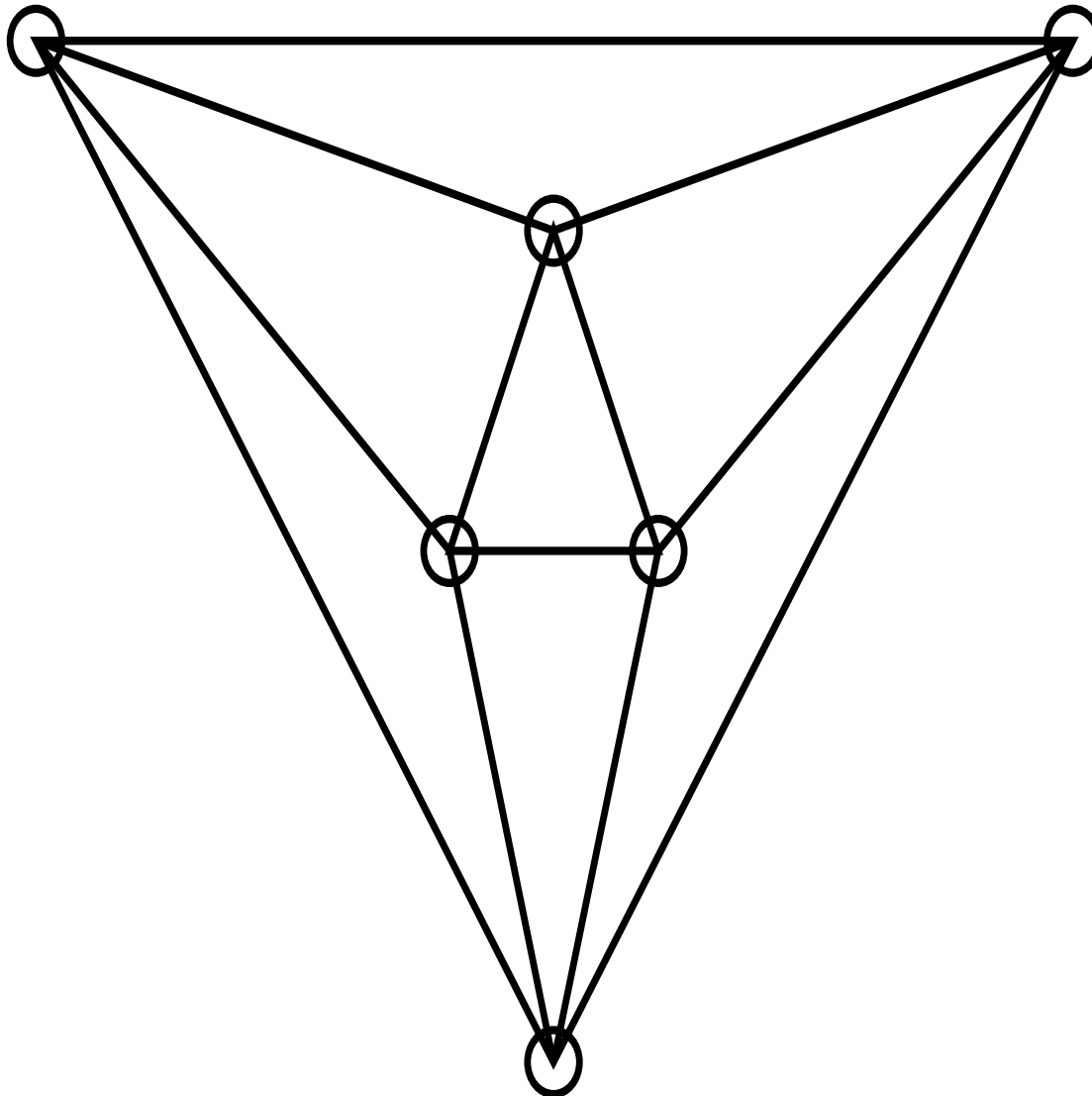


Figure 3: K-2-2-2





## 3-realizable graph II

Then, it either

- contains an  $V_8$  or an  $C_5 \times C_2$  as a **minor**

Figure 4: V-8

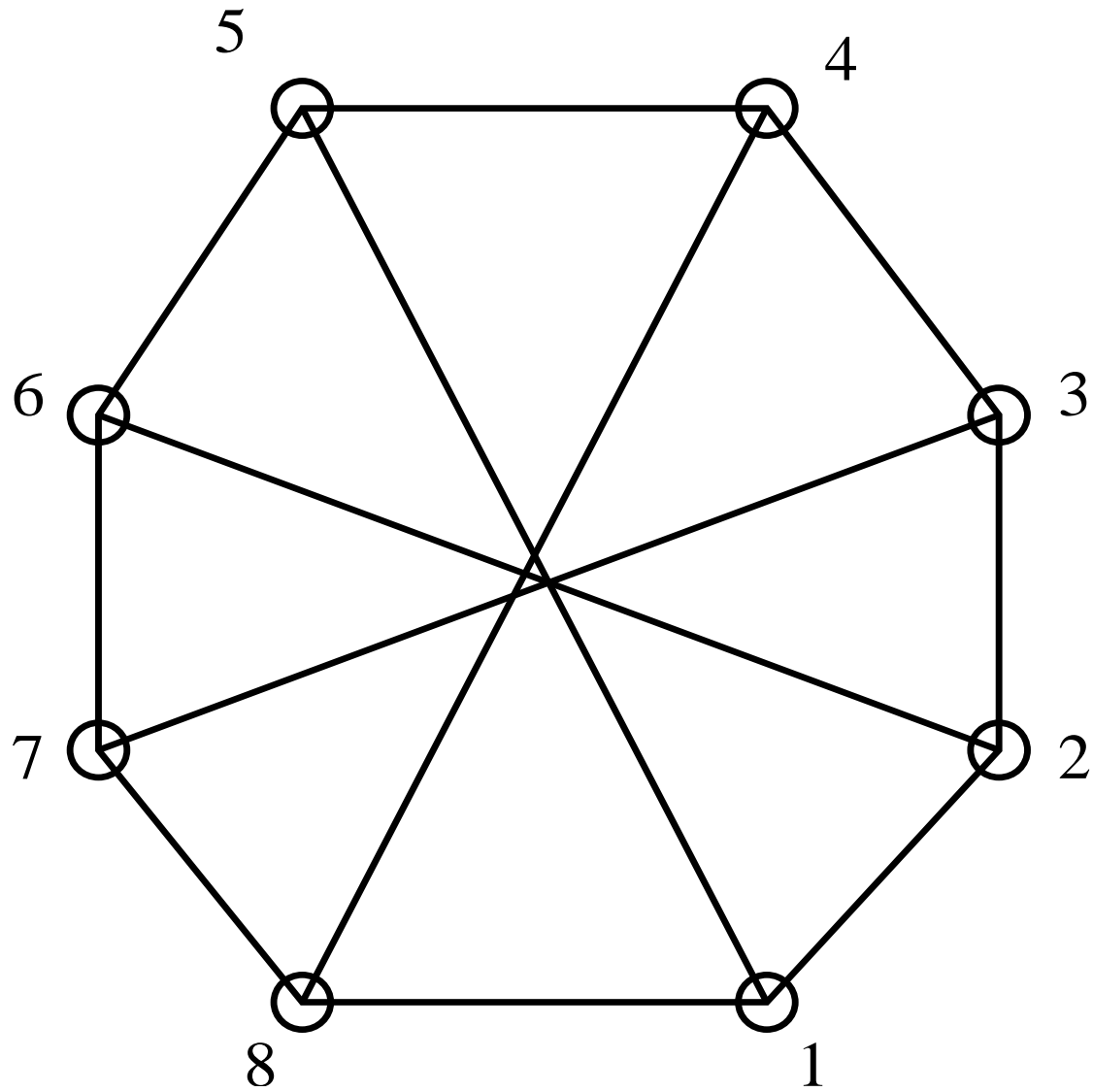
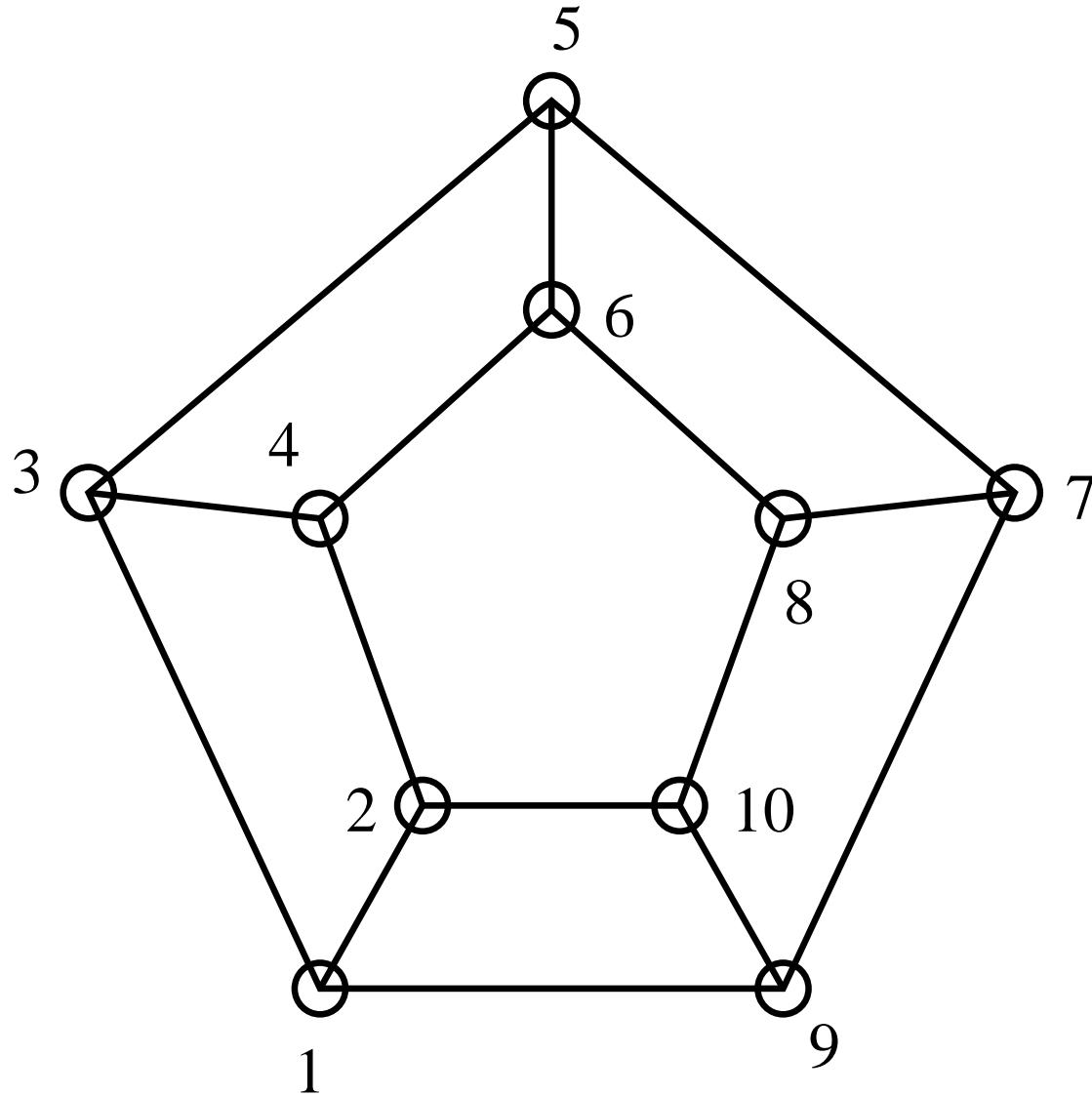


Figure 5:  $C_5 \times C_2$ 

## 3-realizable graph II

Then, it either

- contains an  $V_8$  or an  $C_5 \times C_2$  as a **minor**
- or does not contain either graphs as a minor.

## 3-realizable graph II

Then, it either

- contains an  $V_8$  or an  $C_5 \times C_2$  as a **minor**
- or does not contain either graphs as a minor.

If it is the latter,  $G$  is a **partial 3-tree**.

An  **$k$ -tree** is defined recursively as follows. The complete graph on  $k$  vertices is an  $k$ -tree. An  $k$ -tree with  $n + 1$  vertices (where  $n \geq k$ ) can be constructed from an  $k$ -tree with  $n$  vertices by adding a vertex adjacent to all vertices of one of its  $k$ -vertex complete subgraphs, and only to those vertices.

A partial  $k$ -tree is a **subgraph** of an  $k$ -tree.

## Our Contributions

We resolve the above **open question** by giving a polynomial time algorithm for (approximately) realizing **3-realizable graphs**.

## Our Contributions

We resolve the above **open question** by giving a polynomial time algorithm for (approximately) realizing **3-realizable graphs**.

The main bottleneck in the proof is to show that two graphs,  $V_8$  and  $C_5 \times C_2$ , are **3-realizable**.

## Our Contributions

We resolve the above **open question** by giving a polynomial time algorithm for (approximately) realizing **3-realizable graphs**.

The main bottleneck in the proof is to show that two graphs,  $V_8$  and  $C_5 \times C_2$ , are **3-realizable**.

There exists a realization  $\mathbf{p}$  of  $H \in \{V_8, C_5 \times C_2\}$  such that the distance between a certain pair of non-adjacent vertices  $(i, j)$  is **maximized**. Such a realization induces a **non-zero equilibrium stress** on the graph  $H'$  obtained from  $H$  by adding the edge  $(i, j)$ . Then use this equilibrium force to prove that  $H'$  must be in  $\mathbf{R}^3$ .



## Our Contributions

We resolve the above **open question** by giving a polynomial time algorithm for (approximately) realizing **3-realizable graphs**.

The main bottleneck in the proof is to show that two graphs,  $V_8$  and  $C_5 \times C_2$ , are **3-realizable**.

There exists a realization  $\mathbf{p}$  of  $H \in \{V_8, C_5 \times C_2\}$  such that the distance between a certain pair of non-adjacent vertices  $(i, j)$  is **maximized**. Such a realization induces a **non-zero equilibrium stress** on the graph  $H'$  obtained from  $H$  by adding the edge  $(i, j)$ . Then use this equilibrium force to prove that  $H'$  must be in  $\mathbf{R}^3$ .

Our main result is to show that the problem of computing the desired  $\mathbf{p}$  can be formulated as an **SDP**. More interesting is that the **optimal dual multipliers** of our SDP give rise to a **non-zero equilibrium stress**.

## Preliminaries

Let  $G = (V, E; \mathbf{d})$  be a weighted **connected graph** that contains neither loops nor multiple edges, such that  $d_{ij} \geq 0$  for all  $(i, j) \in E$ .

## Preliminaries

Let  $G = (V, E; \mathbf{d})$  be a weighted **connected graph** that contains neither loops nor multiple edges, such that  $d_{ij} \geq 0$  for all  $(i, j) \in E$ .

A **tensegrity**  $G(\mathbf{p})$  is a graph  $G = (V, E)$  together with a configuration  $\mathbf{p} = (p_i) \in \mathbf{R}^D \times \cdots \times \mathbf{R}^D = \mathbf{R}^{|V|D}$  such that each edge is labelled as a **cable, strut, or bar**, and each vertex is labelled as **pinned or unpinned**.  $G(\mathbf{p})$  is the realization of  $G$  in  $\mathbf{R}^D$  obtained by locating vertex  $i$  at point  $p_i \in \mathbf{R}^D$ .

## Preliminaries

Let  $G = (V, E; \mathbf{d})$  be a weighted **connected graph** that contains neither loops nor multiple edges, such that  $d_{ij} \geq 0$  for all  $(i, j) \in E$ .

A **tensegrity**  $G(\mathbf{p})$  is a graph  $G = (V, E)$  together with a configuration  $\mathbf{p} = (p_i) \in \mathbf{R}^D \times \dots \times \mathbf{R}^D = \mathbf{R}^{|V|D}$  such that each edge is labelled as a **cable, strut, or bar**, and each vertex is labelled as **pinned or unpinned**.  $G(\mathbf{p})$  is the realization of  $G$  in  $\mathbf{R}^D$  obtained by locating vertex  $i$  at point  $p_i \in \mathbf{R}^D$ .

The label on each edge is intended to indicate its **functionality**: cables (resp. struts) are allowed to decrease (resp. increase) in length (or stay the same length), but not to increase (resp. decrease) in length; bars are forced to remain the same length.

A pinned vertex is forced to remain where it is.

## Equilibrium Stress

An **equilibrium stress** for  $G(\mathbf{p})$  is an assignment of real numbers  $\omega_{ij} = \omega_{ji}$  to each edge  $(i, j) \in E$  such that for each **unpinned vertex**  $i$  of  $G$ , we have  $\sum_{j:(i,j) \in E} \omega_{ij}(p_i - p_j) = \mathbf{0}$ . Furthermore, we say that the equilibrium stress  $\omega = \{\omega_{ij}\}$  is **proper** if  $\omega_{ij} = \omega_{ji} \geq 0$  (resp.  $\leq 0$ ) if  $(i, j)$  is a cable (resp. strut).

## A Semidefinite Programming (SDP) Formulation

Consider a simple model with  $C$  (or  $S$ ) is a set of cables (or strut):

$$\begin{aligned} \max \quad & \sum_{(i,j) \in S} \|x_i - x_j\|^2 - \sum_{(i,j) \in C} \|x_i - x_j\|^2 \\ \text{s.t.} \quad & \|x_i - x_j\|^2 = d_{ij}^2, \quad \forall (i,j) \in N_x, i < j, \\ & \|a_k - x_j\|^2 = d_{kj}^2, \quad \forall (k,j) \in N_a. \end{aligned}$$

## Matrix Representation

Let  $X = [x_1 \ x_2 \ \dots \ x_n]$  be the  $d \times n$  matrix that needs to be determined. Then

$$\|x_i - x_j\|^2 = e_{ij}^T X^T X e_{ij} \text{ and } \|a_k - x_j\|^2 = (a_k; e_j)^T [I \ X]^T [I \ X] (a_k; e_j),$$

where  $e_{ij}$  is the vector with  $1$  at the  $i$ th position,  $-1$  at the  $j$ th position and zero everywhere else; and  $e_j$  is the vector of all zero except  $-1$  at the  $j$ th position.

## Matrix Representation

Let  $X = [x_1 \ x_2 \ \dots \ x_n]$  be the  $d \times n$  matrix that needs to be determined. Then

$$\|x_i - x_j\|^2 = e_{ij}^T X^T X e_{ij} \text{ and } \|a_k - x_j\|^2 = (a_k; e_j)^T [I \ X]^T [I \ X] (a_k; e_j),$$

where  $e_{ij}$  is the vector with  $1$  at the  $i$ th position,  $-1$  at the  $j$ th position and zero everywhere else; and  $e_j$  is the vector of all zero except  $-1$  at the  $j$ th position.

$$\begin{aligned} \max \quad & \sum_{(i,j) \in S} e_{ij}^T Y e_{ij} - \sum_{(i,j) \in C} e_{ij}^T Y e_{ij} \\ \text{s.t.} \quad & e_{ij}^T Y e_{ij} = d_{ij}^2, \forall i, j \in N_x, \forall (i, j) \in N_x, i < j, \\ & (a_k; e_j)^T \begin{pmatrix} I & X \\ X^T & Y \end{pmatrix} (a_k; e_j) = d_{kj}^2, \forall k, j \in N_a, \\ & Y = X^T X. \end{aligned}$$

where  $Y$  denotes the **Gram matrix**  $X^T X$ .



## SDP Relaxation

Change

$$Y = X^T X$$

to

$$Y \succeq X^T X.$$

This matrix inequality is equivalent to (e.g., Boyd et al. 1994)

$$\begin{pmatrix} I & X \\ X^T & Y \end{pmatrix} \succeq 0.$$

## SDP standard form

$$Z = \begin{pmatrix} I & X \\ X^T & Y \end{pmatrix}.$$

Find a symmetric matrix  $Z \in \mathbf{R}^{(2+n) \times (2+n)}$  such that

$$\sup \left( \sum_{(i,j) \in S} (\mathbf{0}; e_{ij})(\mathbf{0}; e_{ij})^T - \sum_{(i,j) \in C} (\mathbf{0}; e_{ij})(\mathbf{0}; e_{ij})^T \right) \bullet Z$$

$$\text{s.t. } Z_{1:d,1:d} = I$$

$$(\mathbf{0}; e_{ij})(\mathbf{0}; e_{ij})^T \bullet Z = d_{ij}^2, \quad \forall i, j \in N_x, \quad i < j,$$

$$(a_k; e_j)(a_k; e_j)^T \bullet Z = d_{kj}^2, \quad \forall k, j \in N_a,$$

$$Z \succeq 0.$$

## The Dual of the SDP Relaxation

$$\begin{aligned}
 \text{inf} \quad & I \bullet V + \sum_{i < j \in N_x} w_{ij} d_{ij}^2 + \sum_{k, j \in N_a} w_{kj} d_{kj}^2 \\
 \text{s.t.} \quad & \begin{pmatrix} V & \mathbf{0} \\ \mathbf{0} & \mathbf{0} \end{pmatrix} + \sum_{i < j \in N_x} w_{ij} (\mathbf{0}; e_{ij})(\mathbf{0}; e_{ij})^T \\
 & + \sum_{k, j \in N_a} w_{kj} (a_k; e_j)(a_k; e_j)^T \succeq \\
 & \sum_{(i, j) \in S} (\mathbf{0}; e_{ij})(\mathbf{0}; e_{ij})^T - \sum_{(i, j) \in C} (\mathbf{0}; e_{ij})(\mathbf{0}; e_{ij})^T,
 \end{aligned}$$

where variable matrix  $V \in \mathcal{M}^d$ , variable  $w_{ij}$  is the weight on edge from  $x_i$  to  $x_j$ , and  $w_{kj}$  is the weight on edge from  $a_k$  to  $x_j$ . As we shall see, the optimal  $w_{ij}$  are closely related to an equilibrium stress for a certain realization of  $G$ .

## Analysis of the SDP Formulation

**Theorem 1.** Let  $\tilde{X} = [\tilde{x}_1, \dots, \tilde{x}_n]$  be the positions of the unpinned vertices obtained from the optimal primal matrix  $\bar{Z}$ , and let  $\{\bar{\theta}_{ij}, \bar{w}_{kj}\}$  be a set of optimal dual multipliers. Suppose that we assign the stress  $\bar{\theta}_{ij}$  (resp.  $\bar{w}_{kj}$ ) to the bar  $(i, j) \in N_x$  (resp.  $(k, j) \in N_a$ ), a stress of  $1$  to all the cables, and a stress of  $-1$  to all the struts. Then, the resulting assignment yields a **non-zero proper equilibrium stress** for the realization  $\{(a_1; \mathbf{0}), \dots, (a_m; \mathbf{0}), \tilde{x}_1, \dots, \tilde{x}_n\}$ .

**Proof:** The primal is feasible and the dual is strictly feasible. Let  $\bar{Z}$  (resp.  $\bar{U}$ ) be the optimal primal (resp. dual) solution matrix. Then, the absence of a duality gap implies **complementarity**:

$$\bar{Z}\bar{U} = \mathbf{0}.$$

## Algorithm Tasks

1. Realizing a partial 3-tree;

## Algorithm Tasks

1. Realizing a partial  $\mathfrak{3}$ -tree;
2. finding a subdivision of  $V_8$  or  $C_5 \times C_2$  in an  $\mathfrak{3}$ -realizable graph;

## Algorithm Tasks

1. Realizing a partial  $\mathfrak{3}$ -tree;
2. finding a subdivision of  $V_8$  or  $C_5 \times C_2$  in an  $\mathfrak{3}$ -realizable graph;
3. realizing an  $V_8$  and its subdivisions;

## Algorithm Tasks

1. Realizing a partial  $\mathfrak{3}$ -tree;
2. finding a subdivision of  $V_8$  or  $C_5 \times C_2$  in an  $\mathfrak{3}$ -realizable graph;
3. realizing an  $V_8$  and its subdivisions;
4. realizing an  $C_5 \times C_2$  and its subdivisions.



## 1: Realizing Partial 3-Trees

Suppose that we are given a 3-tree  $G$  with feasible edge lengths, and that  $G$  is constructed by adding the vertices  $v_1, v_2, \dots, v_n$ , in that order. Then, to find a realization of  $G$  in  $\mathbf{R}^3$  can be done in **linear time**. A partial 3-tree can be completed into a 3-tree by solving an SDP.

## 2: Finding a Subdivision of $V_8$ or $C_5 \times C_2$

Let  $G$  be an  $\mathfrak{3}$ -realizable graph. We now show how the algorithm of Matoušek and Thomas can be used to obtain a subgraph of  $G$  that is a subdivision of  $V_8$  or  $C_5 \times C_2$ . We shall also use the term “homeomorphic” for subdivision – a graph  $H_1$  is homeomorphic to  $H_2$  if  $H_1$  is a subdivision of  $H_2$ .

1. (Asano) For an  $\mathfrak{3}$ -connected graph  $H$ , a graph  $H'$  has a subgraph homeomorphic to  $H$  iff there is an  $\mathfrak{3}$ -connected component of  $H'$  that has a subgraph homeomorphic to  $H$ .
2. (Connelly and Slougher) If an edge is added between a non-adjacent pair of vertices of  $V_8$  (resp.  $C_5 \times C_2$ ), then the resulting graph has  $K_5$  (resp.  $K_5$  or  $K_{2,2,2}$ ) as a minor.
3. (Connelly and Slougher) Let  $G$  be an  $\mathfrak{3}$ -realizable graph. Suppose that  $G$  contains a subdivision of  $H$ , where  $H \in \{V_8, C_5 \times C_2\}$ . Remove the subdivision of  $H$  from  $G$  and consider the components of the resulting graph.

Then, each component is connected in  $G$  to exactly one of the subdivided edges of  $H$ .

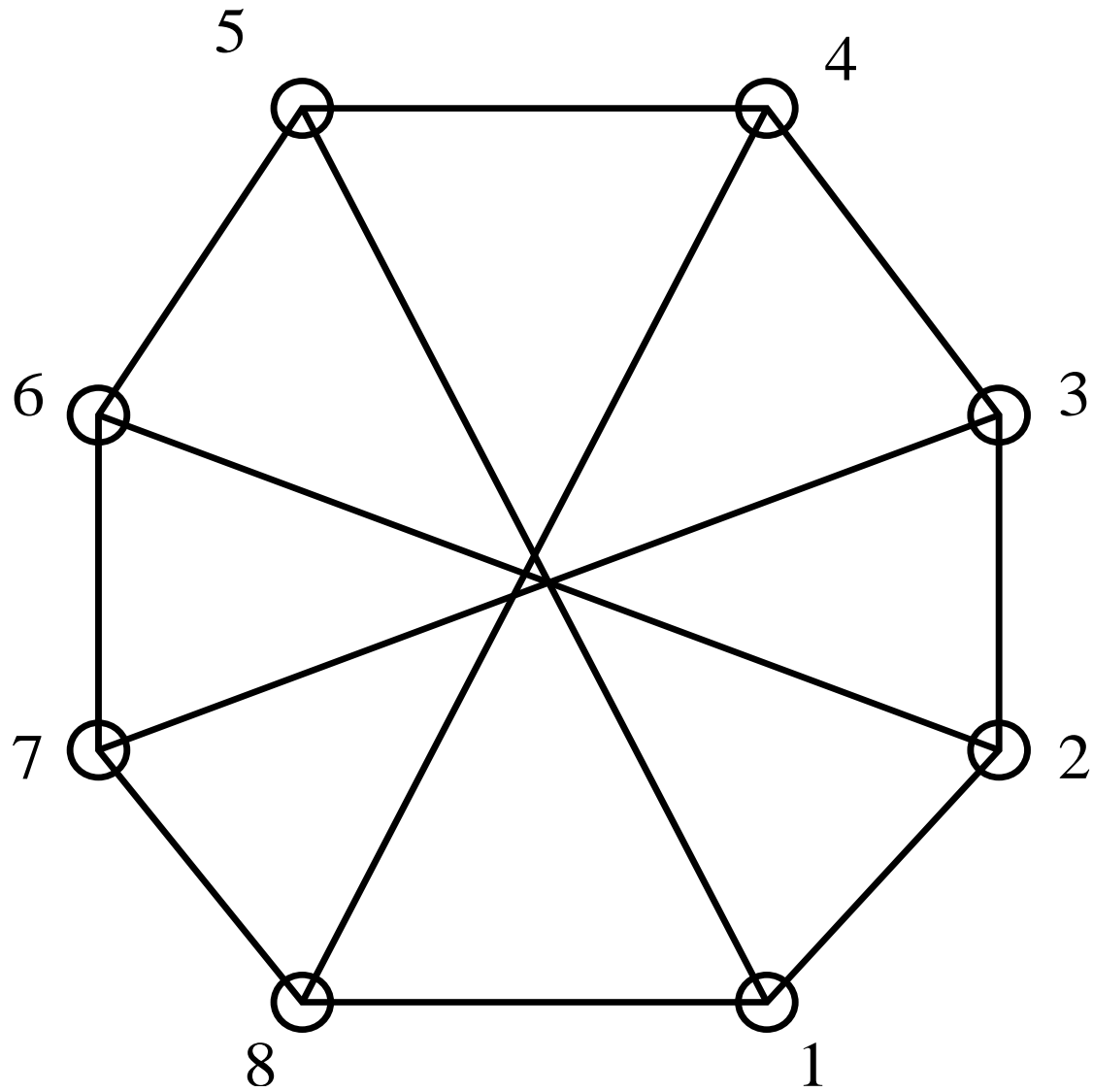
**Theorem 2.** *Let  $G$  be an  $\mathfrak{3}$ -realizable graph containing a subgraph homeomorphic to  $H \in \{V_8, C_5 \times C_2\}$ . Then, one of the **triconnected components** of  $G$  is isomorphic to  $H$ .*

**Algorithm:** First, decompose  $G$  into triconnected components. Then, we check each of the triconnected components for the presence or absence of  $V_8$  or  $C_5 \times C_2$ . For this we can run the algorithm on each of those components and see if the component reduces to a **null graph** or not. If the component does not reduce to a null graph, then it is isomorphic to either  $V_8$  or  $C_5 \times C_2$ , and the number of vertices in the component will determine which one it is. The desired subdivision can then be extracted from  $G$ .

**Proposition 1.** *Let  $G$  be an  $\mathfrak{3}$ -realizable graph with  $n$  vertices. Then, a subdivision of  $V_8$  or  $C_5 \times C_2$  in  $G$  can be found in  $O(n)$  time.*



Figure 6: V-8



## 4: Realizing $C_5 \times C_2$ and its Subdivisions

The graph  $C_5 \times C_2$  is 3-realizable. We first augment  $C_5 \times C_2$  to  $G$  by adding a **strut** between vertices **1** and **6**, and we **pin vertex 1** at the **origin**.

## Putting Everystep Together

**Theorem 3.** *There is a polynomial time algorithm for (approximately) realizing  $\mathfrak{3}$ -realizable graphs.*

## Conclusion

- We have studied a connection between SDP and **tensegrity theories**, as well as the notion of  **$d$ -realizability of graphs**.



## Conclusion

- We have studied a connection between SDP and **tensegrity theories**, as well as the notion of  **$d$ -realizability of graphs**.
- We have shown that the problem of finding an **equilibrium stress** can be formulated as an SDP. This gives a constructive proof of (a variant of) a result in tensegrity theory that is previously established by **non-constructive means**.

## Conclusion

- We have studied a connection between SDP and **tensegrity theories**, as well as the notion of  **$d$ -realizability of graphs**.
- We have shown that the problem of finding an **equilibrium stress** can be formulated as an SDP. This gives a constructive proof of (a variant of) a result in tensegrity theory that is previously established by **non-constructive means**.
- We then combine this result with other techniques to design an algorithm for realizing  **$3$ -realizable graphs**, thus answering an **open question** posed before.

## Conclusion

- We have studied a connection between SDP and **tensegrity theories**, as well as the notion of  **$d$ -realizability of graphs**.
- We have shown that the problem of finding an **equilibrium stress** can be formulated as an SDP. This gives a constructive proof of (a variant of) a result in tensegrity theory that is previously established by **non-constructive means**.
- We then combine this result with other techniques to design an algorithm for realizing **3-realizable graphs**, thus answering an **open question** posed before.
- We believe that our techniques can be applied to derive some other interesting properties of **tensegrity frameworks**.