# Some Computable Structure Theory of Finitely Generated Structures

Matthew Harrison-Trainor

University of Waterloo

Workshop on Computable Structures and Reverse Mathematics 2017 in celebration of the work of Rod Downey

# Happy birthday Rod!

#### Outline

The main question:

Which classes of finitely generated structures contain complicated structures?

The particular focus will be on groups.

#### General outline:

- Descriptions (Scott sentences) of finitely generated structures, and in particular groups, among countable structures.
- A notion of universality using computable functors (or equivalently effective interpretations).
- Descriptions (quasi Scott sentences) of finitely generated structures among finitely generated structures.

# Scott Sentences of Finitely Generated Structures

#### Infinitary Logic

 $\mathcal{L}_{\omega_1\omega}$  is the infinitary logic which allows countably infinite conjunctions and disjunctions.

There is a hierarchy of  $\mathcal{L}_{\omega_1\omega}$ -formulas based on their quantifier complexity after putting them in normal form. Formulas are classified as either  $\Sigma_{\alpha}^0$  or  $\Pi_{\alpha}^0$ , for  $\alpha < \omega_1$ .

- A formula is  $\Sigma_0^0$  and  $\Pi_0^0$  is it is finitary quantifier-free.
- A formula is  $\Sigma_{\alpha}^{0}$  if it is a disjunction of formulas  $(\exists \bar{y})\varphi(\bar{x},\bar{y})$  where  $\varphi$  is  $\Pi_{\beta}^{0}$  for  $\beta < \alpha$ .
- A formula is  $\Pi^0_{\alpha}$  if it is a conjunction of formulas  $(\forall \bar{y})\varphi(\bar{x},\bar{y})$  where  $\varphi$  is  $\Sigma^0_{\beta}$  for  $\beta < \alpha$ .

#### **Examples of Infinitary Formulas**

#### Example

There is a  $\Pi_2^0$  sentence which describes the class of torsion groups. It consists of the group axioms together with:

$$(\forall x) \bigvee_{n \in \mathbb{N}} nx = 0.$$

#### Example

There is a  $\Sigma^0_1$  formula which describes the dependence relation on triples x,y,z in a  $\mathbb{Q}$ -vector space:

$$\bigvee_{(a,b,c)\in\mathbb{Q}^3\smallsetminus\{(0,0,0)\}}ax+by+cz=0$$

#### Examples of Infinitary Formulas

#### Example

There is a  $\Sigma_3^0$  sentence which says that a  $\mathbb{Q}$ -vector space has finite dimension:

$$\bigvee_{x_1} (\exists x_1, \dots, x_n) (\forall y) \ y \in \operatorname{span}(x_1, \dots, x_n).$$

#### Example

There is a  $\Pi_3^0$  sentence which says that a  $\mathbb{Q}$ -vector space has infinite dimension:

$$\bigwedge_{n\in\mathbb{N}}(\exists x_1,\ldots,x_n) \operatorname{Indep}(x_1,\ldots,x_n).$$

#### Scott Sentences

Let  $\mathcal{A}$  be a countable structure.

#### Theorem (Scott)

There is an  $\mathcal{L}_{\omega_1\omega}$ -sentence  $\varphi$  such that:

$$\mathcal{B}$$
 countable,  $\mathcal{B} \vDash \varphi \iff \mathcal{B} \cong \mathcal{A}$ .

 $\varphi$  is a Scott sentence of A.

#### Example

 $(\omega,<)$  has a  $\Pi_3^0$  Scott sentence consisting of the  $\Pi_2^0$  axioms for infinite linear orders together with:

$$\forall y_0 \bigvee_{n \in \omega} \exists y_n < \cdots < y_1 < y_0 \left[ \forall z \ (z > y_0) \lor (z = y_0) \lor (z = y_1) \lor \cdots \lor (z = y_n) \right].$$

#### Scott Rank

Let  $\mathcal{A}$  be a countable structure.

#### Definition (Montalbán)

SR(A) is the least ordinal  $\alpha$  such that A has a  $\Pi_{\alpha+1}^0$  Scott sentence.

#### Theorem (Montalbán)

Let  $\alpha$  a countable ordinal. The following are equivalent:

- $\mathcal{A}$  has a  $\Pi_{\alpha+1}^0$  Scott sentence.
- ullet Every automorphism orbit in  ${\mathcal A}$  is  $\Sigma^0_lpha$ -definable without parameters.
- $\mathcal{A}$  is uniformly (boldface)  $\mathbf{\Delta}_{\alpha}^{0}$ -categorical without parameters.

# An Upper Bound on the Complexity of Finitely Generated Structures

#### Theorem (Knight-Saraph)

Every finitely generated structure has a  $\Sigma^0_3$  Scott sentence.

Often there is a simpler Scott sentence.

#### A Scott Sentence for the Integers

#### Example

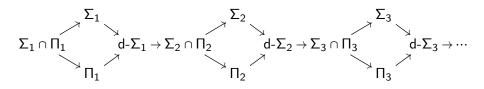
A Scott sentence for the group  $\mathbb{Z}$  consists of:

- the axioms for torsion-free abelian groups,
- for any two elements, there is an element which generates both,
- there is a non-zero element with no proper divisors:

$$(\exists g \neq 0) \bigwedge_{h=0}^{\infty} (\forall h) [nh \neq g].$$

#### $d-\Sigma_2^0$ Sentences

 $\varphi$  is d- $\Sigma_2^0$  if it is a conjunction of a  $\Sigma_2^0$  formula and a  $\Pi_2^0$  formula.



#### Theorem (Miller)

Let  $\mathcal A$  be a countable structure. If  $\mathcal A$  has a  $\Sigma^0_3$  Scott sentence, and also has a  $\Pi^0_3$  Scott sentence, then  $\mathcal A$  has a d- $\Sigma^0_2$  Scott sentence.

#### A Scott Sentence for the Integers

#### Example

A Scott sentence for the group  $\mathbb Z$  consists of:

- the axioms for torsion-free abelian groups,
- for any two elements, there is an element which generates both,
- there is a non-zero element with no proper divisors:

$$(\exists g \neq 0) \bigwedge_{n>2} (\forall h) [nh \neq g].$$

This is a d- $\Sigma_2^0$  Scott sentence.

#### A Scott Sentence for the Free Group

#### Example (CHKLMMMQW)

A Scott sentence for the free group  $\mathbb{F}_2$  on two elements consists of:

- the group axioms,
- every finite set of elements is generated by a 2-tuple,
- there is a 2-tuple  $\bar{x}$  with no non-trivial relations such that for every 2-tuple  $\bar{y}$ ,  $\bar{x}$  cannot be expressed as an "imprimitive" tuple of words in  $\bar{y}$ .

A pair u, v of words is primitive if whenever  $\bar{x}$  is a basis for  $\mathbb{F}_2$ ,  $u(\bar{x}), v(\bar{x})$  is also a basis for  $\mathbb{F}_2$ .

This is a d- $\Sigma_2^0$  Scott sentence.

#### d- $\Sigma_2^0$ Scott Sentences for Many Groups

#### Theorem (Knight-Saraph, CHKLMMMQW, Ho)

The following groups all have d- $\Sigma_2^0$  Scott sentences:

- abelian groups,
- free groups,
- nilpotent groups,
- polycyclic groups,
- lamplighter groups,
- Baumslag-Solitar groups BS(1, n).

#### Question

Does every finitely generated group have a d- $\Sigma_2^0$  Scott sentence?

#### Characterizing the Structures with d- $\Sigma_2^0$ Scott Sentences

The first step is to understand when a finitely generated structure has a d- $\Sigma^0_2$  Scott sentence.

#### Theorem (A. Miller, HT-Ho, Alvir-Knight-McCoy)

Let A be a finitely generated structure. The following are equivalent:

- A has a  $\Pi_3^0$  Scott sentence.
- A has a d- $\Sigma_2^0$  Scott sentence.
- A is the only model of its  $\Sigma_2^0$  theory.
- some generating tuple of A is defined by a  $\Pi_1^0$  formula.
- every generating tuple of A is defined by a  $\Pi_1^0$  formula.
- A does not contain a copy of itself as a proper  $\Sigma_1^0$ -elementary substructure.

#### Proof, First Direction

Suppose that  $\mathcal A$  does not contain a copy of itself as a proper  $\Sigma^0_1$ -elementary substructure.

Let p be the  $\forall$ -type of a generating tuple for  $\mathcal{A}$ .

We can write down a d- $\Sigma_2^0$  Scott sentence for A:

- there is a tuple  $\bar{x}$  satisfying p, and
- for all tuples  $\bar{x}$  satisfying p and for all y, y is in the substructure generated by  $\bar{x}$ .

#### Proof, Second Direction

Now suppose that  $\mathcal A$  does contain a copy of itself as a proper  $\Sigma^0_1$ -elementary substructure.

Take the union of the chain

$$\mathcal{A} \prec_{\Sigma_1^0} \mathcal{A} \prec_{\Sigma_1^0} \mathcal{A} \prec_{\Sigma_1^0} \cdots \prec_{\Sigma_1^0} \mathcal{A}^*.$$

Then  $\mathcal{A}^*$  has the same  $\Sigma_2^0$  theory as  $\mathcal{A}$ , but is not finitely generated.

In particular,  $\mathcal{A}$  does not have a d- $\Sigma_2^0$  Scott sentence.

#### A Complicated Group

#### Theorem (HT-Ho)

There is a computable finitely generated group G which does not have a d- $\Sigma_2^0$  Scott sentence.

The construction of  ${\it G}$  uses small cancellation theory and HNN extensions.

#### Theorem (HT-Ho)

There is a computable finitely generated ring  $\mathbb{Z}[G]$  which does not have a d- $\Sigma_2^0$  Scott sentence.

This is just the group ring of the previous group.

#### No Complicated Fields

#### Theorem (HT-Ho)

Every finitely generated field has a d- $\Sigma_2^0$  Scott sentence.

#### Proof sketch:

Suppose that E is a proper  $\Sigma^0_1$ -elementary substructure of F, with E isomorphic to F.

Then E and F have the same transcendence degree.

So F/E is an algebraic extension.

Then the atomic type of the generators of F over E is isolated, and so cannot be realized in E.

#### **Open Questions**

#### Question

Does every finitely presented group have a d- $\Sigma_2^0$  Scott sentence?

#### Question

Does every commutative ring have a d- $\Sigma_2^0$  Scott sentence?

#### Question

Does every integral domain have a d- $\Sigma_2^0$  Scott sentence?

Computable Functors, Effective Interpretations, and Universality

#### Computable Structure Theory

Our structures are all countable structures with domain  $\omega$ .

A structure is computable if its domain is computable, and its functions and relations are computable as functions  $\omega^n \to \omega$  and as subsets of  $\omega^n$  respectively.

Two computable copies of the same structure are isomorphic, but they are not necessarily computably isomorphic.

#### Computable Dimension

#### **Definition**

The computable dimension of a computable structure  ${\cal A}$  is the number of computable copies up to computable isomorphism.

### Theorem (Goncharov; Goncharov and Dzgoev; Metakides and Nerode; Nurtazin; LaRoche; Remmel)

All structures in each of the following classes have computable dimension 1 or  $\omega$ :

- algebraically closed fields,
- real closed fields,
- torsion-free abelian groups,
- linear orderings,
- Boolean algebras.

#### Finite Computable Dimension > 1

#### Theorem (Goncharov)

For each n > 0 there is a computable structure with computable dimension n.

# Theorem (Goncharov; Goncharov, Molokov, and Romanovskii; Kudinov)

For each n > 0 there are structures with computable dimension n in each of the following classes:

- graphs,
- lattices,
- partial orderings,
- 2-step nilpotent groups,
- integral domains.

Hirschfeldt, Khoussainov, Shore, and Slinko in *Degree Spectra and Computable Dimensions in Algebraic Structures*, 2000:

Whenever a structure with a particularly interesting computabilitytheoretic property is found, it is natural to ask whether similar examples can be found within well-known classes of algebraic structures, such as groups, rings, lattices, and so forth. Hirschfeldt, Khoussainov, Shore, and Slinko in *Degree Spectra and Computable Dimensions in Algebraic Structures*, 2000:

Whenever a structure with a particularly interesting computabilitytheoretic property is found, it is natural to ask whether similar examples can be found within well-known classes of algebraic structures, such as groups, rings, lattices, and so forth. One way to give positive answers to this question is to adapt the original proof to the new setting. Hirschfeldt, Khoussainov, Shore, and Slinko in *Degree Spectra and Computable Dimensions in Algebraic Structures*, 2000:

Whenever a structure with a particularly interesting computability-theoretic property is found, it is natural to ask whether similar examples can be found within well-known classes of algebraic structures, such as groups, rings, lattices, and so forth. One way to give positive answers to this question is to adapt the original proof to the new setting. However, this can be an unnecessary duplication of effort, and lacks generality. Another method is to code the original structure into a structure in the given class in a way that is effective enough to preserve the property in which we are

interested

Hirschfeldt, Khoussainov, Shore, and Slinko in *Degree Spectra and Computable Dimensions in Algebraic Structures*, 2000, continued:

The codings we present are general enough to be viewed as establishing that the theories mentioned above are computably complete in the sense that, for a wide range of computability-theoretic nonstructure type properties, if there are any examples of structures with such properties then there are such examples that are models of each of these theories.

#### Theorem (Hirschfeldt, Khoussainov, Shore, Slinko)

#### Each of the classes

- undirected graphs,
- partial orderings,
- lattices,
- integral domains,
- commutative semigroups, and
- 2-step nilpotent groups.

- degree spectra of nontrivial structures,
- effective dimensions,
- degree spectra of relations,

#### Theorem (Hirschfeldt, Khoussainov, Shore, Slinko)

#### Each of the classes

- undirected graphs,
- partial orderings,
- lattices,
- integral domains,
- commutative semigroups, and
- 2-step nilpotent groups.

- degree spectra of nontrivial structures,
- effective dimensions,
- degree spectra of relations,
- degrees of categoricity,

#### Theorem (Hirschfeldt, Khoussainov, Shore, Slinko)

#### Each of the classes

- undirected graphs,
- partial orderings,
- lattices,
- integral domains,
- commutative semigroups, and
- 2-step nilpotent groups.

- degree spectra of nontrivial structures,
- effective dimensions,
- degree spectra of relations,
- degrees of categoricity,
- Scott ranks,

#### Theorem (Hirschfeldt, Khoussainov, Shore, Slinko)

#### Each of the classes

- undirected graphs,
  - partial orderings,
  - lattices,
  - integral domains,
  - commutative semigroups, and
  - 2-step nilpotent groups.

- degree spectra of nontrivial structures,
  - effective dimensions,
  - degree spectra of relations,
  - degrees of categoricity,
  - Scott ranks,

#### A Better Definition of Universality

The problem: We can always add more properties to this list.

#### A Better Definition of Universality

**The problem**: We can always add more properties to this list.

**Solution one** (Miller, Poonen, Schoutens, Shlapentokh): Use computable category theory.

Theorem (Miller, Poonen, Schoutens, Shlapentokh)

There is a computable equivalence of categories between graph and fields.

#### A Better Definition of Universality

The problem: We can always add more properties to this list.

**Solution one** (Miller, Poonen, Schoutens, Shlapentokh): Use computable category theory.

#### Theorem (Miller, Poonen, Schoutens, Shlapentokh)

There is a computable equivalence of categories between graph and fields.

Solution two (Montalbán): Use effective bi-interpretations.

#### Theorem (Montalbán)

If  $\mathcal A$  and  $\mathcal B$  are bi-interpretable, then they are essentially the same from the point of view of computable structure theory. In particular, the complexity of their optimal Scott sentences are the same.

# A Better Definition of Universality

The problem: We can always add more properties to this list.

**Solution one** (Miller, Poonen, Schoutens, Shlapentokh): Use computable category theory.

# Theorem (Miller, Poonen, Schoutens, Shlapentokh)

There is a computable equivalence of categories between graph and fields.

Solution two (Montalbán): Use effective bi-interpretations.

# Theorem (Montalbán)

If  $\mathcal A$  and  $\mathcal B$  are bi-interpretable, then they are essentially the same from the point of view of computable structure theory. In particular, the complexity of their optimal Scott sentences are the same.

These two solutions are equivalent.

# Effective Interpretations

Let  $A = (A; P_0^A, P_1^A, ...)$  where  $P_i^A \subseteq A^{a(i)}$ .

#### **Definition**

 $\mathcal{A}$  is effectively interpretable in  $\mathcal{B}$  if there exist a uniformly computable  $\Delta^0_1$ -definable relations  $(\mathcal{D}om_A^{\mathcal{B}}, \sim, R_0, R_1, ...)$  such that

- (1)  $\mathcal{D}om_{\Lambda}^{\mathcal{B}} \subseteq \mathcal{B}^{<\omega}$ ,
- (2) ~ is an equivalence relation on  $\mathcal{D}om_A^{\mathcal{B}}$ ,
- (3)  $R_i \subseteq (B^{<\omega})^{a(i)}$  is closed under  $\sim$  within  $\mathcal{D}om_{\mathcal{A}}^{\mathcal{B}}$ ,

and a function  $f_{\mathcal{A}}^{\mathcal{B}}: \mathcal{D}om_{\mathcal{A}}^{\mathcal{B}} \to \mathcal{A}$  which induces an isomorphism:

$$(\mathcal{D}om_{\mathcal{A}}^{\mathcal{B}}/\sim; R_0/\sim, R_1/\sim, ...) \cong (A; P_0^{\mathcal{A}}, P_1^{\mathcal{A}}, ...).$$

# Computable Functors

#### Definition

Iso( $\mathcal{A}$ ) is the category of copies of  $\mathcal{A}$  with domain  $\omega$ . The morphisms are isomorphisms between copies of  $\mathcal{A}$ .

Recall: a functor F from Iso(A) to Iso(B)

- (1) assigns to each copy  $\widehat{\mathcal{A}}$  in Iso(A) a structure  $F(\widehat{\mathcal{A}})$  in  $Iso(\mathcal{B})$ ,
- (2) assigns to each isomorphism  $f: \widehat{\mathcal{A}} \to \widetilde{\mathcal{A}}$  in  $Iso(\mathcal{A})$  an isomorphism  $F(f): F(\widehat{\mathcal{A}}) \to F(\widetilde{\mathcal{A}})$  in  $Iso(\mathcal{B})$ .

#### **Definition**

F is computable if there are computable operators  $\Phi$  and  $\Phi_*$  such that

- (1) for every  $\widehat{A} \in Iso(A)$ ,  $\Phi^{D(\widehat{A})}$  is the atomic diagram of F(A),
- (2) for every isomorphism  $f: \widehat{\mathcal{A}} \to \widetilde{\mathcal{A}}$ ,  $F(f) = \Phi^{D(\widehat{\mathcal{A}}) \oplus f \oplus D(\widetilde{\mathcal{A}})}_*$ .

# Equivalence

An effective interpretation of  $\mathcal A$  in  $\mathcal B$  induces a computable functor from  $\mathcal B$  to  $\mathcal A.$ 

# Theorem (HT-Melnikov-Miller-Montalbán)

Effective interpretations of A in B are in correspondence with computable functors from B to A.

By "in correspondence" we mean that every computable functor is effectively isomorphic to one induced by an effective interpretation.

Let  $F, G: Iso(\mathcal{B}) \to Iso(\mathcal{A})$  be computable functors.

#### **Definition**



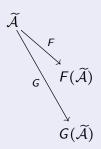
Let  $F, G: Iso(\mathcal{B}) \to Iso(\mathcal{A})$  be computable functors.

#### **Definition**



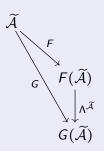
Let  $F, G: Iso(\mathcal{B}) \to Iso(\mathcal{A})$  be computable functors.

#### **Definition**



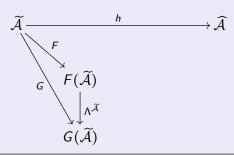
Let  $F, G: Iso(\mathcal{B}) \to Iso(\mathcal{A})$  be computable functors.

#### **Definition**



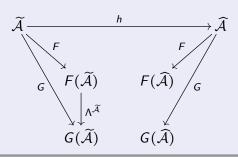
Let  $F, G: Iso(\mathcal{B}) \to Iso(\mathcal{A})$  be computable functors.

#### **Definition**



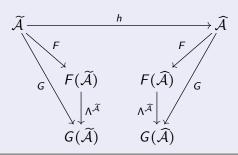
Let  $F, G: Iso(\mathcal{B}) \to Iso(\mathcal{A})$  be computable functors.

#### Definition



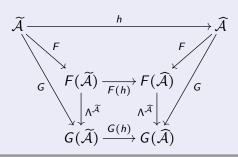
Let  $F, G: Iso(\mathcal{B}) \to Iso(\mathcal{A})$  be computable functors.

#### **Definition**



Let  $F, G: Iso(\mathcal{B}) \to Iso(\mathcal{A})$  be computable functors.

#### **Definition**



# Effective Bi-Interpretations

#### Definition

 $\mathcal{A}$  and  $\mathcal{B}$  are effectively bi-interpretable if there are effective interpretations of each in the other, and  $\Delta^0_1$ -definable isomorphisms  $\mathcal{D}om_{\mathcal{A}}^{(\mathcal{D}om_{\mathcal{B}}^{\mathcal{A}})} \to \mathcal{A}$  and  $\mathcal{D}om_{\mathcal{B}}^{(\mathcal{D}om_{\mathcal{A}}^{\mathcal{B}})} \to \mathcal{B}$ 

$$\mathcal{B}$$
 UI  $\mathcal{A} \longrightarrow \mathcal{D}\mathit{om}_{\mathcal{A}}^{\mathcal{B}}$ 

# Effective Bi-Interpretations

#### **Definition**

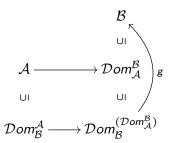
 $\mathcal A$  and  $\mathcal B$  are effectively bi-interpretable if there are effective interpretations of each in the other, and  $\Delta^0_1$ -definable isomorphisms  $\mathcal D\mathit{om}_{\mathcal A}^{(\mathcal D\mathit{om}_{\mathcal B}^{\mathcal A})} \to \mathcal A$  and  $\mathcal D\mathit{om}_{\mathcal B}^{(\mathcal D\mathit{om}_{\mathcal A}^{\mathcal B})} \to \mathcal B$ .

$$\begin{array}{ccc} \mathcal{B} & & & & \\ & & \cup_{\mathsf{I}} & & \\ \mathcal{A} & & \longrightarrow \mathcal{D}om_{\mathcal{A}}^{\mathcal{B}} & & \\ & \cup_{\mathsf{I}} & & \cup_{\mathsf{I}} & \\ \mathcal{D}om_{\mathcal{B}}^{\mathcal{A}} & \longrightarrow \mathcal{D}om_{\mathcal{B}}^{(\mathcal{D}om_{\mathcal{A}}^{\mathcal{B}})} \end{array}$$

# Effective Bi-Interpretations

#### **Definition**

 $\mathcal{A}$  and  $\mathcal{B}$  are effectively bi-interpretable if there are effective interpretations of each in the other, and  $\Delta^0_1$ -definable isomorphisms  $\mathcal{D}om_{\mathcal{A}}^{(\mathcal{D}om_{\mathcal{B}}^{\mathcal{A}})} \to \mathcal{A}$  and  $\mathcal{D}om_{\mathcal{B}}^{(\mathcal{D}om_{\mathcal{A}}^{\mathcal{B}})} \to \mathcal{B}$ .



# Computable Bi-transformations

#### **Definition**

A computable equivalence of categories between  $\mathcal{A}$  and  $\mathcal{B}$  consists of computable functors  $F: \mathsf{Iso}(\mathcal{A}) \to \mathsf{Iso}(\mathcal{B})$  and  $G: \mathsf{Iso}(\mathcal{B}) \to \mathsf{Iso}(\mathcal{A})$  such that both  $F \circ G: \mathsf{Iso}(\mathcal{B}) \to \mathsf{Iso}(\mathcal{B})$  and  $G \circ F: \mathsf{Iso}(\mathcal{A}) \to \mathsf{Iso}(\mathcal{A})$  are effectively isomorphic to the identity functor.

So if  $\widehat{\mathcal{B}}$  is a copy of  $\mathcal{B}$ , then  $F(G(\widehat{\mathcal{B}})) \cong \widehat{\mathcal{B}}$  and the isomorphism can be computed uniformly in  $\widehat{\mathcal{B}}$ .

# Equivalence

### Theorem (HT-Melnikov-Miller-Montalbán)

Effective bi-interpretations between A and B are in correspondence with effective equivalences of categories between A and B.

# Theorem (HT-Miller-Montalbán)

Non-effective bi-interpretations between  $\mathcal A$  and  $\mathcal B$  are in correspondence with Borel equivalences of categories between  $\mathcal A$  and  $\mathcal B$  and with continuous isomorphisms between the automorphism groups of  $\mathcal A$  and  $\mathcal B$ .

# A Definition of Universality

#### Definition

A class  $\mathcal C$  of structures is universal if each structure  $\mathcal A$  is uniformly effectively bi-interpretable with a structure in  $\mathcal C$ .

#### **Theorem**

Each of the following classes is universal:

- undirected graphs,
- partial orderings,
- lattices, and
- fields,

and, after naming finitely many constants,

- integral domains,
- commutative semigroups, and
- 2-step nilpotent groups.

# Classes Which Are Not Universal

#### Theorem

Each of the following classes is not universal:

- algebraically closed fields,
- real closed fields,
- abelian groups,
- linear orderings,
- Boolean algebras.

Proof: In these classes, the computable dimension can only be 1 or  $\omega$ .

# Universality for Finitely Generated Structures

What about for finitely generated structures? These are never going to be universal. So we have to restrict our attention to finitely generated structures.

#### **Definition**

Let  $\mathcal C$  be a class of finitely-generated structures.  $\mathcal C$  is universal among finitely generated structures if every finitely generated structure is uniformly effectively bi-interpretable with one in  $\mathcal C$ .

#### Example

Fields are not universal among finitely generated structures.

# Finitely Generated Groups Are Universal

# Theorem (HT-Ho)

Finitely generated groups are universal among finitely generated structures (after naming three constants).

Moreover, the orbits of the constants are  $\Sigma_1^0$  definable.

So now, instead of constructing a finitely generated group with some property, we can construct a finitely generated structure in whatever language we like.

#### Scott Sentences and Constants

Recall that two structures which are bi-interpretable have Scott sentences of the same complexity.

#### Proposition

Let  $\mathcal A$  be a countable structure and  $\bar c \in \mathcal A$ . If  $\mathcal A$  has a  $\Sigma^0_\alpha$  (respectively  $\Pi^0_\alpha$ ,  $d\text{-}\Sigma^0_\alpha$ ) Scott sentence, then so does  $(\mathcal A,\bar c)$ .

#### Proposition

Let A be a countable structure and  $\bar{c} \in A$ .

- If  $(A, \bar{c})$  has a  $\Sigma_{\alpha}^{0}$  Scott sentence, then so does A.
- Suppose that the orbit of  $\bar{c}$  is defined by a  $\Sigma^0_{\beta}$  formula for some  $\beta < \alpha$ . If  $(\mathcal{A}, \bar{c})$  has a  $\Pi^0_{\alpha}$  (respectively d- $\Sigma^0_{\alpha}$ ) Scott sentence, then so does  $\mathcal{A}$ .

Fields are not universal among finitely-generated structures, even adding finitely many constants, because every finitely generated field has a d- $\Sigma_2^0$  Scott sentence.

# Quasi Scott Sentences

# Quasi Scott Sentences

When we constructed a group with no d- $\Sigma^0_2$  Scott sentence before, we used an infinitely generated group with the same  $\Sigma^0_2$  theory. What happens if we ask for a description of a finitely generated group within the class of finitely generated groups?

#### Definition

We say that a sentence  $\varphi$  is a quasi Scott sentence for a finitely generated structure  $\mathcal A$  if  $\mathcal A$  is the unique finitely generated structure satisfying  $\varphi$ .

# Fact (HT-Ho)

Each finitely generated structure has a  $\Pi_3^0$  quasi Scott sentence.

Let p be the atomic type of a generating tuple of A. The  $\Pi_3^0$  quasi Scott sentence for A says that every tuple is generated by a tuple of type p.

# Quasi Scott Sentences, Constants, and Bi-interpretations

First, we show that we can consider arbitrary finitely generated structures instead just groups.

#### Proposition

Let  $\mathcal{A}$  be a countable structure and  $\bar{c} \in \mathcal{A}$ . Suppose that the orbit of  $\bar{c}$  is defined by a  $\Sigma^0_1$  formula  $\psi(\bar{x})$ . Then  $\mathcal{A}$  has a  $\Sigma^0_2$  (respectively  $\Pi^0_2$ , d- $\Sigma^0_2$ ) quasi Scott sentence if and only if  $(\mathcal{A}, \bar{c})$  does.

#### **Proposition**

Suppose that A and B are effectively bi-interpretable (plus a little bit more). Then A has a d- $\Sigma_2^0$  quasi Scott sentence if and only if B does.

#### A Partial Characterization

When does a finitely generated structure have a simpler description? It turns out that things are more complicated than they were before.

# Theorem (HT-Ho)

Let A be a finitely generated structure. The following are equivalent:

- The  $\Sigma^0_2$  theory of  ${\mathcal A}$  has more than one finitely generated model.
- There is a finitely generated structure  $\mathcal B$  not isomorphic to  $\mathcal A$  such that  $\mathcal A <_{\sum_i^0} \mathcal B$  and  $\mathcal B <_{\sum_i^0} \mathcal A$ .

The  $\Sigma_2^0$  theory of  $\mathcal{A}$  contains both the  $\Sigma_2^0$  and the  $\Pi_2^0$  sentences true of  $\mathcal{A}$ .

# A Complicated Structure

# Theorem (HT-Ho)

There is a finitely generated structure whose  $\Sigma_2^0$  theory has more than one finitely generated model. This structure has no d- $\Sigma_2^0$  quasi Scott sentence.

In particular, it is possible to have both a  $\Sigma_3^0$  and a  $\Pi_3^0$  quasi Scott sentence without having a d- $\Sigma_2^0$  quasi Scott sentence.

# Corollary (HT-Ho)

There is a finitely generated group whose  $\Sigma_2^0$  theory has more than one finitely generated model. This group has no d- $\Sigma_2^0$  quasi Scott sentence.

# $d-\Sigma_2^0$ Quasi Scott Sentences

We do not have a complete characterization of structures with d- $\Sigma^0_2$  quasi Scott sentences.

One can still often write one down by hand.

# Simple Quasi Scott Sentence But No Simple Scott Sentence

# Theorem (HT-Ho)

There is a finitely generated structure which has no d- $\Sigma_2^0$  Scott sentence, but has a d- $\Sigma_2^0$  quasi Scott sentence.

We build a finitely generated structure which is a  $\Sigma_1^0$ -elementary substructure of itself, but not of any other finitely generated structure.

# Corollary (HT-Ho)

There is a finitely generated group which has no d- $\Sigma_2^0$  Scott sentence, but has a d- $\Sigma_2^0$  quasi Scott sentence.

# **Open Questions**

The main question here that we are still working on is:

#### Question

Characterize the finitely generated structures which are the only finitely-generated models of their  $\Sigma^0_2$  theory, but which do not have a d- $\Sigma^0_2$  quasi Scott sentence?

#### Question

Are there any such models?

# Computability Theory and its Applications University of Waterloo

June 4-8, 2018.

Arrival June 3, departure afternoon of June 8.

Organizers and Program Committee: Barbara Csima (chair); Matthew Harrison-Trainor, Laurent Bienvenu, Peter Cholak.

Public lecture by Antonio Montalbán.

Please contact Barbara Csima if interested.