

# (Higher) direct images

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Let  $\mathcal{X}$  and  $Y$  be complex manifolds and assume that  $\mathcal{X}$  is Kähler. Let  $(L, \phi)$  be a hermitian holomorphic line bundle over  $\mathcal{X}$ , and let  $p : \mathcal{X} \rightarrow Y$  be a smooth proper fibration.

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### Theorem

*Assume  $i\partial\bar{\partial}\phi \geq 0$ . Then there is a holomorphic vector bundle  $E$  over  $Y$  with fibers*

$$E_y = H^{n,0}(X_y, L|_{X_y}).$$

*Give  $E$  the natural  $L^2$ -metric*

$$\|u\|_y^2 = c_n \int u \wedge \bar{u} e^{-\phi_L}$$

*Then  $(E, L^2\text{-metric})$  has positive curvature.*

There is a similar result when  $p$  is non-proper and  $\mathcal{X}$  is Stein, e.g. the natural map

$$p : \mathcal{D} \rightarrow \mathbb{C},$$

where  $\mathcal{D}$  is a pseudoconvex domain in  $\mathbb{C}^{n+1}$ . (cf Hössjer, Maitani-Yamaguchi).

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Now we will discuss explicit formulas for the curvature. First we need the set up: Let  $\Omega$  be the Kähler form on  $\mathcal{X}$ . The most important thing is that  $\omega_y := \Omega|_{X_y} > 0$  for all  $y$ .

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**Definition (Schumacher, Siu):** Let  $V$  be a complex  $(1, 0)$  vector field on  $\mathcal{X}$ .  $V$  is *horizontal* if

$$\Omega(V, \bar{V}') = 0$$

for any vertical field. □

If  $W$  is a field on  $Y$ , we say that  $V$  is a lift of  $W$  if  $dp(V) = W$  everywhere. Lifts always exist.

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If  $V$  is any lift of a holomorphic field  $W$  on  $Y$ , we let  $\kappa_V = \bar{\partial}V|_{X_y} \in \mathcal{Z}^{0,1}(X_y, T^{1,0}(X_y))$ . The cohomology class of  $\kappa$  in  $H^{0,1}(X_y, T^{1,0}(X_y))$  does not depend on the lift.

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$$\kappa \cup u \in \mathcal{Z}^{n-1,1}.$$

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$$\kappa \cup u \in \mathcal{Z}^{n-1,1}.$$

Similarly,  $[\kappa] \cup u \in H^{n-1,1}$ .

## Theorem

(Griffiths) Take  $L = 0$ . Then

$$\langle \Theta_{W, \bar{W}}^E u, u \rangle_Y = \| [\kappa_W \cup u] \|_Y^2.$$

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We next look at the case when  $L$  is not trivial and assume that  $i\partial\bar{\partial}\phi_L > 0$  on each fiber. To simplify the writing we assume the base dimension is 1, and let  $t$  be a local coordinate on the base. Let  $\Omega = i\partial\bar{\partial}\phi$ .

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We then define

$$c(\phi) = \frac{1}{n+1} \frac{\Omega^{n+1}}{\Omega^n \wedge idt \wedge d\bar{t}}.$$



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The function  $c(\phi)$  has an interesting interpretation when  $\mathcal{X} = X \times Y$  is a trivial fibration, and  $L$  is the pullback to  $\mathcal{X}$  of a line bundle on  $X$ . Then all the fibers  $X_y$  are the same, and the line bundles  $L|_{X_y}$  are also all the same. The only thing that changes is the metric  $\phi = \phi_t$ .

When the base is one dimensional, say a domain in  $\mathbb{C}$ , we can think of a metric on  $L$  over  $X$  as a complex curve  $\phi_t$  of metrics on  $L$  over  $X$ . If  $\phi_t$  only depends on the real part of  $t$  it is a real curve.

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Let  $\mathcal{H}_L$  be the space of positively curved metrics on  $L$ . This *Mabuchi space* has a natural Riemannian structure:

$$\|\dot{\phi}\|^2 = \int_X |\dot{\phi}|^2 (i\partial\bar{\partial}\phi)^n / n!$$

If  $c(\phi) = 0$ , then  $\phi_t$  is a geodesic in the Mabuchi space. In general,  $c(\phi)$  is the geodesic curvature of the curve  $t \rightarrow \phi_t$  in the Mabuchi space. (Semmes, Donaldson)

## Theorem

$$\langle \Theta u, u \rangle_Y = c_n \int_{X_Y} c(\phi) u \wedge \bar{u} e^{-\phi} + \langle (1 + \square)^{-1} \kappa_{\partial/\partial t} \cup u, \kappa_{\partial/\partial t} \cup u \rangle_Y \geq \|[\kappa_{\partial/\partial t} \cup u]\|_Y^2.$$

**Example:** Let  $\mathcal{X} = X \times Y$  and let  $L = -K_X > 0$ . ( So  $X$  is Fano.) Then  $H^n(X, -K_X) = \mathbb{C}$  and  $E$  is a trivial bundle with a nontrivial metric. We have a trivializing section, 1. The positivity of  $E$  means that

$$i\partial\bar{\partial}_Y \log \int_X e^{-\phi} \leq 0$$

(a complex Brunn-Minkowski theorem). Let  $\mathcal{E}(\phi)$  be the Aubin-Yau energy, so that

$$(d/dt)\mathcal{E}(\phi_t) = \frac{1}{V} \int_X \dot{\phi}_t (i\partial\bar{\partial}\phi_t)^n / n!.$$

Then

$$D(\phi) := \log \int_{\mathcal{X}} e^{-\phi} + \mathcal{E}(\phi)$$

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It follows that the Ding functional is convex along geodesics, and the explicit formula tells us when it is linear:

### Theorem

*Assume that  $\phi_t$  only depends on  $t$ , and that*

$$\log \int_X e^{-\phi_t}$$

*is linear. Then there is a holomorphic vector field  $V$  on  $X$  with flow  $F_t$  such that  $i\partial\bar{\partial}\phi_t = F_t^*(i\partial\bar{\partial}\phi_0)$ .*



This can be used to prove uniqueness; the (generalized) Bando-Mabuchi theorem.

The Ding functional plays a role in the recent theorem of CDS on existence of KE-metrics.

This leads to a philosophical problem:

Donaldson's program was based on the Mabuchi K-energy, whose derivative is interpreted as a moment map,  $\mu$ , for the symplectic group of  $X$  acting on an infinite dimensional manifold,  $\mathcal{J}$ . The KE-equation was then the equation  $\mu = 0$ .

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He then showed that the Ding functional can also be seen as a moment map, but for a different symplectic structure on  $\mathcal{J}$ :

$\mathcal{J}$  is the space of all complex structures on  $X$  that are biholomorphic with the given one and compatible with a fixed Kähler form on  $X$ ,  $\omega$ . This space can be identified with the space of all diffeomorphisms,  $f$ , such that  $f^*(\omega)$  is  $(1, 1)$  and positive for the given structure.

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If  $f$  lies in  $\mathcal{J}$  and  $g$  is a symplectic map for  $\omega$ , then  $gf$  lies in  $\mathcal{J}$ , so the symplectic group acts on  $\mathcal{J}$ . We get a map from  $\mathcal{J}$  to a space of Kähler forms on  $X$  by  $p(f) = f^*(\omega)$ .

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Any function on the Mabuchi space of Kähler forms,  $F$ , therefore induces a function on  $\mathcal{J}$ ,  $F \circ p$ , which is invariant under the action of the symplectic group on  $\mathcal{J}$ .

This applies to both the K-energy and the Ding functional. Since these functions are convex, they become plurisubharmonic on  $\mathcal{J}$  and define Kähler forms on  $\mathcal{J}$ .

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One can check that

$$\langle (1 + \square)^{-1} \kappa_\phi \cup 1, \kappa_\phi \cup 1 \rangle$$

is the new Kähler form found by Donaldson.



**Example:** The fibration is nontrivial and such that  $K_{X_y} > 0$  for all  $y$  (a canonically polarized family). Take  $L = K_{X/Y}$ , so  $L|_{X_y} = K_{X_y}$  for all  $y$ .

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Then the bundle with fibers

$$H^{n,0}(X_y, K_{X_y})$$

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When  $\phi_L$  is a Kähler-Einstein potential, we get the classical Weil-Peterson metric (Ahlfors, Royden, Wolpert), and our curvature formula reduces to Wolpert's explicit formula for the curvature of the WP-metric.

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Look at a bundle with fibers

$$H^{p,q}(X_y, L|_{X_y}),$$

where  $p + q = n$ .

We assume that  $i\partial\bar{\partial}\phi_L > 0$  or  $i\partial\bar{\partial}\phi_L < 0$  on fibers. Let  $\Omega = \pm i\partial\bar{\partial}\phi_L$ . (And assume the base is onedimensional.)

## Theorem

*If  $l\partial\bar{\partial}\phi_L < 0$  on fibers*

$$\langle \Theta u, u \rangle_y = -\langle (1 + \square)^{-1} \mu_{\perp}, \mu_{\perp} \rangle_y - \langle (1 + \square)^{-1} \xi, \xi \rangle_y - \langle c(\phi)u, u \rangle_y + \|\eta_h\|_y.$$

*If  $i\partial\bar{\partial}\phi_L > 0$  on fibers*

$$\langle \Theta u, u \rangle_y = \langle (1 + \square)^{-1} \eta, \eta \rangle_y + \langle (1 + \square)^{-1} \nu, \nu \rangle_y + \langle c(\phi)u, u \rangle_y - \|\xi_h\|_y.$$

(This formula was found independently with a different method by Ph Naumann.)

Here  $\eta = \kappa \cup u$ ,  $\xi = \bar{\kappa} \cup u$ .

Let us focus on the negative case,  $i\partial\bar{\partial}\phi_L < 0$  on fibers and take  $L = -K_{X/Y}$ . Look first at  $H^{n,0}(X_y, -K_{X_y})$ . This is a trivial line bundle as before, and  $\mu = \xi = 0$ . Let  $u^0 = 1$  be the trivializing section. Here  $\eta = \eta^0 = \kappa \cup u^0$  and the last term can be interpreted as a norm of  $\kappa$ .

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Then look at  $H^{n-1,1}(X_y, -K_{X_y})$  which can be identified with  $H^{0,1}(X_y, T^{1,0}(X_y))$ , the tangent space to Teichmüller space. If the metric is given by a KE-potential our  $L^2$ -norm is the Weil-Peterson norm. In this case our formula coincides with a classical formula of Siu, which was generalized to all  $H^{p,q}$  by Schumacher. It contains  $u^1 := \kappa \cup u^0$ , and our new  $\eta$  is  $\kappa \cup u^1 = (\kappa \cup u^0)^2$ . Continuing in this way we eventually get  $(\kappa \cup u^0)^n$ , whose  $n$ :th root defines a negatively curved Finsler metric (the  $n$ :th  $\eta$  vanishes for bidegree reasons).



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The advantage in allowing other metrics  $\phi$  than KE-potentials comes when we allow singularities in the fibration. Then we construct an ad hoc metric, which allows to continue our metrics over singularities.

Thank you!