# Counting $\ell$ -adic local systems using Arthur's trace formula

# Hongjie Yu (IST Austria) thesis supervised by P.-H. Chaudouard

15 January 2019

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### Arithmetic ↔ Geometry

- $X_0$  projective, smooth, and geometrically connected curve, defined over  $\mathbb{F}_q$ .
- $F = \mathcal{O}_{X_0,\eta}$ : global function field, where  $\eta$  is the generic point of  $X_0$ .
- $S_0$  a finite set of closed points of  $X_0$  / places of F.
- $\pi_1(X_0 S_0, \overline{\eta}) \cong \operatorname{Gal}(\overline{F}^{S_0 ur} | F)$
- An ℓ-adic Galois representation (Q
  ℓ-coefficients) unramified outside S<sub>0</sub> corresponds to an ℓ-adic local system over X<sub>0</sub> − S<sub>0</sub>.

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  ℓ-coefficients) unramified outside S<sub>0</sub> corresponds to an ℓ-adic local system over X<sub>0</sub> − S<sub>0</sub>.
- $X = X_0 \otimes \overline{\mathbb{F}_q}$ ;  $S = S_0 \otimes \overline{\mathbb{F}_q}$ ;  $\mathbf{F}_X$  the Frobenius endomorphism of X.
- A point  $s_0 \in S_0$  splits into  $[\kappa(s_0) : \mathbb{F}_q]$ -points after base change, and  $\mathbf{F}_X$  permutes cyclically on the set of this points.
- Let  $s \in S$  lying over  $s_0$ .  $X^*_{(s)} := X_{(s)} \{s\}$  for  $X^*_{(s)}$  the henselisation (or completion) of X at s.
- Let  $W_{s_0}$ ,  $I_{s_0}$ ,  $P_{s_0}$  be respectively, the local Weil group, inertia subgroup and wild inertia subgroup (to the choice of  $\overline{\eta}$ ).

$$= \pi_1(X^*_{(s)}, \overline{\eta}) = I_s \cong I_{s_0}.$$

### Geometry ↔ Arithmetic

•  $\mathcal{L}$  an  $\ell$ -adic local system on X - S, then for each  $s \in S$ , it induces a  $I_s$  representation, called local monodromy at s, denote its isomorphic class by  $\mathcal{R}(s)$ .

• If  $\mathbf{F}_{X}^{*}\mathcal{L} \cong \mathcal{L}$ . Then we must have  $\mathbf{F}_{X}^{*}\mathcal{R}(\mathbf{F}_{X}(s)) \sim \mathcal{R}(s) \Rightarrow \mathcal{R}(s)$  as a representation of  $I_{s_{0}}$  extends to a representation of  $W_{s_{0}}$ .

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- Grothendieck : If  $\rho$  is an  $\ell\text{-adic}$  representation of  $W_{s_0},$  then  $\rho|_{I_{s_0}}$  is quasi-unipotent.
- Let  $\widehat{\mathbb{Z}}^{p'}(1) = \lim_{p \nmid n} \mu_n(\overline{\mathbb{F}_q}).$
- We have a short exact sequence :  $1 \to P_{s_0} \to I_{s_0} \to \widehat{\mathbb{Z}}^{p'}(1) \to 1$ .
- Example : quasi-unipotent representation of  $\widehat{\mathbb{Z}}^{p'}(1)$  is of the form :

$$\bigoplus(\chi\otimes\nu_\rho(\chi))$$

where  $\nu_{\rho}(\chi)$  is a unipotent representation of the quotient  $\mathbb{Z}_{\ell}(1)$  of  $\widehat{\mathbb{Z}}^{p'}(1)$ ,  $\chi$  is a character of  $\widehat{\mathbb{Z}}^{p'}(1)$  of finite order.

# Global Langlands Correspondence

After Drinfeld and Lafforgue, we have a bijection

 $(\mathcal{L}, j)$  where  $\mathcal{L}$  is an irreducible  $\ell$ -adic local system on X - S of rank n and  $j : \mathbf{F}_X^* \mathcal{L} \xrightarrow{\sim} \mathcal{L}$  $\updownarrow$ 

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absolutely cuspidal automorphic representation of  $GL_n(\mathbb{A})$ 

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- The bijection depends on an auxiliary isomorphism  $\iota: \overline{\mathbb{Q}}_{\ell} \xrightarrow{\sim} \mathbb{C}$ .
- The ramified local components correspond to each other in the sense of local Langlands correspondence too.

# Example

- $\mathcal{L}$  is an irreducible  $\ell$ -adic local system on X S, so that there exist a  $j : \mathbf{F}_X^* \mathcal{L} \xrightarrow{\sim} \mathcal{L}$ .
  - Trivial local monodromy : I<sub>s</sub> act as identity. Automorphic side : unramified principal series representation.
  - The local monodromy at a point  $s \in S$  is principal unipotent :

$$I_s \to \mathbb{Z}_\ell(1) \xrightarrow{a \mapsto \exp(aN)} GL_n(\overline{\mathbb{Q}}_\ell)$$

where N is nilpotent with one Jordan block. Automorphic side : Inertially equivalent to Steinberg representation.

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# Starting point

- E<sub>n</sub><sup>(ℓ)</sup>(R) the set of irreducible ℓ-adic local systems of rank n with local monodromy at s belongs to R(s).
- If  $\mathcal{R}$  is fixed by  $\mathbf{F}_X^*$  then  $\mathbf{F}_X^*$  act on  $E_n^{(\ell)}(\mathcal{R})$ .
- Let

$$C_n(k) = |E_n^{(\ell)}(\mathcal{R})^{\mathbf{F}_X^{*k}}|$$

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#### Theorem (Drinfeld, 1981)

 $S_0 = \emptyset$ . If the genus g of the curve is greater than or equal to 2, then there are q-Weil numbers  $(\mu_i)_{i=1,...,N}$  which are monomials of eigenvalues of Frobenius endomorphism on  $H^1_{\acute{e}t}(X, \mathbb{Q}_\ell)$  and the integers  $(m_i)_{i=1,...,N}$ , such that

$$C_2(k) = q^{(4g-3)k} + \sum_{i=1}^N m_i \mu_i^k$$

The weights of  $\mu_i$  are strictly smaller than 8g - 6.

### Other results

There are other results for calculating  $C_n(k)$ . All of this shows that  $C_n(k)$  looks like the number of  $\mathbb{F}_{a^k}$ -points of a variety.

- Deligne-Flicker(2012) :  $|S_0| \ge 2$ . Principal unipotent local monodromy at every point.
- Flicker(2015) :  $n = 2 |S_0| = 1$  with principal unipotent monodromy at the point.
- Arinkin :  $S_0 \neq \emptyset$ , local monodromies are sum of characters with some additional requirements.
- Savin-Templier(2018) :  $S_0 \neq \emptyset$ , with mgs local monodromy at at least one point.

### Main theorem

#### Theorem (Y.)

 $S_0 = \emptyset$ . If the genus of the curve is greater than or equal to 2, then there are q-Weil numbers  $(\mu_i)_{i=1,...,N}$  which are monomials of eigenvalues of Frobenius endomorphism on  $H^1_{\text{ét}}(X, \mathbb{Q}_\ell)$  and the integers  $(m_i)_{i=1,...,N}$ , such that

$$C_n(k) = q^{(n^2(g-1)+1)k} + \sum_{i=1}^N m_i \mu_i^k$$

The weights of  $\mu_i$  are strictly smaller than  $2(n^2(g-1)+1)$ .

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- *m<sub>i</sub>* depend only on the genus of the curve.
- $(n^2(g-1)+1)$  is the half dimension of the moduli space of rank *n* stable Higgs bundles on  $X_0$ .
- $\mu_i$  are essentially q-Weil numbers of the the moduli space of stable Higgs bundles on  $X_0$

### Counting cuspidal automorphic representations

Two automorphic representations  $\pi_1, \pi_2$  are called inertially equivalent if  $\exists \chi : \mathbb{Z} \to \mathbb{C}$  such that  $\pi_1 \cong \pi_2 \otimes \chi \circ \deg \circ \det$ .

After Langlands correspondence, we need to count the number of inertial equivalent classes of absolutely irreducible cuspidal representations of  $GL_n(\mathbb{A})$ .

We use Arthur's non-invariant trace formula.

# Automorphic spectrum

• Automorphic cuspidal representations lie in the  $L^2$ -automorphic spectrum :

$$L^{2}_{cusp}(G(F)\backslash G(\mathbb{A})) \subset L^{2}(G(F)\backslash G(\mathbb{A})) \mathfrak{S} G(\mathbb{A})$$

Test functions acting by convolution is compatible with spectral decompositions. The characteristic function  $\mathbb{1}_{G(\mathcal{O})}$  will act on it as a projection to  $G(\mathcal{O})$ -invariant subspace. So its trace on the cuspidal spectrum gives number of unramified cuspidal representations.

- Difficulties :
  - L<sup>2</sup>(G(F)\G(A)) is huge. Besides cuspidal spectrum, there are continuous spectrum and residue spectrum. These two spectrums contribute in different ways.
  - Not all cuspidal representations are absolutely cuspidal.
- Good news : all these can be reduced to absolutely cuspidal automorphic representations. (After Moeglin-Waldspurger and L. Lafforgue).

### About the proof

 $G = GL_n$ . We fix the Borel subgroup *B* of upper triangular matrices. In the following *P* always mean a standard parabolic subgroup, *M* its Levi.

 $\blacksquare \ \mathfrak{a}_{P}, \ \Delta_{P}, \ \Phi_{P}, \ X_{M}^{G}, \ G(\mathbb{A})^{e}, \ \mathrm{Fix}(P,\pi), \ \mathcal{P}(M), \ k^{T}(x,y), \ \mathrm{Higgs}_{n,e}^{st}(X_{0})...$ 

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- 1 For the test function  $\mathbb{1}_{G(\mathcal{O})}$ , the trace formula is an identity  $J_{geom} = \sum_{(P,\pi)} J_{(P,\pi)}$  where we fix an  $a \in \mathbb{A}^{\times}$  of degree 1 and

$$J_{geom} = \int_{G(F)\backslash G(\mathbb{A})/a\mathbb{Z}} k^{T=0}(x,x) \mathrm{d}x$$

Idea of the proof : calculate geometric side of the trace formula, then calculate spectral side.

• We consider a slightly variant : for any  $e \in \mathbb{Z}$  :

$$J_{geom}^{T,e} = \int_{G(F)\backslash G(\mathbb{A})^e} k^T(x,x) \mathrm{d}x$$

Hence  $J_{geom} = \sum_{e=0}^{n} J_{geom}^{T=0,e}$ .

# Calculation of the geometric side

We don't calculate orbital integrals but we deal directly with Arthur's truncated integral.

- Essential ingredients :
- 1  $J_{geom}^{T,e}$  depends quasi-polynomially on  $T \in \mathfrak{a}_B$ .
- 2 We have an explicit expression when T is deep enough in the positive Weyl chamber. In fact we have

#### Theorem (L. Lafforgue)

If T is deep enough in the positive Weyl chamber depending only on the test function, then we have

$$k^{\mathsf{T}}(x,x) = \mathcal{F}^{\mathsf{G}}(g,\mathcal{T}) \sum_{\gamma \in \mathcal{G}(\mathcal{F})} \mathbb{1}_{\mathcal{G}(\mathcal{O})}(x^{-1}\gamma x)$$

where  $F^{G}(g, T)$  is a characteristic function defined using Harder-Narasimhan filtration of vector bundles.

# Results on the calculation of geometric side

### Definition

Let  $\mathcal{E}$  be a vector bundle over  $X_0$ . We say  $\mathcal{E}$  is isocline if for all decomposition non-trivial  $\mathcal{E} \cong \mathcal{F} \oplus \mathcal{G}$ , we have  $\mu(\mathcal{F}) = \mu(\mathcal{E})$ .

Let  $\mathcal{P}_n^e(X_0)$  be the number of isomorphic classes of isocline vector bundles of rank *n* of degree *e* on  $X_0$ 

#### Theorem (Y.)

Let  $e \in \mathbb{Z}$ , one has

$$J_{geom}^{T=0,e} = \mathcal{P}_n^e(X_0)$$

Moreover, when (n, e) = 1, we also have

$$J_{geom}^{T=0,e} = q^{-n^2(g_X-1)-1} |\mathrm{Higgs}_{n,e}^{st}(X_0)(\mathbb{F}_q)| \ .$$

### Calculation of the spectral side

After L. Lafforgue, we have a decomposition :

$$J_{geom}^{T=0,e} = \sum_{(P,\pi)} J_{(P,\pi)}^{e}$$

where the sum is over the set of so called discrete pairs.

Spectral side has two part to deal with :

- 1 Calculate each  $J^{e}_{(P,\pi)}$ : we need to calculate weighted characters.
- 2 Sum up together all these terms : essentially a combinatorial problem.

We consider a special case :  $(P, \pi)$  is a discrete pair so that factors of  $\pi$  are two by two non-inertially-equivalent. In this case,  $J^e_{(P,\pi)}$  admits a simpler expression :

$$\frac{1}{|\mathrm{Fix}(P,\pi)|} \sum_{\lambda_\pi \in \mathrm{Fix}(P,\pi)} \int_{\mathrm{Im} X_M^G} \mathrm{Tr}_{\mathcal{A}_{P,\pi}^{G(\mathcal{O})}} (\lim_{\mu \to 1} \sum_{Q \in \mathcal{P}(M)} \hat{1}_Q^e(\mu\lambda_\pi) \mathrm{M}_{P|Q}(\lambda)^{-1} \mathrm{M}_{P|Q}(\lambda/\mu\lambda_\pi) \circ \lambda_\pi) \mathrm{d}\lambda,$$

where  $\widehat{\mathbb{1}}_{Q}^{e}$  are rational functions on  $X_{M}^{G}$ , they're function field analogy of the functions  $\theta_{Q}^{-1}$  defined by Arthur. They have the following properties :

•  $\hat{\mathbb{1}}_{Q}^{e}(\lambda) \prod_{\alpha \in \Delta_{Q}} (1 - \lambda^{\alpha^{\vee}})$  is a regular function on  $X_{M}^{G}$  for any  $Q \in \mathcal{P}(M)$ .

 The limit above always converge due to functional equations of intertwining operators.

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$$\frac{1}{|\operatorname{Fix}(P,\pi)|} \sum_{\lambda_{\pi} \in \operatorname{Fix}(P,\pi)} \int_{\operatorname{Im} X_{M}^{G}} \operatorname{Tr}_{\mathcal{A}_{P,\pi}^{G}} (\bigcup_{\mu \to 1} \sum_{Q \in \mathcal{P}(M)} \hat{1}_{Q}^{e}(\mu\lambda_{\pi}) M_{P|Q}(\lambda)^{-1} M_{P|Q}(\lambda/\mu\lambda_{\pi}) \circ \lambda_{\pi}) d\lambda.$$

We consider a special case :  $(P,\pi)$  is a discrete pair so that factors of  $\pi$  are two by two non-inertially-equivalent. In this case,  $J^e_{(P,\pi)}$  admits a simpler expression :

$$\frac{1}{|\mathrm{Fix}(P,\pi)|} \sum_{\lambda_{\pi} \in \mathrm{Fix}(P,\pi)} \int_{\mathrm{Im} X_{M}^{G}} \mathrm{Tr}_{\mathcal{A}_{P,\pi}^{G(\mathcal{O})}} (\lim_{\mu \to 1} \sum_{Q \in \mathcal{P}(M)} \hat{1}_{Q}^{e}(\mu\lambda_{\pi}) \mathrm{M}_{P|Q}(\lambda)^{-1} \mathrm{M}_{P|Q}(\lambda/\mu\lambda_{\pi}) \circ \lambda_{\pi}) \mathrm{d}\lambda.$$

- The intertwining operators act as scalar multiplications which can are explicit in terms of Rankin-Selberg L-functions.
- The twisting operator " $\circ \lambda_{\pi}$ " will act as identity by a simple calcul global Whittaker model.

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$$\frac{1}{|\operatorname{Fix}(P,\pi)|} \sum_{\lambda_{\pi} \in \operatorname{Fix}(P,\pi)} \int_{\operatorname{Im} X_{M}^{G}} \operatorname{Tr}_{\mathcal{A}_{P,\pi}^{G(\mathcal{O})}} (\lim_{\mu \to 1} \sum_{Q \in \mathcal{P}(M)} \hat{1}_{Q}^{e}(\mu\lambda_{\pi}) M_{P|Q}(\lambda)^{-1} M_{P|Q}(\lambda/\mu\lambda_{\pi}) \circ \lambda_{\pi}) d\lambda.$$

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$$\frac{1}{|\operatorname{Fix}(P,\pi)|} \sum_{\lambda_{\pi} \in \operatorname{Fix}(P,\pi)} \int_{\operatorname{Im} X_{M}^{G}} \operatorname{Tr}_{\mathcal{A}_{P,\pi}^{G}} (\bigcup_{\mu \to 1} \sum_{Q \in \mathcal{P}(M)} \hat{1}_{Q}^{e}(\mu\lambda_{\pi}) M_{P|Q}(\lambda)^{-1} M_{P|Q}(\lambda/\mu\lambda_{\pi}) \circ \lambda_{\pi}) d\lambda.$$

In number field case, Arthur has calculated weighted characters explicitly. We prove that when (e, n) = 1, the Arthur's result holds in our case :

#### Proposition

If  $\lambda_{\pi} \notin X_{G}^{G}$ , then corresponding integral above is zero. If  $\lambda_{\pi} \in X_{G}^{G}$ , then the corresponding integral equals to

$$(\lambda_{\pi})_{1}\sum_{F}\prod_{\beta\in F}(\mathrm{N}(n_{\beta}(\pi,\cdot))-\mathrm{P}(n_{\beta}(\pi,\cdot)))$$

where the sum runs over subsets F of  $-\Phi_P$  such that F is a base of  $\mathfrak{a}_M^{G*}$ . The functions N et P give expectively the number of zeros and poles of  $n_\beta(\pi, z) = q^* \frac{L(\pi_i \times \pi_j^{\vee}, z)}{L(\pi_i \times \pi_j^{\vee}, q^{-1}z)}$  in the region |z| < 1.

The sum over  $\sum_{F}$  is still a problem for us. We solve this by graph theory : Consider :  $\mathbb{R}^{n} = \mathbb{R}e_{1} \oplus \cdots \oplus \mathbb{R}e_{r}$ . Let  $\Phi = \{e_{i} - e_{j} | i < j\}$ , F be a subset of  $\Phi$  of cardinality r - 1. Consider a graph F with the set of vertex  $\{1, \dots, r\}$ , and the of edges are those (i, j) such that  $e_{i} - e_{j} \in F$ .

#### Proposition

*F* is a linearly independent family  $\Leftrightarrow \mathcal{F}$  is a tree.

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#### Proposition

F is a linearly independent family  $\Leftrightarrow \mathcal{F}$  is a tree.

#### Theorem (Kirchhoff)

Let  $(y_{\beta})_{\beta \in \Phi}$  be a family of complex numbers, and A the symmetric matrix such that the element of index (i, j) i < j is  $y_{e_i - e_j} \in \mathbb{C}$ . The diagonal elements of A are determined so that the sum of elements of every line is zero. Then

$$\sum_{F}\prod_{\beta\in F}y_{\beta}=\kappa(A)$$

where the sum over F runs through the subsets of  $\Phi$  of cardinality r - 1 which is linearly independent,  $\kappa(A)$  is any cofactor of A.

### Results on the calculation of spectral side

Let

$$\operatorname{aut}_{l}(z) = \exp(\sum_{m \ge 1} \sum_{k \ge 1} \frac{m \mathcal{C}_{m}(kl)}{k} z^{mkl})$$

For all  $v \ge 1$ , let  $[z^v]$  be an operator such that

$$[z^{\nu}]\sum_{i\geq 0}a_iz^i=a_{\nu}.$$

#### Theorem

Let the genus g of the curve not be 1. Let  $e \in \mathbb{Z}$  such that (e, n) = 1, we have

$$J_e = \sum_{l|n} \frac{\mu(l)}{n(2g-2)} \sum_{\lambda \vdash n} \frac{1}{\sum_j a_j} \prod_{j \ge 1} [z^{a_j}] \operatorname{aut}_{X_j}(z^l)^{\frac{(2g-2)S_j(\lambda)}{l}}$$

where  $\sum_{\lambda \vdash n}$  takes over all partitions  $\lambda = (1^{a_1}, 2^{a_2}, \ldots)$  of n and  $S_j(\lambda) := \sum_{\nu \ge 1} a_{\nu} \min\{\nu, j\}.$ 

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# End

# Thank you for your attention !

