

Department of Electronic & Computer Engineering 電子及計算機工程學系



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Fault Detection in Acoustic and Electromagnetic Waveguide Channels with Applications to Pipeline and Transmission Line Networks

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Current State of Urban Water Supply Systems

Inefficiencies & deficiencies ~ 30% of water and energy lost around the world (enough to supply 150 cities like Hong Kong)

Leaks



11.028 *Leaks* (*HK* 2013) **Deteriorated/** weakened pipes



Source: Smart UWSS project presentation

Bursts



257 Bursts (HK 2013)

Malfunctioning devices





→ Deteriorated Pump

Blockages



Disruptive

A HONG KONG NEWS BUSINESS TECH LIFESTYLE COMMENT SPORT

th China Morning Post EDITION: HONG KONG

Burst water main paralyses business in busy districts

Elaine Yau and Martin Wong FUBLISHED : Wednesday, 02 March, 2011, 12:00 UPDATED : Wednesday, 02 March, 2011, 12:00ar

A burst water main in Wong Nai Chung Road that brought a day-long drought to some of the city's busiest areas might force engineers to speed SHARE

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Complex, Large and Inaccessible



In HK: ~ 7,000 km of pipes; ~200 pump stations; ~1000s of valves and controls

Source: Smart UWSS project presentation

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Waves as diagnostic tools



Sources: Smart UWSS project presentation, creative commons

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Simple Demonstration



Low frequency acoustic waves as sources

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Electrical Cable Networks

 Cars, planes and networks have 1000's km of wires and cables



Sources: creative commons, public domain

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Challenges and Talk Contents

- Pipeline Channel and noise characterization
 - What is the noise PSD and PDF?
 - Channel attenuation and transfer function?
 - Optimum signal and receiver design?
 - Link budget?
 - Waveguide channel with multiple cutoffs?
- Fault Detection
 - Blockage detection
 - Impedance and Shunt Detection
 - Remaining challenges



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Pipeline Acoustic Noise Characterization Noise Measurement System

- Noise is the smallest signal we can measure
- Care needs to be taken in its measurement and analysis.
- Self noise of system needs to be less than the noise we are trying to measure.



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Noise floor of the measurement system





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Water pipeline systems measured

Pipeline-II





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Pipeline-III (Operational Urban Water Supply System)



Campus University of Canterbury, New Zealand. Hydrants used as access points to water pipelines

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PSD for Pipeline I- No water flow



PSD are flat after f_p^b and the PSD slopes before f_p^b depend on noise conditions.

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PDF for pipeline I- No water flow in lab



 Sources of heavy tails are external acoustic noise like door bang, speech signals and machine noise

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PSD for Pipeline III- Actual water system

 (f_p^{max}, P_p^{max}) , around 40 dB higher than experiments without flow Piecewise Linear approximation using





High power in low frequencies is due to turbulence as Reynolds number $R_e > 20000$ and slope of the spectrum is verified by Kolmogorov's third hypothesiss

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PDF for Pipeline III: actual water system



 Reason for heavy tails is transients in water pressure in operational water system caused by opening/closing of valves, pipe branches and water pumps.

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PSD- Analysis and Modeling

Piecewise Linear model for PSD



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PDF-We propose α **-stable**

- Stable distributions are a group of probability distributions suitable for modelling heavy tails, large variance and skewness
- Classical central limit theorem: the normed sum of a set of finite variance RVs, will tend towards a normal distribution
- Without the finite variance assumption, the limit may be a stable distribution that is not normal.
- Four parameters: $S(\alpha, \beta, \gamma, \delta)$

α	Shape parameter-Decides the overall shape and tails	0 < α ≤ 2
β	Decides skewness of the distribution- (β =0:Symmetric)	-1 ≤ β ≤ 1
γ	Scale parameter (Directly related to the variance of the data)	0 < γ < ∞
δ	Location parameter (Directly related to the mean of the data)	-∞ < δ < ∞

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α -stable model for UWSS noise



Value of α estimated using MLE method

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Simulation Model

- Propose 4 independent noise sources
 - Water flow noise
 - External environment noise
 - System noise
 - Periodic deterministic sources



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• PSD/correlation shaping

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Summary of Noise characterization

- Channel noise modeled as α -stable noise
 - Unusual noise source- special system design required
- Value of α depends on experimental conditions
- PSD shape depends on flow conditions and external noise
- Propose noise simulation model based on four main sources of noise

 [1] Dubey, Amartansh, et al. "Measurement and Spectral Analysis of Acoustic Noise in Water Pipeline Channels." OCEANS 2018-Kobe, Japan. IEEE, 2018.
[2] Dubey, Amartansh, et al. (2018) "Measurement and Modeling of Acoustic Noise in water pipeline channel." The Journal of the Acoustical Society of America, Manuscript in preparation.

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Measurement Setup

- The channels: Acrylic-air (rigid), Steel-water (elastic), HDPE-water (elastic)
- Equipment: Transducers (Bruel and Kjaer 8104), Speaker and Microphone, Vector Network Analyzer (Bode 100 Omicron).









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Dispersion Curve

$\Box k$ and z are spatial Fourier transform pairs



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Dispersion Curve

 \Box Wavenumber k and group velocity v_g

$$v_{nm}\left(f_{0}\right) = \frac{2\pi df}{dk_{nm}}|_{f_{0}}$$

- □ Need to include Elastic boundary conditions
 - Otherwise: wrong cutoff, wrong shape of dispersion curves
 - Wave propagates in both water and pipe wall



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Attenuation in Water Channel

- High attenuation occur around cutoff frequencies.
- □ High attenuation also occurs when the mode is pipe wall dominant (power ratio <0 dB).</p>



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Channel Capacity

- □ For an SNR of 10 dB we can achieve a range of over 50 m
- Demonstrates that acoustic communication in steel-water waveguides is indeed possible.
- □ We can observe that for the 20kHz to 30kHz band useful capacity can be obtained up to 50 m for V_{in} greater than 20 dBV.

$$SNR = V_{\rm in}[{\rm dBV}] + TVR(f)[{\rm dB}] - \alpha_{nm}(f)[{\rm dB}] \cdot z - F_{\rm d}[{\rm dI}] - V_{\rm noise}^{\rm pressure}[{\rm dB \ re \ }\mu{\rm Pa}/\sqrt{\rm Hz}] - 10\log(BW).$$

 $C = BW \log_2(1 + SNR)$



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Summary of Channel Characterization

- Proposed model predicts essential channel features such as mode cutoffs, dispersion curves and delay spread.
- Elastic boundary condition need to be considered for all water pipes.
- Attenuation for a steel-air water pipe is around 1dB/m
- Estimated communication range of 50 m
- Plane wave mode (below 8.3kHz in acrylic-air channel and below 15kHz in steel-water channel) can be utilized for fault detection

[1] Z. Li, L.Jing and R.D. Murch, "Propagation of monopole source excited acoustic waves in a cylindrical high-density" polyethylene (HDPE) pipeline", the Journal of the Acoustical Society of America, vol. 142(6), 3564-3579, 2017. [2] L.Jing, Z. Li, Y.Li and R.D. Murch, "Channel Characterization of Acoustic Waveguides Consisting of Straight Gas and Water Pipelines", IEEE Access, vol.6, pp.6807-6819, 2018. [3] L.Jing, Y. Li and R.D. Murch, "Wideband modeling of the acoustic water pipe channel." OCEANS 2016-Shanghai. IEEE, 2016. [4] L.Jing, Z. Li and R.D. Murch, "Experimental Study of Acoustic Channel Characteristics of Rigid and Elastic Pipelines." OCEANS 2017-Aberdeen. IEEE, 2017. [5] Z. Li, L. Jing, W. Wang, Y. Li, A. Dubey, P. Lee and R.D. Murch, "Experimental Measurement and Analysis of Acoustic Wave Propagation in Water-filled Steel Pipeline Using the Iterative Quadratic Maximum Likelihood Algorithm, 175th Meeting of Acoustical Society of America, Minneapolis, 2018.

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Summary of pipeline communication

- Identify special features of wideband waveguide channel
- Use adaptive delay spread OFDM
- Shorten the length of cyclic prefix
- Improve transmission efficiency
- For these examples around 25% increase in throughput

[1] Y.Li, L.Jing, Z. Li and R.D. Murch, "Subcarrier Delay Spread Based Adaptive OFDM for Mobile Wideband Waveguide Channels", IEEE Transactons on Communications, vol.66(5), 2206-2218, 2018.

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Waves as diagnostic tools for UWSS



Source: Smart UWSS project presentation

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Direct Problem and Inverse Problem



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Problem

How to find defects and faults.

Proposed solution

Insert signals into the networks and measure reflections to detect defects and faults.

Challenge

Difficult to estimate the signals and parameters inside the networks.



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Exact solution first proposed in 1950's by Gelfand-Levitan. Practical application of the solution limited

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Wave Equations and Definitions

	Transmission Line	Acoustic Pipeline
Fundamental	$\frac{\partial i}{\partial z} + G_0 v + C_0 \frac{\partial v}{\partial t} = 0$	$\frac{\partial u}{\partial z} + \frac{A}{\rho c^2} \frac{\partial p}{\partial t} = 0$
Equations	$\frac{\partial v}{\partial z} + R_0 i + L_0 \frac{\partial i}{\partial t} = 0$	$\frac{\partial p}{\partial z} + \frac{\rho}{A} \frac{\partial u}{\partial t} + R \ u \ = 0$
Propagation Constant	$\gamma = \alpha + j\beta$ = $\sqrt{(R_0 + j\omega L_0)(G_0 + j\omega C_0)}$	$\begin{split} \gamma &= \alpha + j\beta \\ &= \sqrt{-\frac{\omega^2}{c^2} + \frac{jA\omega R}{\rho c^2}} \end{split}$
Characteristic Impedance	$Z_{0} = \begin{cases} \sqrt{\frac{R_{0} + j\omega L_{0}}{G_{0} + j\omega C_{0}}} & lossy\\ \sqrt{\frac{L_{0}}{C_{0}}} & lossless \end{cases}$	$Z_0 = \begin{cases} \frac{\rho c^2 \gamma}{j \omega A} & lossy\\ \frac{\rho c}{A} & lossless \end{cases}$
Time-Harmonic Equations (lossy)	$\frac{dI}{dz} + \frac{\gamma}{Z_0}V = 0$ $\frac{dV}{dz} + \gamma Z_0 I = 0$	$\frac{dU}{dz} + \frac{\gamma}{Z_0}P = 0$ $\frac{dP}{dz} + \gamma Z_0 U = 0$

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Wave Equations and Definitions

□ *F* represents voltage *V* or pressure *P*.
□ *Q* represents current *I* or volume velocity *U*.

$$\frac{dQ(z,k)}{dz} + \frac{\gamma(z)}{Z(z)}F(z,k) = 0$$
$$\frac{dF(z,k)}{dz} + \gamma(z)Z(z)Q(z,k) = 0$$

 $\Box \gamma(z)$ propagation constant and Z(z) is the channel characteristic impedance.

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Liouville Transformation

Liouville transformation:Wave equations:

Low loss case:

$$\frac{dx}{dx} \qquad \nu(x) \qquad \psi(x) \qquad \psi(x, k) = \frac{d\ln Z(x)}{dx^2} \frac{dF(x, k)}{dx}$$
$$\frac{d^2 F_{inc}}{dx^2} - (\alpha_b + jk)^2 F_{inc} = 0$$
$$\frac{d^2 F_s(x, k)}{dx^2} - (\alpha_b + jk)^2 F_s(x, k) = \frac{d\ln Z(x)}{dx} \frac{dF(x, k)}{dx}$$

 $x(z) = \int_{0}^{z} \frac{c(0)}{c(s)} ds.$

 $\frac{dQ(x,k)}{dx} = -\frac{\gamma(x)}{Z(x)\nu(x)}F(x,k)$

 $\frac{dF(x,k)}{dF(x,k)} = -\frac{\gamma(x)Z(x)}{Q(x,k)}$

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Born Approximation

Use incident wave and known frequency independent attenuation to approximate the total wave:

$$F(x,k) = F_{inc}(x,k) = e^{-jkx - \alpha_b x}$$

$$F_s(x,k) = \int_0^b \frac{Z'(y)}{Z(y)} F'(y,k) g(x,y) dy \quad \text{where} \quad g(x,y) = -\frac{1}{2(\alpha_b+jk)} e^{-(\alpha_b+jk)|x-y|}$$
$$F_s(0,k) = \frac{1}{2} \mathcal{F}\left[\frac{d\ln\left[Z(x)\right]}{dx}\right] (2k)$$

□ A straightforward final solution (explicit expression):

 $Z(x) = Z(x=0)e^{\int_0^{2x} 2\mathcal{F}^{-1}[F_s(0,k)e^{\alpha_b x}](s)ds}$

□ The compensation for know frequency independent loss can be achieved by directly multiplying $e^{\alpha_b x}$ to the time domain impulse response.

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Simulation Results

Cross-sectional Area Reconstruction (explicit expression) $A(x) = A(0)e^{-\int_0^{2x} 2\mathcal{F}^{-1}[P_s(0,k)e^{\alpha_c x}](s)ds}$



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Explicit expression and examples

• Cross-sectional Area

 $A(x) = A(0)e^{-\int_0^{2x} 2\mathcal{F}^{-1}[P_s(0,k)e^{\alpha_c x}](s)ds}$ $A(x) = A(0)e^{-\int_0^{2x} 2[\widetilde{P_s}(0,s/c)e^{\alpha_c x}]ds}$



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Simulation Results (Water Pipeline)



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Experimental Results (Water Pipeline)



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Experimental Results (Water Pipeline)

- □ The position of the blockage can be found and a rough reconstruction of the blockage shape can be obtained.
- □ The probing signal is valve closure, so that the frequency bandwidth is limited (Italy test: 30Hz; New Zealand test: 50Hz).

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Experimental Results (Transmission Line)



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Experimental Results (Transmission Line)



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Experimental Results (Transmission Line)



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Distributed LCRG Transmission Line Model



 \Box L(z), C(z), R(z,k) and G(z,k) are related to impedance Z(z) faults.

- $\Box \ \Delta G$ is related to shunt conductance fault.
- $\Box \ \Delta R$ is related to series resistance fault.
- $\Box \Delta X_s$ and ΔB_p are related to reactive faults.

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Formulation

□ Telegrapher's equation:

$$\frac{\mathrm{d}F(z,k)}{\mathrm{d}z} = -\left(j\omega L(z) + R(z,k) + \Delta R(z)\right)Q(z,k)$$
$$\frac{\mathrm{d}Q(z,k)}{\mathrm{d}z} = -\left(j\omega C(z) + G(z,k) + \Delta G(z)\right)F(z,k)$$

□ Wave equations involving impedance and propagation constant:

$$\begin{aligned} \frac{\mathrm{d}F(z,k)}{\mathrm{d}z} &= -\gamma(z,k)Z(z,k)Q(z,k) - \Delta R(z)Q(z,k),\\ \frac{\mathrm{d}Q(z,k)}{\mathrm{d}z} &= -\frac{\gamma(z,k)}{Z(z,k)}F(z,k) - \Delta G(z)F(z,k). \end{aligned}$$

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Impedance (blockages) and Shunt Conductance (leak) Profile Separation

Following similar Born approximation procedure we can obtain solution for shunts and impedances (leaks and blockages)

$$F_{s}(0,k) = S_{11}(k) \simeq \int_{0}^{b} p(y)e^{-j2ky}e^{-2\alpha_{b}(k)y}dy$$
$$p(x) = \frac{Z'(x)}{2Z(x)} - \frac{\Delta G(x)Z(x)}{2\nu(x)}$$

$$p(x) = \mathcal{F}^{-1}[S_{11}(k)e^{2\alpha_b(k)x}](2x)$$

□ Kernel p(x) has two terms relating to impedance fault Z(z) and shunt conductance fault △G respectively.

Conducting 2-port measurement is essential for separating the two terms.

Frequency Dependent Loss Compensation

□ Although the attenuation coefficient $e^{2\alpha_b(k)x}$ is frequency dependent, it varies slowly with frequency (typically increases around 3dB/m/GHz) compared to e^{2jkx} . Therefore we can collapse the second integral with respect to *k*.

$$\mathcal{F}^{-1}[S_{11}(k)e^{\alpha_b(k)2x}](2x) = \int_{-\infty}^{\infty} \left[\int_0^b p(y)e^{-j2ky}e^{-2\alpha_b(k)y}dy \right] e^{\alpha_b(k)\cdot 2x}e^{jk\cdot 2x}dk$$
$$\mathcal{F}^{-1}[S_{11}(k)e^{2\alpha_b(k)x}](2x) = \int_0^b p(y)e^{-2\alpha_b(k)y}e^{2\alpha_b(k)x}\delta(2(x-y))dy$$

□ In essence we can reconstruct p(x) by multiplying $S_{11}(k)$ by $e^{2\alpha_b(k)x}$ and then take the inverse Fourier Transform, for each x, with respect to k. This allows us to reconstruct p(x).

$$p(x) = \mathcal{F}^{-1}[S_{11}(k)e^{2\alpha_b(k)x}](2x)$$

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2-Port Measurement

Explore the symmetry of kernel p(x)**.**



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Examine *p(x)* from Two Ports

- □ Neither $p_1(x)$ nor $p_2(b-x)$ can be used for determining the impedance and shunt conductance faults directly.
- $\Box \ \frac{Z'(x)}{Z(x)} = p_1(x) p_2(b x)$
- $\Box \frac{\Delta G(x)Z(x)}{\nu(x)} = -(p_1(x) + p_2(b-x))$
- The shunt fault is symmetric because its p(x) part has Z(x) term.
- The impedance fault is antisymmetric because its p(x) part has the derivative of Z(x).



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Examine *p(x)* from Two Ports



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Simulation results-Loss Compensation



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Simulation Results



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Simulation Results



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Simulation Results



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Relative Errors of the Reconstructions



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Relative Errors of the Reconstructions



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Experimental Results: Microstrip Line (FR4)



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Experimental Results: Coaxial Cable



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Summary of fault detection

- An analytical solution for reconstructing the spatial profile of the blockages (distributed impedance Z) and leaks (shunt conductance \(\Delta G\))
- Explicit straightforward expression
- Separating the blockages from the leaks using full 2-port S-parameters of the transmission line.
- Using transmission loss measurements to estimate the frequency dependent losses along the line and compensating for them.
- Performing simulations and experiments to show that the technique performs well in a wide variety of cable and feedline configurations.

[1] L.Jing, W. Wang, Z. Li, R.D. Murch, "Detecting Impedance and Shunt Conductance Faults in Lossy Transmission Lines", IEEE Transactions on Antennas and Propagation, vol.66(7), pp.3678-3689, 2018.

[2] L.Jing, Z. Li, W. Wang, A. Dubey, P. Lee, S. Meniconi, B. Brunone, R.D. Murch, "An Approximate Inverse Scattering Technique for Reconstructing Blockage Profiles in Water Pipelines Using Acoustic Transients", the Journal of the Acoustical Society of America Express Letters, vol.143(5), EL322-327, 2018.

[3] W. Wang, L.Jing, Z. Li and R.D. Murch, "Utilizing the Born and Rytov Inverse Scattering Approximations for Detecting Soft Faults in Lossless Transmission Lines", IEEE Transactions on Antennas and Propagation, vol.65(12), 7233-7243, 2017.

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Further Challenges

- Pipeline assessment is interested in deterioration- such as pipeline thickness, radius, pipeline material type
 - Can these be deduced from acoustic measurements?
 - Also includes estimations of L(z), C(z), R(z), G(z) in transmission lines
- Often pipelines and cables are networked together
 - What measurements are required to determine faults in networks
- What is the acoustic source
 - Mechanical valves too slow and not consistent, PZT transducers too low power
- How to handle alpha-stable noise in inverse methods
- Many other challenges:
 - Sensing Technology, Internet of Things, Robotic Fish, Energy Conservation, System Optimization, Cyber Security
- Other problems... determining building plans from WiFi signates

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Pipeline Assessment

• Estimate pipeline parameters for deterioration



TABLE I DIMENSIONAL AND MATERIAL PARAMETERS OF DIFFERENT WATER-FILLED PIPELINES.

	r _{in} (mm)	h (mm)	ρ (g/cm ³)	c _l (m/ms)	ct (m/ms)
P1 (Steel)	34.45	3.65	8	5.589	3.137
P2 (Steel)	36.45	1.65	8	5.589	3.137
P3 (Copper)	36.10	2.00	8.9	4.652	2.234
Water			1	1.48	



Z. Li, etal, "Nonlinear Bayesian Inversion for Estimating Water Pipeline Dimensional and Material Parameters Using Ultrasonic Wave Dispersion", In preparation for submission to IEEE Transactions UFFC, 2018

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Extension to network

• Solutions of single pipes or cables but not networked



- Visible and hidden junctions
- Make use of graph theory and modes?
- Any known theoretical results?
- Can it be mapped to a 1D problem?

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Conclusions

- Critical need for assessment of pipelines
- One method is to use acoustic signals in the pipelines in a format such as pipeline sonar
- Requires development of signal processing and communication techniques
- Have proposed that:
 - Acoustic noise in water pipelines is alpha-stable
 - Acoustic channel requires modeling of the elastic pipe wall- leads to waves that propagate in the wall and water with power switching between them
 - Special communication techniques for multimode waveguide transmission
 - Straightforward explicit expressions for blockage and leak detection
- Largely unexplored area- further research required

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This is a large team effort

Funding

Hong Kong research grants council

Theme based funding and general research funding

Large interdisciplinary team Civil: Ghidaoui, Lee, Dimitrakopoulos, Katafygiotis Elec: Murch, Palomar, Mckay, Lau Mech: Zhang HKUST Math: Xu Youcef-Tomi Yang Duan Brunone Zou Zheng lee Karney MISSOURI UNIVERSITY OF

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Publications

Journal Papers

[1] L.Jing, Z. Li, Y.Li and R.D. Murch, "Channel Characterization of Acoustic Waveguides Consisting of Straight Gas and Water Pipelines", *IEEE Access*, vol.6, pp.6807-6819, 2018.

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[3] L.Jing, Z. Li, W. Wang, A. Dubey, P. Lee, S. Meniconi, B. Brunone, R.D. Murch, "An Approximate Inverse Scattering Technique for Reconstructing Blockage Profiles in Water Pipelines Using Acoustic Transients", *the Journal of the Acoustical Society of America Express Letters*, vol.143(5), EL322-327, 2018.

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[7] Dubey, Amartansh, et al. (2018) "Measurement and Modeling of Acoustic Noise in water pipeline channel." *The Journal of the Acoustical Society of America, Manuscript in preparation.*

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[10] Z. Li, etal, "Nonlinear Bayesian Inversion for Estimating Water Pipeline Dimensional and Material Parameters Using Ultrasonic Wave Dispersion", *In preparation for submission to IEEE Transactions UFFC*, 2018

[11] W. Wang, Z. Li, L. Jing, P. Lee and R.D. Murch, "A Straightforward Method for Estimating the Size of Leaks in Water Pipelines using Acoustic Transients", submitted to the Journal of the Acoustical Society of America Express Letters, 2018

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Publications

<u>Conference Papers</u>

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