

# Mixed Hodge structures with modulus

Joint work with Florian Ivorra

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## Map of this talk

**Our goal.** To generalize Deligne's category **MHS** of mixed Hodge structures to that of **MHS** with modulus **MHSM**;

“level  $\leq 1$  parts” (indicated by  $_1$ ) admit geometric description:

$$\begin{array}{ccccc} \text{(semi-abel.)} & \subset & \text{(Deligne 1-motives)} & \cong & \mathbf{MHS}_1 \\ \cap & & \cap & & \cap \\ \text{(comm. alg. gp.)} & \subset & \text{(Laumon 1-motives)} & \cong & \mathbf{MHSM}_1 \end{array}$$

**2/3** of this talk is devoted to “level  $\leq 1$  parts”, previously known by Barbieri-Viale, Kato, Russell in different languages.

We then explain the whole category **MHSM**, and its application to generalize Kato-Russell's construction of **Albanese varieties with modulus** to **1-motives**.

## Commutative algebraic groups

A **commutative algebraic group**  $\mathbf{G}$  over  $\mathbb{C}$  is an extension

$$0 \rightarrow \mathbf{G}_{\text{mul}} \times \mathbf{G}_{\text{add}} \rightarrow \mathbf{G} \rightarrow \mathbf{G}_{\text{ab}} \rightarrow 0,$$

$\mathbf{G}_{\text{ab}}$  : **abel. var.**,  $\mathbf{G}_{\text{mul}} \cong \mathbb{G}_m^s$ ,  $\mathbf{G}_{\text{add}} \cong \mathbb{G}_a^t$  ( $s, t \in \mathbb{Z}_{\geq 0}$ ).

$\mathbf{G}$  is called **semi-abelian** if  $\mathbf{G}_{\text{add}} = 0$ .

$$\mathbf{AV} = \{\text{ab. var.}\} \subset \mathbf{SA} = \{\text{semi-ab.}\} \subset \mathbf{AG} = \{\text{alg. gp.}\}.$$

$X$  : cpt. Riemann surface,  $Y$  : effective divisor on  $X$

( $Y = a_1 P_1 + \cdots + a_r P_r$ ;  $P_i \in X$  distinct,  $a_i \in \mathbb{Z}_{>0}$ ).

**Generalized Jacobian**  $\mathbf{Jac}(X, Y) \in \mathbf{AG}$  classifies pairs  $(L, \sigma)$  of a degree zero line b'dl.  $L$  on  $X$  and  $\sigma : L|_Y \cong \mathcal{O}_Y$ .

- $Y = \emptyset \Rightarrow \mathbf{Jac}(X, \emptyset) = \mathbf{Jac}(X) \in \mathbf{AV}$ ;
- $Y$  : reduced (i.e.  $a_1 = \cdots = a_r = 1$ )  $\Rightarrow \mathbf{Jac}(X, Y) \in \mathbf{SA}$ .

## Duality

$A \in \mathbf{AV} \Rightarrow \exists A^\vee \in \mathbf{AV} : \text{dual of } A; \quad \mathbf{Jac}(X)^\vee \cong \mathbf{Jac}(X).$

To extend  $^\vee$  to  $\mathbf{AG}$ , we need Laumon 1-motives:

**Def.** A **Laumon 1-motive**  $M$  is a two-term cpx. of the form

$$(*) \quad M = [\mathbb{Z}^s \times \hat{\mathbb{G}}_a^t \rightarrow \mathbf{G}] \quad (s, t \in \mathbb{Z}_{\geq 0}, \mathbf{G} \in \mathbf{AG}).$$

Regard  $\mathbf{G} \in \mathbf{AG}$  as a Laumon 1-motive by  $[0 \rightarrow \mathbf{G}]$ .

$M$  in  $(*)$  is called a **Deligne 1-motive** if  $t = 0$  and  $\mathbf{G} \in \mathbf{SA}$ .

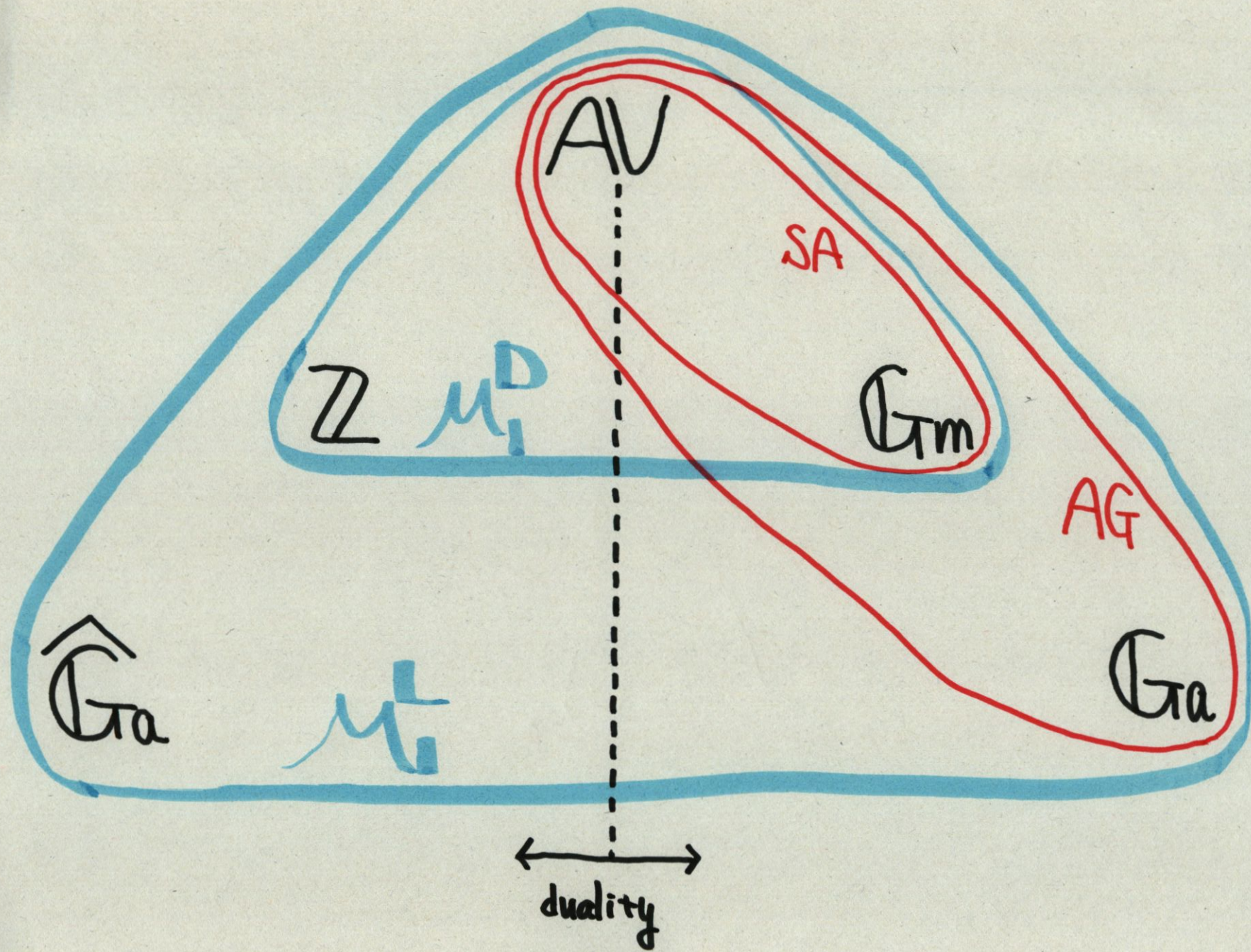
$$\mathcal{M}_1^D = \{\text{Deligne 1-motives}\} \subset \mathcal{M}_1^L = \{\text{Laumon 1-motives}\}$$

**Generalized Jacobian can be generalized to 1-motives:**

Attach  $\mathbf{Jac}(X, Y, Z) \in \mathcal{M}_1^L$  to a triple  $(X, Y, Z)$  of  $X$  : cpt. Riemann surface and  $Y, Z$  : eff. divisors s.t.  $|Y| \cap |Z| = \emptyset$ ;

$$\mathbf{Jac}(X, Y, \emptyset) = \mathbf{Jac}(X, Y), \quad \mathbf{Jac}(X, Y, Z)^\vee \cong \mathbf{Jac}(X, Z, Y).$$

This is best explained from the viewpoint of Hodge theory.



## AV and HS<sub>1</sub>

Recall.  $\exists$  equiv. of cat. **AV**  $\cong$  **HS<sub>1</sub>** : Hodge str. of level  $\leq 1$ .

Def. A Hodge structure of level  $\leq 1$  is a pair  $H = (H_{\mathbb{Z}}, F^0)$  of

- $H_{\mathbb{Z}}$  : free  $\mathbb{Z}$ -module of finite rank,
- $F^0 \subset H_{\mathbb{C}} := H_{\mathbb{Z}} \otimes \mathbb{C}$  :  $\mathbb{C}$ -subspace;

subject to conditions ( $H_{\mathbb{C}} = F^0 \oplus \overline{F^0}$  and “polarizable”).

- **Jac(X)**  $\leftrightarrow H_1(X, \mathbb{Z})$  for  $X$  : cpt. Riemann surf.
- $\vee : \mathbf{AV} \rightarrow \mathbf{AV}$  corresponds to an easy linear algebra operation **Hom**(-,  $\mathbb{Z}(1)$ ) on **HS<sub>1</sub>**.
- $\mathbf{J}(X)^\vee \cong \mathbf{J}(X)$  explained by Poincaré duality.

The equivalence **AV**  $\cong$  **HS<sub>1</sub>** is extended to  $\mathcal{M}_1^D$  by Deligne.

## $\mathcal{M}_1^D$ and $\mathbf{MHS}_1$

Thm. (Deligne).  $\exists$  equiv. of cat.  $\mathcal{M}_1^D \cong \mathbf{MHS}_1$ .

Def. A **mixed HS** of level  $\leq 1$   $H = (H_{\mathbb{Z}}, F^0, W_{-1}, W_{-2})$  is:

- $H_{\mathbb{Z}}$  : free  $\mathbb{Z}$ -module of finite rank,
- $F^0 \subset H_{\mathbb{C}} := H_{\mathbb{Z}} \otimes \mathbb{C}$  :  $\mathbb{C}$ -subspace,
- $W_{-2} \subset W_{-1} \subset H_{\mathbb{Z}}$  :  $\mathbb{Z}$ -submodules,

s.t.  $H_{\mathbb{Z}}/W_{-1} \cong \mathbb{Z}^s$ ,  $W_{-1}/W_{-2} \in \mathbf{HS}_1$ ,  $W_{-2} \cong \mathbb{Z}(1)^t$  ( $s, t \in \mathbb{Z}_{\geq 0}$ )

- $(X, Y, Z)$  as above, with **Y, Z reduced**  $\Rightarrow$   
 $\mathbf{Jac}(X, Y, Z) \leftrightarrow H_1(X \setminus Y, Z, \mathbb{Z})$  : relative homology.
- ${}^{\vee} : \mathcal{M}_1^D \rightarrow \mathcal{M}_1^D \leftrightarrow$  an easy lin. alg. operation on  $\mathbf{MHS}_1$ .
- Poincaré duality explains  $\mathbf{Jac}(X, Y, Z)^{\vee} \cong \mathbf{Jac}(X, Z, Y)$ .

The equivalence  $\mathcal{M}_1^D \cong \mathbf{MHS}_1$  is extended to  $\mathcal{M}_1^L$ .

## $\mathcal{M}_1^L$ and **MHSM**<sub>1</sub>

At least **3** known categories:  $\mathcal{M}_1^L \cong \mathbf{FHS}_1 \cong \mathcal{H}_1 \cong \mathbf{MHSM}_1$ .

- **FHS**<sub>1</sub>: formal HS (Barbieri-Viale, 2007)
- $\mathcal{H}_1$  : **MHS** with additive part (Kato and Russell, 2012)
- **MHSM**<sub>1</sub>: **MHS** with modulus (Ivorra and Y.).

**Def.** A **MHSM** of level  $\leq 1$   $\mathcal{H} = (H, U, V, \mathcal{F})$  consists of:

- a)  $H = (H_{\mathbb{Z}}, F^0, W_{-1}, W_{-2}) \in \mathbf{MHS}_1$ .
- b)  $U, V$  : finite dim.  $\mathbb{C}$ -vector sp.
- c)  $\mathcal{F} \subset H_{\mathbb{C}} \oplus U \oplus V$  :  $\mathbb{C}$ -subspace.

satisfying certain conditions (details come later).

If  $[\mathbb{Z}^s \times \hat{\mathbb{G}}_a^t \rightarrow \mathbf{G}] \leftrightarrow (H, U, V, \mathcal{F})$  by  $\mathcal{M}_1^L \cong \mathbf{MHSM}_1$ ,

$$[\mathbb{Z}^s \rightarrow \mathbf{G}/\mathbf{G}_{\text{add}}] \leftrightarrow H \text{ by } \mathcal{M}_1^D \cong \mathbf{MHS}_1,$$

$$U \cong \text{Lie}(\mathbf{G}_{\text{add}}), \quad V \cong \text{Lie}(\hat{\mathbb{G}}_a^t).$$



## Jacobian 1-motives

For  $(X, Y, Z)$  as above,  $Y, Z$  not. nec. reduced,  
 $\text{Jac}(X, Y, Z) \leftrightarrow (H, U, V, \mathcal{F})$  under  $\mathcal{M}_1^L \cong \mathbf{MHSM}_1$  with

- $H = H_1(X \setminus Y_{\text{red}}, Z_{\text{red}}, \mathbb{Z}) \in \mathbf{MHS}_1$  (Deligne),
- $U = H^0(X, \mathcal{O}_X(-Y_{\text{red}})/\mathcal{O}_X(-Y))$ .
- $V = H^0(X, \mathcal{O}_X(Z - Z_{\text{red}})/\mathcal{O}_X)$ .
- $\mathcal{F} := \text{Im}(H^0(X, \Omega_X^1(Z)) \rightarrow H^1(X, [\mathcal{O}_X(-Y) \rightarrow \Omega_X^1(Z)]))$   
 $\cong H_{\mathbb{C}} \oplus U \oplus V$ . (This map turns out to be injective.)

**N.B.**  $Y, Z$ : reduced  $\Rightarrow U = V = 0$ , i.e.  $\text{Jac}(X, Y, Z) \in \mathcal{M}_1^D$ .

$\vee : \mathcal{M}_1^L \rightarrow \mathcal{M}_1^L \leftrightarrow$  an easy lin. alg. operation on  $\mathbf{MHSM}_1$ .

Poincaré and Serre dualities imply

$$\text{Jac}(X, Y, Z)^\vee \cong \text{Jac}(X, Z, Y).$$

## MHS of arbitrary level

**MHS** : Deligne's cat. of **mixed Hodge structures**.

**Formal property.** **MHS** is abelian; it contains **MHS**<sub>1</sub>.

**Geometry.** **X** : smooth proper variety of dimension **d**,

**Y, Z**  $\subset$  **X** : effective **reduced** divisors,  $|Y| \cap |Z| = \emptyset$ .

$\exists H^n(X, Y, Z) \in \mathbf{MHS}$  s.t.  $H_{\mathbb{Z}} = H^n(X \setminus Z, Y, \mathbb{Z})$  ( $n \in \mathbb{Z}$ ).

**Duality.**  $H^n(X, Y, Z)^\vee \cong H^{2d-n}(X, Z, Y)(d)/(\text{tor})$ .

**Albanese.** One has  $H^{2d-1}(X, Y, Z)(d)/(\text{tor}) \in \mathbf{MHS}_1$ , and it corresponds to the **Albanese 1-motive**  $\text{Alb}(X, Y, Z) \in \mathcal{M}_1^D$  via  $\mathbf{MHS}_1 \cong \mathcal{M}_1^D$ . [ $d = 1 \Rightarrow \text{Alb}(X, Y, Z) = \text{Jac}(X, Y, Z)$ .]

**NB.** Deligne constructed  $H^n(\mathbf{S}) \in \mathbf{MHS}$  for any variety **S**.

One has  $H^{2d-1}(\mathbf{S})(d)/(\text{torsion}) \in \mathbf{MHS}_1$  if  $d = \dim \mathbf{S}$ , and it corresponds to the **Albanese 1-motive**  $\text{Alb}(\mathbf{S}) \in \mathcal{M}_1^D$ .

## MHSM of arbitrary level

Def. A **MHS with modulus** is  $\mathcal{H} = (H, U^\bullet, V^\bullet, \{\mathcal{F}^p\}_{p \in \mathbb{Z}})$ ,

a)  $H \in \text{MHS}$ ,

b)  $\dots \rightarrow U^p \xrightarrow{u^p} U^{p-1} \xrightarrow{u^{p-1}} \dots$  : chain of  $\mathbb{C}$ -linear maps,

c)  $\dots \rightarrow V^p \xrightarrow{v^p} V^{p-1} \xrightarrow{v^{p-1}} \dots$  : chain of  $\mathbb{C}$ -linear maps,

d)  $\mathcal{F}^p \subset H_{\mathbb{C}} \oplus U^p \oplus V^p$  :  $\mathbb{C}$ -subspaces ( $\forall p \in \mathbb{Z}$ ),

subject to the following conditions:

- $\dim_{\mathbb{C}}[\oplus_p (U^p \oplus V^p)] < \infty$ .
- $(\text{id}_{H_{\mathbb{C}}} \oplus u^p \oplus v^p)(\mathcal{F}^p) \subset \mathcal{F}^{p-1}$ .
- For  $x \in H_{\mathbb{C}}$ :  $x \in \mathcal{F}^p H_{\mathbb{C}} \Leftrightarrow \exists u \in U^p$  s.t.  $x + u \in \mathcal{F}^p$ .
- $\mathcal{F}^p \hookrightarrow H_{\mathbb{C}} \oplus U^p \oplus V^p \twoheadrightarrow V^p$  : surj.
- $U^p \hookrightarrow H_{\mathbb{C}} \oplus U^p \oplus V^p \twoheadrightarrow H_{\mathbb{C}} \oplus U^p \oplus V^p / \mathcal{F}^p$  : inj.

## Main results

**Formal property.** **MHSM** is abelian; it contains **MHSM**<sub>1</sub>.

**Geometry.**  $X$  : smooth proper variety of dimension  $d$ .

$Y, Z \subset X$  : eff. divisors, **not nec. reduced**,  $|Y| \cap |Z| = \emptyset$ ,

$(Y + Z)_{\text{red}}$  : strict normal crossing.  $n \in \mathbb{Z}$ .

$\exists \mathcal{H}^n(X, Y, Z) \in \mathbf{MHSM}$  s.t.  $H = H^n(X, Y_{\text{red}}, Z_{\text{red}}) \in \mathbf{MHS}$ .

**Duality.**  $\mathcal{H}^n(X, Y, Z)^\vee \cong \mathcal{H}^{2d-n}(X, Z, Y)(d)/(\text{tor})$ .

**Albanese.**  $\mathcal{H}^{2d-1}(X, Y, Z)(d)/(\text{tor}) \in \mathbf{MHSM}_1$ , corresponding to **Albanese 1-motive**  $\text{Alb}(X, Y, Z) \in \mathcal{M}_1^L$  via  $\mathbf{MHSM}_1 \cong \mathcal{M}_1^L$ .

**Jacobian.** When  $d = 1$ , we have  $\text{Alb}(X, Y, Z) = \text{Jac}(X, Y, Z)$ , and  $\text{Jac}(X, Y, Z)^\vee \cong \text{Jac}(X, Z, Y)$  by **Duality** (above).

## Relation with previous works

Kato and Russell (2012) constructed  $\mathbf{Alb}(X, Y) \in \mathbf{AG}$  for smooth proper  $X$ , eff. divisor  $Y$  (generalizing  $\mathbf{Jac}(X, Y)$  for curves). It agrees with our  $\mathbf{Alb}(X, Y, \emptyset)$ .

Bloch and Srinivas (2000) constructed **enriched HS** and  $H^n(\mathbf{S}) \in \mathbf{EHS}$  for **proper** (but possibly singular) var.  $\mathbf{S}$ .

Barbieri-Viale (2007) and Mazzari (2011) generalized **EHS** to **formal HS**. This is (almost) same as **MHSM** with  $V^\bullet = \mathbf{0}$ .

Deligne constructed  $H^n(\mathbf{S}) \in \mathbf{MHS}$  for arbitrary (possibly singular/non-proper)  $\mathbf{S}$ , which is basis of all constructions.

**Problem.** Can one define  $H^n(\mathbf{S}) \in \mathbf{MHSM}$  for such  $\mathbf{S}$ ?