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# On Stability of Peakons to Nonlocal Integrable Equations

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# Outline

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# 1. Introduction

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◇ The  $\mu$ -Camassa-Holm (CH) equation

$$m_t + 2mu_x + um_x = 0, \quad t > 0, \quad x \in \mathbb{R}, \quad (1)$$

where  $m = \mu(u) - u_{xx}$ ,  $\mu(u) = \int_{\mathbb{S}} u(t, x) dx$ . If  $\mu(u) = 0$ , which implies  $\mu(u_t) = 0$ , then this equation reduces to the Hunter-Saxton (HS) equation, which is a short wave limit of the CH equation. (Khesin, Lenells, Misiolek, 2008, Math. Ann.)

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- It is a dynamical equation for rotators in liquid crystals with external magnetic field and self-intersection
- It is an integrable equation and admits peaked solitons
- The  $\mu$ -CH equation describes the geodesic flow on  $\mathcal{D}^s(S)$  with the right-invariant metric given by the inner product

$$\langle u, v \rangle = \mu(u)\mu(v) + \int_S u_x v_x dx.$$

◇ The CH equation

$$m_t + 2u_x m + um_x + \gamma u_x = 0, \quad m = u - u_{xx}.$$

(Camassa-Holm, 1993; Fokas-Fuchssteiner 1981)

◇ The HS equation

$$m_t + 2u_x m + um_x + \gamma u_x = 0, \quad m = -u_{xx}.$$

(Hunter-Saxton, 1996)

- Integrability,  $2 \times 2$  spectral problem
  - Existence of peakons
  - Water waves
  - Wave breaking
  - Geometric formulations
  - Quadratic nonlinearities
  - $H^1$ -weak solution
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◇ The Degasperis-Procesi equation

$$m_t + 3u_x m + u m_x + \gamma u_x = 0, \quad m = u - u_{xx}.$$

(Degasperis-Procesi, 1998)

- Integrability,  $3 \times 3$  spectral problem
  - Existence of peakons
  - Shock peakons
  - Water waves
  - Wave breaking
  - Quadratic nonlinearities
-

◇ The  $\mu$ -DP equation

$$m_t + 3mu_x + um_x = 0, \quad t > 0, \quad x \in R,$$

(Lenells, Misiolek, Tiğlay, 2010, CMP) where  $m = \mu(u) - u_{xx}$ . Setting  $\mu(u) = 0$ , this equation becomes the short wave limit of the DP equation or the Burgers equation. Geometrically, it describes an affine surface (Fu, Liu, Qu, J. Funct. Anal., 2012)



◇ The modified CH equation (with cubic nonlinear terms)

$$m_t + \left( (u^2 - u_x^2)m \right)_x + \gamma u_x = 0, \quad m = u - u_{xx}.$$

(Olver, Rosenau, 1996; Fuchssteiner, 1996; Qiao, 2006)

◇ The short pulse equation

$$-u_{xt} + (u^2 u_x)_x + \gamma u = 0.$$

(Schäfer-Wayne, 2004) The short-pulse equation is the model for the propagation of ultra-short optical pulse approximation in nonlinear Maxwell's equations, where  $u$  is the magnitude of the electric field.

◇ The modified  $\mu$ -CH equation

$$m_t + ((2\mu(u)u - u_x^2)m)_x = 0, \quad m = \mu(u) - u_{xx}.$$

(Qu, Fu, Liu, J. Func. Anal., 2014; Liu, Qu, Zhang, Phys. D, 2013)

**Remark 1.1** Applying the tri-Hamiltonian duality approach (Olver, Rosenau, Fuchssteiner, 1995,1996) to the KdV and the mKdV equation yields the CH equation and the modified CH equation, respectively.

◇ A generalized Camassa-Holm equation with cubic and quadratic nonlinearities

$$m_t + k_1 \left( (u^2 - u_x^2)m \right)_x + k_2 (2mu_x + um_x) + \gamma u_x = 0, \quad m = u - u_{xx}.$$

(Fokas, 1995; Fuchssteiner 1996; Qiao, Xia, Li, 2012; Liu, Liu, Olver, Qu, 2014)

◇ The generalized  $\mu$ -CH equation with cubic and quadratic nonlinearities

$$m_t + k_1 \left( (2\mu(u)u - u_x^2)m \right)_x + k_2 (2u_x m + um_x) + \gamma u_x = 0, \quad (2)$$

where  $m = \mu(u) - u_{xx}$ . (Qu, Fu, Liu, Comm. Math. Phys. 2014; Qu, Liu, Liu, Zhang, Arch. Rat. Mech. Anal., 2014)

◇ The two-component Camassa-Holm system

$$\begin{aligned}m_t + um_x + 2mu_x \pm \rho\rho_x &= 0, \\ \rho_t + (\rho u)_x &= 0, \quad x \in R,\end{aligned}$$

where  $m = u - u_{xx}$ .

(Olver, Rosenau, 1996; Chen, Liu, Zhang, 2006; Constatin, Ivanov, 2012)

**Remark 1.1.** The above system does not admit the peaked solitons.

◇ A two-component Camassa-Holm system

$$\begin{aligned}m_t + 2mu_x + m_xu + (mv)_x + nv_x &= 0, \\n_t + 2nv_x + n_xv + (nu)_x + mu_x &= 0,\end{aligned}$$

where  $m = u - u_{xx}$ ,  $n = v - v_{xx}$ .

(Qu, Fu, 2009)

This system is equivalent to the following two-component CH system

$$\begin{aligned}\xi_t + \sigma\xi_x + 2\xi\sigma_x + \eta\bar{\eta}_x &= 0, \quad \xi = (1 - \partial_x^2)\sigma, \\ \eta_t + (\eta\sigma)_x &= 0, \quad \eta = (1 - \partial_x^2)\bar{\eta}, \quad x \in R,\end{aligned}$$

via the linear change of variables  $\xi = m + n$ ,  $\eta = m - n$ , which was derived by (Holm et al, 1996) from the Euler-Poincare equation.

Question: Are there two-component  $\mu$ -CH systems which admit peaked solutions and  $H^1$ -conservation law?

◇ A two-component  $\mu$ -CH system

$$\begin{aligned} m_t + 2mu_x + m_xu + (mv)_x + nv_x &= 0, \\ n_t + 2nv_x + n_xv + (nu)_x + mu_x &= 0, \end{aligned} \quad (3)$$

where  $m = \mu(u) - u_{xx}$ ,  $n = \mu(v) - v_{xx}$ .

(Li, Fu, Qu, 2019)

This system is equivalent to the following two-component  $\mu$ -CH system

$$\begin{aligned} \xi_t + \sigma\xi_x + 2\xi\sigma_x + \eta\bar{\eta}_x &= 0, \quad \xi = (\mu - \partial_x^2)\sigma, \\ \eta_t + (\eta\sigma)_x &= 0, \quad \eta = (\mu - \partial_x^2)\bar{\eta}, \quad x \in R, \end{aligned}$$

via the linear change of variables  $\xi = m + n$ ,  $\eta = m - n$ .

This system can also be obtained from the Euler-Poincare equation with the Lagrangian

$$L = \frac{1}{2}(\mu^2(\sigma) + \mu^2(\bar{\eta}) + \|\sigma_x\|_{L^2}^2 + \|\bar{\eta}_x\|_{L^2}^2).$$

◇ The general two-component  $\mu$ -CH system

$$m_{k,t} = \sum_{i,j=1}^2 a_{i,j}^k m_i u_{j,x} + \sum_{i,j=1}^2 b_{i,j}^k m_{i,x} u_j, \quad k = 1, 2, \quad (4)$$

where  $u_k(t, x)$  is a function of time  $t$  and a single spatial variable  $x$ , and

$$m_k = \mu(u_k) - u_{k,xx}, \quad \mu(u_k) = \int_S u_k(t, x) dx,$$

with  $S = R/Z$  which denotes the unit circle on the plane.

◇ A two-component modified CH system

$$m_{i,t} = \frac{1}{2} \sum_{j=1}^n [(u_j^2 - u_{j,x}^2) m_i]_x - \sum_{j=1}^n (u_i u_{j,x} - u_j u_{i,x}) m_j,$$

where  $m_i = u_i - u_{i,xx}$ ,  $1 \leq i \leq n$ . (Qu, Song, Yao, 2013, SIGMA)

◇ A two-component modified  $\mu$ -CH system

$$m_{i,t} = \frac{1}{2} \sum_{j=1}^n [(2\mu(u_j)u_j - u_{j,x}^2) m_i]_x - \sum_{j=1}^n (u_i u_{j,x} - u_j u_{i,x}) m_j,$$

where  $m_i = \mu(u_i) - u_{i,xx}$ ,  $1 \leq i \leq n$ . (Qu, Song, Yao, 2013, SIGMA)



## 2. Integrability

### ◇ Bi-Hamiltonian structure

The generalized  $\mu$ -CH equation (2) admits the bi-Hamiltonian structure

$$\frac{\partial m}{\partial t} = J \frac{\delta H_1}{\delta m} = K \frac{\delta H_2}{\delta m},$$

where

$$J = -k_1 \partial_x m \partial_x^{-1} m \partial_x - k_2 (m \partial_x + \partial_x m) - \frac{1}{2} \gamma u_x, \quad K = -\partial A = \partial_x^3$$

are compatible Hamiltonian operators, while

$$H_1 = \frac{1}{2} \int_{\mathbb{R}} u m dx,$$

and

$$H_2 = k_1 \int_{\mathbb{R}} (\mu^2(u)u^2 + \mu(u)uu_x^2 - \frac{1}{12}u_x^4 + 2\gamma u_x^2) dx \\ + k_2 \int_{\mathbb{R}} (\mu(u)u + \frac{1}{2}uu_x^2) dx$$

are the corresponding Hamiltonian functionals.

◇ The Lax-pair

Equation (2) has the following Lax-pair

$$\begin{pmatrix} \psi_1 \\ \psi_2 \end{pmatrix}_x = U(m, \lambda) \begin{pmatrix} \psi_1 \\ \psi_2 \end{pmatrix}, \quad \begin{pmatrix} \psi_1 \\ \psi_2 \end{pmatrix}_t = V(m, u, \lambda) \begin{pmatrix} \psi_1 \\ \psi_2 \end{pmatrix},$$

where  $U$  and  $V$  are given by, for  $\gamma = 0$

$$U(m, \lambda) = \begin{pmatrix} 0 & \lambda m \\ -k_1 \lambda m - k_2 \lambda & 0 \end{pmatrix},$$

and

$$V(m, u, \lambda) = \begin{pmatrix} -\frac{1}{2}k_2 u_x & -\frac{\mu(u)}{2\lambda} - k_1 \lambda (2\mu(u)u - u_x^2)m - k_2 \lambda u m \\ G & \frac{1}{2}k_2 u_x \end{pmatrix},$$

with

$$G = k_2 \left( \frac{1}{2\lambda} + \lambda k_2 u \right) + \frac{1}{2\lambda} k_1 \mu(u) + k_1^2 \lambda (2\mu(u)u - u_x^2)m \\ + k_1 k_2 \lambda (2\mu(u)u - u_x^2 - um)$$

and for  $\gamma \neq 0$

$$U(m, \lambda) = \begin{pmatrix} -\lambda\sqrt{-\frac{\gamma}{2}} & \lambda m \\ -k_1\lambda m - k_2\lambda & \lambda\sqrt{-\frac{\gamma}{2}} \end{pmatrix}, \quad V(m, u, \lambda) = \begin{pmatrix} A & B \\ G & -A \end{pmatrix},$$

with

$$A = \frac{1}{4}\sqrt{-2\gamma} \left( \lambda^{-1} + 2k_1\lambda(2u\mu(u) - u_x^2) + 2\lambda k_2 u \right) - \frac{1}{2}k_2 u_x,$$

$$B = -\frac{\mu}{2\lambda} + \frac{1}{2}\sqrt{-2\gamma}u_x - k_1\lambda(2u\mu(u) - u_x^2)m - \lambda k_2 u m.$$

◇ Conservation laws ( $\gamma = 0$ )

$$H_0 = \int_S u dx,$$

$$H_1 = \frac{1}{2} \int_S (\mu^2(u) + u_x^2) dx,$$

$$H_2 = k_1 \int_S \left( \mu^2(u)u^2 + \mu(u)uu_x^2 - \frac{1}{12}u_x^4 \right) dx + k_2 \int_S (\mu(u)u + \frac{1}{2}uu_x^2) dx,$$

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◇ Short capillary-gravity wave equation

Applying the scaling transformation

$$x \rightarrow \epsilon x, t \rightarrow \epsilon^{-1}t, u \rightarrow \epsilon^2 u$$

to (2) produces

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$$\begin{aligned}
 & (\epsilon^2 \mu(u) - u_{xx})_t + k_1((2\epsilon^2 u \mu(u) - u_x^2)(\epsilon^2 \mu(u) - u_{xx}))_x \\
 & + k_2(2u_x(\epsilon^2 \mu(u) - u_{xx}) + u(\epsilon^2 \mu(u) - u_{xx})_x) + \gamma u_x = 0.
 \end{aligned}$$

Expanding

$$u(t, x) = u_0(t, x) + \epsilon u_1(t, x) + \epsilon^2 u_2(t, x) + \dots$$

in the small parameter  $\epsilon$ , the leading order term  $u_0(t, x)$  satisfies

$$-u_{0,xx}t + k_1(u_{0,x}^2 u_{0,xx})_x - k_2(u_{0,x} u_{0,xx} + u_0 u_{0,xxx}) + \gamma u_{0,x} = 0.$$

Then  $v = u_{0,x}$  satisfies the integrable equation

$$v_{xt} - k_1 v_x^2 v_{xx} + k_2 (v v_{xx} + \frac{1}{2} v_x^2) - \gamma v = 0,$$

which describes asymptotic dynamics of a short capillary-gravity wave, where  $v(t, x)$  denotes the fluid velocity on the surface (Faquir, Manna, Neveu, 2007).

### 3. Preliminaries

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Consider the Cauchy problem

$$\begin{aligned} m_t + k_1(2\mu(u)u - u_x^2)m_x + k_2(2mu_x + um_x) &= 0, \quad t > 0, \quad x \in R, \\ u(0, x) = u_0(x), \quad m = \mu(u) - u_{xx}, \quad x &\in R, \\ u(t, x + 1) = u(t, x), \quad t \geq 0, \quad x &\in R. \end{aligned} \tag{5}$$

In the following, all space of functions are defined over  $S = R/Z$ .

**Definition 3.1** If  $u \in \mathcal{C}([0, T]; H^s) \cap \mathcal{C}^1([0, T]; H^{s-1})$  with  $s > \frac{5}{2}$  and some  $T > 0$  satisfies (5), then  $u$  is called a strong solution on  $[0, T]$ . If  $u$  is a strong solution on  $[0, T]$  for every  $T > 0$ , then it is called a global strong solution.

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Applying the inverse operator of  $A = \mu - \partial_x^2$  to equation (2) results in the equation

$$u_t + k_1 \left[ (2u\mu(u) - \frac{1}{3}u_x^2)u_x + \partial_x A^{-1}(2\mu^2(u)u + \mu(u)u_x^2) + \frac{1}{3}\mu(u_x^3) \right] + k_2 \left[ uu_x + A^{-1}\partial_x(2u\mu(u) + \frac{1}{2}u_x^2) \right] = 0.$$

The Green function of the operator  $A$  is (Lenells, Misiolek, Tiğlay, 2010)

$$g(x) = \frac{1}{2}\left(x - \frac{1}{2}\right)^2 + \frac{23}{24}.$$

Its derivative can be assigned to zero at  $x = 0$ , so one has

$$g_x(x) = \begin{cases} 0, & x = 0, \\ x - \frac{1}{2}, & 0 < x < 1. \end{cases}$$

The above formulation allows us to define a weak solution as follows.



**Definition 3.2** Given initial data  $u_0 \in W^{1,3}$ , the function  $u \in L^\infty([0, T), W^{1,3})$  is said to be a weak solution to the initial-value problem (5) if it satisfies the following identity:

$$\int_0^T \int_S [u \varphi_t + k_1(\mu(u)u^2 \varphi_x + \frac{1}{3}u_x^3 \varphi - g_x * (2\mu^2(u)u + \mu(u)u_x^2) \varphi - \frac{1}{3}\mu(u_x^3) \varphi) + k_2(\frac{1}{2}u^2 \varphi_x - g_x * (2u\mu(u) + \frac{1}{2}u_x^2) \varphi)] dx dt + \int_S u_0(x) \varphi(0, x) dx = 0,$$

for any smooth test function  $\varphi(t, x) \in C_c^\infty([0, T) \times S)$ . If  $u$  is a weak solution on  $[0, T)$  for every  $T > 0$ , then it is called a global weak solution.

A local well-posedness result is the following.

**Theorem 3.1** (Qu, Fu, Liu, 2014) Suppose that  $u_0 \in H^s(S)$  for  $s > 5/2$ . Then there exists  $T > 0$ , which depends only on  $\|u_0\|_{H^s}$ , such that problem (5) has a unique solution  $u(t, x)$  in the space  $C([0, T]; H^s(S)) \cap C^1([0, T]; H^{s-1}(S))$ . Moreover, the solution  $u$  depends continuously on the initial data  $u_0$  in the sense that the mapping of the initial data to the solution is continuous from the Sobolev space  $H^s$  to the space  $C([0, T]; H^s(S)) \cap C^1([0, T]; H^{s-1}(S))$ .

## 4. Stability of solitons of the g-KdV equation

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Consider the generalized KdV equation

$$u_t + u_{xxx} + (u^p)_x = 0, \quad (6)$$

for  $p > 1$  an integer, which, in the cases  $p = 2$  and  $p = 3$ , are the KdV equation and mKdV equation, respectively. The case  $p = 5$  is interesting due to the mass-critical property. For  $p > 1$ , it has the soliton

$$u(t, x) = c^{1/(p-1)} Q(c^{1/2}(x - x_0 - ct)) \quad (7)$$

where

$$Q(x) := \left( \frac{p+1}{2 \cosh^2\left(\frac{p-1}{2}x\right)} \right)^{1/(p-1)}$$

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is a positive, smooth, rapidly decreasing solution to the ODE

$$Q_{xx} + Q^p = Q.$$

Define the ground state curve

$$\Sigma = \{Q(\cdot - x_0) : x_0 \in R\} \subset H^1(R)$$

consisting of all translates of the ground state  $Q$ , then we see that  $u(t)$  stays close to  $\Sigma$  all  $t$ .

**Theorem 4.1** (Benjamin, 1972; Bona, 1975; Weinstein, 1986) Let  $1 < p < 5$ . If  $u_0 \in H^1(R)$  is such that  $\text{dist}_{H^1}(u_0, \Sigma)$  is sufficiently small (say less than  $\sigma$  for some small constant  $\sigma > 0$ ), and  $u$  is the solution to (6) with initial data  $u_0$ , then we have

$$\text{dist}_{H^1}(u(t), \Sigma) \lesssim \text{dist}_{H^1}(u_0, \Sigma)$$

for all  $t$ . Here we use  $X \lesssim Y$  or  $X = O(Y)$  to denote the estimate  $|X| \leq CY$  for some  $C$  that depends only on  $p$ , and  $X \sim$  as shorthand for  $X \lesssim Y \lesssim X$ .

**Proof.** Find a functional  $u \rightarrow L(u)$  on  $H^1$  with the following properties:

1. If  $u$  is an  $H^1$  solution to (6), then  $L(u(t))$  is non-increasing in  $t$ .
2.  $Q$  is a local minimizer of  $L$ , thus  $L(u) - L(Q) \geq 0$  for all  $u$  sufficiently close to  $Q$  in  $H^1$ .
3. Furthermore, the minimum is non-degenerate in the sense that  $L(u) - L(Q) \geq \|u - Q\|_{H^1}^2$ , for all  $u$  sufficiently close to  $Q$  in  $H^1$ .

## 5. Peaked solutions of (2)

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Recall that the single peakon and multi-peakons for  $\mu$ -CH equation and modified  $\mu$ -CH equation. Their single peakons are given respectively by

$$u(t, x) = \frac{12}{13}cg(x - ct),$$

(Khesin, Lenells, Tiglay, 2010, CMP) and

$$u(t, x) = \frac{2\sqrt{3c}}{5}g(x - ct),$$

(Qu, Fu, Liu, 2014, JFA) where

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$$g(x) = \frac{1}{2}(x - [x] - \frac{1}{2})^2 + \frac{23}{24}$$

with  $[x]$  denoting the largest integer part of  $x$ . Their multi-peakons are given by

$$u(t, x) = \sum_{i=1}^N p_i(t) g(x - q^i(t)), \quad (8)$$

where  $p_i(t)$  and  $q^i(t)$  satisfy the following ODE system respectively for the  $\mu$ -CH equation (Khesin, Lenells, Tiglay, 2010, CMP)

$$\begin{aligned} \dot{p}_i(t) &= - \sum_{j=1}^N p_i p_j g_x(q^i - q^j), \\ \dot{q}^i(t) &= \sum_{j=1}^N p_j g(q^i(t) - q^j(t)), \quad i = 1, 2, \dots, N, \end{aligned}$$

and for the modified  $\mu$ -CH equation (Qu, Fu, Liu, 2014, JFA)

$$\begin{aligned}\dot{p}_i(t) &= 0, \\ \dot{q}^i(t) &= \frac{1}{12} \left[ \sum_{j,k \neq i}^N (p_j + p_k)^2 + 25p_i^2 \right] + p_i \left[ \sum_{j \neq i}^N p_j \left( (q^i - q^j + \frac{1}{2}\lambda_{ij})^2 + \frac{49}{12} \right) \right] \\ &\quad + \sum_{j < k, j, k \neq i}^N p_j p_k (q^j - q^k + \epsilon_{jk})^2,\end{aligned}$$

where

$$\begin{aligned}\lambda_{ij} &= \begin{cases} 1, & i < j \\ -1, & i > j, \end{cases} \\ \epsilon_{jk} &= \begin{cases} 1, & k - j \geq 2 \\ 0, & k - j \leq 1, \end{cases}\end{aligned}\tag{9}$$

The existence of the single peakons of Eq.(2) is governed by the following result.



**Theorem 5.1.** For any  $c \geq -\frac{169k_2^2}{1200k_1}$ , equation (2) with  $\gamma = 0$  admits the peaked periodic-one traveling wave solution  $u_c = \phi_c(\xi)$ ,  $\xi = x - ct$ , where  $\phi_c(\xi)$  is given by

$$\phi_c(\xi) = a_{1,2} \left[ \frac{1}{2} \left( \xi - \frac{1}{2} \right)^2 + \frac{23}{24} \right] \quad (10)$$

with

$$a_{1,2} = \frac{-13k_2 \pm \sqrt{169k_2^2 + 1200ck_1}}{50k_1}, \quad k_1 \neq 0, \quad (11)$$

for  $\xi \in [-1/2, 1/2]$  and  $\phi(\xi)$  is extended periodically to the real line.

**Remark 4.1** Note that the equation is invariant under  $u \rightarrow -u$ ,  $k_2 \rightarrow -k_2$ . So it suffices to consider the peakon with amplitude  $a_1$ .

Furthermore, Eq.(2) admits the multi-peakons of the form (8), where  $p_i(t)$  and  $q^i(t)$ ,  $i = 1, 2, \dots, N$ , satisfy the following ODE system

$$\begin{aligned}
 \dot{p}_i + k_2 \sum_{j=1}^N p_i p_j (q^i - q^j - \frac{1}{2}) &= 0, \\
 \dot{q}_i - k_1 [ \frac{1}{12} ( \sum_{j,k \neq i} (p_j + p_k)^2 + 25 p_i^2 ) & \\
 - p_i ( \sum_{j \neq i} p_j (q^i - q^j)^2 + \frac{1}{2} \lambda_{ij} )^2 + \frac{49}{12} ) & \quad (12) \\
 - \sum_{j < k, j, k \neq i} p_j p_k (q^j - q^k + \epsilon_{jk})^2 ] & \\
 - k_2 \sum_{j=1}^N p_j ( \frac{1}{2} (q^i - q^j)^2 - \frac{1}{2} |q^i - q^j| + \frac{13}{12} ) &= 0.
 \end{aligned}$$

where  $\lambda_{ij}$  and  $\epsilon_{jk}$  are given by (9).

In particular, when  $N = 2$ , system (12) can be solved explicitly, which yields

$$\begin{aligned}
 p_1 &= \frac{ae^{b(t-t_0)}}{1 + e^{b(t-t_0)}}, & p_2 &= \frac{a}{1 + e^{b(t-t_0)}}, \\
 q^1 &= -\frac{k_1 a^2}{b} \left( \frac{1}{12} + \left( \frac{1}{2} - a_1 \right)^2 \right) \frac{1}{1 + e^{b(t-t_0)}} \\
 &\quad + \frac{a}{12} (23k_1 a + 6a_1(a_1 - 1)k_2 + 13k_2)(t - t_0) \\
 &\quad + \frac{a}{6b} (k_1 a - 3a_1(a_1 - 1)k_2) \ln(1 + e^{b(t-t_0)}) + c_1, \\
 q^2 &= q^1 + a,
 \end{aligned}$$

where  $a, a_1, b > 0$  and  $t_0$  are some constants.

## 6. Stability of peakons

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◇ Case 6.1.  $k_1 > 0, k_2 > 0$

**Theorem 6.1** (Qu, Zhang, Liu, Liu, 2014, ARMA)

Let  $c > 0$  and assume that  $\gamma = 0, k_1 > 0, k_2 > 0$ . For every  $\epsilon > 0$ , there is a  $\delta > 0$  such that if  $u \in C([0, T]; H^1(S))$  is a solution to (2) with

$$\|u(\cdot, 0) - \varphi_c\|_{H^1(S)} < \delta,$$

then

$$\|u(\cdot, t) - \varphi_c(\cdot - \xi(t))\|_{H^1(S)} < \epsilon \quad \text{for } t \in [0, T),$$

where  $\xi(t) \in \mathbb{R}$  is a point where  $u(\cdot + \frac{1}{2}, t)$  attains its maximum.

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**Lemma 6.1** The peakon  $\varphi_c(x)$  is continuous on  $S$  with peak at  $x = \pm\frac{1}{2}$ . The extrema of  $\varphi_c$  are

$$M_{\varphi_c} = \max_{x \in S} \{\varphi_c(x)\} = \varphi_c\left(\frac{1}{2}\right) = \frac{13}{12}H_0[\varphi_c],$$

$$m_{\varphi_c} = \min_{x \in S} \{\varphi_c(x)\} = \varphi_c(0) = \frac{23}{24}H_0[\varphi_c].$$

Moreover, we have

$$\lim_{x \uparrow \frac{1}{2}} \varphi_{c,x}(x) = \frac{1}{2}H_0[\varphi_c], \quad \lim_{x \uparrow -\frac{1}{2}} \varphi_{c,x}(x) = -\frac{1}{2}H_0[\varphi_c],$$

with

$$H_0[\varphi_c] = a_1, \quad H_1[\varphi_c] = \frac{13}{12}a_1^2, \quad H_2[\varphi_c] = \frac{1043}{960}k_1a_1^4 + \frac{47}{45}k_2a_1^3.$$

**Lemma 6.2** For every  $u \in H^1(S)$  and  $\xi \in R$ ,

$$H_1[u] - H_1[\varphi_c] = \|u - \varphi_c(\cdot - \xi)\|_\mu^2 + a_1(u(\xi + \frac{1}{2}) - M_{\varphi_c}).$$

**Lemma 6.3** For any function  $u \in H^1(S)$  with  $\mu(u) > 0$ , define the function

$$F_u : \{(M, m) \in R^2 : M \geq m\} \rightarrow R$$

by

$$\begin{aligned} & F_u(M, m) \\ &= \frac{4}{3}k_1(2M + m)H_0[u]H_1[u] - \frac{64}{45}k_1(M - m)(2H_0[u](M - m))^{\frac{3}{2}} \\ &+ \frac{4}{3}k_1(2M + m)H_0^3[u] - \frac{4}{3}k_1m(4M - m)H_0^2[u] + 2k_2(2m + M)H_0^2[u] \\ &+ 2k_2MH_1[u] - 4k_2MmH_0[u] - \frac{32}{15}k_2(M - m)^{\frac{5}{2}}\sqrt{2H_0[u]} - 4H_2[u]. \end{aligned}$$

Then it satisfies

$$F_u(M_u, m_u) \geq 0,$$

where  $M_u = \max_{x \in S} \{u(x)\}$  and  $m_u = \min_{x \in S} \{u(x)\}$ .

**Proof.** Introduce two functions

$$g(x) = \begin{cases} u_x + \sqrt{2\mu(u)(u - m)}, & \xi < x \leq \eta, \\ u_x - \sqrt{2\mu(u)(u - m)}, & \eta \leq x < \xi + 1. \end{cases} \quad (13)$$

and

$$h(x) = \begin{cases} k_1(\mu(u)u + \frac{1}{3}\sqrt{2\mu(u)(u - m)} u_x - \frac{1}{3}u_x^2) + k_2u, & \xi < x \leq \eta, \\ k_1(\mu(u)u - \frac{1}{3}\sqrt{2\mu(u)(u - m)} u_x - \frac{1}{3}u_x^2) + k_2u, & \eta \leq x < \xi + 1. \end{cases}$$

**Lemma 6.4** For the peakon  $\varphi_c$ , it holds that

$$\begin{aligned}F_{\varphi_c}(M_{\varphi_c}, m_{\varphi_c}) &= 0, & \frac{\partial F_{\varphi_c}}{\partial M}(M_{\varphi_c}, m_{\varphi_c}) &= 0, \\ \frac{\partial F_{\varphi_c}}{\partial m}(M_{\varphi_c}, m_{\varphi_c}) &= 0, & \frac{\partial^2 F_{\varphi_c}}{\partial M \partial m}(M_{\varphi_c}, m_{\varphi_c}) &= 0, \\ \frac{\partial^2 F_{\varphi_c}}{\partial M^2}(M_{\varphi_c}, m_{\varphi_c}) &= -\frac{16}{3}k_1 H_0^2[\varphi_c] - 4k_2 H_0[\varphi_c], \\ \frac{\partial^2 F_{\varphi_c}}{\partial m^2}(M_{\varphi_c}, m_{\varphi_c}) &= -\frac{8}{3}k_1 H_0^2[\varphi_c] - 4k_2 H_0[\varphi_c].\end{aligned}$$

Moreover,  $(M_{\varphi_c}, m_{\varphi_c})$  is the unique maximum of  $F_{\varphi_c}$ .



**Lemma 6.5** Let  $u \in C([0, T]; H^1(S))$  be a solution of (2). Given a small neighborhood  $U$  of  $(M_{\varphi_c}, m_{\varphi_c})$  in  $R^2$ , there exists a  $\delta > 0$  such that

$$(M_{u(t)}, m_{u(t)}) \in U \text{ for } t \in [0, T] \quad (14)$$

if  $\|u(\cdot, 0) - \varphi_c\|_{H^1(S)} < \delta$ .

Proof of Theorem 6.1: Let  $u \in C([0, T]; H^1(S))$  be a solution of (2) and suppose  $\epsilon > 0$  be given. Pick a neighborhood  $U$  of  $(M_{\varphi_c}, m_{\varphi_c})$  small enough such that  $|M - M_{\varphi_c}| < \frac{25k_1\epsilon^2}{-78k_2 + 6\sqrt{169k_2^2 + 1200ck_1}}$  if  $(M, m) \in U$ . Choose a  $\delta > 0$  as in Lemma 5.5 so that (14) holds. Taking a smaller  $\delta$  if necessary, we may assume that  $\mu(u) > 0$  and

$$|H_1[u] - H_1[\varphi_c]| < \frac{\epsilon^2}{6} \quad \text{if } \|u(\cdot, 0) - \varphi_c\|_{H^1(S)} < \delta.$$

Then, by Lemma 6.2, we get

$$\begin{aligned} & \|u(\cdot, t) - \varphi_c(\cdot - \xi(t))\|_{H^1(S)}^2 \\ & \leq 3\|u(\cdot, t) - \varphi_c(\cdot - \xi(t))\|_{\mu}^2 \\ & = 3(H_1[u] - H_1[\varphi_c]) + 3a_1(M_{\varphi_c} - M_{u(t)}) < \epsilon^2, \quad t \in [0, T), \end{aligned}$$

where  $\xi(t) \in R$  is any point where  $u(\xi(t) + \frac{1}{2}, t) = M_{u(t)}$ . Thus Theorem 5.1 is then proved.  $\square$

◇ Case 6.2.  $k_1 > 0, k_2 < 0$

**Theorem 6.2** Let  $k_1 > 0, k_2 < 0$ , and assume that  $c > 23k_2^2/(64k_1)$ . For every  $\epsilon > 0$ , there is a  $\delta > 0$  such that if  $u \in C([0, T]; H^1(S))$  is a solution to (2) with

$$\|u(\cdot, 0) - \varphi_c\|_{H^1(S)} < \delta,$$

then

$$\|u(\cdot, t) - \varphi_c(\cdot - \xi(t))\|_{H^1(S)} < \epsilon \quad \text{for } t \in [0, T),$$

where  $\xi(t) \in R$  is a point where  $u(\cdot + \frac{1}{2}, t)$  attains its maximum.

**Lemma 6.6.** For any function  $u \in H^1(S)$  with  $\mu(u) > 0$ ,  $k_1 > 0$ ,  $k_2 \leq 0$ , and  $4k_1\mu(u) + 3k_2 > 0$ , define the function

$$F_u : \{(M, m) \in R^2 : M \geq m\} \rightarrow R$$

by

$$\begin{aligned} F_u(M, m) = & \frac{1}{3}k_1(2M + m)H_0[u]H_1[u] - \frac{16}{45}k_1(M - m)^{\frac{5}{2}}(2H_0[u])^{\frac{3}{2}} \\ & + \frac{1}{3}k_1(2M + m)H_0^3[u] - \frac{1}{3}k_1m(4M - m)H_0^2[u] \quad (15) \\ & + \frac{1}{2}k_2(2m + M)H_0^2[u] + \frac{1}{2}k_2MH_1[u] - k_2MmH_0[u] \\ & - \frac{8}{15}k_2(M - m)^{\frac{5}{2}}\sqrt{2H_0[u]} - H_2[u]. \end{aligned}$$

Then it satisfies

$$F_u(M_u, m_u) \geq 0,$$

where  $M_u = \max_{x \in S} \{u(x)\}$  and  $m_u = \min_{x \in S} \{u(x)\}$ .

**Proof.** Let  $u \in H^1(S) \subset C(S)$  with  $\mu(u) > 0$ . Denote  $M = M_u = \max_{x \in S} \{u(x)\}$ ,  $m = m_u = \min_{x \in S} \{u(x)\}$ . Let  $\xi$  and  $\eta$  be such that  $u(\xi) = M$  and  $u(\eta) = m$ . Define

$$\begin{aligned} \tilde{H}_2[u] &= k_1 \int_S \left( \mu^2(u)(u - m)^2 + \mu(u)(u - m)u_x^2 - \frac{1}{12}u_x^4 \right) dx \\ &\quad + k_2 \int_S \left( \mu(u)(u - m)^2 + \frac{1}{2}(u - m)u_x^2 \right) dx \\ &\equiv k_1 \tilde{J}_1[u] + k_2 \tilde{J}_2[u], \end{aligned}$$

with

$$\begin{aligned} \tilde{J}_1[u] &= \int_S \left( \mu^2(u)(u - m)^2 + \mu(u)(u - m)u_x^2 - \frac{1}{12}u_x^4 \right) dx, \\ \tilde{J}_2[u] &= \int_S \left( \mu(u)(u - m)^2 + \frac{1}{2}(u - m)u_x^2 \right) dx. \end{aligned}$$

By the Cauchy inequality, we have the estimate

$$\tilde{J}_1[u] \leq \frac{4}{3}\mu(u)\tilde{J}_2[u]. \quad (16)$$

The equality holds if and only if  $u$  is the peakon of Eq. (2). On the other hand, a straightforward computation leads to

$$\begin{aligned} \tilde{J}_1[u] &= J_1[u] - mH_0^3[u] + m^2H_0[u]^2 - mH_0[u]H_1[u], \quad \text{and} \\ \tilde{H}_2[u] &= H_2[u] - k_1m(H_0^3[u] - mH_0^2[u] + H_0[u]H_1[u]) \\ &\quad - k_2m\left(\frac{3}{2}H_0^2[u] - mH_0[u] + \frac{1}{2}H_1[u]\right), \end{aligned}$$

where

$$J_1[u] = \int_S \left( \mu^2(u)u^2 + \mu(u)uu_x^2 - \frac{1}{12}u_x^4 \right) dx.$$

By virtue of the result in (Liu, Qu, Zhang, Phys. D, 2013), we have

$$\int \tilde{h}(x)g^2(x)dx = 4J_1[u] - 2mH_0^3[u] - 2mH_0[u]H_1[u] - \frac{8}{15}(m + 4M)(2H_0[u](M - m))^{\frac{3}{2}},$$

where

$$\tilde{h}(x) = \begin{cases} 2\mu(u)u + \frac{2}{3}\sqrt{2\mu(u)(u - m)} u_x - \frac{1}{3}u_x^2, & \xi < x \leq \eta, \\ 2\mu(u)u - \frac{2}{3}\sqrt{2\mu(u)(u - m)} u_x - \frac{1}{3}u_x^2, & \eta \leq x < \xi + 1, \end{cases}$$

and  $g(x)$  is given by (13). Notice that

$$\tilde{h}(x) \leq 2MH_0[u] + \frac{2}{3}(M - m)H_0[u] = \frac{2}{3}(4M - m)H_0[u].$$

It then follows that

$$\begin{aligned} 4J_1[u] &- 2mH_0^3[u] - 2mH_0[u]H_1[u] - \frac{8}{15}(m + 4M)(2H_0[u](M - m))^2 \\ &\leq \frac{2}{3}(4M - m)H_0[u](H_1[u] + H_0^2[u] - 2mH_0[u] \\ &\quad - \frac{4}{3H_0[u]}(2H_0[u](M - m))^{\frac{3}{2}}). \end{aligned}$$

Using this inequality and combining expressions, we can get (15). This completes the proof of the lemma.



## 7. Classification of the 2- $\mu$ -CH system

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In this section, we classify the system (4), specifically, we consider the following system:

$$\begin{aligned}m_t &= m(u_x + a_1v_x) + m_x(b_1u + c_1v) \\ &\quad + n(d_1u_x + f_1v_x) + n_x(g_1u + h_1v), \\ n_t &= n(v_x + a_2u_x) + n_x(b_2v + c_2u) \\ &\quad + m(d_2v_x + f_2u_x) + m_x(g_2v + h_2u),\end{aligned}\tag{17}$$

where  $m = \mu(u) - u_{xx}$ ,  $n = \mu(v) - v_{xx}$ ,  $a_i, b_i, c_i, d_i, f_i, g_i$ , and  $h_i, i = 1, 2$  are some constants.

---

- **Step 1.** Assume that system (4) possesses the weak solution

$$\int_S (\mu(u_t)\phi - u_t\phi_{xx})dx = \int_S (F_1\phi + F_2\phi_x + F_3\phi_{xx})dx,$$
$$\int_S (\mu(v_t)\phi - v_t\phi_{xx})dx = \int_S (G_1\phi + G_2\phi_x + G_3\phi_{xx})dx,$$

for some functions  $F_i(u, v, u_x, v_x)$ ,  $G_i(u, v, u_x, v_x)$ ,  $i = 1, 2, 3$  and  $\phi(t, x) \in C_0^\infty([0, +\infty) \times S)$ .

Then we find the constants satisfy

$$a_1 - c_1 = d_1 - g_1, \quad a_2 - c_2 = d_2 - g_2.$$

In this case,  $\mu(u)$  and  $\mu(v)$  are conserved.

- **Step 2.** Assume that system (4) admits two-peaked solutions of the form

$$\begin{aligned}u &= p_1(t)g(x - q_1(t)) + p_2(t)g(x - q_2(t)), \\v &= r_1(t)g(x - q_1(t)) + r_2(t)g(x - q_2(t)),\end{aligned}\tag{18}$$

where  $g(x) = \frac{1}{2}(x - [x] - \frac{1}{2})^2 + \frac{23}{24}$ , and  $[x]$  denotes the largest integer part of  $x$ , are the usual weak solutions in the sense of distribution, then the constants must satisfy

$$\begin{aligned}g_1 = h_1 = g_2 = h_2 = 0, \quad b_1 = c_2, \quad b_2 = c_1, \\a_1 - c_1 = d_1, \quad a_2 - c_2 = d_2.\end{aligned}$$

- **Step 3.** Assume that system (4) enjoys the  $H^1$ -conservation law

$$h_1[u] = \int_S (u_x^2 + v_x^2) dx.$$

then the constants satisfy

$$\begin{aligned} a_1 = a_2 = b_1 = b_2 = c_1 = c_2 = f_1 = f_2 &= \frac{1}{2}, \\ d_1 = d_2 = g_1 = g_2 = h_1 = h_2 &= 0. \end{aligned}$$

Hence system (4) reduces to (3).

We have shown that system (3) has the two-peaked solutions (18) with satisfying

$$\begin{aligned} p'_1 &= ((1 - b_1)p_1p_2 + (a_1 - c_1)(p_1r_2 + p_2r_1) \\ &\quad + f_1r_1r_2) \operatorname{sgn}(q_2 - q_1)(-|q_1 - q_2| + \frac{1}{2}), \\ p'_2 &= ((b_1 - 1)p_1p_2 + (c_1 - a_1)(p_1r_2 + p_2r_1) \\ &\quad - f_1r_1r_2) \operatorname{sgn}(q_2 - q_1)(-|q_1 - q_2| + \frac{1}{2}), \\ r'_1 &= ((1 - b_2)r_1r_2 + (a_2 - c_2)(p_1r_2 + p_2r_1) \\ &\quad + f_2p_1p_2) \operatorname{sgn}(q_2 - q_1)(-|q_1 - q_2| + \frac{1}{2}), \\ r'_2 &= ((b_2 - 1)r_1r_2 + (c_2 - a_2)(p_1r_2 + p_2r_1) \\ &\quad - f_2p_1p_2) \operatorname{sgn}(q_2 - q_1)(-|q_1 - q_2| + \frac{1}{2}), \end{aligned}$$

$$\begin{aligned}q'_1 &= -\frac{1}{2}(b_1p_2 + c_1r_2)(-|q_1 - q_2| + \frac{1}{2})^2 \\ &\quad -\frac{13}{12}(b_1p_1 + c_1r_1) - \frac{23}{24}(b_1p_2 + c_1r_2), \\ q'_2 &= -\frac{1}{2}(b_1p_1 + c_1r_1)(-|q_1 - q_2| + \frac{1}{2})^2 \\ &\quad -\frac{13}{12}(b_1p_2 + c_1r_2) - \frac{23}{24}(b_1p_1 + c_1r_1).\end{aligned}$$

## 8. Stability of peakons for the cubic systems

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Consider the following integrable two-component Novikov system

$$\begin{aligned}m_t + uv m_x + (2vu_x + uv_x)m &= 0, & m &= u - u_{xx}, \\n_t + uv n_x + (2uv_x + vu_x)n &= 0, & n &= v - v_{xx}.\end{aligned}\quad (19)$$

It has the peaked solutions

$$\begin{aligned}u(t, x) &= \varphi_c(x - ct) = ae^{-|x-ct|}, \\v(t, x) &= \psi_c(x - ct) = be^{-|x-ct|},\end{aligned}\quad (20)$$

where  $c = ab \neq 0$ , and the following conserved densities

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$$E_0[u, v] = \int_R (mn)^{\frac{1}{3}} dx,$$

$$E_u[u] = \int_R (u^2 + u_x^2) dx, \quad E_v[v] = \int_R (v^2 + v_x^2) dx,$$

$$H[u, v] = \int_R (uv + u_x v_x) dx$$

and

$$F[u, v] = \int_R \left( u^2 v^2 + \frac{1}{3} u^2 v_x^2 + \frac{1}{3} v^2 u_x^2 + \frac{4}{3} uv u_x v_x - \frac{1}{3} u_x^2 v_x^2 \right) dx,$$

while the corresponding three conserved quantities of Novikov equation are

$$H_0[u] = \int_R m^{\frac{2}{3}} dx, \quad E[u] = \int_R (u^2 + u_x^2) dx,$$

$$F[u] = \int_R \left( u^4 + 2u^2 u_x^2 - \frac{1}{3} u_x^4 \right) dx.$$



**Theorem 8.1.** (He, Liu, Qu, 2019) Let  $\varphi_c$  and  $\psi_c$  be the peaked solitons traveling with speed  $c = ab > 0$ . Then  $\varphi_c$  and  $\psi_c$  are orbitally stable in the following sense. Assume that  $u_0, v_0 \in H^s(\mathbb{R})$  for some  $s \geq 3$ ,  $0 \not\equiv (1 - \partial_x^2)u_0(x)$  and  $0 \not\equiv (1 - \partial_x^2)v_0(x)$  are nonnegative, and there is a  $\delta > 0$  such that

$$\|(u_0, v_0) - (\varphi_c, \psi_c)\|_{H^1(\mathbb{R}) \times H^1(\mathbb{R})} < \delta.$$

Then the corresponding solution  $(u(t, x), v(t, x))$  of the Cauchy problem for the two-component Novikov equations (19) with the initial data  $u(0, x) = u_0(x)$  and  $v(0, x) = v_0(x)$  satisfies

$$\sup_{t \in [0, T)} \|(u(t, \cdot), v(t, \cdot)) - (\varphi_c(\cdot - \xi(t)), \psi_c(\cdot - \xi(t)))\|_{H^1(\mathbb{R}) \times H^1(\mathbb{R})} < A\delta^{\frac{1}{4}},$$

where  $T > 0$  is the maximal existence time,  $\xi(t) \in R$  is the maximum point of the function  $u(t, x)v(t, x)$ , the constant  $A$  depends only on  $a$ ,  $b$  as well as the norms  $\|u_0\|_{H^s(R)}$  and  $\|v_0\|_{H^s(R)}$ .

On stability of the train of peakons, we have the following result.

**Theorem 8.2.** (He, Liu, Qu, 2019) Let be given  $N$  velocities  $c_1, c_2, \dots, c_N$  such that  $0 < a_1 < a_2 < \dots < a_N$ ,  $0 < b_1 < b_2 < \dots < b_N$  and  $c_i = a_i b_i$  for any  $i \in \{1, \dots, N\}$ . There exist  $A > 0$ ,  $L_0 > 0$  and  $\epsilon_0 > 0$  such that if the initial data  $(u_0, v_0) \in H^s(R) \times H^s(R)$  for some  $s \geq 3$  with  $0 \not\equiv (1 - \partial_x^2)u_0(x)$  and  $0 \not\equiv (1 - \partial_x^2)v_0(x)$  being nonnegative, satisfy

$$\left\| u_0 - \sum_{i=1}^N \varphi_c(\cdot - z_i^0) \right\|_{H^1} + \left\| v_0 - \sum_{i=1}^N \psi_c(\cdot - z_i^0) \right\|_{H^1} \leq \epsilon$$

for some  $0 < \epsilon < \epsilon_0$  and  $z_i^0 - z_i^0 \geq L$  with  $L > L_0$ , then there exist  $x_1(t), \dots, x_N(t)$  such that the corresponding strong solution  $(u(t, x), v(t, x))$  satisfies

$$\begin{aligned} & \left\| u(t, \cdot) - \sum_{i=1}^N \varphi_c(\cdot - x_i(t)) \right\|_{H^1} + \left\| v(t, \cdot) - \sum_{i=1}^N \psi_c(\cdot - x_i(t)) \right\|_{H^1} \\ & \leq A \left( \epsilon^{\frac{1}{4}} + L^{-\frac{1}{8}} \right), \end{aligned}$$

for all  $t \in [0, T)$ , where  $x_j(t) - x_{j-1}(t) > L/2$ .

## Conclusions and remarks

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- Orbitally stable of peaked solutions to (3) in the energy space  $H^1$ ?
- The classifications of the nonlocal equations with cubic nonlinear terms?

$$m_{l,t} + \sum_{i,j,k=1}^2 a_{i,j,k}^l u_i u_j m_{k,x} + \sum_{i,j,k=1}^2 b_{i,j,k}^l u_i u_{j,x} m_k = 0,$$

$l = 1, 2$ ,  $m_l = \mu(u_l) - u_{l,xx}$  or  $m_l = u_l - u_{l,xx}$ . (Zhao, Qu, 2019)

- Geometric formulations to the cubic-type equations?
  - Inverse scattering method for the  $\mu$ -type equations?
  - Nonlocal equations for the classical integrable systems?
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**Thank you!!!**

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