

Tensor Network Theory

An introduction to DMRG and MPS methods

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Dieter Jaksch

University of Oxford

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Lecture 1

Introduction to strong correlations, many-body problem, recap on essential linear algebra we will need later.

Lecture 2

Tensors and contractions, product states and variational principle, matrix product states (MPS), and their entanglement properties.

Lecture 3

The "calculus" of MPS, algorithms for their variational optimisation, and algorithms for time-evolution of MPS.

Lecture 4

Moving to finite temperatures, renormalisation approaches to tensor networks, extension to 2D with projected entangled pairs.







For us a "tensor" is nothing more than a multi-dimensional array of complex numbers. The number of indices an array has is called its "rank". Simplest tensors includes the very familiar ...

rank 0 = scalarsrank 1 = vectorsrank 2 = matrices
$$a$$
 $\vec{x} \rightarrow \begin{pmatrix} x_1 \\ x_2 \\ \vdots \\ x_n \end{pmatrix}$ $\mathbf{A} \rightarrow \begin{pmatrix} A_{11} & A_{12} & \cdots & A_{1n} \\ A_{21} & A_{22} & \cdots & A_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ A_{m1} & A_{m2} & \cdots & A_{mn} \end{pmatrix}$

Introduce a diagrammatic notation – "blobs and sticks":



Each leg of a tensor has a certain dimension, i.e. range of the index.



We won't be too concerned about how we precisely draw tensors:



However, we need to keep track of which leg is which and may label them or introduce a convention. For example:



Contraction: We can join tensors together by "contracting" legs together which are of the same dimension:





Contraction means multiply elements and sum. So in terms of tensor elements this contraction is simply:

$$i - A = x = i - y \qquad \sum_{j} A_{ij} x_j = y_i$$

or
$$A \vec{x} = \vec{y}$$

i.e. it reduces to matrix-vector multiplication. Likewise other linear
algebra operations have diagrams:
$$A - B = AB \qquad A = tr(A)$$

$$A - B = \vec{x}^T A \vec{x} \qquad e_i - A - e_j$$

$$= A_{ij}$$

$$= - U U^{\dagger} = 1$$



All of this generalises naturally to higher rank tensors. Consider the contraction of two rank-4 tensors: a_{I}



We will also often "reshape" tensors to lower or higher rank by combining or splitting legs.

Reshape rank-4 tensor into a matrix:

MATLAB command reshape (...)





Quantum states give a concrete (very relevant) example of higher rank tensors. Take three spin-1/2 particles:

$$\begin{aligned} |\psi\rangle &= c_1 |\uparrow\uparrow\uparrow\rangle + c_2 |\downarrow\uparrow\uparrow\rangle + c_3 |\uparrow\downarrow\uparrow\rangle + c_4 |\downarrow\downarrow\uparrow\rangle \\ &+ c_5 |\uparrow\uparrow\downarrow\rangle + c_6 |\downarrow\uparrow\downarrow\rangle + c_7 |\uparrow\downarrow\downarrow\rangle + c_8 |\downarrow\downarrow\downarrow\rangle \end{aligned}$$

Conventionally we represent this state as a vector, but can reshape:

 $2^3 \times 1$ vector

 $2 \times 2 \times 2$ array

rank-3 tensor





A tensor representation exposes each degree of freedom. Many QM calculations have simple diagrams ...

apply operator on spin 2: $1 \longrightarrow -z \longrightarrow 1 | a/a >$

$$\mathbb{I} \otimes \sigma^2 \otimes \mathbb{I} |\psi\rangle =$$

compute expectation value:

compute norm:



1/,*



compute reduced density matrix:

 $\begin{pmatrix} 1 \\ 0 \end{pmatrix}$

 $\begin{pmatrix} 0 \\ -1 \end{pmatrix}$

 $\rho_2 = \operatorname{tr}_{13}(|\psi\rangle\langle\psi|)$ =

= $\langle \psi | \mathbbm{1} \otimes \sigma^z \otimes \mathbbm{1} | \psi \rangle$

Our problem restated ...

What about *N* spins? Now represented by a rank-*N* tensor:



Since ψ is a **structureless** tensor any calculation we perform is forced to operate on exponentially many elements ...

The "curse of dimensionality" again

Even computing the norm is $\mathcal{O}(2^N)$



Punch line – we have to factorise this tensor into a network of smaller tensors with a physically motivated structure ...



We are confronted with an intractable problem because our tensor for an arbitrary state is *structureless* = exponentially large.



Physical states have structure (see shortly) – so we want to break-up this tensor into a network of smaller ones:

Contract pieces together to build a state:



But even with this we also need to be able to:

- find and time evolve our representation efficiently
- and then be able to **efficiently** calculate observables from it

Physical states

One might question whether our goal is even possible in principle – why should we be able to encode states so compactly?

Random states in Hilbert space are clearly not compressible.

However, we're interested in *physical* states, i.e. those arising as stationary states of lattice Hamiltonians with short-range 2body interactions, like:

$$\hat{H} = J \sum_{\langle i,j \rangle} \sigma_i^z \sigma_j^z + B \sum_i \sigma_i^x$$

Since \hat{H} is specified by a polynomial number of parameters in N a thermal states appears efficient:

$$\rho_{\beta} = \frac{1}{Z} e^{-\beta \hat{H}}$$

7

Alas, we can't efficiently evolve or compute observables from this.

We'll characterise physical states in more detail later ...





Let's start by taking to approach to its most extreme limit:

Slice up tensor into *N* pieces:



Since $|\varphi_j\rangle$ = $|\varphi_j\rangle$ this gives $|\psi\rangle = |\varphi_1\rangle \otimes |\varphi_2\rangle \otimes \cdots \otimes |\varphi_N\rangle$

This is a *product* state – it clearly **cannot** be exact. Parameter counting shows that we have gone from d^N to just dN amplitudes.

Yet, this quantum state origami makes calculations trivially easy:





However, this also shows that product states are very crude. Consider long-ranged correlations along a spin-chain:

We quantify the quantum correlation by computing:

$$C_{\ell}^{zz} = \langle \psi | \sigma_j^z \sigma_{j+\ell}^z | \psi \rangle - \langle \psi | \sigma_j^z | \psi \rangle \langle \psi | \sigma_{j+\ell}^z | \psi \rangle$$

But for product states this can never be anything but zero ...



Computing the ground state

Product states are a very commonly used approximation. So how do we find the "best" or "closest" such state to the exact ground state?



The problem is we **don't** know the exact GS. But we do know the Hamiltonian it comes from. For example an Ising spin system:

$$\hat{H} = -J \sum_{\langle i,j \rangle} \sigma_i^z \sigma_j^z + B \sum_j \sigma_j^z$$

and we can easily compute its expectation value for PS independent of dimension:



Variational principle

For this reason our strategy for finding the best product state approximation will be to apply the variational principle:

$$\begin{array}{ll} \text{Compute: } \epsilon(p) = \frac{\langle \psi(p) | \hat{H} | \psi(p) \rangle}{\langle \psi(p) | \psi(p) \rangle} \geq \epsilon_0 & \text{upper-bounds} \\ \text{exact GS energy} \end{array} \end{array}$$



Then minimise over parameter(s):

$$\epsilon_{\text{est}} = \epsilon(p_*) = \min_p \epsilon(p)$$

to get the "best" estimate.

This is a powerful principle we will exploit frequently for other more complex tensor networks. Let's see how it works here:



Assume translational invariance – we need only solve for one site by finding an effective Hamiltonian and Norm:



For obvious reasons this approach is called "mean-field" theory and we have seen this for two particles in two boxes.

Aside: lower energy = better?

Not quite. The variational principle is subtle. Consider three simple trial wave-functions for "simple hydrogen":



Having a lower energy **only** tells us that a given ansatz estimates **energy** better – it's no guarantee it does anything else better.



Matrix Product States

While useful product states miss out a lot of physics. Can we build a proper tensor network from them? Yes, lets add some new links:



For fixed χ we still only have a polynomial number of parameters. We can interpret each rank-3 tensor as a matrix indexed by the physical leg. For spins we would have:

Matrix Product States

By explicitly writing out all the contractions we arrive at:

$$|\psi\rangle = \sum_{\sigma_1, \sigma_2, \dots, \sigma_N} \mathbf{A}^{\sigma_1} \mathbf{A}^{\sigma_2} \cdots \mathbf{A}^{\sigma_N} |\sigma_1, \sigma_2, \dots, \sigma_N\rangle$$

 A matrices are different on every site

The amplitudes of the state are therefore parameterised by products of matrices which are collapsed to a scalar (hence the name MPS):

We can make all A tensors rank-3 by introducing "internal" boundary vectors giving instead: \vec{L}



$$\psi_{\sigma_1,\sigma_2,\ldots,\sigma_N} = \vec{L}^{\dagger} \mathbf{A}^{\sigma_1} \mathbf{A}^{\sigma_2} \cdots \mathbf{A}^{\sigma_{N-1}} \mathbf{A}^{\sigma_N} \vec{R}$$



Note that product states are just MPS with $\chi = 1$:

$$\begin{array}{c} \psi \rangle = |\varphi \rangle \otimes |\varphi \rangle \otimes \cdots \\ \downarrow \\ |\varphi \rangle = \alpha |\uparrow \rangle + \beta |\downarrow \rangle \end{array} \xrightarrow{\mathbf{A}^{\uparrow} = \alpha} \\ \mathbf{A}^{\downarrow} = \beta \end{array}$$

However, the purpose of introducing "internal" legs was to allow for correlations. Some simple examples show we now get this once $\chi>1$

AF-GHZ state:
$$|\Psi_{
m GHZ}
angle = |\uparrow\downarrow\uparrow\cdots\downarrow
angle + |\downarrow\uparrow\downarrow\cdots\uparrow
angle$$

Set all **A** tensors to: $\mathbf{A}^{\uparrow} = \sigma^{+} = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} \mathbf{A}^{\downarrow} = \sigma^{-} = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}$

Since $(\mathbf{A}^{\uparrow})^2 = (\mathbf{A}^{\downarrow})^2 = 0$ there are only two non-zero products: $\mathbf{A}^{\uparrow}\mathbf{A}^{\downarrow}\mathbf{A}^{\uparrow}\cdots\mathbf{A}^{\downarrow} = \sigma^{+}\sigma^{-}$ and $\mathbf{A}^{\downarrow}\mathbf{A}^{\uparrow}\mathbf{A}^{\downarrow}\cdots\mathbf{A}^{\uparrow} = \sigma^{-}\sigma^{+}$

The AF-GHZ state is then obtained by using:
$$\vec{L} = \vec{R} = \begin{pmatrix} 1 \\ 1 \end{pmatrix}$$

 $|\Psi_{\text{GHZ}}\rangle = \vec{L}^{\dagger}\sigma^{+}\sigma^{-}\vec{R} | \uparrow \downarrow \uparrow \cdots \downarrow \rangle + \vec{L}^{\dagger}\sigma^{-}\sigma^{+}\vec{R} | \downarrow \uparrow \downarrow \cdots \uparrow \rangle$
This state has *infinite-ranged* correlations since $C_{\ell}^{zz} = (-1)^{\ell} \forall \ell$.
W state: $|\Psi_{\text{W}}\rangle = | \downarrow \uparrow \uparrow \cdots \uparrow \rangle + | \uparrow \downarrow \uparrow \cdots \uparrow \rangle + \cdots + | \uparrow \uparrow \uparrow \cdots \downarrow \rangle$
Set all **A**
tensors to: $\mathbf{A}^{\uparrow} = \mathbf{1} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \quad \mathbf{A}^{\downarrow} = \sigma^{-} = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}$
Since $(\mathbf{A}^{\downarrow})^{2} = 0$ only $N + 1$ products of matrices are non-zero:

$$\mathbf{A}^{\uparrow}\mathbf{A}^{\uparrow}\mathbf{A}^{\uparrow}\cdots\mathbf{A}^{\uparrow} = 1 \quad \text{and} \quad \mathbf{A}^{\downarrow}\mathbf{A}^{\uparrow}\mathbf{A}^{\uparrow}\cdots\mathbf{A}^{\uparrow} = \sigma^{-} + \text{translates}$$

The W state is then obtained by using: $|\Psi_{\rm W}\rangle = \overbrace{\vec{L}^{\dagger}\vec{R}}^{=0} |\uparrow\uparrow\uparrow\cdots\uparrow\rangle + \overbrace{\vec{L}^{\dagger}\sigma^{-}\vec{R}}^{=1} |\downarrow\uparrow\uparrow\cdots\uparrow\rangle + \dots$

Another perspective: view the rank-3 tensors as a matrix of states:

$$\begin{array}{cccc} A^{\sigma}_{\alpha,\beta} & \mathbf{A}^{\sigma} & \mathbf{A} & \mathbf{A} \\ \alpha & & & \\ & & & \\ \sigma & & & \\ \sigma & & & \\ \sigma & & & \\ \end{array} = \begin{array}{c} \begin{pmatrix} 0 & 0 \\ 1 & 0 \\ 1 & 0 \\ 0 & 1 \end{array} \end{pmatrix} = \begin{pmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix} & 0 \\ \\ \begin{pmatrix} 0 \\ 1 \end{pmatrix} & \begin{pmatrix} 1 \\ 0 \end{pmatrix} \end{array} = \begin{pmatrix} |\uparrow\rangle & 0 \\ |\downarrow\rangle & |\uparrow\rangle \end{array} \right)$$

Matrix multiplication (contraction) yields Kronecker product of states:

$$\mathbf{A} \times \mathbf{A} = \begin{pmatrix} |\uparrow\rangle \otimes |\uparrow\rangle & 0 \\ |\downarrow\rangle \otimes |\uparrow\rangle + |\uparrow\rangle \otimes |\downarrow\rangle & |\uparrow\rangle \otimes |\uparrow\rangle \end{pmatrix}$$

Full state is then just: $|\Psi_{W}\rangle = \vec{L}^{\dagger} \mathbf{A} \mathbf{A} \cdots \mathbf{A} \vec{R}$, a useful trick.

Adding two MPS

Consider two MPS of dimension χ_1 and χ_2 respectively:

$$|\psi\rangle = \sum_{\vec{\sigma}} \mathbf{A}^{\sigma_1} \mathbf{A}^{\sigma_2} \cdots \mathbf{A}^{\sigma_N} |\vec{\sigma}\rangle \qquad |\phi\rangle = \sum_{\vec{\sigma}} \mathbf{B}^{\sigma_1} \mathbf{B}^{\sigma_2} \cdots \mathbf{B}^{\sigma_N} |\vec{\sigma}\rangle$$

We can form the MPS of their superposition by embedding their matrices in the bulk into a large matrix:

$$|\theta\rangle = \alpha |\psi\rangle + \beta |\phi\rangle \rightarrow \mathbf{C}^{\sigma} = \mathbf{A}^{\sigma} \oplus \mathbf{B}^{\sigma} = \begin{pmatrix} \mathbf{A}^{\sigma} & \mathbf{0} \\ \mathbf{0} & \mathbf{B}^{\sigma} \end{pmatrix}$$

and boundaries (vectors) as: $\mathbf{C}^{\sigma_1} = (\alpha \mathbf{A}^{\sigma_1} \ \beta \mathbf{B}^{\sigma_1})$

$$\mathbf{C}^{\sigma_N} = \left(egin{array}{c} \mathbf{A}^{\sigma_N} \ \mathbf{B}^{\sigma_N} \end{array}
ight)$$

This MPS for $|\theta\rangle$ therefore has dimension $\chi_3 = \chi_1 + \chi_2$, i.e. it has enlarged, but it is also usually sub-optimal (see later).

Thus the family of MPS with a dimension χ do not form a subspace.

Sequential generation

What about MPS with larger χ ? Consider the following quantum circuit for *N d*-level systems and one χ -level ancilla:



The ancilla interacts via a unitary gate $V^{[j]}$ sequentially with each d-level system in turn giving a "staircase circuit". We then project out the ancilla in the state $|\Theta_f\rangle$ leaving the *N* d-level systems in some state $|\Psi\rangle$. This state is a χ dimensional MPS ...



We can see this by rearranging the circuit into a tensor network:



conveyer of atoms

Ancilla carries correlations from one site to the next. It's ability to do so is heavily influenced by its dimension. This process can even give a physical construction of MPS:





To fully understand MPS, i.e. where it will work and fail, we need to unravel its correlations in terms of entanglement. Take a system of spins in some state $|\psi\rangle$...





Remember D is diagonal

Now SVD this matrix: $D_{\alpha\alpha} = \lambda_{\alpha}$ $i - \psi - j = i - U - \alpha D - \alpha V^{\dagger} - j$

This operation "Schmidt decomposes" the state:

$$\begin{split} |\psi\rangle &= \sum_{ij} \left(\sum_{\alpha=1}^{r} U_{i\alpha} D_{\alpha\alpha} (V^{\dagger})_{\alpha j} \right) |i\rangle_{A} |j\rangle_{B} \\ |\psi\rangle &= \sum_{\alpha=1}^{r} \lambda_{\alpha} \left(\sum_{i} U_{i\alpha} |i\rangle_{A} \right) \left(\sum_{j} (V^{\dagger})_{\alpha j} |j\rangle_{B} \right) \\ |\psi\rangle &= \sum_{\alpha=1}^{r} \lambda_{\alpha} |\phi_{\alpha}\rangle_{A} |\phi_{\alpha}\rangle_{B} \end{split}$$
 Schmidt bases =

 λ_{α} = Schmidt coefficients $\sum_{\alpha=1}^{r} \lambda_{\alpha}^2 = 1$ Schmidt rank = $r = \min(\dim(A), \dim(B))$



How are quantum correlations between **A** and **B** exposed? Compute reduced density operators: $a_{1} = tr = (|a/t)/|a/t| = \frac{1}{2}$



When r > 1 ρ_A is mixed (despite $|\psi\rangle$ being pure). The more uncertain ρ_A the more entangled $|\psi\rangle$ is. Quantify this via an entropy:

von Neumann entropy:
$$S(\rho) = -\operatorname{tr}(\rho \log(\rho))$$

Shannon entropy of λ_{α}^2 : $S(\rho_A) = S(\rho_B) = -\sum_{\alpha=1}^r \lambda_{\alpha}^2 \log(\lambda_{\alpha}^2)$



Exact MPS for any state

If we allow the dimension χ of an MPS to vary as needed then any state can be represented exactly. Take an arbitrary state $|\psi\rangle$:



Keep peeling off physical legs and doing SVD ...

Yields an MPS tensor network. Internal dimensions = Schmidt ranks = entanglement.

But, the dimension of the internal legs (e.g. in the centre) can scale exponentially with *N* – we've gain nothing so far ...



Physical states/boundary laws

We now come to an important observation about physical states. Suppose we have a Hamiltonian of the form:

$$\hat{H} = \sum_{j} \hat{h}_{j}$$

where \hat{h}_j acts only on a finite number of sites or spins (usually 2) that are geometrically local (usually nearest-neighbour) then ...





Pick any region **A** then we find that for the ground state $|\psi_0\rangle$:

 $S(\rho_A) \sim |\partial A|$

The entanglement between **A** and the rest scales with the boundary

Contrast this to entropies in stat. mech. which scale with |A|.

Physical states/boundary laws

Intuitively a boundary law means that entanglement, and so correlations, between a region and the rest is concentrated at their interface. In 1D this is particularly constraining ...

Obeying the boundary law means that $S(\rho_A) \sim \text{const.}$ for any ℓ .

Beyond numerical evidence the boundary law has been proven for:

- Any gapped 1D Hamiltonian with unique GS.
- For gapped free bosonic/fermionic models in any dimension.

Even critical gapless systems in 1D, which violate the boundary-law, do so "gently" as:

$$S(\rho_A) \sim \log(\ell)$$



Physical states/boundary laws

A consequence of the boundary law is that the Schmidt coefficients for such states decay very quickly with the index α :



This indicates that in 1D GS and lowlying excitations are only very weakly entangled with only a few relevant degrees of freedom.

We can truncate the rank *r* for every bipartition without any significant loss of accuracy: $|||\psi\rangle - |\psi_{\chi}\rangle||_{2}^{2} \leq 2\sum_{j=1}^{N-1} \left[\sum_{\alpha=\chi+1}^{r_{j}} (\lambda_{\alpha}^{[j]})^{2}\right]$

The *locality* of physical states means they occupy an exponentially small "corner" of the many-body Hilbert space:

Tensor-networks try to encode this corner ...



Projected entangled pairs

The connection between MPS and the boundary law is best exposed by considering the projected entangled pairs construction:

Introduce a pair of χ -level ancilla for each physical site:

Maximally entangle neighbouring ancilla:

$$|\Phi\rangle = \frac{1}{\sqrt{\chi}} \sum_{j=1}^{\chi} |j\rangle |j\rangle$$

Resulting state exactly embodies the boundary law. Bipartition the system anywhere:

we will always cut through two bonds so $S(\rho_A) = 2\log(\chi)$ and so is constant.

This state is a bit artificial, but it can be used to generate MPS ...

Projected entangled pairs

Let us apply a *projector* which maps $\mathbb{C}^{\chi} \otimes \mathbb{C}^{\chi} \mapsto \mathbb{C}^d$ the on-site pair of ancilla to the proper physical site: Diagrammatically:

Putting this together we can identify our rank-3 **A** tensor as the projector glued together by entangled pairs:

What about boundaries?

Can generalise to 2D (see later):

 $\vec{L} \quad \vec{R} \quad \vec{R}$ OBC as before $= \vec{L}^{\dagger} \mathbf{A} \mathbf{A} \cdots \mathbf{A} \vec{R} \quad = \operatorname{tr}(\mathbf{A} \mathbf{A} \cdots \mathbf{A})$



The PEPS construction can give exact ground state. Here is a famous example for a spin-1 chain: $\hat{H} = \sum_{j} \mathbf{S}_{j} \cdot \mathbf{S}_{j+1} + \frac{1}{3} (\mathbf{S}_{j} \cdot \mathbf{S}_{j+1})^{2}$

$$|\Phi\rangle = \frac{1}{\sqrt{2}}(|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle) = \frac{1}{\sqrt{2}}\begin{pmatrix}0\\1\end{pmatrix}$$

$$= \frac{1}{\sqrt{2}}(|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle) = \frac{1}{\sqrt{2}}\begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$$
 pairs into spin 2 subspace, so
 $\hat{H}|\psi_0\rangle = 0$
GS given by the projection of shared singlets
into the triplet subspace:

is a sum of projectors of spin 1

$$\mathbf{P}^{+} = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} \mathbf{P}^{0} = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \mathbf{P}^{-} = \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}$$

Merge (and normalise): $|\Psi_{AKLT}\rangle = \sum_{\vec{\sigma}} \operatorname{tr}(\mathbf{A}^{\sigma_1} \mathbf{A}^{\sigma_2} \cdots \mathbf{A}^{\sigma_N}) |\vec{\sigma}\rangle$

MPS with tensors:
$$\mathbf{A}^+ = \sqrt{\frac{2}{3}}\sigma^+$$
 $\mathbf{A}^0 = -\sqrt{\frac{1}{3}}\sigma^z$ $\mathbf{A}^- = -\sqrt{\frac{2}{3}}\sigma^-$

First exactly solved system with characteristics of a Haldane phase, e.g. gapped GS with finite correlation length (see problem class).