# Ground states of spinor Bose-Einstein condensate

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#### Bose-Einstein condensate Spinor Bose-Einstein condensates

### **Bose-Einstein Condensation**

- Bose-Einstein condensation (BEC) is a state where the bosons collapse into the lowest quantum state near temperature absolute zero.
- Predicted by Satyendra Nath Bose and Albert Einstein in 1924-1925
- First experiments in 1995, *Science 269 (E. Cornell and C. Wieman et al.*, <sup>87</sup>*Rb JILA)*, *PRL 75 (Ketterle et al.*, <sup>23</sup>*Na MIT ) and PRL 75 (Hulet et al.*, <sup>7</sup>*Li Rice)*.



### Spinor condensates

- Magnetic trap:
  - internal degree of freedom frozen
  - scalar order parameter
- Optical trap:
  - internal degree of freedom released
  - allow different angular momentum
  - magnetism and superfluidity
  - spin-F BEC, 2F + 1 hyperfine states, vector order parameter

#### Bose-Einstein condensate Spinor Bose-Einstein condensates

# Pseudo spin-1/2 BEC

- Binary BEC can be used as a model producing coherent atomic beams ( J. Schneider, Appl. Phys. B, 69 (1999))
- First experiment concerning with the binary BEC was performed in JILA with with  $|F = 2, m_f = 2\rangle$  and  $|1, -1\rangle$  spin states of <sup>87</sup>Rb. (*C. J. Myatt et al.*,*Phys. Rev. Lett.*, 78 (1997))



## Spin-orbit coupling in spin-1/2

- Interaction of a particle's spin with its motion
- fine structure of Hydrogen
- Electron: orbital angular momentum (generates magnetic field), interacts with the electron spin magnetic moment (internal Zeeman effect)
- Crucial for quantum-Hall effects, topological insulators
- Major experimental breakthrough in 2011, Lin et al. have created a SO coupled BEC, <sup>85</sup>Rb:  $|\uparrow\rangle = |F = 1, m_f = 0\rangle$  and  $|\downarrow\rangle = |F = 1, m_f = -1\rangle$ .
- SO coupling in cold atoms have been hot topics in recent years

# spin-1/2 BEC

• Coupled Gross-Pitaevskii equations (re-scaled):  $\Psi := (\psi_1(\mathbf{x}, t), \psi_2(\mathbf{x}, t))^T$ ,  $\mathbf{x} \in \mathbb{R}^d$  in d dimensional spaces

$$\begin{split} i\partial_t \psi_1 &= \left[ -\frac{1}{2} \nabla^2 + V_1 + \frac{\delta}{2} + (\beta_{11} |\psi_1|^2 + \beta_{12} |\psi_2|^2) \right] \psi_1 + \frac{\Omega}{2} \psi_2, \\ i\partial_t \psi_2 &= \left[ -\frac{1}{2} \nabla^2 + V_2 - \frac{\delta}{2} + (\beta_{21} |\psi_1|^2 + \beta_{22} |\psi_2|^2) \right] \psi_2 + \frac{\Omega}{2} \psi_1, \end{split}$$

- Trapping potential:  $V_j(\mathbf{x}) = \frac{1}{2}(\gamma_x^2 x^2 + \gamma_y^2 y^2 + \gamma_z^2 z^2)$  (j = 1, 2) for 3D case
- Interaction constants:  $\beta_{jl}$  between *j*-th and *l*-th component (positive for repulsive and negative for attractive )
- Ω: Rabi frequency (internal Josephson junction)
- $\delta$ : detuning constant for Raman transition

# Conserved quantities

• Mass:

$$N(t) := \|\Psi(\cdot, t)\|^2 = \int_{\mathbb{R}^d} [|\psi_1(\mathbf{x}, t)|^2 + |\psi_2(\mathbf{x}, t)|^2] d\mathbf{x} \equiv N(0) = 1,$$

• Energy per particle

$$\begin{split} E(\Psi) &= \int_{\mathbb{R}^d} \left[ \sum_{j=1}^2 \left( \frac{1}{2} |\nabla \psi_j|^2 + V_j(\mathbf{x}) |\psi_j|^2 \right) + \frac{\delta}{2} \left( |\psi_1|^2 - |\psi_2|^2 \right) \right. \\ &+ \Omega \operatorname{Re}(\psi_1 \overline{\psi}_2) + \frac{\beta_{11}}{2} |\psi_1|^4 + \frac{\beta_{22}}{2} |\psi_2|^4 + \beta_{12} |\psi_1|^2 |\psi_2|^2 \right] d\mathbf{x} \end{split}$$

• Ground state patterns

# Ground States

• Nonconvex minimization problem

$$E_g := E(\Phi_g) = \min_{\Phi \in S} E(\Phi),$$

and

$$\mathcal{S} := \left\{ \Phi = (\phi_1, \phi_2)^{\mathcal{T}} \in \mathcal{H}^1(\mathbb{R}^d)^2 \mid \|\Phi\|^2 = 1, E(\Phi) < \infty 
ight\}$$

• Nonlinear Eigenvalue problem (Euler-Lagrange eq.)

$$\begin{split} \mu\phi_1 &= \left[ -\frac{1}{2} \nabla^2 + V_1(\mathbf{x}) + \frac{\delta}{2} + (\beta_{11}|\phi_1|^2 + \beta_{12}|\phi_2|^2) \right] \phi_1 + \frac{\Omega}{2} \phi_2, \\ \mu\phi_2 &= \left[ -\frac{1}{2} \nabla^2 + V_2(\mathbf{x}) - \frac{\delta}{2} + (\beta_{12}|\phi_1|^2 + \beta_{22}|\phi_2|^2) \right] \phi_2 + \frac{\Omega}{2} \phi_1, \end{split}$$

• Chemical potential  $\mu$ :

$$\mu = \mu = E(\Phi) + \int_{\mathbb{R}^d} \left( \frac{\beta_{11}}{2} |\phi_1|^4 + \frac{\beta_{22}}{2} |\phi_2|^4 + \beta_{12} |\phi_1|^2 |\phi_2|^2 \right) \, d\mathbf{x}.$$

### Theorem

Under condition  $\lim_{|x|\to\infty} V(x) = \infty$ ,  $\begin{pmatrix} \beta_{11} & \beta_{12} \\ \beta_{12} & \beta_{22} \end{pmatrix}$  is positive definite. There exists minimizers, i.e., the ground state  $(\psi_1^g, \psi_2^g)$  exists, and  $(|\psi_1^g|, |\psi_2^g|)$  is unique. Moreover,  $(\psi_1^g, \psi_2^g) = (e^{i\theta_1}|\psi_1^g|, e^{i\theta_2}|\psi_2^g|)$ , where

• if 
$$\Omega > 0$$
,  $heta_1 - heta_2 = \pm \pi$ 

• *if* 
$$\Omega < 0$$
,  $\theta_1 - \theta_2 = 0$ 

### Limiting behavior

### Theorem

Let  $(\phi_1^g, \phi_2^g)$  be the ground state of CGPEs. As  $\Omega \to -\infty$ , we have

$$\phi_1^g - \phi_2^g \to 0, \quad j = 1, 2.$$

### Theorem

Let  $(\phi_1^g, \phi_2^g)$  be the ground state of CGPEs. As  $\delta \to -\infty$ , we have

$$\phi_2^g \to 0.$$

### Gradient Flow Discrete Normalized (GFDN)

• Numerical methods for computing the ground state (W. Bao& Q. Du 2004; W. Bao, Z. Wen & X. Wu 2017; I. Danaila& P. Kazemi 2010; X. Antoine, A. Levitt& Q. Tang 2016)

$$\begin{cases} \frac{\partial \phi_1}{\partial t} = \frac{1}{2} \Delta \phi_1 - V(x) \phi_1 - (\beta_{11} |\phi_1|^2 + \beta_{12} |\phi_2|^2) \phi_1 - \lambda \phi_2 \\ - \delta \phi_1, \quad t_n < t < t_{n+1}, \\ \frac{\partial \phi_2}{\partial t} = \frac{1}{2} \Delta \phi_2 - V(x) \phi_2 - (\beta_{12} |\phi_1|^2 + \beta_{22} |\phi_2|^2) \phi_2 - \lambda \phi_1, \\ t_n < t < t_{n+1}, \\ \phi_1(x, t_{n+1}) \triangleq \phi_1(x, t_{n+1}^+) = \frac{\phi_1(x, t_{n+1}^-)}{(\|\phi_1(\cdot, t_{n+1}^-)\|_2^2 + \|\phi_2(\cdot, t_{n+1}^-)\|_2^2)^{1/2}}, \\ \phi_2(x, t_{n+1}) \triangleq \phi_2(x, t_{n+1}^+) = \frac{\phi_2(x, t_{n+1}^-)}{(\|\phi_1(\cdot, t_{n+1}^-)\|_2^2 + \|\phi_2(\cdot, t_{n+1}^-)\|_2^2)^{1/2}} \\ \phi_1(x, 0) = \phi_1^0(x), \quad \phi_2(x, 0) = \phi_2^0(x). \end{cases}$$

 $\phi_{1,2}(x,t_n^{\pm}) = \lim_{t \to t_n^{\pm}} \phi_{1,2}(x,t) \|\phi_1^0\|_2^2 + \|\phi_2^0\|_2^2 = 1$ 

### **DNGF** Continued

- Step 1: Apply steepest descent method to unconstrained problem
- Step 2: Project back to satisfy the constraint

Remark: On the projection step, how to determine the projection parameter.

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# Continuous Normalized Gradient Flow

### DNGF is a splitting scheme for

$$\begin{cases} \frac{\partial \phi_1}{\partial t} = \frac{1}{2} \Delta \phi_1 - V(x) \phi_1 - (\beta_{11} |\phi_1|^2 + \beta_{12} |\phi_2|^2) \phi_1 \\ -\lambda \phi_2 - \delta \phi_1 + \mu(\phi_1, \phi_2, t) \phi_1, \\ \frac{\partial \phi_2}{\partial t} = \frac{1}{2} \Delta \phi_2 - V(x) \phi_2 - (\beta_{12} |\phi_1|^2 + \beta_{22} |\phi_2|^2) \phi_2 \\ -\lambda \phi_1 + \mu(\phi_1, \phi_2, t) \phi_2, \end{cases}$$

by choosing  $\mu(\phi_1,\phi_2,t)$  properly

$$\int \left|\Phi(x,t)\right|^2 dx = \int \left|\Phi(x,0)\right|^2 dx$$

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$$E(\Phi(\cdot, t_2)) \leq E(\Phi(\cdot, t_1)), \quad t_1 < t_2,$$

projection step is equivalent to solve

$$\partial_t \phi_j = \mu(\phi_1, \phi_2, t) \phi_j, \quad j = 1, 2$$





### Phase separation

**Property** Let  $\beta_{12} \to +\infty$ , the phase of two components of the ground state  $\Phi_g = (\phi_1^g, \phi_2^g)^T$  will be segregated, i.e.  $\Phi_g$  will converge to a state such that  $\phi_1^g \cdot \phi_2^g = 0$ .

### Phase separation

• Repulsive interactions only:

$$\begin{split} E(\phi_1,\phi_2) &= \int \frac{1}{2} |\nabla \phi_1|^2 + \frac{1}{2} |\nabla \phi_2|^2 + \frac{\beta_{11}}{2} |\phi_1|^4 \\ &+ \frac{\beta_{22}}{2} |\phi_2|^4 + \beta_{12} |\phi_1|^2 |\phi_2|^2 \end{split}$$

- Homogeneous case:  $\beta_{11}\beta_{22} \geq \beta_{12}^2$  mixed; otherwise separated
- Nonhomogeneous case?

- $\beta_{11} = \beta_{22}$ , box potential (width L)
- mixing factor:  $\eta = 2 \int \phi_1 \phi_2$



• Exist  $\beta_c > \beta$ , when  $\beta_{12} \leq \beta_c$ ,  $\eta = 1$ 

• proof by Fundamental gap+elliptic estimates

### Fundamental gap

- consider linear case  $-\Delta + V(\mathbf{x})$ ,  $\mathbf{x} \in U \subset \mathbb{R}^d$  (U compact convex)<sup>1</sup> with Dirichlet boundary conditions
- eigenvalues  $\lambda_0 < \lambda_1 \leq \lambda_2 \leq \cdots$ , eigenfunctions  $\{\phi_k\}_{k=0}^{\infty}$

$$\Delta \phi_k - V(\mathbf{x})\phi_k + \lambda_k \phi_k = 0, \quad \phi_k|_{\partial U} = 0$$

- fundamental gap :=  $\lambda_1 \lambda_0$
- Gap conjecture: Let U be a bounded convex domain with diameter D, V(x) be convex, then the fundamental gap

$$\lambda_1 - \lambda_0 \geq \frac{3\pi^2}{D^2}$$

<sup>&</sup>lt;sup>1</sup>B. Andrews AND J. Clutterbuck, JAMS, 2011

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# Spin-1 BEC

- Order parameter  $\Psi = (\psi_1, \psi_0, \psi_{-1})$
- Spin-1 GPE

$$i\partial_t \Psi = [H + \beta_0 \rho - p \mathbf{f}_z + q \mathbf{f}_z^2 + \beta_1 \mathbf{F} \cdot \mathbf{f}] \Psi,$$

• 
$$\mathbf{F} = (F_x, F_y, F_z)^T = (\Psi^* f_x \Psi, \Psi^* f_y \Psi, \Psi^* f_z \Psi)^T$$

• spin-1 matrices  $\mathbf{f} = (f_x, f_y, f_z)^T$  as

$$\mathbf{f}_x = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}, \quad \mathbf{f}_y = \frac{i}{\sqrt{2}} \begin{pmatrix} 0 & -1 & 0 \\ 1 & 0 & -1 \\ 0 & 1 & 0 \end{pmatrix}, \quad \mathbf{f}_z = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & -1 \end{pmatrix}$$

- $H = -\frac{1}{2}\nabla^2 + V(\mathbf{x}), \ \rho = |\Psi|^2 = \sum_{l=-1}^{1} |\psi_l|^2$
- p and  $q = \frac{q_0}{\hbar\omega_e}$  are the linear and quadratic Zeeman terms.

• 
$$\beta_0 = \frac{Nc_0}{x_s^3 \hbar \omega_s} = \frac{4\pi N(a_0 + 2a_2)}{3x_s}$$
 and  $\beta_1 = \frac{Nc_2}{x_s^3 \hbar \omega_s} = \frac{4\pi N(a_2 - a_0)}{3x_s}$ 

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### Energy and ground states

• 
$$F_x = \frac{1}{\sqrt{2}} \left[ \overline{\psi}_1 \psi_0 + \overline{\psi}_0(\psi_1 + \psi_{-1}) + \overline{\psi}_{-1} \psi_0 \right], F_y = \frac{i}{\sqrt{2}} \left[ -\overline{\psi}_1 \psi_0 + \overline{\psi}_0(\psi_1 - \psi_{-1}) + \overline{\psi}_{-1} \psi_0 \right],$$
  
 $F_z = |\psi_1|^2 - |\psi_{-1}|^2$ 

Energy:

$$E(\Psi(\cdot, t)) = \int_{\mathbb{R}^d} \left\{ \sum_{l=-1}^{1} \left( \frac{1}{2} |\nabla \psi_l|^2 + (V(\mathbf{x}) - pl + ql^2) |\psi_l|^2 \right) + \frac{\beta_0}{2} |\Psi|^4 + \frac{\beta_1}{2} |\mathbf{F}|^2 \right\} d\mathbf{x}$$

- Mass constraint  $N(\Psi(\cdot, t)) := \|\Psi(\cdot, t)\|^2 = \int_{\mathbb{R}^d} \sum_{l=-1,0,1} |\psi_l(\mathbf{x}, t)|^2 d\mathbf{x} = N(\Psi(\cdot, 0)) = 1$
- Magnetization  $(M \in [-1, 1]) M(\Psi(\cdot, t)) := \int_{\mathbb{R}^d} \sum_{l=-1,0,1} l |\psi_l(\mathbf{x}, t)|^2 d\mathbf{x} = M(\Psi(\cdot, 0)) = M$
- Ground state- Find  $(\Phi_g \in S_M)$  such that  $E_g := E(\Phi_g) = \min_{\Phi \in S_M} E(\Phi)$

$$S_{M} = \left\{ \Phi = \left(\phi_{1}, \phi_{0}, \phi_{-1}\right)^{T} \mid \left\|\Phi\right\| = 1, \ \int_{\mathbb{R}^{d}} \left[\left|\phi_{1}(\mathbf{x})\right|^{2} - \left|\phi_{-1}(\mathbf{x})\right|^{2}\right] d\mathbf{x} = M, \ E(\Phi) < \infty \right\}.$$

### Properties

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### Quadratic Zeeman q = 0

- Ferromagnetic system-spin-dependent interacton  $\beta_1 < 0$ 
  - Single mode approximation.
    - $\phi_j$  identical up to a constant factor
- Anti-ferromagnetic system-spin-dependent interacton  $\beta_1 > 0$

• 
$$\phi_0 = 0$$

$$|\mathbf{F}|^{2} = (|\phi_{1}|^{2} - |\phi_{-1}|^{2})^{2} + 2|\phi_{0}|^{2}(|\phi_{1}|^{2} + |\phi_{-1}|^{2}) - 4\mathsf{Re}(\phi_{0}^{2}\overline{\phi_{1}\phi_{-1}})$$

•  $F_x = F_y \neq 0$ : ferromagnetic;  $F_x = F_y = 0$ : anti-ferromagnetic

### Mathematical results

### Theorem

Existence:  $\lim_{|\mathbf{x}|\to\infty} V(\mathbf{x}) = +\infty, \ M \in (-1,1), \ \beta_0 \ge 0 \ \text{and} \ \beta_0 + \beta_1 \ge 0, \ \text{there}$ exists ground state  $\Phi_g = (\phi_1^g, \phi_0^g, \phi_{-1}^g) \in S_M$ •  $q = 0 \ \text{and} \ \beta_1 < 0 \ \text{ferromagnetic:} \ \phi_g^g = e^{i\theta_1}\alpha_0\phi_g$ 

$$(\theta_1 + \theta_{-1} - 2\theta_0 = (2k+1)\pi, \ \alpha_1 = \frac{1+M}{2}, \ \alpha_{-1} = \frac{1-M}{2}, \ \alpha_0 = \sqrt{\frac{1-M^2}{2}}$$

• q < 0 and  $\beta_1 > 0$ , anti-ferromagnetic:  $\phi_0^g = 0$ , and  $\tilde{\Phi}_g = (\phi_1^g, \phi_{-1}^g)^T$  is a minimizer of the pseudo spin-1/2 system

### Numerical methods

Normalized Gradient Flow:

$$\begin{aligned} \partial_t \phi_1 &= \left[\frac{1}{2}\nabla^2 - V(\mathbf{x}) - (\beta_0 + \beta_1)(|\phi_1|^2 + |\phi_0|^2) - (\beta_0 - \beta_1)|\phi_{-1}|^2\right]\phi_1 - \beta_1\bar{\phi}_{-1}\phi_0^2 + [\mu_{\Phi}(t) + \lambda_{\Phi}(t)]\phi_1 \\ \partial_t \phi_0 &= \left[\frac{1}{2}\nabla^2 - V(\mathbf{x}) - (\beta_0 + \beta_1)(|\phi_1|^2 + |\phi_{-1}|^2) - \beta_0|\phi_0|^2\right]\phi_0 - 2\beta_1\phi_{-1}\,\bar{\phi}_0\phi_1 + \mu_{\Phi}(t)\phi_0 \\ \partial_t \phi_{-1} &= \left[\frac{1}{2}\nabla^2 - V(\mathbf{x})(\beta_0 + \beta_1)(|\phi_{-1}|^2 + |\phi_0|^2) - (\beta_0 - \beta_1)|\phi_1|^2\right]\phi_{-1} - \beta_1\phi_0^2\,\bar{\phi}_1 + [\mu_{\Phi}(t) - \lambda_{\Phi}(t)]\phi_{-1} \end{aligned}$$

• Gradient flow part (imaginary time spin-1 GPE)+projection (Lagrange multipliers)//Gradient flow part (imaginary time spin-1 GPE+Lagrange multipliers) + projection (Lagrange part)

• For projection constants:  $\phi_l(\mathbf{x}, t_{n+1}^+) = \sigma_l \phi_l(\mathbf{x}, t_{n+1}^-)$   $(l = \pm 1, 0)$ , two equations from Mass and Magnetization constraints, from  $\partial_t \phi_l(\mathbf{x}, t) = [\mu_{\Phi}(t) + l\lambda_{\Phi}(t)]\phi_l(\mathbf{x}, t)$ , an additional equation  $\sigma_1 \sigma_{-1} = \sigma_0^2$ . (quadrattic equation to be solved for  $\sigma_l$ )

### Numerical results

### Ferromagnetic interaction





### Anti-ferromagnetic interaction

# Spin-2 BEC

- Order parameter  $\Psi = (\psi_2, \psi_1, \psi_0, \psi_{-1}, \psi_{-2})$
- Spin-2 GPE

 $i\partial_t \Psi = [H + \beta_0 \rho - \rho f_z + q f_z^2 + \beta_1 \mathbf{F} \cdot \mathbf{f}] \Psi + \beta_2 A_{00} \mathbf{A} \overline{\Psi}$ 

• 
$$\mathbf{F} = (F_x, F_y, F_z)^T = (\Psi^* f_x \Psi, \Psi^* f_y \Psi, \Psi^* f_z \Psi)^T$$
  
• spin-2 matrices  $\mathbf{f} = (f_x, f_y, f_z)^T$  as  $f_z = diag(2, 1, 0, -1, -2)$ 

$$f_x = \begin{pmatrix} 0 & 1 & 0 & 0 & 0 \\ 1 & 0 & \sqrt{\frac{3}{2}} & 0 & 0 \\ 0 & \sqrt{\frac{3}{2}} & 0 & \sqrt{\frac{3}{2}} & 0 \\ 0 & 0 & \sqrt{\frac{3}{2}} & 0 & 1 \\ 0 & 0 & 0 & 1 & 0 \end{pmatrix}, \quad f_y = i \begin{pmatrix} 0 & -1 & 0 & 0 & 0 \\ 1 & 0 & -\sqrt{\frac{3}{2}} & 0 & 0 \\ 0 & \sqrt{\frac{3}{2}} & 0 & -\sqrt{\frac{3}{2}} & 0 \\ 0 & \sqrt{\frac{3}{2}} & 0 & -1 \\ 0 & 0 & 0 & 1 & 0 \end{pmatrix}$$

- $H = -\frac{1}{2}\nabla^2 + V(\mathbf{x}), \ \rho = |\Psi|^2 = \sum_{l=-1}^{1} |\psi_l|^2$
- p and  $q = \frac{q_0}{\hbar\omega_s}$  are the linear and quadratic Zeeman terms.
- $\beta_0 = \frac{Nc_0}{x_s^3 \hbar \omega_s} = \frac{4\pi N(a_0+2a_2)}{3x_s}$  (spin-independent contact interaction) and  $\beta_1 = \frac{Nc_2}{x_s^3 \hbar \omega_s} = \frac{4\pi N(a_2-a_0)}{3x_s}$  (spin-exchange),  $\beta_2$  (spin-singleton interaction)

### Energy and ground states

• 
$$F_x = \overline{\psi}_2 \psi_1 + \overline{\psi}_1 \psi_2 + \overline{\psi}_{-2} \psi_{-1} + \overline{\psi}_{-1} \psi_{-2} + \frac{\sqrt{6}}{2} (\overline{\psi}_1 \psi_0 + \overline{\psi}_0 \psi_1 + \overline{\psi}_0 \psi_{-1} + \overline{\psi}_{-1} \psi_0),$$
  
 $F_y = i \left[ \overline{\psi}_1 \psi_2 - \overline{\psi}_2 \psi_1 + \overline{\psi}_{-2} \psi_{-1} - \overline{\psi}_{-1} \psi_{-2} + \frac{\sqrt{6}}{2} (\overline{\psi}_0 \psi_1 - \overline{\psi}_1 \psi_0 + \overline{\psi}_{-1} \psi_0 - \overline{\psi}_0 \psi_{-1}) \right],$   
 $F_z = 2|\psi_2|^2 + |\psi_1|^2 - |\psi_{-1}|^2 - 2|\psi_{-2}|^2$   
•  $\mathbf{A} = \frac{1}{\sqrt{5}} \begin{pmatrix} 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & -1 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 \end{pmatrix}$ 

and  $A_{00} := A_{00}(\Psi) = \Psi^T \mathbf{A} \Psi$  with  $A_{00} = \frac{1}{\sqrt{5}} (2\psi_2 \psi_{-2} - 2\psi_1 \psi_{-1} + \psi_0^2)$ 

Energy:

$$E(\Psi(\cdot, t)) = \int_{\mathbb{R}^d} \left\{ \sum_{l=-2}^2 \left( \frac{1}{2} |\nabla \psi_l|^2 + (V(\mathbf{x}) - pl + ql^2) |\psi_l|^2 \right) + \frac{\beta_0}{2} |\Psi|^4 + \frac{\beta_1}{2} |\mathbf{F}|^2 + \frac{\beta_2}{2} |A_{00}|^2 \right\} d\mathbf{x}$$

• Mass constraint  $N(\Psi(\cdot, t)) := \|\Psi(\cdot, t)\|^2 = \int_{\mathbb{R}^d} \sum_{l=-2}^2 |\psi_l(\mathbf{x}, t)|^2 d\mathbf{x} = N(\Psi(\cdot, 0)) = 1$ 

- Magnetization  $(M \in [-2, 2]) M(\Psi(\cdot, t)) := \int_{\mathbb{R}^d} \sum_{l=-2}^{2} ||\psi_l(\mathbf{x}, t)|^2 d\mathbf{x} = M(\Psi(\cdot, 0)) = M$
- Ground state- Find  $(\Phi_g \in S_M)$  such that  $E_g := E(\Phi_g) = \min_{\Phi \in S_M} E(\Phi)$

$$S_{M} = \left\{ \Phi = (\phi_{2}, \phi_{1}, \phi_{0}, \phi_{-1}, \phi_{-2})^{T} \mid \|\Phi\| = 1, \ \int_{\mathbb{R}^{d}} \sum_{l=-2}^{2} |\psi_{l}|^{2} d\mathbf{x} = M, \ E(\Phi) < \infty \right\}.$$

### Ground state properties

- Uniform gas, q = 0 (cf. Ueda 09)
  - Ferromagnetic:  $\beta_1 < 0$  and  $\beta_1 < \frac{\beta_2}{20}$ ,  $F_x, F_y \neq 0$ ,  $A_{00} = 0$
  - Nematic:  $\beta_1 < 0$  and  $\beta_1 > \frac{\beta_2}{20}$ ,  $F_x, F_y = 0$ ,  $A_{00} \neq 0$
  - Cylic:  $\beta_1 > 0$  and  $\beta_2 > 0$ ,  $F_x = F_y = 0$ ,  $A_{00} = 0$

### Mathematical results

#### Theorem

 $\begin{array}{l} \text{Existence:} & \lim_{\|\mathbf{x}\|\to\infty} V(\mathbf{x}) = +\infty, \ M \in (-2,2) \ \beta_0 + 4\beta_1 \geq 0 \ \text{with} \ \frac{\beta_2}{20} > \beta_1 \ \text{and} \ \beta_1 < 0; \ \text{or} \ M \in (-2,2), \\ \beta_0 + \frac{\beta_2}{5} \geq 0 \ \text{with} \ \beta_2 < 0 \ \text{and} \ \frac{\beta_2}{20} \leq \beta_1; \ \text{or} \ M \in (-2,2), \\ \beta_0 \geq 0, \ \beta_1 \geq 0 \ \text{and} \ \beta_2 \geq 0., \ \text{there exists ground} \\ \text{state} \ \Phi_g = (\phi_2^g, \phi_1^g, \phi_0^g, \phi_{-1}^g, \phi_{-2}^g) \in S_M \\ \bullet \ q = 0 \ \text{and} \ \beta_1 < 0, \ \beta_1 < \frac{\beta_2}{20}, \ \text{ferromagnetic} \ (\text{SMA}): \ \phi_1^g = e^{i\theta_1 + i\theta_2} \alpha_I \phi_g \ \text{with} \ \alpha_2 = \frac{(2+M)^2}{16}, \\ \alpha_1 = \frac{(2+M)\sqrt{4-M^2}}{8}, \ \alpha_0 = \frac{\sqrt{6}(4-M^2)}{16}, \ \alpha_{-1} = \frac{(2-M)\sqrt{4-M^2}}{8} \ \text{and} \ \alpha_{-2} = \frac{(2-M)^2}{16} \\ \bullet \ q < 0 \ \text{and} \ \beta_1 < 0, \ \beta_1 \geq \frac{\beta_2}{20}, \ \text{nematic:} \ \phi_0^g = \phi_1^g = \phi_{-1}^g = 0, \ \text{and} \ \tilde{\Phi}_g = (\phi_2^g, \phi_{-2}^g)^T \ \text{is a minimizer of} \\ \text{the pseudo spin-1/2 system} \\ \bullet \ \beta_1 > 0, \ \beta_2 > 0, \ \text{cylic: more complicated than the uniform gas case} \end{array}$ 

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### Numerical methods

• NGF: 
$$\partial_t \Phi = -[H + \beta_0 \rho - pf_z + qf_z^2 + \beta_1 \mathbf{F} \cdot \mathbf{f}] \Phi - \beta_2 A_{00} \mathbf{A} \Phi + \mu_{\Phi}(t) \Phi + \lambda_{\Phi}(t) f_z \Phi$$
  
• GEDN:

$$\frac{\Phi^{(1)} - \Phi^n}{\tau} = -[H + \beta_0 \rho^n - \rho \mathbf{f}_z + q \mathbf{f}_z^2 + \beta_1 \mathbf{F}^n \cdot \mathbf{f}] \Phi^{(1)} - \beta_2 A_{00}^n \mathbf{A} \overline{\Phi^{(1)}} + \mu_{\Phi^n} \Phi^n + \lambda_{\Phi^n} \mathbf{f}_z \Phi^n,$$

projection step for  $\Phi^{(1)}=(\phi^{(1)}_2,\phi^{(1)}_1,\phi^{(1)}_0,\phi^{(1)}_{-1},\phi^{(1)}_{-2})^{\mathcal{T}}$ 

$$\Phi^{n+1} = \operatorname{diag}(\alpha_2, \alpha_1, \alpha_0, \alpha_{-1}, \alpha_{-2})\Phi^{(1)}$$

Determine the five projection constants with Mass and Magnetization constraints

#### Bose-Einstein condensate Spinor Bose-Einstein condensates 🔅

# Different projection strategies

• view projection as the split-step for  $\partial_t \phi_I = (\mu + I\lambda)\phi_I$ 

•  $\alpha_l = e^{\Delta t(\mu + l\lambda)} = c_0 c_1^l$  (two unknowns  $c_0, c_1$ )

$$\begin{split} &c_0^2 \left( c_1^4 \| \phi_2^{(1)} \|^2 + c_1^2 \| \phi_1^{(1)} \|^2 + \| \phi_0^{(1)} \|^2 + c_1^{-2} \| \phi_{-1}^{(1)} \|^2 + c_1^{-4} \| \phi_{-2}^{(1)} \|^2 \right) = 1, \\ &c_0^2 \left( 2 c_1^4 \| \phi_2^{(1)} \|^2 + c_1^2 \| \phi_1^{(1)} \|^2 - c_1^{-2} \| \phi_{-1}^{(1)} \|^2 - 2 c_1^{-4} \| \phi_{-2}^{(1)} \|^2 \right) = M. \end{split}$$

A quartic equation to be solved, positive root

• 
$$\alpha_l = e^{\Delta t(\mu + l\lambda)} \approx (1 + \Delta \mu + l\lambda) = c_0(1 + lc_1)$$

$$\begin{split} &(1+2c_1)^2 \|\phi_2^{(1)}\|^2 + (1+c_1)^2 \|\phi_1^{(1)}\|^2 + \|\phi_0^{(1)}\|^2 + (1-c_1)^2 \|\phi_{-1}^{(1)}\|^2 + (1-2c_1)^2 \|\phi_{-2}^{(1)}\|^2 = \frac{1}{c_0^2} \\ &2(1+2c_1)^2 \|\phi_2^{(1)}\|^2 + (1+c_1)^2 \|\phi_1^{(1)}\|^2 - (1-c_1)^2 \|\phi_{-1}^{(1)}\|^2 - 2(1-2c_1)^2 \|\phi_{-2}^{(1)}\|^2 = \frac{M}{c_0^2} \end{split}$$

A quadratic equation to be solved, positive root not guaranteed

• 
$$\alpha_l = 1/e^{-\Delta t(\mu + l\lambda)} \approx 1/(1 - \Delta \mu - l\lambda) = 1/(c_0(1 + lc_1))$$

$$\begin{split} &(1+2c_1)^{-2}\|\phi_2^{(1)}\|^2+(1+c_1)^{-2}\|\phi_1^{(1)}\|^2+\|\phi_0^{(1)}\|^2+(1-c_1)^{-2}\|\phi_{-1}^{(1)}\|^2+(1-2c_1)^{-2}\|\phi_{-2}^{(1)}\|^2=c_0^2\\ &2(1+2c_1)^{-2}\|\phi_2^{(1)}\|^2+(1+c_1)^{-2}\|\phi_1^{(1)}\|^2-(1-c_1)^{-2}\|\phi_{-1}^{(1)}\|^2-2(1-2c_1)^{-2}\|\phi_{-2}^{(1)}\|^{-2}=Mc_0^2 \end{split}$$

An octic equation to be solved, positive root (guaranteed)

### Numerical example





- Ground states of spin-1/2, 1, 2 BECs
- Rigorous ground state properties
- Projection methods for computing ground states

# THANK YOU!