

Complexity of maximal objects

Jörg Brendle

Kobe University

IMS Singapore, May 31, 2019

Objects with maximality properties

Sets of reals with maximality properties like

Objects with maximality properties

Sets of reals with maximality properties like

- ultrafilters on ω

Objects with maximality properties

Sets of reals with maximality properties like

- ultrafilters on ω
- maximal almost disjoint families (MAD families)

Objects with maximality properties

Sets of reals with maximality properties like

- ultrafilters on ω
- maximal almost disjoint families (MAD families)
- maximal eventually different families (MED families)

Objects with maximality properties

Sets of reals with maximality properties like

- ultrafilters on ω
- maximal almost disjoint families (MAD families)
- maximal eventually different families (MED families)
- maximal independent families (MIF)

Objects with maximality properties

Sets of reals with maximality properties like

- ultrafilters on ω
- maximal almost disjoint families (MAD families)
- maximal eventually different families (MED families)
- maximal independent families (MIF)
- towers

Objects with maximality properties

Sets of reals with maximality properties like

- ultrafilters on ω
- maximal almost disjoint families (MAD families)
- maximal eventually different families (MED families)
- maximal independent families (MIF)
- towers

Typically need fragment of AC for construction of such objects,

Objects with maximality properties

Sets of reals with maximality properties like

- ultrafilters on ω
- maximal almost disjoint families (MAD families)
- maximal eventually different families (MED families)
- maximal independent families (MIF)
- towers

Typically need fragment of AC for construction of such objects, i.e., they cannot be very definable.

Definitions

$\mathcal{A} \subseteq [\omega]^\omega$ is an *almost disjoint (a.d.) family* if
 $|A \cap B| < \omega$ for $A \neq B$ from \mathcal{A}

Definitions

$\mathcal{A} \subseteq [\omega]^\omega$ is an *almost disjoint (a.d.) family* if

$|A \cap B| < \omega$ for $A \neq B$ from \mathcal{A}

$\mathcal{A} \subseteq [\omega]^\omega$ is *MAD* if \mathcal{A} is a.d. and maximal with this property, i.e.,

for all $X \in [\omega]^\omega$ there is $A \in \mathcal{A}$ such that $|X \cap A| = \omega$

Definitions

$\mathcal{A} \subseteq [\omega]^\omega$ is an *almost disjoint (a.d.) family* if

$|A \cap B| < \omega$ for $A \neq B$ from \mathcal{A}

$\mathcal{A} \subseteq [\omega]^\omega$ is *MAD* if \mathcal{A} is a.d. and maximal with this property, i.e.,

for all $X \in [\omega]^\omega$ there is $A \in \mathcal{A}$ such that $|X \cap A| = \omega$

$\mathcal{F} \subseteq \omega^\omega$ is an *eventually different (e.d.) family* if

$|f \cap g| < \omega$ for $f \neq g$ from \mathcal{F}

Definitions

$\mathcal{A} \subseteq [\omega]^\omega$ is an *almost disjoint (a.d.) family* if

$|A \cap B| < \omega$ for $A \neq B$ from \mathcal{A}

$\mathcal{A} \subseteq [\omega]^\omega$ is *MAD* if \mathcal{A} is a.d. and maximal with this property, i.e.,

for all $X \in [\omega]^\omega$ there is $A \in \mathcal{A}$ such that $|X \cap A| = \omega$

$\mathcal{F} \subseteq \omega^\omega$ is an *eventually different (e.d.) family* if

$|f \cap g| < \omega$ for $f \neq g$ from \mathcal{F}

$\mathcal{F} \subseteq \omega^\omega$ is *MED* if \mathcal{F} is e.d. and maximal with this property, i.e.,

for all $g \in \omega^\omega$ there is $f \in \mathcal{F}$ such that $|f \cap g| = \omega$

Definitions

$\mathcal{A} \subseteq [\omega]^\omega$ is an *almost disjoint (a.d.) family* if
 $|A \cap B| < \omega$ for $A \neq B$ from \mathcal{A}

$\mathcal{A} \subseteq [\omega]^\omega$ is *MAD* if \mathcal{A} is a.d. and maximal with this property, i.e.,
for all $X \in [\omega]^\omega$ there is $A \in \mathcal{A}$ such that $|X \cap A| = \omega$

$\mathcal{F} \subseteq \omega^\omega$ is an *eventually different (e.d.) family* if
 $|f \cap g| < \omega$ for $f \neq g$ from \mathcal{F}

$\mathcal{F} \subseteq \omega^\omega$ is *MED* if \mathcal{F} is e.d. and maximal with this property, i.e.,
for all $g \in \omega^\omega$ there is $f \in \mathcal{F}$ such that $|f \cap g| = \omega$

$\mathcal{A} \subseteq [\omega]^\omega$ is an *independent (ind.) family* if
whenever $\mathcal{F}, \mathcal{G} \subseteq \mathcal{A}$ are finite and disjoint
then $\sigma(\mathcal{F}, \mathcal{G}) := \bigcap \mathcal{F} \cap \bigcap_{A \in \mathcal{G}} (\omega \setminus A)$ is infinite

Definitions

$\mathcal{A} \subseteq [\omega]^\omega$ is an *almost disjoint (a.d.) family* if
 $|A \cap B| < \omega$ for $A \neq B$ from \mathcal{A}

$\mathcal{A} \subseteq [\omega]^\omega$ is *MAD* if \mathcal{A} is a.d. and maximal with this property, i.e.,
for all $X \in [\omega]^\omega$ there is $A \in \mathcal{A}$ such that $|X \cap A| = \omega$

$\mathcal{F} \subseteq \omega^\omega$ is an *eventually different (e.d.) family* if
 $|f \cap g| < \omega$ for $f \neq g$ from \mathcal{F}

$\mathcal{F} \subseteq \omega^\omega$ is *MED* if \mathcal{F} is e.d. and maximal with this property, i.e.,
for all $g \in \omega^\omega$ there is $f \in \mathcal{F}$ such that $|f \cap g| = \omega$

$\mathcal{A} \subseteq [\omega]^\omega$ is an *independent (ind.) family* if
whenever $\mathcal{F}, \mathcal{G} \subseteq \mathcal{A}$ are finite and disjoint
then $\sigma(\mathcal{F}, \mathcal{G}) := \bigcap \mathcal{F} \cap \bigcap_{A \in \mathcal{G}} (\omega \setminus A)$ is infinite

$\mathcal{A} \subseteq [\omega]^\omega$ is a *MIF* if \mathcal{A} is ind. and maximal with this property, i.e.,
for all $X \in [\omega]^\omega$ there are $\mathcal{F}, \mathcal{G} \subseteq \mathcal{A}$ finite and disjoint such that
either $\sigma(\mathcal{F}, \mathcal{G}) \subseteq^* X$ or $\sigma(\mathcal{F}, \mathcal{G}) \cap X =^* \emptyset$

There are finite MADs.

There are finite MADs.
From now on all MADs are infinite.

There are finite MADs.

From now on all MADs are infinite.

Diagonal argument. MIFs, MEDs, and (infinite) MADs are uncountable.

There are finite MADs.
From now on all MADs are infinite.

Diagonal argument. MIFs, MEDs, and (infinite) MADs are uncountable.

Fact. A Σ_n^1 MAD is also Δ_n^1 .

There are finite MADs.
From now on all MADs are infinite.

Diagonal argument. MIFs, MEDs, and (infinite) MADs are uncountable.

Fact. A Σ_n^1 MAD is also Δ_n^1 .

Proof. Let \mathcal{A} be Σ_n^1 .

There are finite MADs.

From now on all MADs are infinite.

Diagonal argument. MIFs, MEDs, and (infinite) MADs are uncountable.

Fact. A Σ_n^1 MAD is also Δ_n^1 .

Proof. Let \mathcal{A} be Σ_n^1 . Then

$$X \notin \mathcal{A} \iff \exists A (A \in \mathcal{A} \wedge X \neq A \wedge |X \cap A| = \omega)$$

which is also Σ_n^1 . \square

There are finite MADs.

From now on all MADs are infinite.

Diagonal argument. MIFs, MEDs, and (infinite) MADs are uncountable.

Fact. A Σ_n^1 MAD is also Δ_n^1 .

Proof. Let \mathcal{A} be Σ_n^1 . Then

$$X \notin \mathcal{A} \iff \exists A (A \in \mathcal{A} \wedge X \neq A \wedge |X \cap A| = \omega)$$

which is also Σ_n^1 . \square

Similarly for MEDs and MIFs.

Theorem 1 (Mathias '70's)

There are no Σ_1^1 MADs.

Classical results

Theorem 1 (Mathias '70's)

There are no Σ_1^1 MADs.

Theorem 2 (Miller ~'90)

There are Π_1^1 MADs in L .

Classical results

Theorem 1 (Mathias '70's)

There are no Σ_1^1 MADs.

Theorem 2 (Miller ~'90)

There are Π_1^1 MADs in L .

Theorem 3 (Miller ~'90)

There are no Σ_1^1 MIFs.

Classical results

Theorem 1 (Mathias '70's)

There are no Σ_1^1 MADs.

Theorem 2 (Miller ~'90)

There are Π_1^1 MADs in L .

Theorem 3 (Miller ~'90)

There are no Σ_1^1 MIFs.

Theorem 4 (Miller ~'90)

There are Π_1^1 MIFs in L .

Theorem 5 (Törnquist '12)

If there is a $\Sigma_2^1[a]$ MAD then there is a $\Pi_1^1[a]$ MAD.

Implications

Theorem 5 (Törnquist '12)

If there is a $\Sigma_2^1[a]$ MAD then there is a $\Pi_1^1[a]$ MAD.

Theorem 6 (B. and Khomskii '17)

If there is a $\Sigma_2^1[a]$ MIF then there is a $\Pi_1^1[a]$ MIF.

Theorem 5 (Törnquist '12)

If there is a $\Sigma_2^1[a]$ MAD then there is a $\Pi_1^1[a]$ MAD.

Theorem 6 (B. and Khomskii '17)

If there is a $\Sigma_2^1[a]$ MIF then there is a $\Pi_1^1[a]$ MIF.

Proof. Assume \mathcal{I}_0 is Σ_2^1 MIF.

Theorem 5 (Törnquist '12)

If there is a $\Sigma_2^1[a]$ MAD then there is a $\Pi_1^1[a]$ MAD.

Theorem 6 (B. and Khomskii '17)

If there is a $\Sigma_2^1[a]$ MIF then there is a $\Pi_1^1[a]$ MIF.

Proof. Assume \mathcal{I}_0 is Σ_2^1 MIF.

$\mathcal{F}_0 \subseteq ([\omega]^\omega)^2$ is Π_1^1 and such that $\mathcal{I}_0 = \pi[\mathcal{F}_0]$.

Theorem 5 (Törnquist '12)

If there is a $\Sigma_2^1[a]$ MAD then there is a $\Pi_1^1[a]$ MAD.

Theorem 6 (B. and Khomskii '17)

If there is a $\Sigma_2^1[a]$ MIF then there is a $\Pi_1^1[a]$ MIF.

Proof. Assume \mathcal{I}_0 is Σ_2^1 MIF.

$\mathcal{F}_0 \subseteq ([\omega]^\omega)^2$ is Π_1^1 and such that $\mathcal{I}_0 = \pi[\mathcal{F}_0]$. Define

$$g : \begin{aligned} ([\omega]^\omega)^2 &\longrightarrow \mathcal{P}(\omega \dot{\cup} 2^{<\omega}) \\ (x, y) &\longmapsto x \dot{\cup} \{\chi_y \upharpoonright n \mid n < \omega\} \end{aligned}$$

Theorem 5 (Törnquist '12)

If there is a $\Sigma_2^1[a]$ MAD then there is a $\Pi_1^1[a]$ MAD.

Theorem 6 (B. and Khomskii '17)

If there is a $\Sigma_2^1[a]$ MIF then there is a $\Pi_1^1[a]$ MIF.

Proof. Assume \mathcal{I}_0 is Σ_2^1 MIF.

$\mathcal{F}_0 \subseteq ([\omega]^\omega)^2$ is Π_1^1 and such that $\mathcal{I}_0 = \pi[\mathcal{F}_0]$. Define

$$g : \begin{aligned} ([\omega]^\omega)^2 &\longrightarrow \mathcal{P}(\omega \dot{\cup} 2^{<\omega}) \\ (x, y) &\longmapsto x \dot{\cup} \{\chi_y \upharpoonright n \mid n < \omega\} \end{aligned}$$

g is continuous.

Theorem 5 (Törnquist '12)

If there is a $\Sigma_2^1[a]$ MAD then there is a $\Pi_1^1[a]$ MAD.

Theorem 6 (B. and Khomskii '17)

If there is a $\Sigma_2^1[a]$ MIF then there is a $\Pi_1^1[a]$ MIF.

Proof. Assume \mathcal{I}_0 is Σ_2^1 MIF.

$\mathcal{F}_0 \subseteq ([\omega]^\omega)^2$ is Π_1^1 and such that $\mathcal{I}_0 = \pi[\mathcal{F}_0]$. Define

$$g : \begin{aligned} ([\omega]^\omega)^2 &\longrightarrow \mathcal{P}(\omega \dot{\cup} 2^{<\omega}) \\ (x, y) &\longmapsto x \dot{\cup} \{\chi_y \upharpoonright n \mid n < \omega\} \end{aligned}$$

g is continuous.

By Π_1^1 uniformization get function $\mathcal{F} \subseteq \mathcal{F}_0$ with $\mathcal{I}_0 = \pi[\mathcal{F}]$.

Theorem 5 (Törnquist '12)

If there is a $\Sigma_2^1[a]$ MAD then there is a $\Pi_1^1[a]$ MAD.

Theorem 6 (B. and Khomskii '17)

If there is a $\Sigma_2^1[a]$ MIF then there is a $\Pi_1^1[a]$ MIF.

Proof. Assume \mathcal{I}_0 is Σ_2^1 MIF.

$\mathcal{F}_0 \subseteq ([\omega]^\omega)^2$ is Π_1^1 and such that $\mathcal{I}_0 = \pi[\mathcal{F}_0]$. Define

$$g : ([\omega]^\omega)^2 \longrightarrow \mathcal{P}(\omega \dot{\cup} 2^{<\omega}) \\ (x, y) \longmapsto x \dot{\cup} \{\chi_y \upharpoonright n \mid n < \omega\}$$

g is continuous.

By Π_1^1 uniformization get function $\mathcal{F} \subseteq \mathcal{F}_0$ with $\mathcal{I}_0 = \pi[\mathcal{F}]$.

Let $\mathcal{I} = g[\mathcal{F}]$.

Implications

Theorem 5 (Törnquist '12)

If there is a $\Sigma_2^1[a]$ MAD then there is a $\Pi_1^1[a]$ MAD.

Theorem 6 (B. and Khomskii '17)

If there is a $\Sigma_2^1[a]$ MIF then there is a $\Pi_1^1[a]$ MIF.

Proof. Assume \mathcal{I}_0 is Σ_2^1 MIF.

$\mathcal{F}_0 \subseteq ([\omega]^\omega)^2$ is Π_1^1 and such that $\mathcal{I}_0 = \pi[\mathcal{F}_0]$. Define

$$g : ([\omega]^\omega)^2 \longrightarrow \mathcal{P}(\omega \dot{\cup} 2^{<\omega}) \\ (x, y) \longmapsto x \dot{\cup} \{\chi_y \upharpoonright n \mid n < \omega\}$$

g is continuous.

By Π_1^1 uniformization get function $\mathcal{F} \subseteq \mathcal{F}_0$ with $\mathcal{I}_0 = \pi[\mathcal{F}]$.

Let $\mathcal{I} = g[\mathcal{F}]$. Claim that \mathcal{I} is Π_1^1 MIF.

Implications 2

\mathcal{I} is Π_1^1 :

Implications 2

\mathcal{I} is Π_1^1 : $z \in \mathcal{I}$ iff

- $z \cap 2^{<\omega}$ is a single branch:

\mathcal{I} is Π_1^1 : $z \in \mathcal{I}$ iff

- $z \cap 2^{<\omega}$ is a single branch:

$$\forall n \exists! s \in z \cap 2^n \text{ and } \forall s, t \in z \cap 2^{<\omega} (|s| < |t| \rightarrow s \subset t)$$

\mathcal{I} is Π_1^1 : $z \in \mathcal{I}$ iff

- $z \cap 2^{<\omega}$ is a single branch:
 $\forall n \exists! s \in z \cap 2^n$ and $\forall s, t \in z \cap 2^{<\omega} (|s| < |t| \rightarrow s \subset t)$
- $\forall y (\forall n (y \upharpoonright n \in z \cap 2^{<\omega}) \rightarrow (z \cap \omega, y) \in \mathcal{F})$

\mathcal{I} is Π_1^1 : $z \in \mathcal{I}$ iff

- $z \cap 2^{<\omega}$ is a single branch:
 $\forall n \exists! s \in z \cap 2^n$ and $\forall s, t \in z \cap 2^{<\omega} (|s| < |t| \rightarrow s \subset t)$
- $\forall y (\forall n (y \upharpoonright n \in z \cap 2^{<\omega}) \rightarrow (z \cap \omega, y) \in \mathcal{F})$

\mathcal{I} is independent:

\mathcal{I} is Π_1^1 : $z \in \mathcal{I}$ iff

- $z \cap 2^{<\omega}$ is a single branch:
 $\forall n \exists ! s \in z \cap 2^n$ and $\forall s, t \in z \cap 2^{<\omega} (|s| < |t| \rightarrow s \subset t)$
- $\forall y (\forall n (y \upharpoonright n \in z \cap 2^{<\omega}) \rightarrow (z \cap \omega, y) \in \mathcal{F})$

\mathcal{I} is independent: Take distinct z_1, \dots, z_n and $w_1, \dots, w_\ell \in \mathcal{I}$.

\mathcal{I} is Π_1^1 : $z \in \mathcal{I}$ iff

- $z \cap 2^{<\omega}$ is a single branch:
 $\forall n \exists ! s \in z \cap 2^n$ and $\forall s, t \in z \cap 2^{<\omega} (|s| < |t| \rightarrow s \subset t)$
- $\forall y (\forall n (y \upharpoonright n \in z \cap 2^{<\omega}) \rightarrow (z \cap \omega, y) \in \mathcal{F})$

\mathcal{I} is independent: Take distinct z_1, \dots, z_n and $w_1, \dots, w_\ell \in \mathcal{I}$.
Write $a_j := z_j \cap \omega$ and $b_j := w_j \cap \omega$.

\mathcal{I} is Π_1^1 : $z \in \mathcal{I}$ iff

- $z \cap 2^{<\omega}$ is a single branch:
 $\forall n \exists ! s \in z \cap 2^n$ and $\forall s, t \in z \cap 2^{<\omega} (|s| < |t| \rightarrow s \subset t)$
- $\forall y (\forall n (y \upharpoonright n \in z \cap 2^{<\omega}) \rightarrow (z \cap \omega, y) \in \mathcal{F})$

\mathcal{I} is independent: Take distinct z_1, \dots, z_n and $w_1, \dots, w_\ell \in \mathcal{I}$.

Write $a_i := z_i \cap \omega$ and $b_j := w_j \cap \omega$.

The a_i and b_j are in $\pi[\mathcal{F}] = \mathcal{I}_0$.

\mathcal{I} is Π_1^1 : $z \in \mathcal{I}$ iff

- $z \cap 2^{<\omega}$ is a single branch:
 $\forall n \exists ! s \in z \cap 2^n$ and $\forall s, t \in z \cap 2^{<\omega} (|s| < |t| \rightarrow s \subset t)$
- $\forall y (\forall n (y \upharpoonright n \in z \cap 2^{<\omega}) \rightarrow (z \cap \omega, y) \in \mathcal{F})$

\mathcal{I} is independent: Take distinct z_1, \dots, z_n and $w_1, \dots, w_\ell \in \mathcal{I}$.

Write $a_i := z_i \cap \omega$ and $b_j := w_j \cap \omega$.

The a_i and b_j are in $\pi[\mathcal{F}] = \mathcal{I}_0$.

Since \mathcal{F} is a function, the a_i 's and b_j 's are distinct.

\mathcal{I} is Π_1^1 : $z \in \mathcal{I}$ iff

- $z \cap 2^{<\omega}$ is a single branch:
 $\forall n \exists ! s \in z \cap 2^n$ and $\forall s, t \in z \cap 2^{<\omega} (|s| < |t| \rightarrow s \subset t)$
- $\forall y (\forall n (y \upharpoonright n \in z \cap 2^{<\omega}) \rightarrow (z \cap \omega, y) \in \mathcal{F})$

\mathcal{I} is independent: Take distinct z_1, \dots, z_n and $w_1, \dots, w_\ell \in \mathcal{I}$.

Write $a_i := z_i \cap \omega$ and $b_j := w_j \cap \omega$.

The a_i and b_j are in $\pi[\mathcal{F}] = \mathcal{I}_0$.

Since \mathcal{F} is a function, the a_i 's and b_j 's are distinct.

$\sigma(z_1, \dots, z_n; w_1, \dots, w_\ell) \supseteq \sigma(a_1, \dots, a_n; b_1, \dots, b_\ell)$ is infinite, by independence of \mathcal{I}_0 .

Implications 3

\mathcal{I} is maximal:

Implications 3

\mathcal{I} is maximal: Let $W \in [\omega \dot{\cup} 2^{<\omega}]^\omega$ with $W \notin \mathcal{I}$. Let $A := W \cap \omega$.

Implications 3

\mathcal{I} is maximal: Let $W \in [\omega \dot{\cup} 2^{<\omega}]^\omega$ with $W \notin \mathcal{I}$. Let $A := W \cap \omega$.
By maximality of \mathcal{I}_0 , there are distinct a_1, \dots, a_n and $b_1, \dots, b_\ell \in \mathcal{I}_0$ such that $\sigma(a_1, \dots, a_n, A; b_1, \dots, b_\ell)$ or $\sigma(a_1, \dots, a_n; b_1, \dots, b_\ell, A)$ is finite, w.l.o.g. the former.

Implications 3

\mathcal{I} is maximal: Let $W \in [\omega \dot{\cup} 2^{<\omega}]^\omega$ with $W \notin \mathcal{I}$. Let $A := W \cap \omega$.
By maximality of \mathcal{I}_0 , there are distinct a_1, \dots, a_n and $b_1, \dots, b_\ell \in \mathcal{I}_0$ such that $\sigma(a_1, \dots, a_n, A; b_1, \dots, b_\ell)$ or $\sigma(a_1, \dots, a_n; b_1, \dots, b_\ell, A)$ is finite, w.l.o.g. the former.
There are distinct z_1, \dots, z_n and w_1, \dots, w_ℓ such that $a_i = z_i \cap \omega$ and $b_j = w_j \cap \omega$.

Implications 3

\mathcal{I} is maximal: Let $W \in [\omega \dot{\cup} 2^{<\omega}]^\omega$ with $W \notin \mathcal{I}$. Let $A := W \cap \omega$.
By maximality of \mathcal{I}_0 , there are distinct a_1, \dots, a_n and $b_1, \dots, b_\ell \in \mathcal{I}_0$ such that $\sigma(a_1, \dots, a_n, A; b_1, \dots, b_\ell)$ or $\sigma(a_1, \dots, a_n; b_1, \dots, b_\ell, A)$ is finite, w.l.o.g. the former.
There are distinct z_1, \dots, z_n and w_1, \dots, w_ℓ such that $a_i = z_i \cap \omega$ and $b_j = w_j \cap \omega$.
Let $t_0 \neq t_1 \in \mathcal{I}$, different from the z_i 's and the w_j 's.

Implications 3

\mathcal{I} is maximal: Let $W \in [\omega \dot{\cup} 2^{<\omega}]^\omega$ with $W \notin \mathcal{I}$. Let $A := W \cap \omega$.

By maximality of \mathcal{I}_0 , there are distinct a_1, \dots, a_n and $b_1, \dots, b_\ell \in \mathcal{I}_0$ such that $\sigma(a_1, \dots, a_n, A; b_1, \dots, b_\ell)$ or $\sigma(a_1, \dots, a_n; b_1, \dots, b_\ell, A)$ is finite, w.l.o.g. the former.

There are distinct z_1, \dots, z_n and w_1, \dots, w_ℓ such that $a_i = z_i \cap \omega$ and $b_j = w_j \cap \omega$.

Let $t_0 \neq t_1 \in \mathcal{I}$, different from the z_i 's and the w_j 's.

Say $t_0 = g(x_0, y_0)$ and $t_1 = g(x_1, y_1)$.

Implications 3

\mathcal{I} is maximal: Let $W \in [\omega \dot{\cup} 2^{<\omega}]^\omega$ with $W \notin \mathcal{I}$. Let $A := W \cap \omega$.

By maximality of \mathcal{I}_0 , there are distinct a_1, \dots, a_n and $b_1, \dots, b_\ell \in \mathcal{I}_0$ such that $\sigma(a_1, \dots, a_n, A; b_1, \dots, b_\ell)$ or $\sigma(a_1, \dots, a_n; b_1, \dots, b_\ell, A)$ is finite, w.l.o.g. the former.

There are distinct z_1, \dots, z_n and w_1, \dots, w_ℓ such that $a_i = z_i \cap \omega$ and $b_j = w_j \cap \omega$.

Let $t_0 \neq t_1 \in \mathcal{I}$, different from the z_i 's and the w_j 's.

Say $t_0 = g(x_0, y_0)$ and $t_1 = g(x_1, y_1)$.

If $y_0 = y_1$, then $(t_0 \setminus t_1) \cap 2^{<\omega} = \emptyset$;

Implications 3

\mathcal{I} is maximal: Let $W \in [\omega \dot{\cup} 2^{<\omega}]^\omega$ with $W \notin \mathcal{I}$. Let $A := W \cap \omega$.

By maximality of \mathcal{I}_0 , there are distinct a_1, \dots, a_n and $b_1, \dots, b_\ell \in \mathcal{I}_0$ such that $\sigma(a_1, \dots, a_n, A; b_1, \dots, b_\ell)$ or $\sigma(a_1, \dots, a_n; b_1, \dots, b_\ell, A)$ is finite, w.l.o.g. the former.

There are distinct z_1, \dots, z_n and w_1, \dots, w_ℓ such that $a_i = z_i \cap \omega$ and $b_j = w_j \cap \omega$.

Let $t_0 \neq t_1 \in \mathcal{I}$, different from the z_i 's and the w_j 's.

Say $t_0 = g(x_0, y_0)$ and $t_1 = g(x_1, y_1)$.

If $y_0 = y_1$, then $(t_0 \setminus t_1) \cap 2^{<\omega} = \emptyset$;

hence $\sigma(x_1, \dots, x_n, W, t_0; w_1, \dots, w_\ell, t_1)$ is finite.

Implications 3

\mathcal{I} is maximal: Let $W \in [\omega \dot{\cup} 2^{<\omega}]^\omega$ with $W \notin \mathcal{I}$. Let $A := W \cap \omega$.

By maximality of \mathcal{I}_0 , there are distinct a_1, \dots, a_n and $b_1, \dots, b_\ell \in \mathcal{I}_0$ such that $\sigma(a_1, \dots, a_n, A; b_1, \dots, b_\ell)$ or $\sigma(a_1, \dots, a_n; b_1, \dots, b_\ell, A)$ is finite, w.l.o.g. the former.

There are distinct z_1, \dots, z_n and w_1, \dots, w_ℓ such that $a_i = z_i \cap \omega$ and $b_j = w_j \cap \omega$.

Let $t_0 \neq t_1 \in \mathcal{I}$, different from the z_i 's and the w_j 's.

Say $t_0 = g(x_0, y_0)$ and $t_1 = g(x_1, y_1)$.

If $y_0 = y_1$, then $(t_0 \setminus t_1) \cap 2^{<\omega} = \emptyset$;

hence $\sigma(x_1, \dots, x_n, W, t_0; w_1, \dots, w_\ell, t_1)$ is finite.

If $y_0 \neq y_1$, then $|\{\chi_{y_0} \upharpoonright n \mid n < \omega\} \cap \{\chi_{y_1} \upharpoonright n \mid n < \omega\}| < \omega$;

Implications 3

\mathcal{I} is maximal: Let $W \in [\omega \dot{\cup} 2^{<\omega}]^\omega$ with $W \notin \mathcal{I}$. Let $A := W \cap \omega$.

By maximality of \mathcal{I}_0 , there are distinct a_1, \dots, a_n and $b_1, \dots, b_\ell \in \mathcal{I}_0$ such that $\sigma(a_1, \dots, a_n, A; b_1, \dots, b_\ell)$ or $\sigma(a_1, \dots, a_n; b_1, \dots, b_\ell, A)$ is finite, w.l.o.g. the former.

There are distinct z_1, \dots, z_n and w_1, \dots, w_ℓ such that $a_i = z_i \cap \omega$ and $b_j = w_j \cap \omega$.

Let $t_0 \neq t_1 \in \mathcal{I}$, different from the z_i 's and the w_j 's.

Say $t_0 = g(x_0, y_0)$ and $t_1 = g(x_1, y_1)$.

If $y_0 = y_1$, then $(t_0 \setminus t_1) \cap 2^{<\omega} = \emptyset$;

hence $\sigma(x_1, \dots, x_n, W, t_0; w_1, \dots, w_\ell, t_1)$ is finite.

If $y_0 \neq y_1$, then $|\{\chi_{y_0} \upharpoonright n \mid n < \omega\} \cap \{\chi_{y_1} \upharpoonright n \mid n < \omega\}| < \omega$;

so $(t_0 \cap t_1) \cap 2^{<\omega}$ is finite;

Implications 3

\mathcal{I} is maximal: Let $W \in [\omega \dot{\cup} 2^{<\omega}]^\omega$ with $W \notin \mathcal{I}$. Let $A := W \cap \omega$.

By maximality of \mathcal{I}_0 , there are distinct a_1, \dots, a_n and $b_1, \dots, b_\ell \in \mathcal{I}_0$ such that $\sigma(a_1, \dots, a_n, A; b_1, \dots, b_\ell)$ or $\sigma(a_1, \dots, a_n; b_1, \dots, b_\ell, A)$ is finite, w.l.o.g. the former.

There are distinct z_1, \dots, z_n and w_1, \dots, w_ℓ such that $a_i = z_i \cap \omega$ and $b_j = w_j \cap \omega$.

Let $t_0 \neq t_1 \in \mathcal{I}$, different from the z_i 's and the w_j 's.

Say $t_0 = g(x_0, y_0)$ and $t_1 = g(x_1, y_1)$.

If $y_0 = y_1$, then $(t_0 \setminus t_1) \cap 2^{<\omega} = \emptyset$;

hence $\sigma(x_1, \dots, x_n, W, t_0; w_1, \dots, w_\ell, t_1)$ is finite.

If $y_0 \neq y_1$, then $|\{\chi_{y_0} \upharpoonright n \mid n < \omega\} \cap \{\chi_{y_1} \upharpoonright n \mid n < \omega\}| < \omega$;

so $(t_0 \cap t_1) \cap 2^{<\omega}$ is finite;

hence $\sigma(x_1, \dots, x_n, W, t_0, t_1; w_1, \dots, w_\ell)$ is finite. \square

Theorem 7 (Horowitz and Shelah '16; Schritterser '17)

There is a Π_1^0 (effectively closed) MED.

Theorem 7 (Horowitz and Shelah '16; Schritterser '17)

There is a Π_1^0 (effectively closed) MED.

So, for “eventually different”, there is a very simple maximal object, existing in ZF.

Theorem 7 (Horowitz and Shelah '16; Schritterser '17)

There is a Π_1^0 (effectively closed) MED.

So, for “eventually different”, there is a very simple maximal object, existing in ZF.

Note that a Borel MED necessarily has size continuum c .

Some questions

- Can we have models with $\mathfrak{c} > \omega_1$ and Π_1^1 MADs / MIFs?

Some questions

- Can we have models with $\mathfrak{c} > \omega_1$ and Π_1^1 MADs / MIFs?
- For which cardinal invariants of the continuum \mathfrak{x} can we even have models with $\mathfrak{x} > \omega_1$ and Π_1^1 MADs / MIFs?

Some questions

- Can we have models with $\mathfrak{c} > \omega_1$ and Π_1^1 MADs / MIFs?
- For which cardinal invariants of the continuum \mathfrak{x} can we even have models with $\mathfrak{x} > \omega_1$ and Π_1^1 MADs / MIFs?
- What are possible sizes for MADs / MIFs in the lower levels of the projective hierarchy?

Some questions

- Can we have models with $\mathfrak{c} > \omega_1$ and Π_1^1 MADs / MIFs?
- For which cardinal invariants of the continuum \mathfrak{x} can we even have models with $\mathfrak{x} > \omega_1$ and Π_1^1 MADs / MIFs?
- What are possible sizes for MADs / MIFs in the lower levels of the projective hierarchy?
(This question also makes sense for MEDs.)

Some questions

- Can we have models with $\mathfrak{c} > \omega_1$ and Π_1^1 MADs / MIFs?
- For which cardinal invariants of the continuum \mathfrak{x} can we even have models with $\mathfrak{x} > \omega_1$ and Π_1^1 MADs / MIFs?
- What are possible sizes for MADs / MIFs in the lower levels of the projective hierarchy?
(This question also makes sense for MEDs.)
- Is non-existence of (projective) MADs / MIFs consistent on the basis of ZFC?

MAD and MED: the Cohen model

Theorem 8 (Kunen '70's + Folklore)

In the Cohen model (over $V = L$) there is a Σ_2^1 and thus Π_1^1 MAD.

Theorem 8 (Kunen '70's + Folklore)

In the Cohen model (over $V = L$) there is a Σ_2^1 and thus Π_1^1 MAD. In particular, the existence of a Π_1^1 MAD of size ω_1 is consistent with $\mathfrak{c} > \omega_1$.

Theorem 8 (Kunen '70's + Folklore)

In the Cohen model (over $V = L$) there is a Σ_2^1 and thus Π_1^1 MAD. In particular, the existence of a Π_1^1 MAD of size ω_1 is consistent with $\mathfrak{c} > \omega_1$.

Kunen: Under CH, there is a mad family which survives arbitrary Cohen extensions.

Theorem 8 (Kunen '70's + Folklore)

In the Cohen model (over $V = L$) there is a Σ_2^1 and thus Π_1^1 MAD. In particular, the existence of a Π_1^1 MAD of size ω_1 is consistent with $\mathfrak{c} > \omega_1$.

Kunen: Under CH, there is a mad family which survives arbitrary Cohen extensions.

Similarly:

Theorem 9

In the Cohen model (over $V = L$) there is a Π_1^1 MED of size ω_1 .

Theorem 8 (Kunen '70's + Folklore)

In the Cohen model (over $V = L$) there is a Σ_2^1 and thus Π_1^1 MAD. In particular, the existence of a Π_1^1 MAD of size ω_1 is consistent with $\mathfrak{c} > \omega_1$.

Kunen: Under CH, there is a mad family which survives arbitrary Cohen extensions.

Similarly:

Theorem 9

In the Cohen model (over $V = L$) there is a Π_1^1 MED of size ω_1 . In particular, the existence of a Π_1^1 MED of size ω_1 is consistent with $\mathfrak{c} > \omega_1$.

Question 1

Can we have $\mathfrak{b} > \omega_1$ together with Π_1^1 MADs?

Question 1

Can we have $\mathfrak{b} > \omega_1$ together with Π_1^1 MADs?

$\mathfrak{b} := \min\{|F| : F \subseteq \omega^\omega \text{ and } \forall g \in \omega^\omega \exists f \in F \exists^\infty n (g(n) < f(n))\}$
the *unbounding number*

Question 1

Can we have $\mathfrak{b} > \omega_1$ together with Π_1^1 MADs?

$\mathfrak{b} := \min\{|F| : F \subseteq \omega^\omega \text{ and } \forall g \in \omega^\omega \exists f \in F \exists^\infty n (g(n) < f(n))\}$
the *unbounding number*

$\mathfrak{a} := \min\{|\mathcal{A}| : \mathcal{A} \subseteq [\omega]^\omega \text{ is an infinite MAD family}\}$
the *almost disjointness number*

Question 1

Can we have $\mathfrak{b} > \omega_1$ together with Π_1^1 MADs?

$\mathfrak{b} := \min\{|F| : F \subseteq \omega^\omega \text{ and } \forall g \in \omega^\omega \exists f \in F \exists^\infty n (g(n) < f(n))\}$
the *unbounding number*

$\mathfrak{a} := \min\{|\mathcal{A}| : \mathcal{A} \subseteq [\omega]^\omega \text{ is an infinite MAD family}\}$
the *almost disjointness number*

Fact. $\omega_1 \leq \mathfrak{b} \leq \mathfrak{a} \leq \mathfrak{c}$

Question 1

Can we have $\mathfrak{b} > \omega_1$ together with Π_1^1 MADs?

$\mathfrak{b} := \min\{|F| : F \subseteq \omega^\omega \text{ and } \forall g \in \omega^\omega \exists f \in F \exists^\infty n (g(n) < f(n))\}$
the *unbounding number*

$\mathfrak{a} := \min\{|\mathcal{A}| : \mathcal{A} \subseteq [\omega]^\omega \text{ is an infinite MAD family}\}$
the *almost disjointness number*

Fact. $\omega_1 \leq \mathfrak{b} \leq \mathfrak{a} \leq \mathfrak{c}$

Reformulated: Adding a dominating real to a model of set theory destroys MAD families of this model.

MADs and dominating reals 2

Theorem 10 (B. and Khomskii '11)

In the Hechler model over $V = L$ there is a Π_1^1 MAD.

MADs and dominating reals 2

Theorem 10 (B. and Khomskii '11)

In the Hechler model over $V = L$ there is a Π_1^1 MAD.

In particular, the existence of a Π_1^1 MAD (necessarily of size \mathfrak{c}) is consistent with $\mathfrak{b} > \omega_1$.

MADs and dominating reals 2

Theorem 10 (B. and Khomskii '11)

In the Hechler model over $V = L$ there is a Π_1^1 MAD.

In particular, the existence of a Π_1^1 MAD (necessarily of size \mathfrak{c}) is consistent with $\mathfrak{b} > \omega_1$.

Proof idea. Use CH to build sequence $(A_\alpha : \alpha < \omega_1)$ of perfect a.d. sets such that

MADs and dominating reals 2

Theorem 10 (B. and Khomskii '11)

In the Hechler model over $V = L$ there is a Π_1^1 MAD.

In particular, the existence of a Π_1^1 MAD (necessarily of size \mathfrak{c}) is consistent with $\mathfrak{b} > \omega_1$.

Proof idea. Use CH to build sequence $(A_\alpha : \alpha < \omega_1)$ of perfect a.d. sets such that

- $\mathcal{A} = \bigcup \{A_\alpha : \alpha < \omega_1\}$ is MAD,

Theorem 10 (B. and Khomskii '11)

In the Hechler model over $V = L$ there is a Π_1^1 MAD.

In particular, the existence of a Π_1^1 MAD (necessarily of size \mathfrak{c}) is consistent with $\mathfrak{b} > \omega_1$.

Proof idea. Use CH to build sequence $(A_\alpha : \alpha < \omega_1)$ of perfect a.d. sets such that

- $\mathcal{A} = \bigcup \{A_\alpha : \alpha < \omega_1\}$ is MAD,
- \mathcal{A} is still MAD in the iterated Hechler extension when every perfect A_α is reinterpreted in the extension. \square

Theorem 10 (B. and Khomskii '11)

In the Hechler model over $V = L$ there is a Π_1^1 MAD.

In particular, the existence of a Π_1^1 MAD (necessarily of size \mathfrak{c}) is consistent with $\mathfrak{b} > \omega_1$.

Proof idea. Use CH to build sequence $(A_\alpha : \alpha < \omega_1)$ of perfect a.d. sets such that

- $\mathcal{A} = \bigcup \{A_\alpha : \alpha < \omega_1\}$ is MAD,
- \mathcal{A} is still MAD in the iterated Hechler extension when every perfect A_α is reinterpreted in the extension. \square

So the witness is a union of ω_1 many closed sets of size \mathfrak{c} .

MADs and dominating reals 2

Theorem 10 (B. and Khomskii '11)

In the Hechler model over $V = L$ there is a Π_1^1 MAD.

In particular, the existence of a Π_1^1 MAD (necessarily of size \mathfrak{c}) is consistent with $\mathfrak{b} > \omega_1$.

Proof idea. Use CH to build sequence $(A_\alpha : \alpha < \omega_1)$ of perfect a.d. sets such that

- $\mathcal{A} = \bigcup \{A_\alpha : \alpha < \omega_1\}$ is MAD,
- \mathcal{A} is still MAD in the iterated Hechler extension when every perfect A_α is reinterpreted in the extension. \square

So the witness is a union of ω_1 many closed sets of size \mathfrak{c} .

This is no accident b/c Σ_2^1 sets are ω_1 -Borel.

Theorem 11 (Fischer '18)

In the Sacks model (over $V = L$) there is a Σ_2^1 and thus Π_1^1 MIF.

Theorem 11 (Fischer '18)

In the Sacks model (over $V = L$) there is a Σ_2^1 and thus Π_1^1 MIF. In particular, the existence of a Π_1^1 MIF of size ω_1 is consistent with $\mathfrak{c} > \omega_1$.

Theorem 11 (Fischer '18)

In the Sacks model (over $V = L$) there is a Σ_2^1 and thus Π_1^1 MIF. In particular, the existence of a Π_1^1 MIF of size ω_1 is consistent with $\mathfrak{c} > \omega_1$.

Under CH, there is a mif which survives arbitrary Sacks extensions.

Theorem 11 (Fischer '18)

In the Sacks model (over $V = L$) there is a Σ_2^1 and thus Π_1^1 MIF. In particular, the existence of a Π_1^1 MIF of size ω_1 is consistent with $\mathfrak{c} > \omega_1$.

Under CH, there is a mif which survives arbitrary Sacks extensions.

This is also true for MAD and MED.

MIF: an implication

Theorem 12 (B. and Khomskii '15)

If all Σ_n^1 sets have the property of Baire, then there is no Σ_n^1 MIF.

MIF: an implication

Theorem 12 (B. and Khomskii '15)

If all Σ_n^1 sets have the property of Baire, then there is no Σ_n^1 MIF.

Proof idea. Analyze proof of Miller's result for no Σ_1^1 MIFs. \square

MIF: an implication

Theorem 12 (B. and Khomskii '15)

If all Σ_n^1 sets have the property of Baire, then there is no Σ_n^1 MIF.

Proof idea. Analyze proof of Miller's result for no Σ_1^1 MIFs. \square

Question. Does Σ_n^1 measurability imply no Σ_n^1 MIFs?

MIF: an implication

Theorem 12 (B. and Khomskii '15)

If all Σ_n^1 sets have the property of Baire, then there is no Σ_n^1 MIF.

Proof idea. Analyze proof of Miller's result for no Σ_1^1 MIFs. \square

Question. Does Σ_n^1 measurability imply no Σ_n^1 MIFs?

cov(meager) is the least size of a family of meager sets covering the reals

MIF: an implication

Theorem 12 (B. and Khomskii '15)

If all Σ_n^1 sets have the property of Baire, then there is no Σ_n^1 MIF.

Proof idea. Analyze proof of Miller's result for no Σ_1^1 MIFs. \square

Question. Does Σ_n^1 measurability imply no Σ_n^1 MIFs?

$\text{cov}(\text{meager})$ is the least size of a family of meager sets covering the reals

Theorem 13 (B. and Khomskii '15)

$\text{cov}(\text{meager}) > \omega_1$ implies there are no Σ_2^1 MIFs.

Question 2

Can we have $\mathfrak{d} > \omega_1$ together with Π_1^1 MIFs?

Question 2

Can we have $\mathfrak{d} > \omega_1$ together with Π_1^1 MIFs?

$\mathfrak{d} := \min\{|F| : F \subseteq \omega^\omega \text{ and } \forall g \in \omega^\omega \exists f \in F \forall^\infty n (g(n) < f(n))\}$
the *dominating number*

Question 2

Can we have $\mathfrak{d} > \omega_1$ together with Π_1^1 MIFs?

$\mathfrak{d} := \min\{|F| : F \subseteq \omega^\omega \text{ and } \forall g \in \omega^\omega \exists f \in F \forall^\infty n (g(n) < f(n))\}$
the *dominating number*

$\mathfrak{i} := \min\{|\mathcal{A}| : \mathcal{A} \subseteq [\omega]^\omega \text{ is a maximal independent family}\}$
the *independence number*

Question 2

Can we have $\mathfrak{d} > \omega_1$ together with Π_1^1 MIFs?

$\mathfrak{d} := \min\{|F| : F \subseteq \omega^\omega \text{ and } \forall g \in \omega^\omega \exists f \in F \forall^\infty n (g(n) < f(n))\}$
the *dominating number*

$\mathfrak{i} := \min\{|\mathcal{A}| : \mathcal{A} \subseteq [\omega]^\omega \text{ is a maximal independent family}\}$
the *independence number*

Fact. $\omega_1 \leq \mathfrak{b} \leq \mathfrak{d} \leq \mathfrak{i} \leq \mathfrak{c}$ and $\omega_1 \leq \text{cov}(\text{meager}) \leq \mathfrak{d}$

Question 2

Can we have $\mathfrak{d} > \omega_1$ together with Π_1^1 MIFs?

$\mathfrak{d} := \min\{|F| : F \subseteq \omega^\omega \text{ and } \forall g \in \omega^\omega \exists f \in F \forall^\infty n (g(n) < f(n))\}$
the *dominating number*

$\mathfrak{i} := \min\{|\mathcal{A}| : \mathcal{A} \subseteq [\omega]^\omega \text{ is a maximal independent family}\}$
the *independence number*

Fact. $\omega_1 \leq \mathfrak{b} \leq \mathfrak{d} \leq \mathfrak{i} \leq \mathfrak{c}$ and $\omega_1 \leq \text{cov}(\text{meager}) \leq \mathfrak{d}$

Reformulated: Adding an unbounded real to a model of set theory destroys MIF of this model.

Theorem 14 (Horowitz and Shelah '16)

It is consistent on the basis of ZFC that there are no (projective) MADs.

Theorem 14 (Horowitz and Shelah '16)

It is consistent on the basis of ZFC that there are no (projective) MADs.

Note. This was originally proved by Mathias under the assumption of the consistency of a Mahlo cardinal, and by Törnquist, under the assumption of the consistency of an inaccessible cardinal.

Theorem 15 (B. and Khomskii '15)

In the Cohen model W there are no projective MIFs. In $L(\mathbb{R})^W$ there are no MIFs.

Theorem 15 (B. and Khomskii '15)

In the Cohen model W there are no projective MIFs. In $L(\mathbb{R})^W$ there are no MIFs.

This is based on the same combinatorics as the previous result + homogeneity of Cohen forcing.

Theorem 16 (Fischer, Friedman, Schritterser and Törnquist '19)

It is consistent there is a Π_2^1 MAD of size μ where $\omega_1 < \mu < \mathfrak{c}$.

Theorem 16 (Fischer, Friedman, Schritterser and Törnquist '19)

It is consistent there is a Π_2^1 MAD of size μ where $\omega_1 < \mu < \mathfrak{c}$.

Π_2^1 is the optimal complexity here.

Theorem 16 (Fischer, Friedman, Schritterser and Törnquist '19)

It is consistent there is a Π_2^1 MAD of size μ where $\omega_1 < \mu < \mathfrak{c}$.

Π_2^1 is the optimal complexity here.

Similar for MED.