

Noah Schweber (University of Wisconsin — Madison)

National University of Singapore, June 6, 2019

Introduction

(Languages always finite)

Introduction

(Languages always finite)

Definition

For countable structures \mathcal{A}, \mathcal{B} , say:

- ▶ **(Muchnik/weak reducibility)** $\mathcal{A} \leq_w \mathcal{B}$ iff for every ω -copy B of \mathcal{B} there is an ω -copy A of \mathcal{A} with $B \geq_T A$.
- ▶ **(Medvedev/strong reducibility)** $\mathcal{A} \leq_s \mathcal{B}$ iff $\exists e \in \omega$ such that $\Phi_e^B \cong \mathcal{A}$ for every ω -copy B of \mathcal{B} .

Introduction

(Languages always finite)

Definition

For countable structures \mathcal{A}, \mathcal{B} , say:

- ▶ **(Muchnik/weak reducibility)** $\mathcal{A} \leq_w \mathcal{B}$ iff for every ω -copy B of \mathcal{B} there is an ω -copy A of \mathcal{A} with $B \geq_T A$.
- ▶ **(Medvedev/strong reducibility)** $\mathcal{A} \leq_s \mathcal{B}$ iff $\exists e \in \omega$ such that $\Phi_e^B \cong \mathcal{A}$ for every ω -copy B of \mathcal{B} .

Write “ $CK(\gamma)$ ” for the least admissible ordinal $> \gamma$:

Theorem (Sacks essentially)

For countable ordinals α, β we have $\alpha \leq_s \beta$ iff $\alpha < CK(\beta)$.

Introduction

(Languages always finite)

Definition

For countable structures \mathcal{A}, \mathcal{B} , say:

- ▶ **(Muchnik/weak reducibility)** $\mathcal{A} \leq_w \mathcal{B}$ iff for every ω -copy B of \mathcal{B} there is an ω -copy A of \mathcal{A} with $B \geq_T A$.
- ▶ **(Medvedev/strong reducibility)** $\mathcal{A} \leq_s \mathcal{B}$ iff $\exists e \in \omega$ such that $\Phi_e^B \cong \mathcal{A}$ for every ω -copy B of \mathcal{B} .

Write “ $CK(\gamma)$ ” for the least admissible ordinal $> \gamma$:

Theorem (Sacks essentially)

For countable ordinals α, β we have $\alpha \leq_s \beta$ iff $\alpha < CK(\beta)$.

What about \leq_s ?

Non-linearity

Non-linearity

What is \leq_s -behavior of (countable) ordinals?

Non-linearity

What is \leq_s -behavior of (countable) ordinals?

Trivially $\alpha + n \geq_s \alpha$ for all $n \in \omega, \alpha \in \omega_1$. Nontrivial reductions?

Non-linearity

What is \leq_s -behavior of (countable) ordinals?

Trivially $\alpha + n \geq_s \alpha$ for all $n \in \omega, \alpha \in \omega_1$. Nontrivial reductions?

Theorem (Hamkins, Li)

$$\omega_1^{CK} \leq_s \omega_1^{CK} + \omega.$$

Non-linearity

What is \leq_s -behavior of (countable) ordinals?

Trivially $\alpha + n \geq_s \alpha$ for all $n \in \omega, \alpha \in \omega_1$. Nontrivial reductions?

Theorem (Hamkins, Li)

$$\omega_1^{CK} \leq_s \omega_1^{CK} + \omega.$$

Let \mathcal{D}_s^{ord} be the \leq_s -degree structure of countable ordinals.

Question (Hamkins, Li)

Is \mathcal{D}_s^{ord} linearly ordered?

Non-linearity

What is \leq_s -behavior of (countable) ordinals?

Trivially $\alpha + n \geq_s \alpha$ for all $n \in \omega, \alpha \in \omega_1$. Nontrivial reductions?

Theorem (Hamkins, Li)

$$\omega_1^{CK} \leq_s \omega_1^{CK} + \omega.$$

Let \mathcal{D}_s^{ord} be the \leq_s -degree structure of countable ordinals.

Question (Hamkins, Li)

Is \mathcal{D}_s^{ord} linearly ordered?

Theorem

There is a club $C \subset \omega_1$ which is an \leq_s -antichain.

Non-linearity

What is \leq_s -behavior of (countable) ordinals?

Trivially $\alpha + n \geq_s \alpha$ for all $n \in \omega, \alpha \in \omega_1$. Nontrivial reductions?

Theorem (Hamkins, Li)

$$\omega_1^{CK} \leq_s \omega_1^{CK} + \omega.$$

Let \mathcal{D}_s^{ord} be the \leq_s -degree structure of countable ordinals.

Question (Hamkins, Li)

Is \mathcal{D}_s^{ord} linearly ordered?

Theorem

There is a club $C \subset \omega_1$ which is an \leq_s -antichain. And if $\omega_1^L < \omega_1$, then there is no embedding of ω_1 into \mathcal{D}_s^{ord} .

Non-linearity

What is \leq_s -behavior of (countable) ordinals?

Trivially $\alpha + n \geq_s \alpha$ for all $n \in \omega, \alpha \in \omega_1$. Nontrivial reductions?

Theorem (Hamkins, Li)

$$\omega_1^{CK} \leq_s \omega_1^{CK} + \omega.$$

Let \mathcal{D}_s^{ord} be the \leq_s -degree structure of countable ordinals.

Question (Hamkins, Li)

Is \mathcal{D}_s^{ord} linearly ordered?

Theorem

There is a club $C \subset \omega_1$ which is an \leq_s -antichain. And if $\omega_1^I < \omega_1$, then there is no embedding of ω_1 into \mathcal{D}_s^{ord} .

If $\omega_1^I = \omega_1$ then there is an embedding of ω_1 into the countable ordinals under uniform *hyperarithmetic* reducibility (via Hamkins-Linetsky-Reitz).

Small (cheap) antichains

Definition (Generic strong reducibility)

For structures \mathcal{A}, \mathcal{B} of arbitrary cardinality, write $\mathcal{A} \leq_s^ \mathcal{B}$ iff $\mathcal{A} \leq_s \mathcal{B}$ in every forcing extension where each is countable.*

Small (cheap) antichains

Definition (Generic strong reducibility)

For structures \mathcal{A}, \mathcal{B} of arbitrary cardinality, write $\mathcal{A} \leq_s^ \mathcal{B}$ iff $\mathcal{A} \leq_s \mathcal{B}$ in every forcing extension where each is countable.*

Theorem ((Weak) Shoenfield absoluteness)

Π_2^1 statements with real parameters are upwards-absolute with respect to forcing.

Small (cheap) antichains

Definition (Generic strong reducibility)

For structures \mathcal{A}, \mathcal{B} of arbitrary cardinality, write $\mathcal{A} \leq_s^ \mathcal{B}$ iff $\mathcal{A} \leq_s \mathcal{B}$ in every forcing extension where each is countable.*

Theorem ((Weak) Shoenfield absoluteness)

Π_2^1 statements with real parameters are upwards-absolute with respect to forcing.

Observation

Since \leq_s^ has countable predecessor property, have infinite \leq_s^* -antichain of ordinals with supremum σ .*

Small (cheap) antichains

Definition (Generic strong reducibility)

For structures \mathcal{A}, \mathcal{B} of arbitrary cardinality, write $\mathcal{A} \leq_s^* \mathcal{B}$ iff $\mathcal{A} \leq_s \mathcal{B}$ in every forcing extension where each is countable.

Theorem ((Weak) Shoenfield absoluteness)

Π_2^1 statements with real parameters are upwards-absolute with respect to forcing.

Observation

Since \leq_s^* has countable predecessor property, have infinite \leq_s^* -antichain of ordinals with supremum σ . Existence of infinite \leq_s -antichain of countable ordinals is Σ_2^1 ,

Small (cheap) antichains

Definition (Generic strong reducibility)

For structures \mathcal{A}, \mathcal{B} of arbitrary cardinality, write $\mathcal{A} \leq_s^* \mathcal{B}$ iff $\mathcal{A} \leq_s \mathcal{B}$ in every forcing extension where each is countable.

Theorem ((Weak) Shoenfield absoluteness)

Π_2^1 statements with real parameters are upwards-absolute with respect to forcing.

Observation

Since \leq_s^* has countable predecessor property, have infinite \leq_s^* -antichain of ordinals with supremum σ . Existence of infinite \leq_s -antichain of countable ordinals is Σ_2^1 , and true after collapsing σ to ω . Shoenfield absoluteness then gives infinite \leq_s -antichain in V .

Small (cheap) antichains

Definition (Generic strong reducibility)

For structures \mathcal{A}, \mathcal{B} of arbitrary cardinality, write $\mathcal{A} \leq_s^ \mathcal{B}$ iff $\mathcal{A} \leq_s \mathcal{B}$ in every forcing extension where each is countable.*

Theorem ((Weak) Shoenfield absoluteness)

Π_2^1 statements with real parameters are upwards-absolute with respect to forcing.

Observation

Since \leq_s^ has countable predecessor property, have infinite \leq_s^* -antichain of ordinals with supremum σ . Existence of infinite \leq_s -antichain of countable ordinals is Σ_2^1 , and true after collapsing σ to ω . Shoenfield absoluteness then gives infinite \leq_s -antichain in V .*

What about uncountable antichains?

Big (slightly less cheap) antichains

Let $\theta = \sup\{\alpha < \omega_1 : \alpha \leq_s^* \omega_1\}$.

Big (slightly less cheap) antichains

Let $\theta = \sup\{\alpha < \omega_1 : \alpha \leq_s^* \omega_1\}$.

Let \mathbb{K} be the set of countable elementary submodels M of a large enough initial segment of V with $\theta + 1 \subseteq M$.

Big (slightly less cheap) antichains

Let $\theta = \sup\{\alpha < \omega_1 : \alpha \leq_s^* \omega_1\}$.

Let \mathbb{K} be the set of countable elementary submodels M of a large enough initial segment of V with $\theta + 1 \subseteq M$. For $M \in \mathbb{K}$ let $f(M)$ be the (countable) image of ω_1 under the Mostowski collapse of M .

Theorem

The set $\{f(M) : M \in \mathbb{K}\}$ is a club \leq_s -antichain.

Big (slightly less cheap) antichains

Let $\theta = \sup\{\alpha < \omega_1 : \alpha \leq_s^* \omega_1\}$.

Let \mathbb{K} be the set of countable elementary submodels M of a large enough initial segment of V with $\theta + 1 \subseteq M$. For $M \in \mathbb{K}$ let $f(M)$ be the (countable) image of ω_1 under the Mostowski collapse of M .

Theorem

The set $\{f(M) : M \in \mathbb{K}\}$ is a club \leq_s -antichain.

“Going-up” direction of antichain claim: if $f(M) < f(N)$ with $M, N \in \mathbb{K}$ then $CK(f(M)) < f(N)$.

Big (slightly less cheap) antichains

Let $\theta = \sup\{\alpha < \omega_1 : \alpha \leq_s^* \omega_1\}$.

Let \mathbb{K} be the set of countable elementary submodels M of a large enough initial segment of V with $\theta + 1 \subseteq M$. For $M \in \mathbb{K}$ let $f(M)$ be the (countable) image of ω_1 under the Mostowski collapse of M .

Theorem

The set $\{f(M) : M \in \mathbb{K}\}$ is a club \leq_s -antichain.

“Going-up” direction of antichain claim: if $f(M) < f(N)$ with $M, N \in \mathbb{K}$ then $CK(f(M)) < f(N)$. For “going-down” direction we use Mostowski absoluteness: if M is a transitive model of ZFC, $\alpha, \beta \in \text{Ord}^M$, and $M \models \alpha \leq_s^* \beta$, then $\alpha \leq_s^* \beta$.

Big (slightly less cheap) antichains

Let $\theta = \sup\{\alpha < \omega_1 : \alpha \leq_s^* \omega_1\}$.

Let \mathbb{K} be the set of countable elementary submodels M of a large enough initial segment of V with $\theta + 1 \subseteq M$. For $M \in \mathbb{K}$ let $f(M)$ be the (countable) image of ω_1 under the Mostowski collapse of M .

Theorem

The set $\{f(M) : M \in \mathbb{K}\}$ is a club \leq_s -antichain.

“Going-up” direction of antichain claim: if $f(M) < f(N)$ with $M, N \in \mathbb{K}$ then $CK(f(M)) < f(N)$. For “going-down” direction we use Mostowski absoluteness: if M is a transitive model of ZFC, $\alpha, \beta \in Ord^M$, and $M \models \alpha \leq_s^* \beta$, then $\alpha \leq_s^* \beta$.

Now note that $f(M) > \theta^M = \theta^N = \theta$ for every $M, N \in \mathbb{K}$.

Projective uniform reducibilities

Arguments above were “coarse” and useless for detailed analysis;

Projective uniform reducibilities

Arguments above were “coarse” and useless for detailed analysis;
do they at least generalize a bit?

Projective uniform reducibilities

Arguments above were “coarse” and useless for detailed analysis; do they at least generalize a bit? (Really just care about absoluteness.)

Projective uniform reducibilities

Arguments above were “coarse” and useless for detailed analysis; do they at least generalize a bit? (Really just care about absoluteness.)

A *local reducibility notion* is a relation $R \subseteq \omega \times \mathit{Struc} \times \mathit{Struc}$ (where Struc is the set of structures with domain ω topologized as usual) such that

- ▶ If $R(a, X, Y)$ and $R(b, Y, Z)$ then $R(c, X, Z)$ for some c .
- ▶ For each X there is some e with $R(e, X, X)$.
- ▶ For each e, Y there is at most one X with $R(e, X, Y)$.

E.g. “ $\Phi_e^Y = X$.”

Projective uniform reducibilities

Arguments above were “coarse” and useless for detailed analysis; do they at least generalize a bit? (Really just care about absoluteness.)

A *local reducibility notion* is a relation $R \subseteq \omega \times \mathit{Struc} \times \mathit{Struc}$ (where Struc is the set of structures with domain ω topologized as usual) such that

- ▶ If $R(a, X, Y)$ and $R(b, Y, Z)$ then $R(c, X, Z)$ for some c .
- ▶ For each X there is some e with $R(e, X, X)$.
- ▶ For each e, Y there is at most one X with $R(e, X, Y)$.

E.g. “ $\Phi_e^Y = X$.” Really only the third is necessary, but first two prevent artificialities.

Projective uniform reducibilities

Arguments above were “coarse” and useless for detailed analysis; do they at least generalize a bit? (Really just care about absoluteness.)

A *local reducibility notion* is a relation $R \subseteq \omega \times \mathit{Struc} \times \mathit{Struc}$ (where Struc is the set of structures with domain ω topologized as usual) such that

- ▶ If $R(a, X, Y)$ and $R(b, Y, Z)$ then $R(c, X, Z)$ for some c .
- ▶ For each X there is some e with $R(e, X, X)$.
- ▶ For each e, Y there is at most one X with $R(e, X, Y)$.

E.g. “ $\Phi_e^Y = X$.” Really only the third is necessary, but first two prevent artificialities. Yields uniform reducibility notion \trianglelefteq_R (and nonuniform version — but irrelevant here).

Projective uniform reducibilities

Arguments above were “coarse” and useless for detailed analysis; do they at least generalize a bit? (Really just care about absoluteness.)

A *local reducibility notion* is a relation $R \subseteq \omega \times \mathit{Struc} \times \mathit{Struc}$ (where Struc is the set of structures with domain ω topologized as usual) such that

- ▶ If $R(a, X, Y)$ and $R(b, Y, Z)$ then $R(c, X, Z)$ for some c .
- ▶ For each X there is some e with $R(e, X, X)$.
- ▶ For each e, Y there is at most one X with $R(e, X, Y)$.

E.g. “ $\Phi_e^Y = X$.” Really only the third is necessary, but first two prevent artificialities. Yields uniform reducibility notion \trianglelefteq_R (and nonuniform version — but irrelevant here). LCs: every projective l.r.n. is appropriately absolute (e.g. generic version \trianglelefteq_R^* “makes sense”).

Projective uniform reducibilities

Arguments above were “coarse” and useless for detailed analysis; do they at least generalize a bit? (Really just care about absoluteness.)

A *local reducibility notion* is a relation $R \subseteq \omega \times \mathit{Struc} \times \mathit{Struc}$ (where Struc is the set of structures with domain ω topologized as usual) such that

- ▶ If $R(a, X, Y)$ and $R(b, Y, Z)$ then $R(c, X, Z)$ for some c .
- ▶ For each X there is some e with $R(e, X, X)$.
- ▶ For each e, Y there is at most one X with $R(e, X, Y)$.

E.g. “ $\Phi_e^Y = X$.” Really only the third is necessary, but first two prevent artificialities. Yields uniform reducibility notion \trianglelefteq_R (and nonuniform version — but irrelevant here). LCs: every projective l.r.n. is appropriately absolute (e.g. generic version \trianglelefteq_R^* “makes sense”). Call corresponding \trianglelefteq_{RS} “projective uniform reducibilities.”

Extending results

Corollary (ZFC+LC)

For \trianglelefteq any projective uniform reducibility, \exists club \trianglelefteq -antichain of countable ordinals.

Extending results

Corollary (ZFC+LC)

For \trianglelefteq any projective uniform reducibility, \exists club \trianglelefteq -antichain of countable ordinals.

Original argument via \trianglelefteq^* requires projective absoluteness.

Extending results

Corollary (ZFC+LC)

For \trianglelefteq any projective uniform reducibility, \exists club \trianglelefteq -antichain of countable ordinals.

Original argument via \trianglelefteq^* requires projective absoluteness. Can also use projective club dichotomy — much weaker consistency-wise:

Extending results

Corollary (ZFC+LC)

For \trianglelefteq any projective uniform reducibility, \exists club \trianglelefteq -antichain of countable ordinals.

Original argument via \trianglelefteq^* requires projective absoluteness. Can also use projective club dichotomy — much weaker consistency-wise:

- ▶ Each countable structure \mathcal{A} has associated pre-well-ordering $IO_{\trianglelefteq}(\mathcal{A})$:

Extending results

Corollary (ZFC+LC)

For \trianglelefteq any projective uniform reducibility, \exists club \trianglelefteq -antichain of countable ordinals.

Original argument via \trianglelefteq^* requires projective absoluteness. Can also use projective club dichotomy — much weaker consistency-wise:

- ▶ Each countable structure \mathcal{A} has associated pre-well-ordering $IO_{\trianglelefteq}(\mathcal{A})$: domain = indices of strong reductions of some ordinal to \mathcal{A} , preordered by length of corresponding ordinal.

Extending results

Corollary (ZFC+LC)

For \trianglelefteq any projective uniform reducibility, \exists club \trianglelefteq -antichain of countable ordinals.

Original argument via \trianglelefteq^* requires projective absoluteness. Can also use projective club dichotomy — much weaker consistency-wise:

- ▶ Each countable structure \mathcal{A} has associated pre-well-ordering $IO_{\trianglelefteq}(\mathcal{A})$: domain = indices of strong reductions of some ordinal to \mathcal{A} , preordered by length of corresponding ordinal.
- ▶ $IO_{\trianglelefteq}(\mathcal{A})$ is determined by countably many second-order facts

Extending results

Corollary (ZFC+LC)

For \trianglelefteq any projective uniform reducibility, \exists club \trianglelefteq -antichain of countable ordinals.

Original argument via \trianglelefteq^* requires projective absoluteness. Can also use projective club dichotomy — much weaker consistency-wise:

- ▶ Each countable structure \mathcal{A} has associated pre-well-ordering $IO_{\trianglelefteq}(\mathcal{A})$: domain = indices of strong reductions of some ordinal to \mathcal{A} , preordered by length of corresponding ordinal.
- ▶ $IO_{\trianglelefteq}(\mathcal{A})$ is determined by countably many second-order facts and ordertype is collapse of $\{\alpha < \omega_1 : \alpha \leq_s \mathcal{A}\}$.

Extending results

Corollary (ZFC+LC)

For \trianglelefteq any projective uniform reducibility, \exists club \trianglelefteq -antichain of countable ordinals.

Original argument via \trianglelefteq^* requires projective absoluteness. Can also use projective club dichotomy — much weaker consistency-wise:

- ▶ Each countable structure \mathcal{A} has associated pre-well-ordering $IO_{\trianglelefteq}(\mathcal{A})$: domain = indices of strong reductions of some ordinal to \mathcal{A} , preordered by length of corresponding ordinal.
- ▶ $IO_{\trianglelefteq}(\mathcal{A})$ is determined by countably many second-order facts and ordertype is collapse of $\{\alpha < \omega_1 : \alpha \leq_s \mathcal{A}\}$.
- ▶ PCD gives club C of admissibles on which $IO_{\trianglelefteq}(-)$ is constant;

Extending results

Corollary (ZFC+LC)

For \trianglelefteq any projective uniform reducibility, \exists club \trianglelefteq -antichain of countable ordinals.

Original argument via \trianglelefteq^* requires projective absoluteness. Can also use projective club dichotomy — much weaker consistency-wise:

- ▶ Each countable structure \mathcal{A} has associated pre-well-ordering $IO_{\trianglelefteq}(\mathcal{A})$: domain = indices of strong reductions of some ordinal to \mathcal{A} , preordered by length of corresponding ordinal.
- ▶ $IO_{\trianglelefteq}(\mathcal{A})$ is determined by countably many second-order facts and ordertype is collapse of $\{\alpha < \omega_1 : \alpha \leq_s \mathcal{A}\}$.
- ▶ PCD gives club C of admissibles on which $IO_{\trianglelefteq}(-)$ is constant; can thin C to prevent “going-up,”

Extending results

Corollary (ZFC+LC)

For \trianglelefteq any projective uniform reducibility, \exists club \trianglelefteq -antichain of countable ordinals.

Original argument via \trianglelefteq^* requires projective absoluteness. Can also use projective club dichotomy — much weaker consistency-wise:

- ▶ Each countable structure \mathcal{A} has associated pre-well-ordering $IO_{\trianglelefteq}(\mathcal{A})$: domain = indices of strong reductions of some ordinal to \mathcal{A} , preordered by length of corresponding ordinal.
- ▶ $IO_{\trianglelefteq}(\mathcal{A})$ is determined by countably many second-order facts and ordertype is collapse of $\{\alpha < \omega_1 : \alpha \leq_s \mathcal{A}\}$.
- ▶ PCD gives club C of admissibles on which $IO_{\trianglelefteq}(-)$ is constant; can thin C to prevent “going-up,” and then “going-down” would yield a self-embedding of a well-order into a proper initial segment of itself.

Extending results

Corollary (ZFC+LC)

For \triangleleft any projective uniform reducibility, \exists club \triangleleft -antichain of countable ordinals.

Original argument via \triangleleft^* requires projective absoluteness. Can also use projective club dichotomy — much weaker consistency-wise:

- ▶ Each countable structure \mathcal{A} has associated pre-well-ordering $IO_{\triangleleft}(\mathcal{A})$: domain = indices of strong reductions of some ordinal to \mathcal{A} , preordered by length of corresponding ordinal.
- ▶ $IO_{\triangleleft}(\mathcal{A})$ is determined by countably many second-order facts and ordertype is collapse of $\{\alpha < \omega_1 : \alpha \leq_s \mathcal{A}\}$.
- ▶ PCD gives club C of admissibles on which $IO_{\triangleleft}(-)$ is constant; can thin C to prevent “going-up,” and then “going-down” would yield a self-embedding of a well-order into a proper initial segment of itself.

Will use stronger hypotheses later.

Generic presentability and Vaught's conjecture

Generic presentability and Vaught's conjecture

VC-counterexamples are “ordinal-like” — do they behave analogously?

Generic presentability and Vaught's conjecture

VC-counterexamples are “ordinal-like” — do they behave analogously?

Definition (Knight-Montalbán-S.; Kaplan-Shelah)

G \mathbb{P} -generic over V : a structure $M \in V[G]$ is generically presentable if $M = \nu[G]$ for some ν with

$$\Vdash_{\mathbb{P}^2} \nu[H_0] \cong \nu[H_1].$$

Especially interested in “countable” g.p.s.s: $\Vdash_{\mathbb{P}} |\nu[G]| = \aleph_0$.

Generic presentability and Vaught's conjecture

VC-counterexamples are “ordinal-like” — do they behave analogously?

Definition (Knight-Montalbán-S.; Kaplan-Shelah)

G \mathbb{P} -generic over V : a structure $M \in V[G]$ is generically presentable if $M = \nu[G]$ for some ν with

$$\Vdash_{\mathbb{P}^2} \nu[H_0] \cong \nu[H_1].$$

Especially interested in “countable” g.p.s.s: $\Vdash_{\mathbb{P}} |\nu[G]| = \aleph_0$.

Can talk about \trianglelefteq^* , indices, etc. for generically presentable structures;

Generic presentability and Vaught's conjecture

VC-counterexamples are “ordinal-like” — do they behave analogously?

Definition (Knight-Montalbán-S.; Kaplan-Shelah)

G \mathbb{P} -generic over V : a structure $M \in V[G]$ is generically presentable if $M = \nu[G]$ for some ν with

$$\Vdash_{\mathbb{P}^2} \nu[H_0] \cong \nu[H_1].$$

Especially interested in “countable” g.p.s.s: $\Vdash_{\mathbb{P}} |\nu[G]| = \aleph_0$.

Can talk about \trianglelefteq^* , indices, etc. for generically presentable structures; this is enough:

Theorem (Knight-Montalbán-S., folklore?)

If $T \in V$ a VC-counterexample and $M \in V[G]$ a model of T , then M is generically presentable over V .

VC-counterexamples have short degree structures

Proposition (ZFC+LC)

If T is a VC-counterexample and \leq is a projective uniform reducibility on the countable models of T , then

$$\{otp(\{\alpha \in \omega_1 : \alpha \leq_s \mathcal{A}\}) : \mathcal{A} \models T \text{ countable}\}$$

is bounded strictly below ω_1 .

VC-counterexamples have short degree structures

Proposition (ZFC+LC)

If T is a VC-counterexample and \leq is a projective uniform reducibility on the countable models of T , then

$$\{otp(\{\alpha \in \omega_1 : \alpha \leq_s \mathcal{A}\}) : \mathcal{A} \models T \text{ countable}\}$$

is bounded strictly below ω_1 .

Look at generic versions for generically presentable models of T ; collapse and use absoluteness.

VC-counterexamples have short degree structures

Proposition (ZFC+LC)

If T is a VC-counterexample and \trianglelefteq is a projective uniform reducibility on the countable models of T , then

$$\{otp(\{\alpha \in \omega_1 : \alpha \leq_s \mathcal{A}\}) : \mathcal{A} \models T \text{ countable}\}$$

is bounded strictly below ω_1 .

Look at generic versions for generically presentable models of T ; collapse and use absoluteness.

Corollary (ZFC+LC)

If T is a counterexample to Vaught's conjecture and \trianglelefteq is a projective uniform reducibility, then there is a bound below ω_1 on the ordinals which embed into the \trianglelefteq -degrees of countable models of T .

VC-counterexamples have short degree structures

Proposition (ZFC+LC)

If T is a VC-counterexample and \trianglelefteq is a projective uniform reducibility on the countable models of T , then

$$\{otp(\{\alpha \in \omega_1 : \alpha \leq_s \mathcal{A}\}) : \mathcal{A} \models T \text{ countable}\}$$

is bounded strictly below ω_1 .

Look at generic versions for generically presentable models of T ; collapse and use absoluteness.

Corollary (ZFC+LC)

If T is a counterexample to Vaught's conjecture and \trianglelefteq is a projective uniform reducibility, then there is a bound below ω_1 on the ordinals which embed into the \trianglelefteq -degrees of countable models of T .

Transform a counterexample \trianglelefteq to a counterexample to the above proposition via a pre-well-ordering of the countable models of T of length ω_1 .

Digression 1: well-ordering VC-counterexamples

Missing from the above is an analogue of the “constant-on-a-club” fact.

Definition

For \trianglelefteq a projective uniform reducibility and \mathcal{A} a structure, let $IO_{\trianglelefteq}(\mathcal{A})$ be the Mostowski collapse of the set of ordinals $\trianglelefteq \mathcal{A}$.

Is there a sense in which, for T a VC-counterexample, $IO_{\trianglelefteq}(-)$ is constant on “most” of $Mod(T)$?

Digression 1: well-ordering VC-counterexamples

Missing from the above is an analogue of the “constant-on-a-club” fact.

Definition

For \trianglelefteq a projective uniform reducibility and \mathcal{A} a structure, let $IO_{\trianglelefteq}(\mathcal{A})$ be the Mostowski collapse of the set of ordinals $\trianglelefteq \mathcal{A}$.

Is there a sense in which, for T a VC-counterexample, $IO_{\trianglelefteq}(-)$ is constant on “most” of $Mod(T)$? Easiest approach to “yes” would be to get a well-ordering of $Mod(T)$.

Question

If T is a VC-counterexample, is there a projective well-ordering of the countable models of T (as opposed to a countable-to-one pre-well-ordering)?

Digression 2: virtual cardinalities

Digression 2: virtual cardinalities

Generically presentable *structures up to isomorphism* are nontrivial:

Theorem (Knight-Montalbán-S.; Kaplan-Shelah)

There are “countable” generically presentable structures without copies in V (produced exactly when $\omega_2^V < \omega_1^{V[G]}$).

Digression 2: virtual cardinalities

Generically presentable *structures up to isomorphism* are nontrivial:

Theorem (Knight-Montalbán-S.; Kaplan-Shelah)

There are “countable” generically presentable structures without copies in V (produced exactly when $\omega_2^V < \omega_1^{V[G]}$).

Generically presentable *sets up to equality* are not: if $G \times H$ is $\mathbb{P} \times \mathbb{Q}$ -generic, then $V[G] \cap V[H] = V$ (Solovay).

Digression 2: virtual cardinalities

Generically presentable *structures up to isomorphism* are nontrivial:

Theorem (Knight-Montalbán-S.; Kaplan-Shelah)

There are “countable” generically presentable structures without copies in V (produced exactly when $\omega_2^V < \omega_1^{V[G]}$).

Generically presentable *sets up to equality* are not: if $G \times H$ is $\mathbb{P} \times \mathbb{Q}$ -generic, then $V[G] \cap V[H] = V$ (Solovay). Other kinds of g.p.?

Digression 2: virtual cardinalities

Generically presentable *structures up to isomorphism* are nontrivial:

Theorem (Knight-Montalbán-S.; Kaplan-Shelah)

There are “countable” generically presentable structures without copies in V (produced exactly when $\omega_2^V < \omega_1^{V[G]}$).

Generically presentable *sets up to equality* are not: if $G \times H$ is $\mathbb{P} \times \mathbb{Q}$ -generic, then $V[G] \cap V[H] = V$ (Solovay). Other kinds of g.p.?

Definition

A generically presentable cardinality *is a pair* (ν, \mathbb{P}) *where* ν *is a* \mathbb{P} -*name and* $\Vdash_{\mathbb{P}^2} \nu[G_0] \equiv \nu[G_1]$.

Digression 2: virtual cardinalities

Generically presentable *structures up to isomorphism* are nontrivial:

Theorem (Knight-Montalbán-S.; Kaplan-Shelah)

There are “countable” generically presentable structures without copies in V (produced exactly when $\omega_2^V < \omega_1^{V[G]}$).

Generically presentable *sets up to equality* are not: if $G \times H$ is $\mathbb{P} \times \mathbb{Q}$ -generic, then $V[G] \cap V[H] = V$ (Solovay). Other kinds of g.p.?

Definition

A generically presentable cardinality *is a pair (ν, \mathbb{P}) where ν is a \mathbb{P} -name and $\Vdash_{\mathbb{P}^2} \nu[G_0] \equiv \nu[G_1]$.*

Question

Is there a generically presentable cardinality (ν, \mathbb{P}) such that $\forall X \in V, \Vdash_{\mathbb{P}} \nu[G] \not\equiv X$? In ZFC trivially no; over ZF? In canonical models where choice fails? What if we require $\nu[G] \subseteq \mathbb{R}^{V[G]}$?

Non-VC counterexamples

Suppose T has a perfect set P of nonisomorphic countable models.

Non-VC counterexamples

Suppose T has a perfect set P of nonisomorphic countable models. For $A, B \models T$ with domain ω , set $e : A \trianglelefteq B$ iff

- ▶ $A = B$, or
- ▶ there are $\hat{A}, \hat{B} \in P$ with $A \cong \hat{A}$, $B \cong \hat{B}$, and $\Phi_e^{\hat{B}} = \hat{A}$.

The uniform degrees of models of T coming from \trianglelefteq embed ω_1

Non-VC counterexamples

Suppose T has a perfect set P of nonisomorphic countable models. For $A, B \models T$ with domain ω , set $e : A \trianglelefteq B$ iff

- ▶ $A = B$, or
- ▶ there are $\hat{A}, \hat{B} \in P$ with $A \cong \hat{A}$, $B \cong \hat{B}$, and $\Phi_e^{\hat{B}} = \hat{A}$.

The uniform degrees of models of T coming from \trianglelefteq embed ω_1 and the reducibility is Σ_1^1 .

Corollary (ZFC+LC)

If T is a countable first-order theory with uncountably many countable models, then T is a VC-counterexample iff every Σ_1^1 uniform reducibility notion yields a degree structure on models of T into which ω_1 does not embed.

A similar construction gives a projective uniform reducibility \trianglelefteq on structures such that

$$\{\text{ordertype}(\{\alpha \in \omega_1 : \alpha \trianglelefteq \mathcal{A}\}) : \mathcal{A} \models T\}$$

is cofinal in ω_1 , so that yields another equivalence.

Digression 3: “near” miss counterexamples

If T has a perfect set of models, the uniform reducibility above does not seem to come from a Δ_1^1 relation on individual copies: why should the perfect set P of nonisomorphic models contain a representative of every model?

Definition

For Γ a pointclass, a theory T with uncountably many countable models is Γ -short iff for every uniform reducibility notion \trianglelefteq coming from a local reducibility notion in Γ , there is no embedding of ω_1 into the \trianglelefteq -degrees of models of T .

Digression 3: “near” miss counterexamples

If T has a perfect set of models, the uniform reducibility above does not seem to come from a Δ_1^1 relation on individual copies: why should the perfect set P of nonisomorphic models contain a representative of every model?

Definition

For Γ a pointclass, a theory T with uncountably many countable models is Γ -short iff for every uniform reducibility notion \trianglelefteq coming from a local reducibility notion in Γ , there is no embedding of ω_1 into the \trianglelefteq -degrees of models of T .

Σ_1^1 -shortness is equivalent to being a VC-counterexample.

Question

Is there a Δ_1^1 -short theory?

Digression 3: “near” miss counterexamples

If T has a perfect set of models, the uniform reducibility above does not seem to come from a Δ_1^1 relation on individual copies: why should the perfect set P of nonisomorphic models contain a representative of every model?

Definition

For Γ a pointclass, a theory T with uncountably many countable models is Γ -short iff for every uniform reducibility notion \trianglelefteq coming from a local reducibility notion in Γ , there is no embedding of ω_1 into the \trianglelefteq -degrees of models of T .

Σ_1^1 -shortness is equivalent to being a VC-counterexample.

Question

Is there a Δ_1^1 -short theory?

It is even unclear to me whether Π_1^1 -short theories (other than VC-counterexamples) can exist — I suspect not.

Phase transitions in strong reductions

Phase transitions in strong reductions

(Back to Turing)

Phase transitions in strong reductions

(Back to Turing)

Unlike weak reducibility, it's easy to show that there are many “phase transitions” for strong reducibility on ordinals: for a club of countable ordinals α , have

- ▶ $\{\beta < \alpha : \beta \leq_s \alpha\}$ bounded below α .
- ▶ $\min\{\beta > \alpha : \beta \not\leq_s \alpha\} < \alpha \cdot 2$.

Most natural phase transition seems to be:

Definition

For \mathcal{A} a countable structure we set

$$S(\mathcal{A}) = \sup\{\beta \in \omega_1 : \beta \leq_s \mathcal{A}\}.$$

Phase transitions in strong reductions

(Back to Turing)

Unlike weak reducibility, it's easy to show that there are many “phase transitions” for strong reducibility on ordinals: for a club of countable ordinals α , have

- ▶ $\{\beta < \alpha : \beta \leq_s \alpha\}$ bounded below α .
- ▶ $\min\{\beta > \alpha : \beta \not\leq_s \alpha\} < \alpha \cdot 2$.

Most natural phase transition seems to be:

Definition

For \mathcal{A} a countable structure we set

$$S(\mathcal{A}) = \sup\{\beta \in \omega_1 : \beta \leq_s \mathcal{A}\}.$$

(Can also define “generic” version $S^*(\mathcal{A})$ for arbitrary-cardinality \mathcal{A} .)

Phase transitions in strong reductions

(Back to Turing)

Unlike weak reducibility, it's easy to show that there are many “phase transitions” for strong reducibility on ordinals: for a club of countable ordinals α , have

- ▶ $\{\beta < \alpha : \beta \leq_s \alpha\}$ bounded below α .
- ▶ $\min\{\beta > \alpha : \beta \not\leq_s \alpha\} < \alpha \cdot 2$.

Most natural phase transition seems to be:

Definition

For \mathcal{A} a countable structure we set

$$S(\mathcal{A}) = \sup\{\beta \in \omega_1 : \beta \leq_s \mathcal{A}\}.$$

(Can also define “generic” version $S^*(\mathcal{A})$ for arbitrary-cardinality \mathcal{A} .)

Trivially $S(\alpha) \in (\alpha, CK(\alpha)]$.

Phase transitions in strong reductions

(Back to Turing)

Unlike weak reducibility, it's easy to show that there are many “phase transitions” for strong reducibility on ordinals: for a club of countable ordinals α , have

- ▶ $\{\beta < \alpha : \beta \leq_s \alpha\}$ bounded below α .
- ▶ $\min\{\beta > \alpha : \beta \not\leq_s \alpha\} < \alpha \cdot 2$.

Most natural phase transition seems to be:

Definition

For \mathcal{A} a countable structure we set

$$S(\mathcal{A}) = \sup\{\beta \in \omega_1 : \beta \leq_s \mathcal{A}\}.$$

(Can also define “generic” version $S^*(\mathcal{A})$ for arbitrary-cardinality \mathcal{A} .)

Trivially $S(\alpha) \in (\alpha, \text{CK}(\alpha)]$.

Question

What more can we say about $S(\alpha)$?

Forcing over admissibles

An *admissible set* is a transitive A satisfying KP (+ Inf): basic set theory axioms + Σ_1 -replacement + Δ_1 -separation.

Forcing over admissibles

An *admissible set* is a transitive A satisfying KP (+ Inf): basic set theory axioms + Σ_1 -replacement + Δ_1 -separation. Especially interested in admissibles of form L_α or $L_\alpha[X]$.

Theorem (Barwise; see also Ershov, Jensen, Zachar)

If A is an admissible set and $\mathbb{P} \in A$ is a forcing notion, then for G \mathbb{P} -generic over A the extension $A[G]$ is also admissible.

Theorem

For a club of countable ordinals α we have $S(\alpha) < CK(\alpha)$.

Forcing over admissibles

An *admissible set* is a transitive A satisfying KP (+ Inf): basic set theory axioms + Σ_1 -replacement + Δ_1 -separation. Especially interested in admissibles of form L_α or $L_\alpha[X]$.

Theorem (Barwise; see also Ershov, Jensen, Zachar)

If A is an admissible set and $\mathbb{P} \in A$ is a forcing notion, then for G \mathbb{P} -generic over A the extension $A[G]$ is also admissible.

Theorem

For a club of countable ordinals α we have $S(\alpha) < CK(\alpha)$. And for every ordinal $\beta \geq \omega_1^L$ we have $S^(\alpha) < CK(\alpha)$.*

Bounding below the next admissible

Theorem

For a club of countable ordinals α we have $S(\alpha) < CK(\alpha)$.

Bounding below the next admissible

Theorem

For a club of countable ordinals α we have $S(\alpha) < CK(\alpha)$. And for every ordinal $\beta \geq \omega_1^L$ we have $S^(\alpha) < CK(\alpha)$.*

The idea is the following:

Bounding below the next admissible

Theorem

For a club of countable ordinals α we have $S(\alpha) < CK(\alpha)$. And for every ordinal $\beta \geq \omega_1^L$ we have $S^(\alpha) < CK(\alpha)$.*

The idea is the following: First assume $V=L$.

Bounding below the next admissible

Theorem

For a club of countable ordinals α we have $S(\alpha) < CK(\alpha)$. And for every ordinal $\beta \geq \omega_1^L$ we have $S^(\alpha) < CK(\alpha)$.*

The idea is the following: First assume $V=L$. The set X of indices for strong reductions of ordinals to $\omega_1^L = \omega_1$ is a real, so $X \in L_{\omega_1}$.

Bounding below the next admissible

Theorem

For a club of countable ordinals α we have $S(\alpha) < CK(\alpha)$. And for every ordinal $\beta \geq \omega_1^L$ we have $S^(\alpha) < CK(\alpha)$.*

The idea is the following: First assume $V=L$. The set X of indices for strong reductions of ordinals to $\omega_1^L = \omega_1$ is a real, so $X \in L_{\omega_1}$. Force over $L_{CK(\omega_1)}$ with $Col(\omega, \omega_1)$.

Bounding below the next admissible

Theorem

For a club of countable ordinals α we have $S(\alpha) < CK(\alpha)$. And for every ordinal $\beta \geq \omega_1^L$ we have $S^(\alpha) < CK(\alpha)$.*

The idea is the following: First assume $V=L$. The set X of indices for strong reductions of ordinals to $\omega_1^L = \omega_1$ is a real, so $X \in L_{\omega_1}$. Force over $L_{CK(\omega_1)}$ with $Col(\omega, \omega_1)$. Resulting $L_{CK(\omega_1)}[G]$ is admissible of height $CK(\omega_1)$

Bounding below the next admissible

Theorem

For a club of countable ordinals α we have $S(\alpha) < CK(\alpha)$. And for every ordinal $\beta \geq \omega_1^L$ we have $S^(\alpha) < CK(\alpha)$.*

The idea is the following: First assume $V=L$. The set X of indices for strong reductions of ordinals to $\omega_1^L = \omega_1$ is a real, so $X \in L_{\omega_1}$. Force over $L_{CK(\omega_1)}$ with $Col(\omega, \omega_1)$. Resulting $L_{CK(\omega_1)}[G]$ is admissible of height $CK(\omega_1)$, and has ω -copy A of ω_1^L .

Bounding below the next admissible

Theorem

For a club of countable ordinals α we have $S(\alpha) < CK(\alpha)$. And for every ordinal $\beta \geq \omega_1^L$ we have $S^(\alpha) < CK(\alpha)$.*

The idea is the following: First assume $V=L$. The set X of indices for strong reductions of ordinals to $\omega_1^L = \omega_1$ is a real, so $X \in L_{\omega_1}$. Force over $L_{CK(\omega_1)}$ with $Col(\omega, \omega_1)$. Resulting $L_{CK(\omega_1)}[G]$ is admissible of height $CK(\omega_1)$, and has ω -copy A of ω_1^L . Admissibility then gives us

$$\sigma := otp\left(\sum_{e \in X} \Phi_e^A\right)$$

in $L_{CK(\omega_1)}[G]$.

Bounding below the next admissible

Theorem

For a club of countable ordinals α we have $S(\alpha) < CK(\alpha)$. And for every ordinal $\beta \geq \omega_1^L$ we have $S^(\alpha) < CK(\alpha)$.*

The idea is the following: First assume $V=L$. The set X of indices for strong reductions of ordinals to $\omega_1^L = \omega_1$ is a real, so $X \in L_{\omega_1}$. Force over $L_{CK(\omega_1)}$ with $Col(\omega, \omega_1)$. Resulting $L_{CK(\omega_1)}[G]$ is admissible of height $CK(\omega_1)$, and has ω -copy A of ω_1^L . Admissibility then gives us

$$\sigma := otp\left(\sum_{e \in X} \Phi_e^A\right)$$

in $L_{CK(\omega_1)}[G]$. So $\sigma < CK(\omega_1)$, but $\sigma = S(\omega_1)$.

Bounding below the next admissible

Theorem

For a club of countable ordinals α we have $S(\alpha) < CK(\alpha)$. And for every ordinal $\beta \geq \omega_1^L$ we have $S^(\alpha) < CK(\alpha)$.*

The idea is the following: First assume $V=L$. The set X of indices for strong reductions of ordinals to $\omega_1^L = \omega_1$ is a real, so $X \in L_{\omega_1}$. Force over $L_{CK(\omega_1)}$ with $Col(\omega, \omega_1)$. Resulting $L_{CK(\omega_1)}[G]$ is admissible of height $CK(\omega_1)$, and has ω -copy A of ω_1^L . Admissibility then gives us

$$\sigma := otp\left(\sum_{e \in X} \Phi_e^A\right)$$

in $L_{CK(\omega_1)}[G]$. So $\sigma < CK(\omega_1)$, but $\sigma = S(\omega_1)$.

Can now check that everything relevant was absolute to L , so this goes through in ZFC alone.

Bounding below the next admissible

Theorem

For a club of countable ordinals α we have $S(\alpha) < CK(\alpha)$. And for every ordinal $\beta \geq \omega_1^L$ we have $S^(\alpha) < CK(\alpha)$.*

The idea is the following: First assume $V=L$. The set X of indices for strong reductions of ordinals to $\omega_1^L = \omega_1$ is a real, so $X \in L_{\omega_1}$. Force over $L_{CK(\omega_1)}$ with $Col(\omega, \omega_1)$. Resulting $L_{CK(\omega_1)}[G]$ is admissible of height $CK(\omega_1)$, and has ω -copy A of ω_1^L . Admissibility then gives us

$$\sigma := otp\left(\sum_{e \in X} \Phi_e^A\right)$$

in $L_{CK(\omega_1)}[G]$. So $\sigma < CK(\omega_1)$, but $\sigma = S(\omega_1)$.

Can now check that everything relevant was absolute to L , so this goes through in ZFC alone. First sentence of theorem now follows from same proof for α “sufficiently ω_1 -like”

Bounding below the next admissible

Theorem

For a club of countable ordinals α we have $S(\alpha) < CK(\alpha)$. And for every ordinal $\beta \geq \omega_1^L$ we have $S^(\alpha) < CK(\alpha)$.*

The idea is the following: First assume $V=L$. The set X of indices for strong reductions of ordinals to $\omega_1^L = \omega_1$ is a real, so $X \in L_{\omega_1}$. Force over $L_{CK(\omega_1)}$ with $Col(\omega, \omega_1)$. Resulting $L_{CK(\omega_1)}[G]$ is admissible of height $CK(\omega_1)$, and has ω -copy A of ω_1^L . Admissibility then gives us

$$\sigma := otp\left(\sum_{e \in X} \Phi_e^A\right)$$

in $L_{CK(\omega_1)}[G]$. So $\sigma < CK(\omega_1)$, but $\sigma = S(\omega_1)$.

Can now check that everything relevant was absolute to L , so this goes through in ZFC alone. First sentence of theorem now follows from same proof for α “sufficiently ω_1 -like” (admissible + “every subclass of ω is a set” is enough).

α -recursion far from ω_1^{CK}

So $S(\alpha)$ is “usually small.” Is there still a sense in which it’s “usually large”?

α -recursion far from ω_1^{CK}

So $S(\alpha)$ is “usually small.” Is there still a sense in which it’s “usually large”? Restrict attention to admissible α .

α -recursion far from ω_1^{CK}

So $S(\alpha)$ is “usually small.” Is there still a sense in which it’s “usually large”? Restrict attention to admissible α .

Definition

$G(\alpha)$ is the supremum of the (ordertypes of the) α -recursive well-orderings of α

α -recursion far from ω_1^{CK}

So $S(\alpha)$ is “usually small.” Is there still a sense in which it’s “usually large”? Restrict attention to admissible α .

Definition

$G(\alpha)$ is the supremum of the (ordertypes of the) α -recursive well-orderings of α ; that is,

$$\sup\{R \subseteq \alpha^2 : R \text{ is a well-ordering and is } \Sigma_1 \text{ over } L_\alpha\}.$$

α -recursion far from ω_1^{CK}

So $S(\alpha)$ is “usually small.” Is there still a sense in which it’s “usually large”? Restrict attention to admissible α .

Definition

$G(\alpha)$ is the supremum of the (ordertypes of the) α -recursive well-orderings of α ; that is,

$$\sup\{R \subseteq \alpha^2 : R \text{ is a well-ordering and is } \Sigma_1 \text{ over } L_\alpha\}.$$

(This doesn’t seem to have a prior name/notation; Gostanian studied α s satisfying $G(\alpha) = CK(\alpha)$)

α -recursion far from ω_1^{CK}

So $S(\alpha)$ is “usually small.” Is there still a sense in which it’s “usually large”? Restrict attention to admissible α .

Definition

$G(\alpha)$ is the supremum of the (ordertypes of the) α -recursive well-orderings of α ; that is,

$$\sup\{R \subseteq \alpha^2 : R \text{ is a well-ordering and is } \Sigma_1 \text{ over } L_\alpha\}.$$

(This doesn’t seem to have a prior name/notation; Gostanian studied α s satisfying $G(\alpha) = CK(\alpha)$ following Platek and observations of H. Friedman

α -recursion far from ω_1^{CK}

So $S(\alpha)$ is “usually small.” Is there still a sense in which it’s “usually large”? Restrict attention to admissible α .

Definition

$G(\alpha)$ is the supremum of the (ordertypes of the) α -recursive well-orderings of α ; that is,

$$\sup\{R \subseteq \alpha^2 : R \text{ is a well-ordering and is } \Sigma_1 \text{ over } L_\alpha\}.$$

(This doesn’t seem to have a prior name/notation; Gostanian studied α s satisfying $G(\alpha) = CK(\alpha)$ following Platek and observations of H. Friedman, and Abramson and Sacks called such ordinals “Gandy.”)

α -recursion far from ω_1^{CK}

So $S(\alpha)$ is “usually small.” Is there still a sense in which it’s “usually large”? Restrict attention to admissible α .

Definition

$G(\alpha)$ is the supremum of the (ordertypes of the) α -recursive well-orderings of α ; that is,

$$\sup\{R \subseteq \alpha^2 : R \text{ is a well-ordering and is } \Sigma_1 \text{ over } L_\alpha\}.$$

(This doesn’t seem to have a prior name/notation; Gostanian studied α s satisfying $G(\alpha) = CK(\alpha)$ following Platek and observations of H. Friedman, and Abramson and Sacks called such ordinals “Gandy.”)

Proposition (Platek)

$$G(\omega_1) < CK(\omega_1).$$

α -recursion far from ω_1^{CK}

So $S(\alpha)$ is “usually small.” Is there still a sense in which it’s “usually large”? Restrict attention to admissible α .

Definition

$G(\alpha)$ is the supremum of the (ordertypes of the) α -recursive well-orderings of α ; that is,

$$\sup\{R \subseteq \alpha^2 : R \text{ is a well-ordering and is } \Sigma_1 \text{ over } L_\alpha\}.$$

(This doesn’t seem to have a prior name/notation; Gostanian studied α s satisfying $G(\alpha) = CK(\alpha)$ following Platek and observations of H. Friedman, and Abramson and Sacks called such ordinals “Gandy.”)

Proposition (Platek)

$G(\omega_1) < CK(\omega_1)$. So for a club of α we have $G(\alpha) < CK(\alpha)$.

G vs. S

Key of Platek's argument: ill-foundedness of $A \subseteq \omega_1^2$ is witnessed by a countable sequence of countable ordinals

G vs. S

Key of Platek's argument: ill-foundedness of $A \subseteq \omega_1^2$ is witnessed by a countable sequence of countable ordinals which is in L_{ω_1} , so well-foundedness is Π_1 at ω_1 .

G vs. S

Key of Platek's argument: ill-foundedness of $A \subseteq \omega_1^2$ is witnessed by a countable sequence of countable ordinals which is in L_{ω_1} , so well-foundedness is Π_1 at ω_1 .

Theorem

For a club of $\alpha < \omega_1$, we have $G(\alpha) < S(\alpha)$.

Proof uses an extension of Σ_1^1 -bounding to structures,

G vs. S

Key of Platek's argument: ill-foundedness of $A \subseteq \omega_1^2$ is witnessed by a countable sequence of countable ordinals which is in L_{ω_1} , so well-foundedness is Π_1 at ω_1 .

Theorem

For a club of $\alpha < \omega_1$, we have $G(\alpha) < S(\alpha)$.

Proof uses an extension of Σ_1^1 -bounding to structures, and relies on the fact that we can effectively build a tree whose branches code definable expansions of L_α from an ω -copy of α .

Thanks!